

The Stock Exchange of Hong Kong Limited

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

Our Ref: CRO20140808-026

8 August 2014

To: Main Board Listed Issuers (Attn: Authorised Representatives)
GEM Listed Issuers (Attn: Authorised Representatives)
Market practitioners

Dear Sirs

Re: Request to Participate in ESG Reporting Survey

On 30 June 2014 we wrote to you concerning our "Request to Participate in ESG Reporting Survey", seeking your response to our <u>questionnaire</u> for ascertaining:

- the extent of ESG reporting amongst issuers;
- the presentation and format of the issuer's ESG report(s) or information (e.g. as a standalone report, part of the annual or corporate governance report, or information on the website); and
- whether issuers are reporting on the Key Performance Indicators set out in the ESG Reporting Guide.

We would like to thank those of you who responded by the deadline of 31 July 2014. Your responses will play an important role in helping to shape the consultation paper on the ESG Reporting Guide that we intend to publish early next year.

For those of you who missed the deadline but now wish to respond to the questionnaire, please do so by 22 August 2014. This is an important opportunity for you to share with us the work you have done and/or plan to do in this area going forward. Your participation is highly valued and may have a significant impact on the development of the Exchange's ESG reporting regime. You may return the completed questionnaire:

- by email to <u>esg@hkex.com.hk</u>; or
- by mail to:

Policy Unit, Listing Department Hong Kong Exchanges and Clearing Limited 11th Floor, One International Finance Centre 1 Harbour View Street Central Hong Kong

香港交易及結算所有限公司 Hong Kong Exchanges and Clearing Limited

The Stock Exchange of Hong Kong Limited

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

If you have any questions about the questionnaire, please contact your case officers.

Yours faithfully For and on behalf of The Stock Exchange of Hong Kong Limited

[Signed]

David Graham Chief Regulatory Officer and Head of Listing

香港交易及結算所有限公司 Hong Kong Exchanges and Clearing Limited