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THE YEAR 2001 IN REVIEW

With the US economy entering a recession, the Hong Kong economy continued to slow down during the year 2001. The latest economic forecast for Hong Kong expected zero real GDP growth for the year. Labour market conditions continued to worsen with the economic downturn and corporate downsizing. The unemployment rate surged to 6.1% in December 2001. The Composite Consumer Price Index continued to decline, decreasing by 3.6% in the year.

The Hong Kong stock and future markets weakened overall during the year. The Hang Seng Index ended the year at 11,397, 24% below the 2000 closing. The average daily turnover of the stock market (Main Board and GEM) during the year was HK\$8.1 billion. With a total market capitalization of HK\$3,946.3 billion (Main Board and GEM) as at the end of 2001, Hong Kong was the 10th largest stock exchange in the world and the second in Asia.

Following the formation and listing of HKEx in 2000, the Securities and Futures Commission (SFC) and HKEx signed a Memorandum of Understanding (MOU) in February 2001, which formalised the SFC's oversight of HKEx, the supervision of Exchange participants and market surveillance. HKEx's year-end closing price was HK\$11.85 compared to HK\$17.9 in 2000. The market capitalization of the shares of HKEx was HK\$12.3 billion and its P/E ratio (based on 2000 earnings) was 14.03 times compared to HK\$18.6 billion and 35.8 times as at the end of 2000.

During the year, HKEx entered into a strategic initiative with the Sydney Futures Exchange to explore the development of a range of new derivatives trading and clearing services for the Asia exchange-traded and over-the-counter market places. Discussions with New York Stock Exchange (NYSE) took place on a possible earlier linkage between NYSE, HKEx and the Toronto Stock Exchange in the Global Equity Market partnership. HKEx also signed an agreement with the Shanghai Stock Exchange and the Shenzhen Stock Exchange for mutual exchange of market and listed company information. A China Stock Market website, integrated in the existing websites of HKEx, the Shanghai and Shenzhen stock exchanges, was introduced in November. Through this cooperation, all three exchanges will be able to provide investors with comprehensive data on the three securities markets at a centralised location. Memoranda of Understanding were signed with the Stock Exchange of Thailand and the Kuala Lumpur Stock Exchange respectively on the exchange of market information.

STOCK MARKET

Market development

The introduction of the Third Generation Automatic Order Matching and Execution System (AMS/3) in October 2000 marked the beginning of a new trading era. Following the successful launch of the AMS/3, HKEx implemented new functions in 2001, including new orders types - Enhanced Limit Order and Special Limit Order - and revised trading parameters.

In February, HKEx introduced the Order Routing System (ORS), an open platform which can provide multi-channel and multi-vendor connectivity. This facilitates trading through electronic channels such as the Internet and mobile phones. Trading requests placed through an electronic channel connected to the ORS can be sent to the Exchange Participants' trading facilities for approval and submission to AMS/3 for execution.

Since 1 September 2001, HKEx has received a Trading Fee of 0.005 per cent per side of the consideration for every transaction. The Trading Fee replaced the previous 0.005 per cent portion of the Transaction Levy.

A consultation paper on extending the trading hours of the stock and futures markets was published to seek public comments.

Main Board - Equities

Thirty-one companies were newly listed on the Main Board during the year 2001. They raised HK\$21.6 billion of capital in total. As at the end of the December 2001, there were 756 companies listed on the Main Board with a total market capitalization of HK\$3,885.3 billion.

HKEx amended the Main Board Listing Rules to require listed issuers to submit a soft copy of announcements to the Exchange for publication on HKEx's website, effective from 21 May 2001. The issuers are still required to publish paid announcements in the newspapers until further notice. A new Internet-based e-submission system is under development as an alternative medium for issuers. It received positive feedback from potential users in the initial trial period.

The Hong Kong stock market experienced a bearish year in 2001, reflecting the sluggish performance of the global stock market. Upon an unexpected cut in US interest rate in January, the Hang Seng Index rose at the beginning of 2001. Expectations for further interest cuts pushed the Hang Seng Index to a record high of 16,164 in February. The market lost momentum soon after, following the slides in telecommunication and technology shares worldwide and with the prospect of a protracted US economic slow-down and widespread anxiety in the global financial markets. The September 11th incident dealt a further blow to sentiment. The stock market dropped sharply pushing the index down to the lowest at 8,934 in September. The Hang Seng Index and All Ordinaries Index closed the year at 11,397 and 4,885 respectively, representing a decrease of 24% and 20% during the year. The Hang Seng China Enterprise Index and Hang Seng China-Affiliated Corporations Index, which track H-share and red-chip companies respectively, declined 8.2% and 18.3% during the year. All sectors of the All Ordinaries Index recorded declines in 2001, with Consolidated Enterprises down 29.1%, Finance down 17.8%, Hotels down 18.7%, Industrials down 0.8%, Properties down 14.7% and Utilities down 6.6%.

Main Board - Warrants

Thirty-one equity warrants and 181 derivative warrants were newly listed on the Main Board in 2001. The total number of warrants listed on the Main Board was 96 as at the end of 2001, compared to 291 in 2000. The turnover of warrants for 2001 was HK\$108.2 billion, representing a decrease of 35% compared with 2000's HK\$167.4 billion.

Main Board - Debt securities

Twenty-one new debt securities were listed on the Main Board in 2001, compared with 20 in 2000. The total number of debt securities decreased to 195 at the end of December 2001. Trading remained inactive with a year total of HK\$38 million.

Main Board - Unit trusts and mutual funds

There were 22 unit trusts listed on the Main Board as at the end of December 2001, compared to 21 and 23 in 2000 and 1999 respectively. The total trading turnover of unit trusts listed on the Main Board (including the Tracker Fund) increased to HK\$20.7 billion in 2001 compared to HK\$20 billion in 2000 and HK\$12.5 million in 1999.

Growth Enterprise Market (GEM)

The Growth Enterprise Market (GEM) commenced operations on 15 November 1999 to provide capital formation facilities for growth companies that are not qualified to list on the Main Board. 57 companies were newly listed during the year 2001. In total, they raised HK\$4.1 billion of new capital. GEM's average daily turnover value in 2001 was HK\$162 million. As of the end of 2001, 111 companies were listed on the GEM with a total market value of HK\$61.0 billion; the total number of warrants listed was three and the total turnover for the year was HK\$2.6 million.

After a review of certain changes to the GEM Listing Rules in 2000, the proposed rule amendments came into effect on 1 October 2001. Key areas of amendment include the minimum track record period, the prohibition on share issues within six months of listing, the definition of initial management shareholders, the moratorium period for the initial management shareholders, the disposal of shares by initial management shareholders, the limit on the shares over which companies may grant share options, the offering mechanism and the minimum of percentage of securities to be in public hands.

Trading-only market

After the successful launch of the Tracker Fund of Hong Kong (TraHK) in the end of 1999, HKEx developed a market structure for Exchange Traded Funds (ETF) in May 2001. ETFs are similar to open-ended mutual funds or unit trusts, but they are listed or traded on stock exchanges. Investors can buy or sell ETF through brokers like ordinary stocks during trading hours. The first two regional ETF, iShares MSCI Taiwan Index Fund and iShare MSCI South Korea Index Fund, commenced trading on 2 May 2001. They were introduced under a “trading only” arrangement. Trading hours for these two funds are 10:00 to 16:00 - with no lunch break. The trading and settlement currency is US Dollars.

Up to the end of 2001, there were two iShares traded with a total turnover of US\$13.2 million (HK\$102.7 million).

The total number of Nasdaq stocks traded under the Trading-only Market was 7 as at the end of 2001. The turnover of Nasdaq stocks for 2001 was HK\$118million, representing a decrease of 57% compared with 2000's HK\$272 million.

DERIVATIVES MARKET

Market development

To offer investors a tool to participate in the overall price movement of overseas publicly listed Chinese stocks, HKEx introduced MSCI China Free Index Futures in May 2001. The index represents the universe of Chinese stocks open to investors outside Mainland China, which can include H-shares and Red-chip stocks listed in Hong Kong and N-shares listed in New York. MSCI China Free Index Futures will provide hedging and trading tools for the ‘China concept’ sector of the international equity markets.

The derivatives markets of HKEx have been fully electronic since the migration of Hang Seng Index Futures and Options to HKATS, the Hong Kong Futures Automated Trading System, in 2000. This enables Futures Exchange participants to provide online trading to their customers. In August 2001, the SFC approved the extension of direct access to the derivatives market to clients outside Hong Kong. HKEx signed an agreement with GL Trade, a leading France-based software distributor, to allow remote access clients to use the GL Trade's network to achieve direct connections to HKATS.

HKEx is seeking to integrate the derivatives market infrastructure for stock options and futures into one trading and clearing system. Integration of the trading system is the first step; integration of derivatives clearing will follow. The integrated derivatives clearing system named DCASS, (Derivatives Clearing and Settlement System) is currently under implementation. DCASS is based on the OM SECUR clearing system from OM Technology in Sweden, and will be linked seamlessly with the derivatives trading system of HKATS when completed. DCASS systems are expected to come to production in mid-2002.

In line with global trend, a Block Trading Facility (BTF) for derivatives, was introduced in October under a 6-month pilot programme. Block trades comprise large buy and sell orders, privately negotiated apart from the public auction market. The BTF will extend HKEx's services and provide benefits to the market including enhanced trade execution efficiency, increased trading liquidity, easier execution of large sized delta hedge trades and other combination trading strategies.

The trading of International Stocks Futures and Options (ISFO) and Three-year Exchange Fund Note Futures started in October and November respectively. International Stocks Futures are standardised futures contracts on stocks of leading global companies; International Stock Options are European-style options contracts on the International Stock Futures. The ISFO will provide opportunities for investors to gain exposure to the world's leading stocks in a single marketplace. Three-year Exchange Fund Note Futures were also launched in November 2001. This is the first futures contract based on Hong Kong Government debt securities. It will serve as the cornerstone for further development of interest rate risk management tools in Hong Kong. In addition to these new products, HKEx is preparing to launch Dow Jones Industrial Average Futures and Options in the first quarter of 2002.

An exemption from the Tick Rule on short selling in the stock market took effect in December 2001 to help improve market liquidity by reducing the risk exposure of index arbitrageurs and market makers, ultimately benefiting all market participants. The Tick Rule prohibits a short sale from being made below the best current ask price, which makes it difficult for the arbitrageurs and hedgers to sell short in a falling market.

Trading activities

The total number of contracts traded in HKEx derivatives market increased 13.9% to 10,549,552 in 2001. The average daily volume was 37,872 contracts. The growth was primarily due to the volatile market. Turnover volumes of Hang Seng Index Futures and Options in 2001 were 4,400,071 contracts and 716,114 contracts, representing an increase of 9.4% and 31.6% on that in 2000 respectively.

The total number of stock option classes traded in Hong Kong increased to 31 as at the end of 2001. Turnover volume of stock options weakened in 2001, with an average daily turnover of 16,567 contracts, 2.3% lower than the figure for 2000 (16,958 contracts). The total premium for the whole year was HK\$7.9 billion (HK\$13.9 billion in 2000). Agency trading and principal trading contributed 23.2% and 16.3% of total contract volume respectively in 2001, the balance representing trading by the market makers.

MAJOR EVENTS OF THE HONG KONG SECURITIES MARKET 2001

<i>Event Month</i>	<i>Event</i>
February	<p>On 12 February, HKEx introduced two new order types to AMS/3: Enhanced Limit Order (ELO) and Special Limit Order (SLO). An ELO is similar to a Limit Order (which is matched at one price) but it will allow matching of up to two price queues (i.e. the best price queue and the next queue at one spread away) at the same time. An SLO is a market order which matches up to two price queues as long as the traded price is not worse than the input limit price.</p> <p>On 14 February, the SFC Levy and Compensation Fund Levy on the Mini-HSI Futures contract was reduced from HK\$1.00 and HK\$0.50 to HK\$0.20 and HK\$0.10 respectively.</p> <p>On 20 February, the SFC and HKEx signed a MOU in relation to the SFC's oversight of HKEx and its subsidiaries, the supervision of Exchange Participants and market surveillance. The MOU clarifies that except in relation to management of business risk, market surveillance and the enforcement of the trading and clearing rules, HKEx is no longer directly responsible for prudential and conduct regulation of Exchange Participants which becomes the responsibility of the SFC.</p> <p>On 23 February, HKEx introduced its Order Routing System (ORS) to AMS/3 and Online Trading Service to its Stock Exchange Participants. This enables investors to place stock market orders through the Internet, mobile phones and other electronic channels.</p>
April	<p>On 1 April, the Main Board Listing Rules were amended to allow listed issuers with, and listing applicants seeking, a primary listing on the Exchange to adopt International Accounting Standards (IAS); and overseas-incorporated listed issuers with, and listing applicants seeking, a secondary listing on the Exchange to adopt US General Accepted Accounting Principle (GAAP).</p>
May	<p>On 2 May, two iShares (Exchange Traded Funds) - MSCI Taiwan Index Fund and MSCI South Korea Index Fund - started trading. They are the first two regional ETFs traded on HKEx.</p> <p>On 7 May, HKEx introduced MSCI China Free Index Futures for trading on the Futures Exchange.</p> <p>On 21 May, amendments to the Main Board Listing Rules requiring all listed companies to submit a soft copy of all announcements to the Stock Exchange became effective.</p> <p>On 21 May, the restriction in the Main Board Listing Rules that the subscription and trading of H shares must be in Hong Kong dollars was relaxed. H shares are allowed to be subscribed for and traded in currencies of the issuer's choice, including US dollars.</p>
August	<p>On 6 August, stock options trading was successfully migrated from TOPS, the Traded Options System, to HKATS, the Hong Kong Futures Automated Trading System. Clearing and settlement of stock options trades continues to be performed on TOPS.</p>

On 14 August, new rules and rule amendments establishing the Remote Trading Access (RTA) programme of the Futures Exchange became effective. The RTA rules allow Exchange Participants which are also HKFE Clearing Corporation Limited (HKCC) Participants to apply for two types of overseas clients to become Remote Access Clients (RAC) and obtain direct access to HKATS. The two types of overseas clients are clients that conduct proprietary trading solely and clients that are affiliates of Exchange Participants.

On 22 August, a new Chapter 36 was introduced into the GEM Listing Rules and became effective. The chapter deals with conflicts of interest between the Exchange and persons whom the Exchange regulates in relation to GEM.

On 27 August, HKEx implemented stock futures market changes and introduced eleven new stock futures and stock options contracts. The stock futures market changes included standardising the contract specifications and adopting the stock options market's capital adjustment methodologies. The contract multipliers of the stock futures contracts were changed to one board lot size of their underlying shares.

September

On 1 September, the stamp duty on securities transactions was reduced from 0.225% to 0.2% per transaction (round-trip).

On 1 September, a Trading Fee of 0.005% per side of the consideration of a transaction was introduced by the Stock Exchange. This is to compensate the loss of income to the Stock Exchange as it is no longer entitled to its portion of the transaction levy. The transaction levy payable to the SFC increased from 0.005% to 0.007% per side of the consideration of a transaction.

October

On 1 October, amendments to the GEM Listing Rules on a number of areas took effect. The areas are related to: (1) the minimum active business pursuit period; (2) share issue within the first six months of listing; (3) the moratorium period for initial management shareholders; (4) the definition of initial management shareholders; (5) the interpretation of "disposal" by initial management shareholders; (6) share option schemes; and (7) the prescribed minimum percentage and market capitalisation of securities to be in public hands, and the definition of "public".

On 3 October, HSI Services Limited launched the Hang Seng Composite Index Series and some of its existing indices were discontinued.

On 4 October, the HKEx introduced for the first time 20 International Stock Futures contracts and 20 Options on International Stock Futures contracts for trading. The underlying stocks were five stocks from each of the following markets: Japan, South Korea, Taiwan and the US. Corresponding amendments to the Rules of the Futures Exchange, and the Rules of HKFE Clearing Corporation Limited to accommodate the new products also took effect.

On 19 October, the SFC announced the amendments to the mandatory offer provisions of the Hong Kong Code on Takeovers and Mergers with immediate effect. The trigger threshold was reduced from 35% to 30% and the creeper was reduced from 5% to 2% in any 12 month period.

- On 29 October, HKEx introduced a Block Trading Facility on HKATS under a 6-month pilot programme to allow private negotiation on large buy and sell orders. The Block Trading Facility would be applicable to all products, contract months and strike series listed on the HKATS, except the Mini-HSI futures contract. Block trading would be prohibited during the Pre-market Opening (PMO) session. No Exchange Participant could execute a Block Trade on the last two trading days of an expiring contract.
- November
- On 1 November, the non-statutory Code of Conduct for Share Registrars issued by the SFC became effective. The Code sets out: (1) general principles and standards of conduct a share registrar should adhere to; (2) requirements for a share registrar to ensure sufficient system capacity and data integrity; (3) disciplinary proceedings for a share registrar; (4) the formation of a Disciplinary Committee and a Disciplinary Appeals Committee; and (5) the Federation of Share Registrars' role in implementing penalties.
- On 16 November, the levy on stock futures transactions payable to the SFC was reduced from HK\$1.00 to HK\$0.20 per transaction. The levy for market making transactions of Exchange Traded Funds payable by market makers was exempted.
- On 19 November, HKEx, the Shanghai Stock Exchange and the Shenzhen Stock Exchange jointly announced the introduction of the China Stock Markets Web on the Internet. The China Stock Markets Web contains information on the securities markets in Hong Kong, Shanghai and Shenzhen, and on companies listed in the three cities. The data on Web are directly provided by the three Exchanges.
- On 19 November, HKEx introduced the Three-year Exchange Fund Note (EFN) Futures contract. The SFC waived the SFC levy of HK\$1.00 on this contract for six months.
- December
- On 1 December, the Corporate Finance Adviser Code of Conduct issued by the SFC took effect. The Code gives guidelines on the responsibilities and conduct expected of licensees engaging in the activity of advising on corporate finance in Hong Kong.
- On 3 December, amendments to the Tick Rule, which prohibits short sale below the best current ask price, took effect. Under the amendments, exemption from the Tick Rule applies to (1) index arbitrage transactions carried out in the proprietary account of a Stock Exchange or Futures Exchange Participant or an associated company; and (2) stock futures market makers who may need to short sell in the cash market for hedging purposes. The requirement for stock options market makers to submit evidence in respect of short sell transactions in excess of the daily limit of HK\$30 million was also removed.
- On 10 December, amendments to the Main Board Listing Rules for derivative warrants became effective. The amendments include: (1) relaxing some of the restrictions in the placing guidelines for derivative warrants; (2) introducing a requirement for warrant issuers to provide liquidity for derivative warrants that they have listed on the Exchange and; (3) simplifying the contents of listing documents. The rule changes apply in respect of derivative warrants launched on or after 10 December 2001 and on or before 30 June 2002 and in respect of any further issue of such derivative warrants. Corresponding changes in the Rules of the Exchange were made to support the changes in the Listing Rules.

Stock market (Main Board) highlights

	Year		
	1999	2000	2001
Listed securities (as at year end)			
No. of listed companies	701	736	756
Domestic (1)	688	725	746
Foreign (2)	13	11	10
No. of listed securities	1,205	1,294	1,075
No. of new listed companies	31	43	31
Total issued capital (HK\$mil)	225,577.27	283,355.88	321,070.54
Total market capitalisation (HK\$mil)	4,727,527.07	4,795,150.07	3,885,342.08
Equity funds raised (HK\$mil)	148,120.08	451,280.80	58,560.81
Trading			
No. of trading days	247	247	243
Total turnover value (HK\$mil) (3)			
Annual	1,915,940.58	3,047,565.32	1,950,086.74
Average daily	7,756.84	12,338.32	8,025.05
Total turnover volume (mil shs)			
Annual	1,392,284.36	2,323,972.86	1,488,521.21
Average daily	5,636.78	9,408.80	6,125.60
Stock price indices			
All Ordinaries Index (2 April 1986 = 1000)			
High	7137.12	7825.57	6503.33
Low	3806.92	5709.82	3865.98
Year-end	7134.79	6107.89	4885.63
Hang Seng Index (31 July 1964 = 100)			
High	16962.10	18301.69	16163.99
Low	9076.33	13722.70	8934.20
Year-end	16962.10	15095.53	11397.21
Market ratios (4)			
Average dividend yield (%)	2.20	2.01	2.92
Average P/E ratio (times)	26.73	12.80	12.18
Average book value ratio (times)	2.16	1.79	1.34
Annual turnover velocity (%)	37.51	59.64	46.87
Number of market participants	571	515	507

Notes:

- (1) All the China incorporated enterprises with H shares listed on the Exchange are included.
- (2) A listed company would be counted as a foreign company if it is incorporated overseas AND has a majority of its business outside Hong Kong and China, and as a domestic company if otherwise.
- (3) Turnover values have been adjusted for late reported and rejected sales.
- (4) Calculations are based on year-end figures of AOI stocks.

Growth Enterprise Market (GEM) highlights

	Year		
	1999	2000	2001
Listed securities (as at year end)			
No. of listed companies	7	54	111
No. of listed securities	7	55	114
No. of new listed companies	7	47	57
Total issued capital (HK\$mil)	288.43	5,567.72	7,555.66
Total market capitalisation (HK\$mil)	7,236.84	67,290.06	60,964.09
Equity funds raised (HK\$mil)	1,583.00	16,055.75	5,835.53
Trading			
No. of trading days	25	247	243
Total turnover value (HK\$mil)			
Annual	3,604.74	84,287.13	39,416.83
Average daily	144.19	341.24	162.21
Total turnover volume (mil shs)			
Annual	1,499.35	30,715.28	43,845.74
Average daily	59.97	124.35	180.44
Market ratios*			
Average dividend yield (%)	-	0.23	0.68
Average P/E ratio (times)	-	69.58	31.27
Average book value ratio (times)	-	17.40	4.52
Annual turnover velocity (%)	49.81	125.25	64.65

The Growth Enterprise Market was launched on 25 November 1999.

* Calculations are based on year-end figures of GEM stocks.

Derivatives market highlights

	Year		
	1999	2000	2001
All futures and options products (excluding stock options)			
No. of trading days	244.5	247.0	241.5
Contract volume	6,331,400	5,071,868	6,548,538
Equity index products	5,998,290	4,728,037	5,889,934
Equity products	5,696	3,322	9,397
Interest rates products	318,372	337,230	644,981
Currency products	9,042	3,279	4,226
Average daily	25,895	20,534	27,116
Open interest at the year end	81,244	72,481	125,213
Equity index products	60,535	42,661	64,290
Equity products	1,213	21	665
Interest rates products	19,208	29,592	59,955
Currency products	288	207	303
Stock options			
No. of stock options classes at the year end	17	18	31
No. of stock options series at the year end	1,566	1,544	2,406
No. of newly introduced stock options classes	-	2	13
No. of trading days	247	247	241.5
Contract volume			
Annual total	2,197,972	4,188,702	4,001,014
Average daily	8,899	16,958	16,567
Premium (HK\$ Mil.)			
Annual total	5,315	13,905	7,897
Average daily	22	56	33
Number of trades			
Annual total	69,807	88,088	8,848
Average daily	283	357	368
Open interest at the year end	209,210	462,494	231,657
Contracts exercised	299,680	487,873	522,919
Average premium per contract (HK\$)	2,418	3,320	1,974
Average contract per trade (contracts)	31	48	45
No. of market makers	6	6	6
Percentage of contract volume by type of account			
Market maker	70.5%	61.6%	60.6%
Principal	9.9%	24.6%	16.3%
Agency	19.6%	13.8%	23.2%
Put volume/call volume	0.89	0.48	0.94
Average daily contract volume/open interest	4.3%	3.7%	7.2%
Options volume (shares)/underlying stock volume	6.4%	8.5%	4.4%
All futures and options products			
Contract volume	8,529,372	9,260,570	10,549,552
Open interest at the year end	290,454	534,975	356,870

All Ordinaries Index

Introduction

Since 1 February 1989, the Exchange has calculated the All Ordinaries Index (AOI) to provide a benchmark for measuring the performance of all stocks in Hong Kong stock market. The base index of AOI is 1000 as at the close of 2 April 1986.

The Exchange has also calculated AOI Sectorial Indices since 2 April 1992, to provide market watchers with tools to track and evaluate different market sectors. The base index for all the AOI Sectorial Indices is 2333.77 which is the close of the AOI on 2 January 1992. The AOI Sectorial Indices covers seven sectors: Finance, Utilities, Properties, Consolidated Enterprises, Industrials, Hotels and Miscellaneous. The AOI and its Sectorial Indices are disseminated over the Exchange's live information services at one-minute intervals.

Calculation method

The AOI is market value-weighted index which includes all the ordinary shares listed on the Exchange. Each company's influence on the AOI is directly proportional to its market value. The AOI summarises price movements by following the changes in the Aggregate Market Value (AMV) of the constituent stocks. The AMV will be adjusted for capital changes and stock additions and deletions.

The simplified calculation formula for AOI is as follows:

$$\frac{\text{Current AMV}}{\text{Adjusted previous minute's AMV}} \times \text{Previous minute's index} = \text{Current index}$$

Composition of AOI

The AOI includes the ordinary shares of every company listed on the Exchange except:

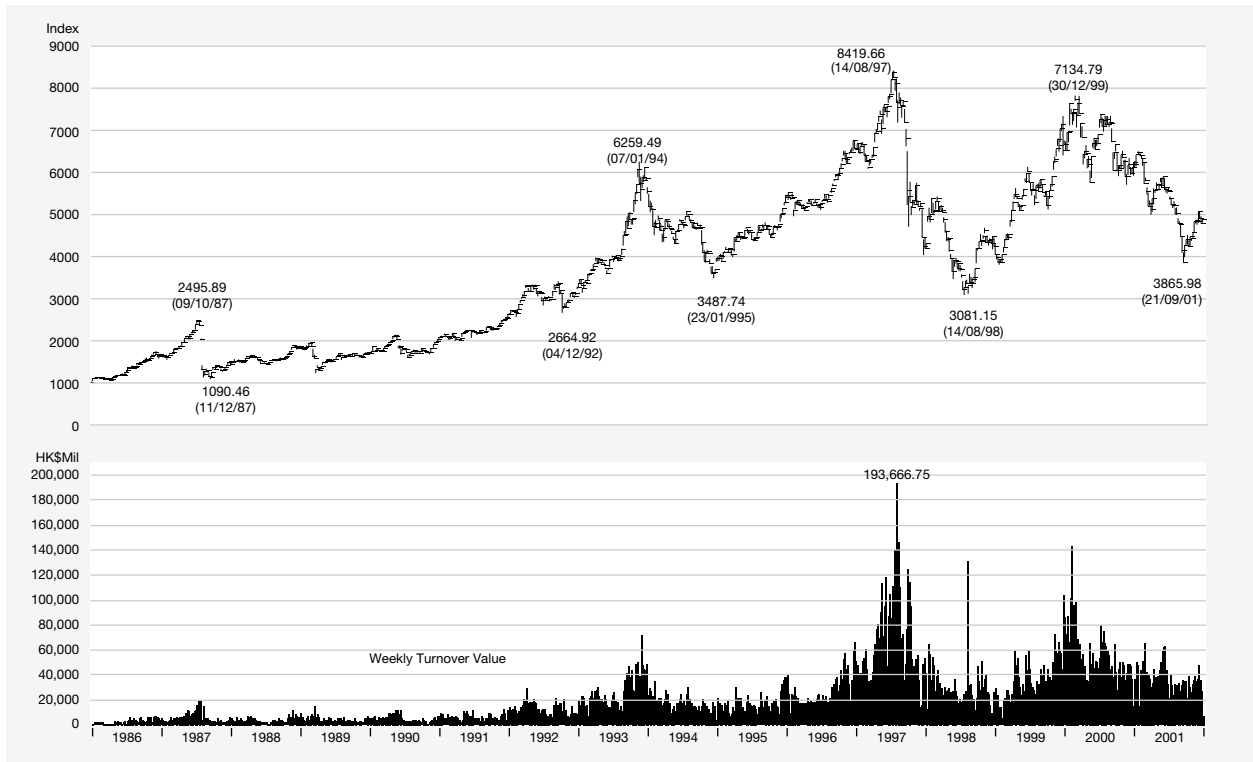
- (i) Stocks of overseas incorporated companies whose principal activities are carried on outside Hong Kong and China; or
- (ii) Stocks which have been suspended for over one year; or
- (iii) Stocks which are not traded in Hong Kong Dollars.

Key statistics

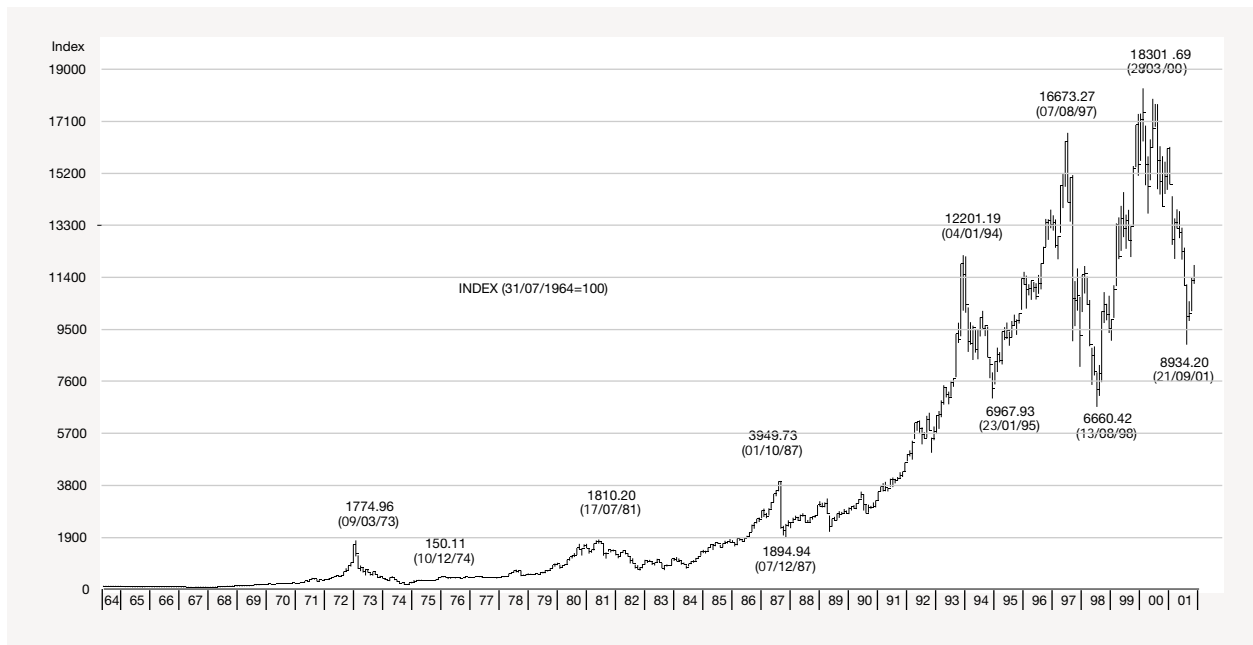
The following table shows the number of the constituent stocks and the weighting of each sector as of end of 2001:

	Aggregate market value (HK\$mil)	Weighting (%)	Number of stocks
Finance	1,142,376	29.40	52
Utilities	265,601	6.84	11
Properties	576,601	14.84	97
Consolidated enterprises	1,435,118	36.94	236
Industrials	431,069	11.10	325
Hotels	27,974	0.72	14
Miscellaneous	6,363	0.16	8
	3,885,103	100.00	743

All Ordinaries Index, weekly movement (1986 – 2001)



Hang Seng Index, monthly movement (1964 – 2001)



All Ordinaries Index, daily closing (2001)

2 April 1986 = 1000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	6503.33	5885.98	-	-	5631.86	-	5316.45	-	-	4415.82	-
2	6028.53	6474.03	5731.23	5264.17	5762.93	-	-	5304.28	-	-	4418.07	-
3	5922.51	-	-	5199.66	5715.65	-	5616.49	5219.88	4676.30	4248.74	-	4800.91
4	6172.64	-	-	4993.40	5597.97	5687.79	5627.76	-	4759.88	4402.25	-	4903.52
5	6242.97	6380.60	5793.70	-	-	5774.79	5540.41	-	4694.36	4402.38	4515.19	5000.31
6	-	6410.16	5875.64	5123.10	-	5804.49	-	5158.05	4594.96	-	4483.60	5028.40
7	-	6452.67	5826.75	-	5695.55	5852.96	-	5107.65	4480.69	-	4439.95	5062.94
8	6250.64	6395.39	5835.89	-	5674.69	5896.16	-	5087.59	-	4285.83	4540.87	-
9	6271.68	6402.35	5817.46	5063.54	5703.07	-	5411.78	4996.50	-	4443.41	4571.91	-
10	6252.23	-	-	5079.63	5701.51	-	5429.20	5019.23	4468.98	4424.49	-	5050.14
11	6103.83	-	-	5256.00	5707.38	5855.99	5353.50	-	4486.27	4512.45	-	5017.56
12	6182.84	6354.24	5646.34	5371.03	-	5798.24	5410.14	-	4092.87	4404.97	4564.96	5065.04
13	-	6406.35	5535.56	-	-	5778.96	5385.31	4988.47	4131.42	-	4589.20	4928.45
14	-	6410.11	5472.34	-	5573.65	5643.58	-	5107.93	4156.92	-	4702.79	4910.35
15	6174.05	6373.39	5530.14	-	5586.65	5591.71	-	5167.77	-	4349.81	4807.63	-
16	6190.22	6333.67	5543.50	-	5634.55	-	5378.83	5052.01	-	4358.56	4822.19	-
17	6142.17	-	-	5243.80	5737.39	-	5306.29	5027.23	4002.45	4407.25	-	4906.41
18	6264.41	-	-	5412.29	5678.09	5528.33	5280.49	-	3994.98	4263.91	-	4908.07
19	6417.26	6298.89	5522.44	5619.81	-	5595.55	5213.94	-	4101.60	4246.32	4852.39	4937.76
20	-	6321.33	5429.82	5571.83	-	5530.59	5237.82	4915.29	4011.10	-	4802.73	4957.39
21	-	6249.83	5410.02	-	5772.35	5645.07	-	4915.32	3865.98	-	4786.63	4791.72
22	6486.85	6156.62	5199.10	-	5843.36	5636.83	-	4815.92	-	4238.54	4820.38	-
23	6470.98	6236.65	5195.69	5537.29	5836.12	-	5211.57	4869.85	-	4409.82	4857.13	-
24	-	-	-	5531.11	5836.47	-	5215.40	4778.54	4009.64	4419.04	-	4813.33
25	-	-	-	5514.09	5850.96	-	-	-	3985.22	-	-	-
26	-	6226.06	5331.27	5534.32	-	5563.14	5158.22	-	4038.48	4479.28	4885.22	-
27	-	6098.47	5231.20	5585.71	-	5574.39	5208.69	4823.35	4124.50	-	4837.42	4867.38
28	-	6067.66	5277.99	-	5870.64	5497.19	-	4838.83	4258.09	-	4757.85	4892.09
29	6492.45	-	5214.67	-	5820.06	5571.90	-	4811.48	-	4401.31	4767.64	-
30	6410.29	-	5260.07	-	5728.17	-	5171.07	4833.63	-	4376.70	4840.32	-
31	6480.01	-	-	-	5638.21	-	5250.09	4754.41	-	4379.03	-	4885.63
High	6492.45	6503.33	5885.98	5619.81	5870.64	5896.16	5627.76	5316.45	4759.88	4512.45	4885.22	5065.04
Low	5922.51	6067.66	5195.69	4993.40	5573.65	5497.19	5158.22	4754.41	3865.98	4238.54	4415.82	4791.72
Avg	6260.87	6327.59	5525.76	5347.10	5725.70	5672.98	5337.21	4996.07	4246.73	4372.70	4685.45	4933.02

AOI – Finance, daily closing (2001)

2 January 1992 = 2333.77

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	14466.81	12665.69	-	-	12181.02	-	11578.41	-	-	10839.50	-
2	13777.99	14406.31	12674.68	11472.04	12653.27	-	-	11610.30	-	-	10935.86	-
3	13650.13	-	-	11473.96	12266.47	-	11782.71	11570.57	11540.65	10310.17	-	11692.28
4	14165.31	-	-	11218.89	12090.23	12205.31	11790.23	-	11703.45	10887.15	-	11809.51
5	14429.30	14328.54	12613.31	-	-	12326.41	11622.61	-	11562.42	10833.28	11111.88	12015.71
6	-	14485.08	12622.58	11401.86	-	12343.50	-	11546.76	11481.91	-	11074.61	12149.94
7	-	14534.63	12624.38	-	12366.27	12424.15	-	11608.57	11160.33	-	11133.36	12303.41
8	14303.98	14438.14	12685.35	-	12300.38	12453.31	-	11716.36	-	10685.01	11268.83	-
9	14468.16	14382.75	12798.78	11428.52	12335.16	-	11431.43	11497.40	-	10936.92	11223.95	-
10	14232.35	-	-	11443.12	12301.98	-	11489.22	11653.72	11133.76	10720.89	-	12149.48
11	14022.18	-	-	11679.66	12453.09	12335.84	11238.21	-	11132.62	10989.91	-	12022.57
12	14007.17	14290.15	12485.63	12010.17	-	12103.67	11249.58	-	10269.23	10910.78	11191.03	12054.39
13	-	14398.55	12132.01	-	-	11916.64	11281.22	11596.03	10173.37	-	11230.13	11752.76
14	-	14455.43	11841.76	-	12204.85	11755.16	-	11732.91	10264.60	-	11423.62	11740.67
15	13851.54	14420.51	11734.58	-	12186.84	11752.75	-	11905.01	-	10769.82	11546.27	-
16	13852.01	14367.76	11787.96	-	12197.04	-	11317.11	11874.55	-	10890.46	11484.77	-
17	13810.78	-	-	11803.08	12468.93	-	11263.38	11929.91	9760.44	11020.66	-	11798.81
18	13991.93	-	-	11964.78	12294.10	11712.58	11245.44	-	9766.09	10714.18	-	11822.11
19	14105.43	14226.49	11584.80	12439.88	-	11873.53	11275.51	-	10021.92	10571.28	11589.42	11880.70
20	-	14266.77	11539.63	12507.91	-	11687.06	11298.10	11776.51	9677.29	-	11646.65	11809.65
21	-	14163.82	11584.94	-	12467.72	11854.69	-	11887.79	9023.71	-	11686.34	11344.50
22	14258.86	13998.99	11237.30	-	12557.96	11820.11	-	11742.47	-	10445.99	11772.52	-
23	14366.57	14163.75	11182.48	12317.26	12546.96	-	11280.25	11801.92	-	10820.59	11780.61	-
24	-	-	-	12448.25	12588.24	-	11304.27	11623.33	9315.07	10936.87	-	11429.43
25	-	-	-	12361.77	12571.05	-	-	-	9394.19	-	-	-
26	-	14097.06	11395.23	12349.27	-	11716.74	11159.97	-	9753.84	11126.95	11818.52	-
27	-	13135.87	11311.35	12466.43	-	11706.04	11300.67	11704.08	9881.14	-	11691.39	11593.83
28	-	12836.55	11451.36	-	12519.66	11567.81	-	11702.38	10472.95	-	11675.83	11609.74
29	14571.35	-	11313.33	-	12450.11	11681.54	-	11690.81	-	10987.26	11714.93	-
30	14482.87	-	11441.68	-	12342.33	-	11270.32	11828.54	-	10791.13	11838.31	-
31	14589.06	-	-	-	12239.23	-	11458.97	11611.20	-	10740.04	-	11554.03
High	14589.06	14534.63	12798.78	12507.91	12653.27	12453.31	11790.23	11929.91	11703.45	11126.95	11838.31	12303.41
Low	13650.13	12836.55	11182.48	11218.89	12090.23	11567.81	11159.97	11497.40	9023.71	10310.17	10839.50	11344.50
Avg	14154.58	14193.20	11941.31	11928.64	12381.90	11970.89	11371.54	11703.89	10374.45	10804.47	11439.92	11817.55

AOI – Utilities, daily closing (2001)

2 January 1992 = 2333.77

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	10617.86	10979.71	-	-	10467.53	-	10502.18	-	-	9985.28	-
2	10451.04	10567.43	11010.28	10572.88	10435.64	-	-	10378.18	-	-	9921.26	-
3	10434.54	-	-	10583.22	10283.74	-	10734.48	10409.79	10316.70	9977.63	-	9816.48
4	10178.28	-	-	10485.67	10233.74	10543.15	10656.32	-	10483.01	10001.32	-	9833.11
5	10130.60	10547.75	11038.61	-	-	10536.68	10586.60	-	10416.79	9933.38	10091.69	9877.80
6	-	10588.67	11022.89	10709.73	-	10555.26	-	10301.94	10315.01	-	9933.60	9705.15
7	-	10738.81	10920.56	-	10333.69	10665.23	-	10278.80	10263.46	-	9810.45	9771.47
8	10294.52	10980.75	10890.86	-	10402.75	10686.27	-	10253.19	-	9822.79	9935.62	-
9	10483.83	11032.40	10840.81	10590.86	10574.26	-	10615.49	10235.60	-	9980.14	9885.94	-
10	10513.99	-	-	10536.79	10479.91	-	10674.11	10307.50	10258.04	9867.90	-	9839.61
11	10316.65	-	-	10466.04	10523.67	10557.38	10622.27	-	10256.81	9859.20	-	9854.30
12	10309.88	10878.35	10593.86	10512.65	-	10565.24	10756.67	-	9678.57	9767.68	9870.85	9825.00
13	-	10862.80	10494.98	-	-	10637.73	10576.54	10251.32	9898.33	-	9910.51	9813.49
14	-	10768.55	10457.91	-	10463.65	10610.68	-	10226.67	10043.35	-	9931.87	9859.06
15	10112.12	10772.81	10594.10	-	10499.46	10589.50	-	10169.28	-	9882.36	9953.10	-
16	10121.44	10650.01	10490.98	-	10632.11	-	10554.30	10165.67	-	9962.56	9930.31	-
17	10134.75	-	-	10428.91	10660.42	-	10474.06	10252.61	9860.57	10131.29	-	9872.80
18	10182.22	-	-	10475.68	10650.22	10601.24	10477.55	-	9812.62	9979.95	-	9845.30
19	10076.67	10801.74	10646.81	10251.41	-	10759.46	10412.72	-	9785.05	9982.43	9954.64	9905.87
20	-	10836.49	10567.25	10244.82	-	10630.45	10353.62	10291.66	9664.93	-	9883.84	9924.54
21	-	10779.33	10608.88	-	10763.07	10822.76	-	10374.05	9589.41	-	9873.23	9818.34
22	10160.15	10818.34	10487.97	-	10700.89	10767.40	-	10386.45	-	10006.70	9866.59	-
23	10181.84	10912.72	10501.13	10414.55	10654.18	-	10435.73	10472.73	-	10123.18	9892.02	-
24	-	-	-	10384.96	10651.84	-	10407.45	10388.11	9644.66	10098.13	-	9909.61
25	-	-	-	10416.44	10685.34	-	-	-	9681.60	-	-	-
26	-	10978.04	10564.73	10453.52	-	10747.37	10446.81	-	9717.50	10018.44	9879.63	-
27	-	10937.19	10463.03	10413.80	-	10654.31	10411.42	10506.54	9917.91	-	9838.67	9927.83
28	-	11093.53	10469.58	-	10771.98	10660.59	-	10414.36	9969.49	-	9868.11	9920.88
29	10264.58	-	10438.07	-	10726.28	10823.22	-	10376.79	-	9877.89	9870.56	-
30	10372.66	-	10518.24	-	10678.33	-	10378.18	10437.55	-	9956.50	9906.62	-
31	10448.19	-	-	-	10450.16	-	10424.47	10276.04	-	9929.13	-	9905.42
High	10513.99	11093.53	11038.61	10709.73	10771.98	10823.22	10756.67	10506.54	10483.01	10131.29	10091.69	9927.83
Low	10076.67	10547.75	10438.07	10244.82	10233.74	10467.53	10353.62	10165.67	9589.41	9767.68	9810.45	9705.15
Avg	10272.00	10808.18	10663.69	10467.17	10557.06	10644.07	10526.25	10332.91	9978.69	9957.93	9908.84	9854.00

AOI – Properties, daily closing (2001)

2 January 1992 = 2333.77

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	4889.04	4816.81	-	-	4354.48	-	4153.40	-	-	3223.92	-
2	4585.58	4848.38	4719.08	4226.40	4337.82	-	-	4117.14	-	-	3195.81	-
3	4484.68	-	-	4222.50	4343.28	-	4294.93	4057.28	3890.68	3138.64	-	3691.39
4	4807.14	-	-	4099.53	4284.28	4384.47	4322.90	-	3894.78	3211.05	-	3818.55
5	4918.72	4797.96	4777.68	-	-	4478.60	4267.82	-	3834.27	3192.63	3277.69	3982.29
6	-	4837.01	4939.01	4075.04	-	4514.71	-	4007.48	3710.10	-	3279.39	3969.28
7	-	4877.96	4900.20	-	4389.24	4550.59	-	3927.68	3547.86	-	3255.25	4011.30
8	4940.54	4828.46	4915.55	-	4356.27	4562.54	-	3841.22	-	3066.28	3330.98	-
9	4833.09	4818.55	4858.13	3941.82	4362.71	-	4196.78	3764.86	-	3178.70	3440.02	-
10	4799.77	-	-	3877.61	4452.66	-	4225.63	3812.17	3549.76	3165.67	-	4021.55
11	4655.02	-	-	4021.16	4517.24	4512.03	4186.98	-	3547.24	3248.47	-	3966.02
12	4811.21	4800.17	4653.79	4126.55	-	4479.55	4225.21	-	3242.93	3173.11	3486.02	4034.49
13	-	4914.27	4517.50	-	-	4451.58	4227.26	3738.12	3290.88	-	3481.67	3935.83
14	-	4946.63	4476.57	-	4360.36	4347.52	-	3855.75	3217.11	-	3585.90	3913.12
15	4776.53	4890.87	4570.12	-	4376.11	4300.57	-	3908.47	-	3177.95	3660.64	-
16	4806.42	4833.71	4569.33	-	4419.63	-	4198.30	3851.22	-	3206.87	3688.05	-
17	4790.83	-	-	4027.86	4491.50	-	4158.33	3926.02	3021.64	3260.43	-	3895.02
18	4868.26	-	-	4104.14	4472.33	4299.88	4180.41	-	2972.11	3147.61	-	3922.98
19	4959.46	4911.15	4599.99	4334.70	-	4308.10	4142.77	-	2992.06	3144.24	3665.96	3967.08
20	-	4915.12	4508.57	4276.16	-	4268.56	4151.81	3883.86	2929.38	-	3632.14	3991.67
21	-	4925.62	4398.14	-	4541.49	4323.53	-	3888.01	2789.67	-	3650.17	3849.09
22	4959.23	4876.21	4210.73	-	4572.84	4291.88	-	3853.25	-	3138.93	3674.73	-
23	4878.65	4932.03	4201.34	4261.56	4569.89	-	4132.33	3887.62	-	3240.53	3729.01	-
24	-	-	-	4248.77	4599.15	-	4096.18	3898.82	2899.25	3227.02	-	3854.89
25	-	-	-	4224.23	4560.61	-	-	-	2887.53	-	-	-
26	-	5078.54	4312.44	4257.37	-	4259.11	4007.07	-	2904.76	3273.53	3798.61	-
27	-	5041.06	4193.65	4267.46	-	4259.63	4020.42	3931.90	3034.96	-	3711.89	3901.58
28	-	5006.13	4222.87	-	4577.88	4202.77	-	3938.18	3117.21	-	3580.72	3920.45
29	4854.43	-	4210.08	-	4509.64	4281.67	-	3958.30	-	3228.64	3594.91	-
30	4752.97	-	4264.85	-	4441.20	-	3976.99	3967.57	-	3209.71	3652.76	-
31	4853.75	-	-	-	4347.58	-	4080.46	3895.76	-	3211.71	-	3928.46
High	4959.46	5078.54	4939.01	4334.70	4599.15	4562.54	4322.90	4153.40	3894.78	3273.53	3798.61	4034.49
Low	4484.68	4797.96	4193.65	3877.61	4284.28	4202.77	3976.99	3738.12	2789.67	3066.28	3195.81	3691.39
Avg	4807.17	4898.44	4538.02	4152.52	4449.26	4371.59	4162.77	3915.83	3263.71	3192.09	3527.10	3925.00

AOI – Consolidated enterprises, daily closing (2001)

2 January 1992 = 2333.77

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	4929.55	4252.53	-	-	3975.11	-	3723.75	-	-	2766.79	-
2	4418.31	4908.32	4016.76	3663.39	4141.55	-	-	3725.79	-	-	2764.56	-
3	4309.38	-	-	3569.32	4161.07	-	4102.75	3626.58	2814.02	2684.01	-	3079.16
4	4510.84	-	-	3340.40	4032.50	4008.89	4110.29	-	2906.31	2782.47	-	3173.29
5	4545.02	4795.18	4113.43	-	-	4095.52	4032.99	-	2848.25	2802.91	2849.58	3241.86
6	-	4795.94	4187.53	3504.41	-	4146.02	-	3567.24	2746.19	-	2815.80	3270.41
7	-	4839.03	4125.19	-	4095.90	4194.54	-	3497.07	2694.13	-	2754.84	3282.92
8	4567.52	4761.52	4131.73	-	4077.94	4262.22	-	3471.27	-	2692.17	2859.67	-
9	4593.01	4761.94	4112.87	3446.78	4099.27	-	3891.11	3391.70	-	2834.75	2884.39	-
10	4620.33	-	-	3491.33	4088.33	-	3884.53	3370.89	2680.70	2857.26	-	3285.11
11	4491.51	-	-	3683.89	4029.97	4246.70	3836.13	-	2714.10	2911.86	-	3273.42
12	4564.48	4700.52	3996.96	3759.98	-	4214.21	3895.89	-	2423.21	2794.07	2872.74	3337.79
13	-	4729.49	3937.45	-	-	4251.03	3865.66	3361.17	2473.23	-	2899.94	3221.06
14	-	4719.97	3908.37	-	3922.07	4127.01	-	3489.47	2512.28	-	3001.79	3194.87
15	4596.73	4680.75	3987.08	-	3926.25	4056.92	-	3531.42	-	2730.56	3136.34	-
16	4615.74	4643.63	3998.48	-	3966.04	-	3866.89	3362.45	-	2712.63	3184.42	-
17	4555.61	-	-	3622.35	4061.27	-	3802.54	3270.89	2452.07	2725.86	-	3184.61
18	4683.07	-	-	3816.71	4011.30	3964.28	3753.15	-	2454.51	2604.59	-	3177.36
19	4876.91	4565.09	3988.71	4004.53	-	4032.12	3664.63	-	2559.70	2608.49	3209.50	3197.84
20	-	4583.50	3881.83	3936.83	-	3970.02	3685.75	3128.92	2512.38	-	3136.77	3226.45
21	-	4493.99	3867.09	-	4087.88	4075.00	-	3103.06	2470.41	-	3089.99	3100.51
22	4957.14	4387.54	3656.14	-	4166.71	4092.40	-	2986.17	-	2623.75	3115.13	-
23	4926.18	4455.73	3647.58	3905.64	4160.88	-	3648.98	3055.60	-	2768.61	3142.09	-
24	-	-	-	3865.82	4140.94	-	3650.82	2955.02	2587.87	2766.14	-	3106.27
25	-	-	-	3852.73	4163.54	-	-	-	2538.13	-	-	-
26	-	4391.39	3788.25	3876.91	-	3995.96	3600.74	-	2553.25	2815.58	3159.15	-
27	-	4401.75	3683.03	3926.50	-	4030.13	3655.02	2998.08	2613.88	-	3137.28	3141.61
28	-	4434.52	3725.02	-	4183.66	3968.01	-	3033.46	2676.55	-	3055.91	3176.91
29	4923.94	-	3655.12	-	4152.91	4044.42	-	2982.58	-	2734.11	3059.67	-
30	4831.50	-	3665.37	-	4061.91	-	3612.38	2986.37	-	2721.42	3125.77	-
31	4878.34	-	-	-	3981.97	-	3673.31	2922.87	-	2736.74	-	3169.16
High	4957.14	4929.55	4252.53	4004.53	4183.66	4262.22	4110.29	3725.79	2906.31	2911.86	3209.50	3337.79
Low	4309.38	4387.54	3647.58	3340.40	3922.07	3964.28	3600.74	2922.87	2423.21	2604.59	2754.84	3079.16
Avg	4656.08	4648.97	3923.93	3721.62	4077.90	4087.53	3801.77	3284.43	2611.56	2745.40	3001.01	3202.14

AOI – Industrials, daily closing (2001)

2 January 1992 = 2333.77

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	1794.16	1800.54	-	-	2011.51	-	1822.76	-	-	1620.02	-
2	1672.54	1790.49	1779.51	1752.24	1948.13	-	-	1803.40	-	-	1616.08	-
3	1644.10	-	-	1717.04	1934.84	-	1905.55	1767.22	1636.66	1510.45	-	1677.77
4	1703.60	-	-	1658.08	1905.68	2091.17	1915.64	-	1650.20	1543.06	-	1710.19
5	1693.34	1758.37	1792.10	-	-	2109.85	1884.20	-	1647.39	1546.61	1634.44	1709.90
6	-	1759.37	1823.27	1692.09	-	2087.51	-	1730.31	1635.90	-	1626.40	1717.84
7	-	1755.77	1811.50	-	1915.20	2086.31	-	1722.46	1599.99	-	1603.55	1706.91
8	1697.70	1758.00	1797.41	-	1919.19	2082.58	-	1718.26	-	1523.47	1622.81	-
9	1694.20	1806.87	1764.34	1686.21	1935.06	-	1851.18	1707.62	-	1580.04	1635.13	-
10	1699.47	-	-	1694.03	1921.87	-	1867.21	1718.09	1598.54	1584.47	-	1707.67
11	1652.09	-	-	1741.22	1940.84	2074.54	1845.85	-	1595.99	1606.75	-	1703.78
12	1690.36	1834.66	1704.55	1768.16	-	2062.41	1873.65	-	1465.49	1564.75	1622.73	1692.37
13	-	1837.42	1697.69	-	-	2022.84	1854.63	1711.01	1484.62	-	1633.13	1653.94
14	-	1833.13	1695.32	-	1908.34	1948.80	-	1737.26	1486.09	-	1668.51	1656.66
15	1716.16	1836.27	1710.37	-	1936.63	1943.54	-	1757.59	-	1546.40	1660.40	-
16	1715.58	1847.87	1720.15	-	1973.83	-	1835.73	1741.63	-	1534.63	1630.76	-
17	1696.78	-	-	1759.98	1972.66	-	1778.11	1752.69	1444.22	1561.63	-	1649.81
18	1732.80	-	-	1830.96	1958.81	1917.92	1778.46	-	1448.33	1530.46	-	1643.11
19	1786.95	1876.02	1720.65	1853.43	-	1921.68	1742.99	-	1485.82	1526.65	1649.83	1641.94
20	-	1892.09	1698.59	1827.15	-	1942.19	1773.05	1733.46	1473.89	-	1630.24	1666.39
21	-	1868.63	1705.07	-	1998.99	1996.49	-	1722.42	1443.38	-	1639.50	1648.98
22	1790.53	1853.69	1676.33	-	2035.01	1978.18	-	1696.90	-	1525.18	1652.48	-
23	1798.73	1873.05	1706.44	1841.56	2031.88	-	1767.97	1689.13	-	1582.82	1682.21	-
24	-	-	-	1847.72	2036.36	-	1789.28	1655.90	1498.06	1581.42	-	1659.90
25	-	-	-	1866.45	2067.17	-	-	-	1484.23	-	-	-
26	-	1888.03	1728.54	1867.93	-	1977.45	1804.11	-	1470.85	1588.14	1686.97	-
27	-	1891.36	1711.75	1892.47	-	1963.22	1804.36	1656.14	1482.04	-	1677.50	1673.22
28	-	1858.38	1712.06	-	2089.82	1917.66	-	1649.78	1503.18	-	1654.70	1672.47
29	1790.92	-	1701.62	-	2059.97	1908.90	-	1653.94	-	1585.47	1654.83	-
30	1776.81	-	1732.50	-	2021.06	-	1804.28	1651.31	-	1609.80	1677.44	-
31	1804.58	-	-	-	2000.08	-	1804.16	1657.53	-	1610.10	-	1680.43
High	1804.58	1892.09	1823.27	1892.47	2089.82	2109.85	1915.64	1822.76	1650.20	1610.10	1686.97	1717.84
Low	1644.10	1755.77	1676.33	1658.08	1905.68	1908.90	1742.99	1649.78	1443.38	1510.45	1603.55	1641.94
Avg	1724.07	1830.68	1735.92	1782.16	1977.79	2002.24	1825.28	1715.51	1526.74	1562.12	1644.53	1677.54

AOI – Hotels, daily closing (2001)

2 January 1992 = 2333.77

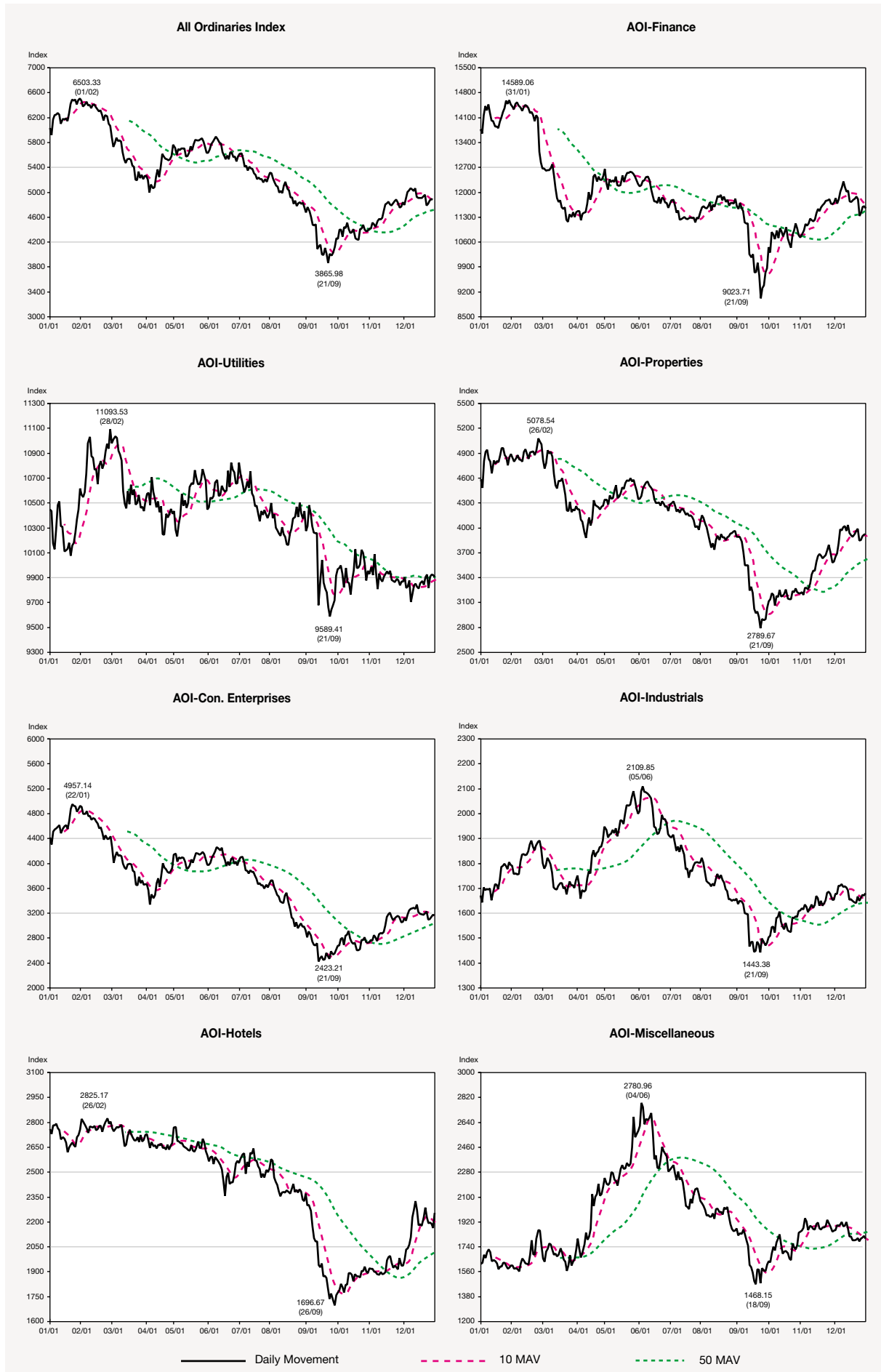
Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	2757.45	2765.34	-	-	2565.51	-	2577.13	-	-	1916.31	-
2	2761.35	2821.67	2746.44	2730.15	2769.05	-	-	2569.87	-	-	1916.70	-
3	2732.24	-	-	2692.98	2772.49	-	2582.29	2468.07	2299.53	1788.59	-	2033.42
4	2781.24	-	-	2647.06	2688.93	2590.18	2606.75	-	2304.42	1828.00	-	2040.20
5	2782.98	2803.88	2760.68	-	-	2593.15	2612.92	-	2267.23	1807.23	1901.63	2063.39
6	-	2782.58	2774.14	2682.95	-	2550.84	-	2442.06	2173.49	-	1899.15	2109.33
7	-	2763.94	2768.94	-	2684.38	2592.92	-	2423.18	2102.28	-	1886.16	2227.31
8	2792.43	2737.46	2776.06	-	2674.80	2577.76	-	2383.73	-	1773.37	1883.09	-
9	2766.77	2774.56	2786.91	2655.22	2674.64	-	2489.80	2356.31	-	1821.83	1897.10	-
10	2754.24	-	-	2663.94	2657.48	-	2560.60	2378.61	2084.25	1823.26	-	2273.62
11	2697.52	-	-	2643.38	2649.60	2565.56	2532.04	-	2082.81	1890.74	-	2324.10
12	2712.09	2771.64	2723.12	2664.56	-	2541.18	2615.97	-	1940.37	1891.68	1883.95	2271.18
13	-	2769.36	2655.00	-	-	2516.05	2611.13	2379.76	1926.57	-	1888.54	2181.01
14	-	2779.95	2665.46	-	2644.24	2445.35	-	2377.14	1951.09	-	1898.35	2185.04
15	2700.75	2752.66	2718.27	-	2633.71	2359.01	-	2391.71	-	1880.62	1969.29	-
16	2678.34	2759.88	2755.73	-	2631.06	-	2641.65	2379.63	-	1844.08	1988.62	-
17	2620.62	-	-	2661.06	2661.23	-	2570.84	2370.68	1872.91	1883.63	-	2175.20
18	2648.29	-	-	2670.85	2629.02	2471.76	2569.04	-	1875.86	1872.73	-	2227.27
19	2665.81	2780.37	2727.61	2647.62	-	2498.39	2535.14	-	1863.31	1871.87	1994.72	2286.89
20	-	2780.64	2707.29	2649.44	-	2430.96	2521.14	2398.76	1797.89	-	1951.93	2227.40
21	-	2743.34	2691.37	-	2624.99	2439.34	-	2429.06	1735.09	-	1924.30	2194.01
22	2677.43	2779.37	2691.89	-	2643.27	2439.47	-	2381.30	-	1866.92	1937.79	-
23	2661.82	2803.20	2712.72	2638.18	2685.40	-	2467.48	2394.74	-	1904.15	1928.79	-
24	-	-	-	2664.93	2647.27	-	2489.76	2377.09	1769.13	1903.94	-	2195.55
25	-	-	-	2635.21	2646.98	-	-	-	1726.05	-	-	-
26	-	2825.17	2682.08	2658.76	-	2483.45	2468.05	-	1696.67	1931.56	1915.76	-
27	-	2791.85	2722.84	2675.18	-	2551.58	2515.22	2397.79	1759.63	-	1982.32	2190.90
28	-	2804.23	2684.21	-	2701.24	2568.50	-	2383.87	1774.03	-	1936.58	2163.43
29	2652.52	-	2694.03	-	2680.29	2556.07	-	2336.42	-	1902.60	1936.26	-
30	2710.32	-	2722.83	-	2626.83	-	2500.11	2325.21	-	1891.17	1971.77	-
31	2724.92	-	-	-	2601.25	-	2524.53	2370.60	-	1919.67	-	2255.41
High	2792.43	2825.17	2786.91	2730.15	2772.49	2593.15	2641.65	2577.13	2304.42	1931.56	1994.72	2324.10
Low	2620.62	2737.46	2655.00	2635.21	2601.25	2359.01	2467.48	2325.21	1696.67	1773.37	1883.09	2033.42
Avg	2711.67	2779.16	2724.23	2663.62	2664.92	2516.85	2548.13	2404.03	1950.13	1864.88	1927.69	2190.77

AOI – Miscellaneous, daily closing (2001)

2 January 1992 = 2333.77

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	1604.06	1655.83	-	-	2614.83	-	2056.53	-	-	1856.95	-
2	1614.23	1602.67	1632.85	1798.40	2236.96	-	-	2036.98	-	-	1877.66	-
3	1624.09	-	-	1725.27	2193.31	-	2310.84	2007.11	1820.47	1629.09	-	1894.38
4	1680.98	-	-	1662.22	2186.85	2780.96	2330.31	-	1837.14	1694.76	-	1892.60
5	1659.32	1581.40	1706.40	-	-	2752.45	2269.95	-	1834.82	1740.60	1944.99	1898.72
6	-	1582.97	1725.48	1696.00	-	2628.16	-	1965.87	1865.42	-	1922.18	1920.60
7	-	1578.08	1762.99	-	2206.46	2665.98	-	1968.66	1829.60	-	1862.66	1889.64
8	1700.04	1560.91	1757.14	-	2282.45	2656.31	-	1957.13	-	1711.27	1891.55	-
9	1721.26	1619.36	1716.76	1756.86	2272.39	-	2223.16	1959.96	-	1772.20	1885.84	-
10	1700.97	-	-	1842.19	2225.21	-	2286.43	1980.35	1786.62	1797.65	-	1891.72
11	1634.79	-	-	1790.23	2215.07	2670.42	2224.95	-	1747.86	1831.40	-	1920.73
12	1643.93	1654.25	1683.83	1815.87	-	2709.64	2243.09	-	1608.76	1752.76	1869.28	1873.55
13	-	1638.45	1688.60	-	-	2577.89	2205.06	1943.51	1593.66	-	1866.24	1813.10
14	-	1620.03	1670.63	-	2182.70	2372.22	-	2011.58	1554.27	-	1903.00	1813.21
15	1636.79	1617.02	1680.27	-	2245.30	2400.63	-	2018.09	-	1688.86	1914.10	-
16	1618.54	1610.91	1728.34	-	2304.08	-	2130.34	1991.12	-	1704.41	1873.86	-
17	1578.55	-	-	1867.48	2332.74	-	2034.54	1993.10	1491.91	1711.16	-	1788.93
18	1597.60	-	-	2120.88	2304.13	2313.79	2029.64	-	1468.15	1693.47	-	1788.19
19	1597.49	1683.72	1693.03	2037.33	-	2307.34	2014.05	-	1578.00	1654.39	1864.71	1789.21
20	-	1785.77	1647.33	2100.63	-	2369.51	2085.75	1972.54	1572.06	-	1879.62	1803.45
21	-	1687.69	1632.31	-	2308.48	2464.75	-	2024.08	1477.96	-	1881.88	1779.95
22	1622.22	1680.14	1565.09	-	2346.35	2434.32	-	2012.55	-	1642.12	1889.17	-
23	1622.98	1797.91	1602.65	2122.89	2322.97	-	2055.57	2027.26	-	1763.42	1932.90	-
24	-	-	-	2194.23	2343.88	-	2119.71	1962.83	1585.78	1737.70	-	1798.40
25	-	-	-	2109.76	2458.51	-	-	-	1563.63	-	-	-
26	-	1860.72	1679.58	2123.02	-	2411.33	2156.63	-	1567.09	1722.15	1911.44	-
27	-	1857.01	1604.80	2170.51	-	2392.60	2165.03	1902.45	1612.01	-	1888.83	1805.03
28	-	1748.45	1631.74	-	2679.61	2284.81	-	1867.22	1634.17	-	1849.79	1816.85
29	1598.84	-	1652.47	-	2532.00	2294.53	-	1854.38	-	1752.18	1855.12	-
30	1579.92	-	1716.56	-	2558.30	-	2120.24	1856.10	-	1843.12	1862.69	-
31	1590.62	-	-	-	2581.21	-	2072.19	1873.81	-	1849.26	-	1799.25
High	1721.26	1860.72	1762.99	2194.23	2679.61	2780.96	2330.31	2056.53	1865.42	1849.26	1944.99	1920.73
Low	1578.55	1560.91	1565.09	1662.22	2182.70	2284.81	2014.05	1854.38	1468.15	1629.09	1849.79	1779.95
Avg	1632.80	1668.58	1674.30	1937.28	2332.68	2505.12	2161.97	1967.10	1651.47	1734.60	1885.66	1840.92

All Ordinaries Index and its sectorial indices, daily movement (2001)



Hang Seng Index, daily closing (2001)

31 July 1964 = 100

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	16163.99	14360.56	-	-	13141.38	-	12478.74	-	-	10158.85	-
2	14869.94	16071.29	13966.43	12727.30	13814.24	-	-	12466.37	-	-	10186.06	-
3	14589.58	-	-	12584.22	13718.14	-	13184.75	12269.08	10902.64	9897.14	-	11155.15
4	15235.03	-	-	12063.71	13390.99	13207.49	13207.53	-	11136.86	10286.39	-	11427.28
5	15447.61	15830.84	14135.26	-	-	13451.87	12999.48	-	10943.14	10277.38	10430.72	11678.44
6	-	15913.24	14321.05	12386.61	-	13576.01	-	12148.81	10664.32	-	10356.05	11745.84
7	-	16049.47	14177.36	-	13600.78	13703.43	-	12007.19	10384.20	-	10269.79	11832.18
8	15436.53	15909.40	14208.95	-	13540.81	13808.89	-	11958.01	-	9967.83	10538.62	-
9	15500.59	15873.28	14194.35	12202.10	13585.14	-	12690.68	11716.77	-	10358.93	10609.25	-
10	15435.79	-	-	12213.67	13604.80	-	12713.90	11765.81	10366.32	10298.24	-	11784.92
11	15090.77	-	-	12706.43	13636.61	13675.49	12527.90	-	10417.36	10522.61	-	11693.05
12	15295.42	15693.11	13776.72	12989.47	-	13526.68	12660.20	-	9493.62	10274.13	10592.45	11847.06
13	-	15842.72	13493.03	-	-	13523.31	12612.79	11694.29	9569.21	-	10662.84	11529.54
14	-	15860.42	13330.84	-	13259.17	13248.89	-	11991.01	9655.45	-	10950.04	11466.11
15	15293.76	15756.37	13504.17	-	13250.09	13102.50	-	12141.63	-	10130.59	11239.39	-
16	15363.15	15630.31	13522.04	-	13335.95	-	12624.53	11832.44	-	10148.49	11287.37	-
17	15261.48	-	-	12606.45	13637.87	-	12495.25	11754.81	9319.35	10260.81	-	11465.78
18	15528.75	-	-	12972.80	13459.18	12948.78	12427.19	-	9307.90	9880.61	-	11486.93
19	15933.55	15490.96	13457.69	13548.95	-	13133.66	12279.82	-	9558.15	9825.84	11360.26	11565.23
20	-	15527.36	13223.86	13448.13	-	12918.71	12301.68	11458.70	9317.98	-	11225.79	11601.14
21	-	15351.51	13154.44	-	13721.27	13187.45	-	11440.35	8934.20	-	11173.92	11158.10
22	16099.27	15098.64	12621.85	-	13877.95	13174.02	-	11188.57	-	9797.54	11253.30	-
23	16044.21	15280.56	12583.36	13311.50	13839.10	-	12236.45	11345.38	-	10219.84	11322.36	-
24	-	-	-	13274.61	13810.60	-	12214.10	11110.30	9284.50	10243.46	-	11209.78
25	-	-	-	13249.55	13753.99	-	-	-	9210.06	-	-	-
26	-	15230.22	12950.49	13293.11	-	12961.97	12039.82	-	9371.75	10404.74	11391.96	-
27	-	14834.73	12707.90	13386.04	-	13004.21	12182.17	11234.72	9600.79	-	11261.54	11359.50
28	-	14787.87	12851.41	-	13739.07	12827.82	-	11300.53	9950.70	-	11066.19	11431.59
29	16099.82	-	12677.89	-	13629.61	13042.53	-	11242.41	-	10178.09	11090.74	-
30	15893.07	-	12760.64	-	13420.13	-	12086.66	11315.63	-	10076.43	11279.25	-
31	16102.35	-	-	-	13174.41	-	12316.69	11090.48	-	10073.97	-	11397.21
High	16102.35	16163.99	14360.56	13548.95	13877.95	13808.89	13207.53	12478.74	11136.86	10522.61	11391.96	11847.06
Low	14589.58	14787.87	12583.36	12063.71	13174.41	12827.82	12039.82	11090.48	8934.20	9797.54	10158.85	11155.15
Avg	15501.09	15609.81	13453.65	12880.27	13581.81	13258.25	12515.87	11693.57	9869.43	10156.15	10895.76	11517.62

Note : HSI is compiled by HSI Services Ltd.

Hang Seng Composite Index, daily closing (2001)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	1825.86	1645.09	-	-	1555.71	-	1468.74	-	-	1214.34	-
2	1686.40	1816.93	1600.10	1467.38	1604.18	-	-	1465.74	-	-	1215.38	-
3	1655.67	-	-	1448.72	1590.17	-	1552.77	1441.89	1288.52	1170.96	-	1321.84
4	1727.90	-	-	1389.59	1555.75	-	1555.72	-	1312.79	1214.68	-	1350.69
5	1748.62	1789.85	1618.53	-	-	1596.14	1530.78	-	1293.91	1214.48	1243.07	1378.44
6	-	1798.17	1641.90	1427.08	-	1605.11	-	1425.03	1265.44	-	1234.13	1386.89
7	-	1810.53	1627.60	-	1583.57	1618.10	-	1410.68	1233.09	-	1221.92	1396.68
8	1750.45	1794.81	1630.29	-	1577.46	1629.87	-	1404.90	-	1180.97	1250.79	-
9	1756.49	1796.36	1624.94	1409.86	1586.24	-	1494.47	1378.98	-	1225.75	1259.43	-
10	1750.72	-	-	1413.92	1586.07	-	1498.84	1385.25	1230.00	1220.02	-	1392.77
11	1708.68	-	-	1465.42	1587.43	1617.36	1477.35	-	1235.23	1244.60	-	1383.17
12	1731.96	1782.15	1575.90	1493.98	-	1600.19	1493.65	-	1124.62	1214.43	1257.40	1396.78
13	-	1797.11	1544.65	-	-	1595.45	1486.70	1376.70	1135.61	-	1264.36	1358.49
14	-	1797.84	1526.64	-	1548.40	1559.11	-	1410.56	1143.56	-	1296.68	1352.79
15	1729.90	1786.76	1543.97	-	1551.58	1543.90	-	1427.40	-	1198.69	1326.74	-
16	1734.88	1775.26	1547.50	-	1565.07	-	1485.44	1394.43	-	1201.09	1330.93	-
17	1721.75	-	-	1456.77	1594.62	-	1466.41	1387.15	1100.58	1214.72	-	1352.06
18	1757.27	-	-	1504.50	1576.88	1526.11	1459.17	-	1098.87	1173.64	-	1352.97
19	1801.04	1764.54	1541.52	1564.62	-	1545.78	1440.60	-	1129.17	1168.43	1339.19	1361.76
20	-	1770.47	1514.90	1551.31	-	1526.45	1446.54	1355.38	1103.17	-	1324.82	1367.18
21	-	1750.00	1508.97	-	1603.49	1558.79	-	1355.03	1061.70	-	1319.95	1319.64
22	1821.49	1722.88	1448.50	-	1623.15	1556.67	-	1326.92	-	1166.35	1329.36	-
23	1816.18	1745.13	1447.39	1540.44	1619.68	-	1439.09	1342.46	-	1214.68	1339.25	-
24	-	-	-	1538.23	1619.08	-	1439.79	1316.33	1102.52	1217.38	-	1325.71
25	-	-	-	1533.39	1621.59	-	-	-	1095.38	-	-	-
26	-	1741.55	1486.49	1538.51	-	1535.28	1422.73	-	1110.90	1234.49	1346.82	-
27	-	1704.71	1457.82	1553.08	-	1538.67	1437.17	1329.27	1135.71	-	1333.18	1341.27
28	-	1696.82	1471.52	-	1625.15	1517.14	-	1334.08	1173.69	-	1310.53	1348.47
29	1822.44	-	1453.11	-	1611.16	1538.91	-	1326.38	-	1211.76	1312.97	-
30	1799.02	-	1466.11	-	1584.39	-	1426.88	1333.40	-	1203.80	1333.21	-
31	1819.28	-	-	-	1557.86	-	1449.92	1310.58	-	1204.17	-	1346.16
High	1822.44	1825.86	1645.09	1564.62	1625.15	1629.87	1555.72	1468.74	1312.79	1244.60	1346.82	1396.78
Low	1655.67	1696.82	1447.39	1389.59	1548.40	1517.14	1422.73	1310.58	1061.70	1166.35	1214.34	1319.64
Avg	1754.74	1773.39	1541.97	1488.05	1589.68	1566.78	1473.90	1378.58	1168.72	1204.75	1291.11	1359.67

Note : HSI is compiled by HSI Services Ltd.

Hang Seng Hong Kong Composite Index, daily closing (2001)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	1840.68	1699.36	-	-	1557.87	-	1476.97	-	-	1274.91	-
2	1744.18	1829.59	1687.08	1525.31	1605.19	-	-	1470.48	-	-	1275.89	-
3	1719.19	-	-	1514.55	1585.21	-	1512.01	1456.11	1391.25	1214.79	-	1388.28
4	1790.55	-	-	1472.64	1561.80	1567.38	1519.63	-	1406.98	1262.77	-	1414.76
5	1814.47	1813.47	1690.10	-	-	1588.80	1498.59	-	1390.46	1268.12	1301.82	1450.48
6	-	1822.24	1711.28	1486.33	-	1596.54	-	1447.09	1366.35	-	1295.68	1456.48
7	-	1832.73	1704.37	-	1590.49	1609.44	-	1439.10	1326.43	-	1291.56	1473.58
8	1803.90	1819.91	1706.32	-	1585.04	1610.77	-	1431.97	-	1239.86	1317.24	-
9	1810.50	1817.89	1702.00	1466.67	1590.90	-	1472.14	1400.37	-	1278.28	1326.41	-
10	1796.46	-	-	1461.13	1596.29	-	1481.54	1414.19	1326.13	1263.43	-	1468.17
11	1757.33	-	-	1501.76	1607.69	1593.29	1461.50	-	1327.79	1289.97	-	1451.41
12	1775.65	1807.03	1646.12	1533.62	-	1571.64	1468.99	-	1209.92	1269.42	1326.29	1462.54
13	-	1822.97	1604.88	-	-	1557.05	1464.88	1397.10	1218.72	-	1330.63	1427.26
14	-	1827.62	1580.95	-	1573.35	1528.97	-	1420.89	1219.19	-	1361.70	1423.75
15	1761.09	1816.68	1594.29	-	1573.18	1515.82	-	1435.31	-	1260.79	1388.43	-
16	1763.47	1808.78	1592.92	-	1582.31	-	1465.59	1429.37	-	1268.18	1391.24	-
17	1751.49	-	-	1505.48	1609.94	-	1456.63	1443.30	1160.60	1293.04	-	1424.66
18	1780.16	-	-	1532.84	1591.99	1514.42	1456.84	-	1154.18	1255.14	-	1428.70
19	1814.26	1807.25	1588.79	1590.82	-	1526.93	1450.03	-	1175.50	1243.78	1397.63	1440.82
20	-	1807.27	1567.30	1587.09	-	1507.59	1454.93	1424.23	1142.48	-	1394.96	1442.38
21	-	1799.77	1555.26	-	1613.23	1528.80	-	1421.80	1087.56	-	1392.77	1393.50
22	1834.78	1780.13	1506.03	-	1623.65	1518.38	-	1409.30	-	1240.57	1398.32	-
23	1831.55	1794.89	1509.79	1573.55	1622.92	-	1451.27	1419.51	-	1280.69	1405.68	-
24	-	-	-	1574.21	1622.97	-	1444.25	1407.58	1120.96	1286.67	-	1400.90
25	-	-	-	1563.65	1617.12	-	-	-	1130.30	-	-	-
26	-	1798.93	1539.80	1565.80	-	1504.23	1423.49	-	1151.06	1301.89	1415.27	-
27	-	1745.24	1520.29	1575.23	-	1501.80	1435.54	1420.17	1179.80	-	1398.08	1417.19
28	-	1734.28	1529.37	-	1616.14	1485.15	-	1419.08	1220.05	-	1376.44	1421.73
29	1836.96	-	1511.60	-	1605.56	1504.08	-	1417.15	-	1280.40	1379.67	-
30	1814.78	-	1525.13	-	1585.80	-	1428.21	1423.65	-	1268.32	1398.17	-
31	1837.21	-	-	-	1560.35	-	1457.41	1397.35	-	1267.15	-	1420.52
High	1837.21	1840.68	1711.28	1590.82	1623.65	1610.77	1519.63	1476.97	1406.98	1301.89	1415.27	1473.58
Low	1719.19	1734.28	1506.03	1461.13	1560.35	1485.15	1423.49	1397.10	1087.56	1214.79	1274.91	1388.28
Avg	1791.47	1806.37	1603.32	1531.22	1596.41	1544.45	1463.34	1427.05	1235.29	1266.66	1356.31	1431.95

Note : HSI is compiled by HSI Services Ltd.

Hang Seng Mainland Composite Index, daily closing (2001)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	1840.15	1554.47	-	-	1590.78	-	1486.44	-	-	1096.86	-
2	1585.81	1836.53	1427.59	1363.09	1643.33	-	-	1491.93	-	-	1098.08	-
3	1539.37	-	-	1324.51	1643.64	-	1693.00	1444.19	1069.51	1093.40	-	1192.72
4	1615.92	-	-	1221.54	1581.27	1619.84	1684.53	-	1115.36	1127.81	-	1228.12
5	1628.98	1780.37	1484.51	-	-	1655.53	1649.40	-	1090.23	1113.99	1130.78	1237.08
6	-	1787.77	1513.91	1318.49	-	1667.73	-	1407.65	1050.31	-	1114.77	1251.72
7	-	1805.32	1481.01	-	1607.65	1681.29	-	1377.29	1035.70	-	1082.38	1243.88
8	1662.87	1781.69	1485.57	-	1599.76	1718.98	-	1374.68	-	1066.77	1119.83	-
9	1667.66	1792.47	1477.56	1306.82	1615.96	-	1587.92	1362.03	-	1128.31	1127.41	-
10	1683.05	-	-	1334.57	1602.10	-	1580.05	1349.95	1025.69	1144.76	-	1243.53
11	1632.31	-	-	1414.12	1578.80	1718.33	1554.44	-	1039.82	1165.16	-	1251.21
12	1669.02	1769.18	1444.13	1435.29	-	1711.68	1592.79	-	944.19	1110.63	1120.64	1271.25
13	-	1782.08	1436.69	-	-	1730.94	1578.69	1362.13	960.84	-	1134.18	1224.59
14	-	1772.70	1432.79	-	1527.22	1673.44	-	1421.59	987.32	-	1170.41	1213.40
15	1699.15	1760.98	1460.42	-	1538.71	1652.79	-	1444.81	-	1077.05	1209.39	-
16	1711.01	1739.86	1476.14	-	1563.29	-	1572.55	1344.61	-	1067.29	1217.09	-
17	1694.51	-	-	1374.86	1598.32	-	1528.28	1285.03	981.52	1053.71	-	1208.65
18	1748.73	-	-	1473.85	1580.64	1594.38	1502.58	-	991.33	1003.77	-	1201.93
19	1818.73	1705.14	1465.43	1540.82	-	1632.09	1454.63	-	1044.42	1013.53	1230.11	1202.79
20	-	1726.48	1425.46	1503.59	-	1612.25	1463.28	1221.25	1034.99	-	1186.70	1217.78
21	-	1671.96	1434.45	-	1621.13	1672.73	-	1226.04	1025.48	-	1175.13	1172.30
22	1839.57	1624.75	1344.69	-	1664.05	1690.91	-	1158.85	-	1014.15	1194.27	-
23	1828.74	1666.97	1331.55	1499.00	1653.75	-	1446.34	1187.85	-	1083.87	1210.61	-
24	-	-	-	1489.70	1651.54	-	1466.02	1126.16	1085.53	1078.61	-	1175.27
25	-	-	-	1498.78	1674.65	-	-	-	1037.76	-	-	-
26	-	1643.65	1394.06	1511.36	-	1651.21	1457.58	-	1040.83	1100.78	1213.42	-
27	-	1649.21	1342.06	1538.92	-	1668.95	1478.30	1140.33	1056.64	-	1208.12	1189.46
28	-	1649.00	1367.51	-	1689.48	1634.91	-	1159.72	1090.01	-	1182.41	1203.36
29	1837.39	-	1347.04	-	1666.75	1664.22	-	1137.67	-	1074.44	1183.00	-
30	1810.09	-	1359.09	-	1622.05	-	1460.47	1146.16	-	1076.35	1208.00	-
31	1825.35	-	-	-	1592.15	-	1468.94	1131.28	-	1080.53	-	1198.31
High	1839.57	1840.15	1554.47	1540.82	1689.48	1730.94	1693.00	1491.93	1115.36	1165.16	1230.11	1271.25
Low	1539.37	1624.75	1331.55	1221.54	1527.22	1590.78	1446.34	1126.16	944.19	1003.77	1082.38	1172.30
Avg	1710.43	1739.31	1431.19	1420.55	1614.37	1662.15	1537.88	1295.11	1035.37	1083.75	1164.25	1217.23

Note : HSI is compiled by HSI Services Ltd.

Hang Seng China Enterprises Index, daily closing (2001)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	1602.11	1719.35	-	-	2385.99	-	1995.74	-	-	1862.31	-
2	1609.41	1607.76	1706.37	1863.17	2116.64	-	-	1960.92	-	-	1846.12	-
3	1582.66	-	-	1808.20	2056.28	-	2309.74	1895.52	1784.53	1722.61	-	1803.89
4	1672.72	-	-	1758.70	2051.63	2562.64	2306.35	-	1787.05	1745.21	-	1799.38
5	1624.43	1590.43	1729.73	-	-	2528.07	2242.82	-	1814.54	1749.88	1860.65	1787.56
6	-	1583.70	1767.38	1770.12	-	2448.91	-	1813.02	1833.21	-	1855.74	1805.45
7	-	1571.11	1756.44	-	2043.68	2475.83	-	1852.84	1785.92	-	1810.66	1777.89
8	1658.56	1588.17	1750.14	-	2072.69	2487.65	-	1844.54	-	1746.80	1830.68	-
9	1650.74	1674.50	1706.85	1791.31	2117.33	-	2179.16	1862.65	-	1780.66	1844.39	-
10	1642.62	-	-	1823.09	2074.86	-	2211.60	1879.98	1765.17	1766.45	-	1788.06
11	1576.32	-	-	1807.29	2099.66	2478.40	2168.31	-	1794.82	1765.09	-	1794.59
12	1600.63	1739.89	1647.44	1827.22	-	2409.53	2190.37	-	1658.78	1691.18	1845.18	1743.75
13	-	1716.40	1638.80	-	-	2337.57	2153.28	1869.56	1678.19	-	1826.06	1713.41
14	-	1678.97	1660.86	-	2078.64	2216.47	-	1914.67	1654.57	-	1847.26	1717.58
15	1605.28	1690.09	1668.20	-	2160.15	2272.40	-	1944.37	-	1698.01	1817.49	-
16	1608.32	1700.12	1695.82	-	2210.60	-	2080.03	1909.11	-	1708.71	1776.43	-
17	1606.76	-	-	1847.71	2174.69	-	1969.99	1906.25	1560.55	1734.35	-	1720.01
18	1622.17	-	-	1987.88	2200.01	2206.83	1983.59	-	1568.37	1700.21	-	1697.37
19	1619.26	1786.05	1723.26	1964.04	-	2229.02	1933.69	-	1660.21	1684.46	1791.75	1688.50
20	-	1857.27	1687.83	1925.53	-	2279.95	1986.97	1896.13	1653.74	-	1785.06	1732.73
21	-	1773.37	1688.65	-	2240.44	2372.49	-	1938.16	1629.55	-	1804.30	1714.35
22	1636.02	1781.45	1663.99	-	2275.78	2387.51	-	1910.42	-	1686.26	1815.86	-
23	1636.07	1856.66	1688.96	1981.89	2262.45	-	1964.04	1878.33	-	1796.27	1852.93	-
24	-	-	-	2000.95	2300.32	-	2035.17	1864.56	1738.63	1779.73	-	1729.15
25	-	-	-	1992.23	2353.21	-	-	-	1706.50	-	-	-
26	-	1882.37	1720.09	1996.31	-	2376.22	2061.40	-	1671.89	1775.92	1811.35	-
27	-	1892.22	1682.96	2062.66	-	2364.67	2063.57	1829.56	1691.69	-	1806.19	1744.29
28	-	1809.63	1705.33	-	2423.94	2303.92	-	1801.99	1718.96	-	1778.86	1747.97
29	1619.05	-	1742.85	-	2355.94	2310.48	-	1784.05	-	1804.94	1775.76	-
30	1583.13	-	1815.19	-	2325.55	-	2015.85	1785.01	-	1856.25	1789.82	-
31	1588.26	-	-	-	2335.36	-	1982.50	1821.49	-	1865.34	-	1757.75
High	1672.72	1892.22	1815.19	2062.66	2423.94	2562.64	2309.74	1995.74	1833.21	1865.34	1862.31	1805.45
Low	1576.32	1571.11	1638.80	1758.70	2043.68	2206.83	1933.69	1784.05	1560.55	1684.46	1775.76	1688.50
Avg	1618.02	1719.11	1707.57	1894.61	2196.81	2371.73	2096.76	1876.47	1707.84	1752.92	1819.77	1750.72

Note : HSI is compiled by HSI Services Ltd.

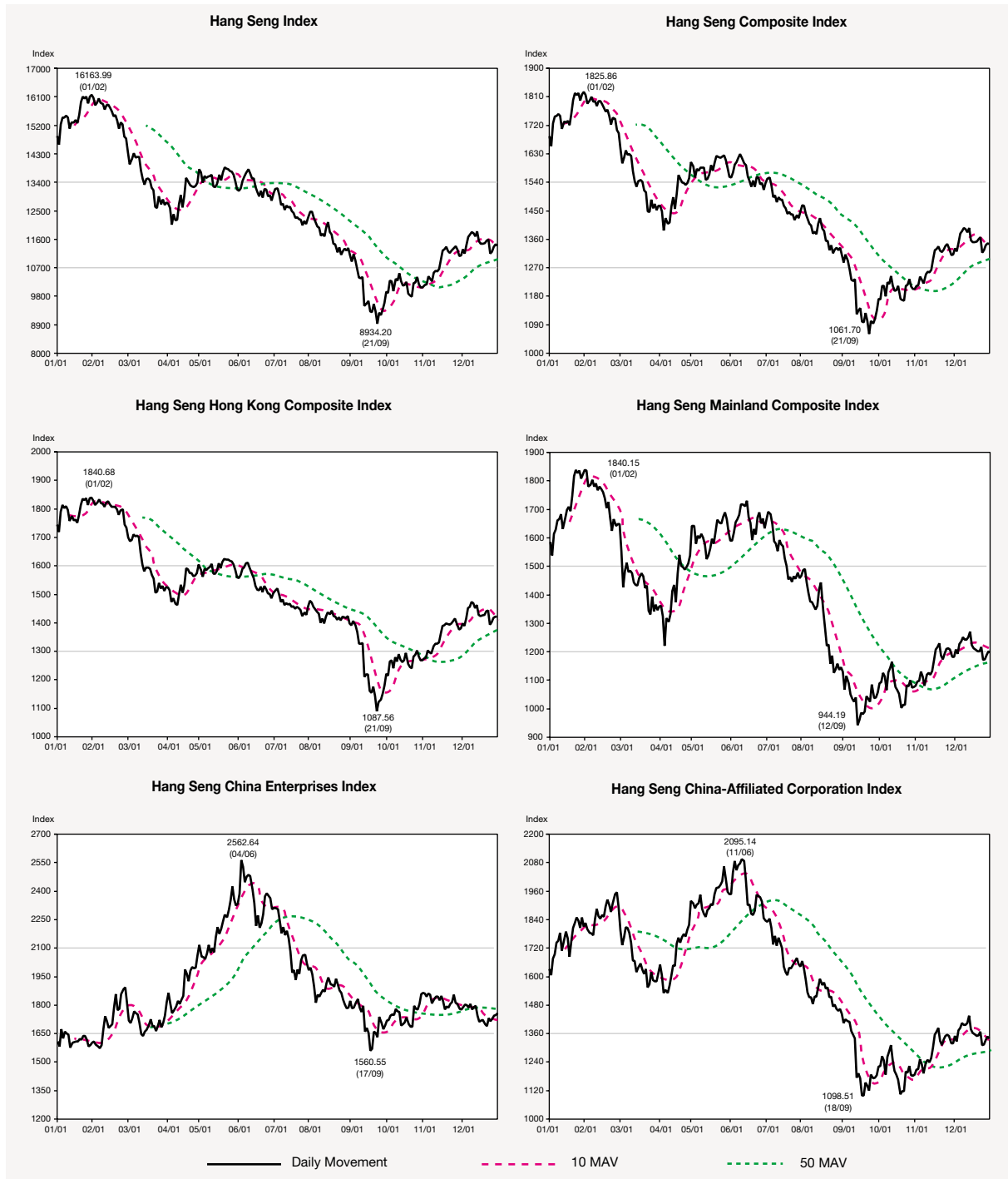
Hang Seng China – Affiliated Corporations Index, daily closing (2001)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	1811.19	1795.52	-	-	1949.83	-	1665.70	-	-	1207.42	-
2	1633.26	1827.32	1734.19	1651.68	1921.26	-	-	1634.91	-	-	1210.20	-
3	1606.76	-	-	1605.97	1909.45	-	1831.93	1587.23	1404.38	1223.48	-	1327.74
4	1676.12	-	-	1531.35	1888.19	2040.37	1844.09	-	1419.62	1264.85	-	1372.70
5	1693.47	1799.79	1765.25	-	-	2088.34	1805.15	-	1411.87	1246.44	1250.23	1384.54
6	-	1784.06	1809.79	1543.83	-	2048.57	-	1534.18	1408.21	-	1229.67	1404.29
7	-	1781.79	1805.38	-	1905.52	2071.23	-	1513.53	1371.73	-	1192.27	1397.45
8	1743.31	1775.83	1784.70	-	1910.23	2079.25	-	1512.27	-	1186.31	1239.62	-
9	1752.26	1842.19	1744.02	1532.00	1945.92	-	1736.68	1484.49	-	1262.02	1247.98	-
10	1785.94	-	-	1562.52	1887.50	-	1772.85	1514.57	1354.68	1285.26	-	1395.42
11	1711.10	-	-	1604.09	1866.85	2095.14	1730.97	-	1339.84	1310.32	-	1405.18
12	1757.55	1886.34	1667.58	1649.14	-	2087.71	1764.87	-	1173.44	1245.66	1238.16	1435.12
13	-	1855.32	1665.13	-	-	2006.23	1745.00	1516.56	1192.04	-	1256.92	1378.41
14	-	1843.69	1619.42	-	1853.53	1900.65	-	1555.35	1172.10	-	1301.93	1362.58
15	1789.68	1861.05	1636.79	-	1881.50	1905.12	-	1589.89	-	1202.06	1355.21	-
16	1762.05	1851.55	1655.21	-	1889.26	-	1720.48	1564.55	-	1187.11	1369.23	-
17	1684.39	-	-	1645.76	1907.54	-	1643.92	1574.50	1099.03	1166.53	-	1356.70
18	1747.76	-	-	1739.91	1901.43	1866.42	1620.08	-	1098.51	1104.10	-	1350.64
19	1805.60	1896.82	1630.65	1764.50	-	1860.86	1607.40	-	1153.05	1117.50	1384.59	1352.49
20	-	1925.95	1611.06	1743.07	-	1878.46	1638.49	1549.65	1141.02	-	1328.40	1368.36
21	-	1905.39	1631.34	-	1948.10	1944.85	-	1555.39	1119.69	-	1309.88	1311.06
22	1825.88	1872.92	1555.20	-	1975.64	1939.66	-	1514.04	-	1117.33	1333.13	-
23	1850.30	1909.68	1567.17	1765.88	1978.05	-	1633.75	1514.76	-	1198.82	1350.51	-
24	-	-	-	1780.61	1988.19	-	1647.84	1473.86	1187.20	1193.56	-	1313.47
25	-	-	-	1769.22	2004.12	-	-	-	1171.08	-	-	-
26	-	1947.58	1618.23	1797.97	-	1934.38	1660.37	-	1174.67	1222.40	1355.73	-
27	-	1956.97	1585.69	1818.17	-	1917.88	1678.12	1483.06	1184.07	-	1349.70	1330.76
28	-	1892.59	1580.49	-	2063.98	1846.68	-	1463.09	1219.75	-	1318.74	1348.41
29	1835.99	-	1579.83	-	2014.55	1836.11	-	1457.24	-	1185.01	1319.56	-
30	1804.86	-	1615.27	-	1958.90	-	1652.74	1463.46	-	1182.40	1349.36	-
31	1849.38	-	-	-	1947.53	-	1643.92	1442.72	-	1187.54	-	1340.45
High	1850.30	1956.97	1809.79	1818.17	2063.98	2095.14	1844.09	1665.70	1419.62	1310.32	1384.59	1435.12
Low	1606.76	1775.83	1555.20	1531.35	1853.53	1836.11	1607.40	1442.72	1098.51	1104.10	1192.27	1311.06
Avg	1753.46	1861.40	1666.27	1676.80	1933.97	1964.89	1704.14	1528.91	1239.80	1204.44	1295.38	1365.04

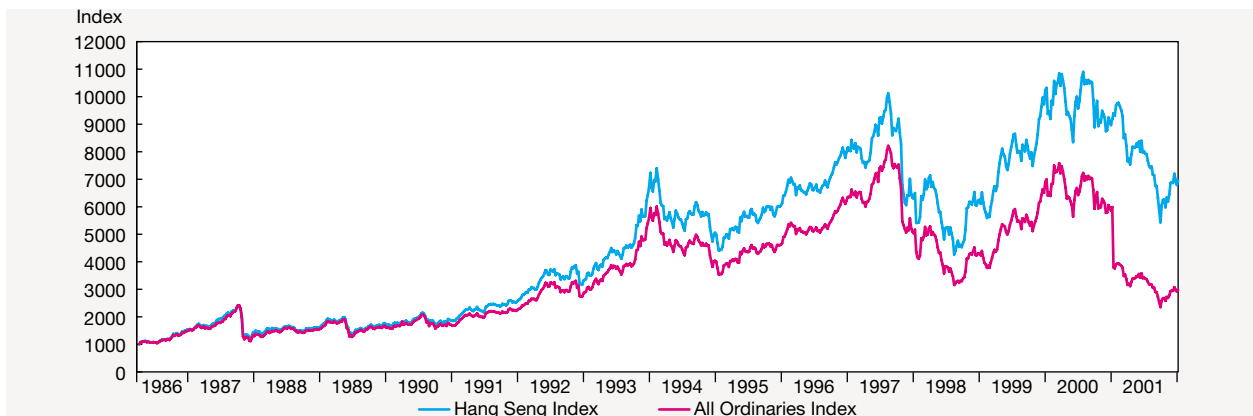
Note : HSI is compiled by HSI Services Ltd.

HSI, HSCI, HSHKCI, HSMLCI, HSCEI and HSCCI, daily movement (2001)



Comparison of All Ordinaries Index and Hang Seng Index

2 April 1986 = 1000



Number of securities by type (1997 – 2001)

Type	Year				
	1997	1998	1999	2000	2001
Ordinary shares	666	688	705	737	757
Preference shares	5	5	5	5	5
Warrants	533	271	192	291	96
– Equity warrants	187	129	100	89	74
– Derivative warrants	346	142	92	202	22
Unit trusts/Mutual funds	43	27	23	21	22
Debt securities	286	255	280	240	195
Total	1,533	1,246	1,205	1,294	1,075

Number of newly listed securities (1997 – 2001)

Type	Year				
	1997	1998	1999	2000	2001
Ordinary shares	82	32	31	43	31
Preference shares	2	0	0	0	0
Warrants	452	188	213	325	212
– Equity warrants	101	31	51	46	31
– Derivative warrants	351	157	162	279	181
Unit trusts/Mutual funds	0	0	1	0	1
Debt securities	61	21	87	20	21
Total	597	241	332	388	265

Number of delisted securities (1997 – 2001)

Type	Year				
	1997	1998	1999	2000	2001
Ordinary shares	7	10	14	9	11
Preference shares	0	0	0	0	0
Warrants	294	450	292	226	407
– Equity warrants	70	89	80	57	46
– Derivative warrants	224	361	212	169	361
Unit trusts/mutual funds	4	16	5	2	0
Debt securities	31	52	62	60	66
Total	336	528	373	297	484

Total market capitalisation by classification

HK\$mil

2001	Classification							Total
	Finance	Utilities	Properties	Consolidated enterprises	Industrials	Hotels	Miscellaneous	
Jan	1,496,111.98	285,196.05	715,719.54	2,165,953.14	380,543.43	33,999.80	5,765.23	5,083,289.18
Feb	1,317,511.61	302,811.45	739,446.66	1,970,538.21	449,584.08	34,987.90	6,323.96	4,821,203.86
Mar	1,170,487.30	287,108.08	627,946.20	1,630,398.36	422,615.54	34,227.95	6,208.58	4,178,992.01
Apr	1,275,739.93	284,182.58	628,532.66	1,749,475.24	462,525.89	33,628.93	7,789.27	4,441,874.51
May	1,252,587.55	285,175.07	639,687.41	1,773,917.80	491,445.03	32,716.07	9,263.11	4,484,792.03
Jun	1,201,538.43	295,356.37	630,475.71	1,810,337.09	472,182.66	32,172.96	8,204.10	4,450,267.33
Jul	1,177,846.66	284,705.30	598,088.69	1,647,883.04	451,439.03	31,765.84	7,409.12	4,199,137.68
Aug	1,194,309.28	277,127.13	571,290.95	1,312,003.51	417,078.60	29,828.90	6,720.18	3,808,358.54
Sep	1,037,449.40	268,800.14	457,604.42	1,202,671.82	378,823.01	22,322.35	5,806.43	3,373,477.56
Oct	1,064,071.95	267,402.21	471,416.67	1,231,101.33	405,781.85	24,152.38	6,570.40	3,470,496.79
Nov	1,174,177.15	266,577.44	535,945.16	1,408,675.12	425,176.01	24,801.42	6,587.61	3,841,939.90
Dec	1,142,376.38	265,600.87	576,600.73	1,435,140.81	431,285.67	27,974.39	6,363.23	3,885,342.08

Note: Month-end figures

Market capitalisation trends (1997 – 2001)

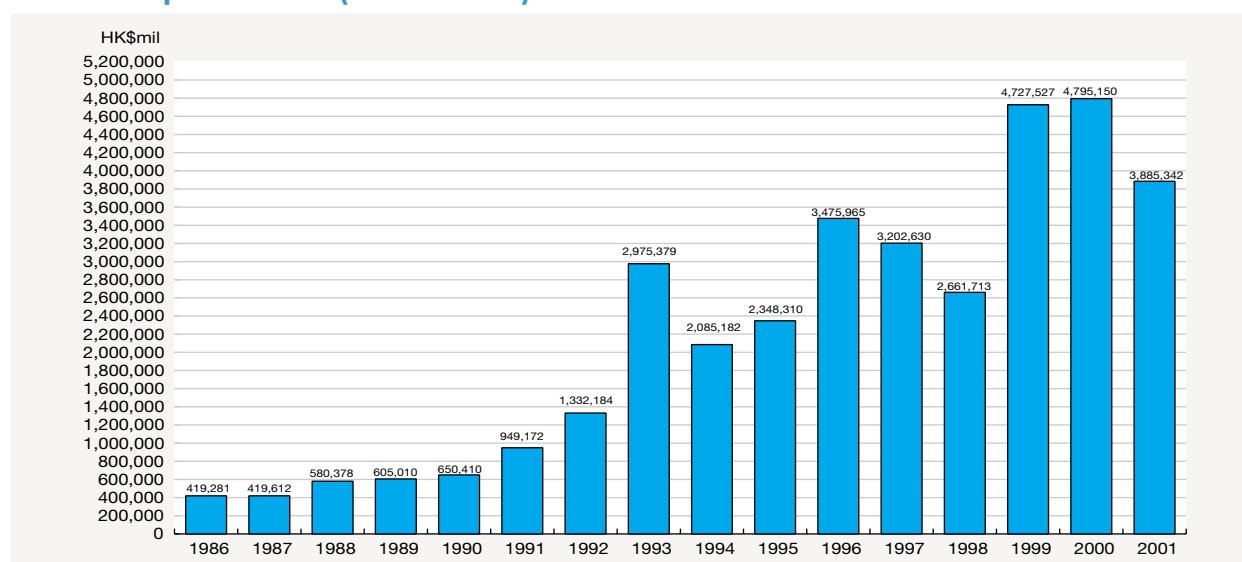
HK\$mil

Classification	1997		1998		1999		2000		2001	
Finance	864,008.47	(26.98%)	787,358.28	(29.58%)	1,224,168.48	(25.89%)	1,441,086.03	(30.05%)	1,142,376.38	(29.40%)
Utilities	598,860.09	(18.70%)	527,727.41	(19.83%)	1,132,492.90	(23.96%)	290,000.36	(6.05%)	265,600.87	(6.84%)
Properties	679,294.79	(21.21%)	562,577.10	(21.14%)	773,490.30	(16.36%)	698,378.35	(14.56%)	576,600.73	(14.84%)
Consolidated enterprises	674,781.00	(21.07%)	527,961.01	(19.84%)	1,170,674.72	(24.76%)	1,968,446.92	(41.05%)	1,435,140.81	(36.94%)
Industrials	320,038.21	(9.99%)	216,973.74	(8.15%)	377,542.03	(7.99%)	333,848.20	(6.96%)	431,285.67	(11.10%)
Hotels	48,225.71	(1.51%)	31,576.29	(1.19%)	39,987.29	(0.85%)	34,619.77	(0.72%)	27,974.39	(0.72%)
Miscellaneous	17,421.52	(0.54%)	7,538.91	(0.28%)	9,171.36	(0.19%)	28,770.44	(0.60%)	6,363.23	(0.16%)
Equity total	3,202,629.78	(100.00%)	2,661,712.74	(100.00%)	4,727,527.07	(100.00%)	4,795,150.07	(100.00%)	3,885,342.08	(100.00%)

() % of equity market total

Year-end figures

Market capitalisation (1986 – 2001)



50 leading companies in market capitalisation

End of 2001

Rank	Code	Company	Issued capital (shares)	Closing price (HK\$)	Market capitalisation (HK\$mil)	% of equity total
1	0005	HSBC HOLDINGS PLC	9,354,126,717	91.250	853,564.06	21.97
2	0941	CHINA MOBILE (HONG KONG) LTD.	18,605,405,241	27.450	510,718.37	13.14
3	0013	HUTCHISON WHAMPOA LTD.	4,263,370,780	75.250	320,818.65	8.26
4	0001	CHEUNG KONG (HOLDINGS) LTD.	2,316,164,338	81.000	187,609.31	4.83
5	0011	HANG SENG BANK LTD.	1,911,842,736	85.750	163,940.51	4.22
6	0016	SUN HUNG KAI PROPERTIES LTD.	2,400,907,362	63.000	151,257.16	3.89
7	0762	CHINA UNICOM LTD.	12,552,996,070	8.600	107,955.77	2.78
8	0002	CLP HOLDINGS LTD.	2,447,106,900	29.750	72,801.43	1.87
9	0006	HONGKONG ELECTRIC HOLDINGS LTD.	2,134,261,654	29.000	61,893.59	1.59
10	0012	HENDERSON LAND DEVELOPMENT CO. LTD.	1,722,140,000	35.200	60,619.33	1.56
11	0883	CNOOC LTD.	8,214,165,655	7.350	60,374.12	1.55
12	0019	SWIRE PACIFIC LTD.	940,111,885	42.500	57,086.84	1.47
	0087		3,059,301,271	5.600		
13	0066	MTR CORPORATION LTD.	5,054,812,742	10.200	51,559.09	1.33
14	0003	HONG KONG AND CHINA GAS CO. LTD., THE	5,245,695,444	9.550	50,096.39	1.29
15	0008	PACIFIC CENTURY CYBERWORKS LTD.	22,673,333,202	2.150	48,747.67	1.25
16	0004	WHARF (HOLDINGS) LTD., THE	2,446,504,629	19.050	46,605.91	1.20
17	0267	CITIC PACIFIC LTD.	2,189,780,160	17.350	37,992.69	0.98
18	0293	CATHAY PACIFIC AIRWAYS LTD.	3,329,817,848	10.000	33,298.18	0.86
19	0179	JOHNSON ELECTRIC HOLDINGS LTD.	3,673,788,920	8.200	30,125.07	0.78
20	0992	LEGEND HOLDINGS LTD.	7,523,034,108	3.975	29,904.06	0.77
21	1038	CHEUNG KONG INFRASTRUCTURE HOLDINGS LTD.	2,254,209,945	12.150	27,388.65	0.70
22	0494	LI & FUNG LTD.	2,878,518,000	8.750	25,187.03	0.65
23	0857	PETROCHINA CO. LTD. - H SHARES	17,582,418,000	1.380	24,263.74	0.62
24	0023	BANK OF EAST ASIA, LTD., THE	1,433,335,586	16.800	24,080.04	0.62
25	0101	AMOY PROPERTIES LTD.	2,889,333,907	8.050	23,259.14	0.60
26	0386	CHINA PETROLEUM & CHEMICAL CORPORATION - H SHARES	16,780,488,000	1.070	17,955.12	0.46
27	0097	HENDERSON INVESTMENT LTD.	2,817,327,395	6.050	17,044.83	0.44
28	0511	TELEVISION BROADCASTS LTD.	438,000,000	33.800	14,804.40	0.38
29	0291	CHINA RESOURCES ENTERPRISE, LTD.	2,015,450,215	7.300	14,712.79	0.38
30	0017	NEW WORLD DEVELOPMENT CO. LTD.	2,133,991,369	6.800	14,511.14	0.37
31	0053	GUOCO GROUP LTD.	324,081,373	43.200	14,000.32	0.36
32	0020	WHEELOCK AND CO. LTD.	2,031,405,287	6.650	13,508.85	0.35
33	0062	KOWLOON MOTOR BUS HOLDINGS LTD., THE	403,639,413	33.200	13,400.83	0.34
34	0069	SHANGRI-LA ASIA LTD.	2,176,955,912	6.100	13,279.43	0.34
35	0363	SHANGHAI INDUSTRIAL HOLDINGS LTD.	897,951,000	14.300	12,840.70	0.33
36	0388	HONG KONG EXCHANGES AND CLEARING LTD.	1,040,664,846	11.850	12,331.88	0.32
37	0083	SINO LAND CO. LTD.	3,841,083,211	3.100	11,907.36	0.31
38	0551	YUE YUEN INDUSTRIAL (HOLDINGS) LTD.	703,680,953	14.650	10,308.93	0.27
39	0144	CHINA MERCHANTS HOLDINGS (INTERNATIONAL) CO. LTD	2,053,472,388	5.000	10,267.36	0.26
40	0330	ESPRIT HOLDINGS LTD.	1,143,837,174	8.800	10,065.77	0.26
41	1097	I-CABLE COMMUNICATIONS LTD.	2,014,000,000	4.750	9,566.50	0.25
42	0165	CHINA EVERBRIGHT LTD.	1,562,780,712	5.850	9,142.27	0.24
43	0010	HANG LUNG DEVELOPMENT CO. LTD.	1,323,106,242	6.900	9,129.43	0.23
44	0440	DAH SING FINANCIAL HOLDINGS LTD.	246,578,802	36.900	9,098.76	0.23
45	0247	TSIM SHA TSUI PROPERTIES LTD.	1,365,743,151	6.500	8,877.33	0.23
46	0683	KERRY PROPERTIES LTD.	1,155,727,489	7.600	8,783.53	0.23
47	1199	COSCO PACIFIC LTD.	2,142,532,298	4.025	8,623.69	0.22
48	0583	SCMP GROUP LTD.	1,734,383,996	4.900	8,498.48	0.22
49	0203	DENWAY MOTORS LTD.	3,345,053,067	2.425	8,111.75	0.21
50	0014	HYSAN DEVELOPMENT CO. LTD.	1,031,303,375	7.850	8,095.73	0.21
Total					3,350,013.97	86.22
Equity total					3,885,342.08	100.00

20 stocks with largest price changes (increase)

		Closing price (HK\$)		
Rank	Stock	2000	2001	% up
1	OCEAN SHORES	0.185 A	3.200	1629.73
2	WAH TAK FUNG	0.042	0.540	1185.71
3	EXTRAWELL PHAR	0.086	0.400	365.12
4	QUALIPAK INT'L	0.122	0.530	334.43
5	CHINA INSURANCE	1.140	4.775	318.86
6	SE ASIA WOOD	0.330 A	1.270	284.85
7	DONGFANG ELEC - H SHARES	0.335	1.090	225.37
8	DYNAMIC HOLD	0.670	2.150	220.90
9	ANHUI CONCH - H SHARES	0.550	1.720	212.73
10	CHENGDU PTIC - H SHARES	0.480	1.500	212.50
11	TPV TECHNOLOGY	0.800	2.450	206.25
12	CATIC SHENZHEN - H SHARES	0.400	1.200	200.00
13	CHONGQING IRON - H SHARES	0.305	0.910	198.36
14	JUSCO STORES	0.780	2.225	185.26
15	COSLIGHT TECH	0.720	2.025	181.25
16	FUSHAN HOLDINGS	0.076	0.208	173.68
17	TAKSON HOLDINGS	0.490	1.330	171.43
18	GEOMAXIMA (HK)	0.380 A	1.030	171.05
19	MULTIFIELD INTL	0.134 A	0.360	168.66
20	HARBIN POWER - H SHARES	0.345	0.920	166.67

(A) Adjusted

20 stocks with largest price changes (decrease)

		Closing price (HK\$)		
Rank	Stock	2000	2001	% down
1	TEMFAT HINGFUNG	0.300	0.013	95.67
2	CLIMAX INT'L	0.310	0.021	93.23
3	G-PROP (HOLD)	1.750 A	0.131	92.51
4	CHINA BIO-MEDIC	0.190	0.018	90.53
5	MANDARIN RES	0.810	0.085	89.51
6	SKYNET(INTL GP)	0.169	0.020	88.17
7	DIGITAL WORLD	0.800 A	0.104	87.00
8	COMPANION BUILD	0.160 A	0.022	86.25
9	SAC CEMENT	0.158	0.022	86.08
10	EHEALTHCAREASIA	1.780 A	0.250	85.96
11	CAN DO HOLDINGS	0.629 A	0.093	85.21
12	ALPHA GENERAL	0.241	0.037	84.65
13	DAILYWIN GROUP	0.740	0.128	82.70
14	RNA HOLDINGS	0.360	0.065	81.94
15	FULBOND HOLDING	0.232	0.043	81.47
16	RENREN HOLDINGS	0.049	0.010	79.59
17	CEDAR BASE ELEC	0.305	0.067	78.03
18	CHINA STRATEGIC	1.700 A	0.400	76.47
19	CHINA DEV CORP	0.173 A	0.042	75.72
20	NEW CENTURY GP	1.190	0.290	75.63

(A) Adjusted

Trading value and volume (1997 – 2001)

Year/Month	No. of trading days	Value (HK\$mil)		Volume (mil of shares)		Deals		
		Total	Average daily	Total	Average daily	Total	Average daily	
1997	245	3,788,959.79	15,465.14	1,767,896.72	7,215.90	32,601,162	133,066	
1998	247	1,701,112.01	6,887.09	816,358.08	3,305.09	17,126,682	69,339	
1999	247	1,915,940.58	7,756.84	1,392,284.36	5,636.78	23,004,496	93,136	
2000	247	3,047,565.32	12,338.32	2,323,972.86	9,408.80	35,644,384	144,309	
2001	243	1,950,086.74	8,025.05	1,488,521.21	6,125.60	23,298,960	95,880	
2001	Jan	19	178,672.34	9,403.81	95,282.97	5,014.89	1,847,893	97,258
	Feb	20	181,515.73	9,075.79	115,168.65	5,758.43	2,036,023	101,801
	Mar	22	184,205.22	8,372.96	102,808.75	4,673.12	2,084,009	94,728
	Apr	17	146,894.76	8,640.87	101,602.91	5,976.64	1,819,848	107,050
	May	22	212,034.31	9,637.92	188,159.42	8,552.70	2,660,837	120,947
	Jun	20	213,707.55	10,685.38	235,036.89	11,751.84	2,827,717	141,386
	Jul	19	130,367.42	6,861.44	109,776.53	5,777.71	1,493,459	78,603
	Aug	23	144,231.18	6,270.92	116,924.26	5,083.66	1,706,366	74,190
	Sep	20	137,210.78	6,860.54	87,162.61	4,358.13	1,632,815	81,641
	Oct	20	139,295.96	6,964.80	107,675.28	5,383.76	1,759,498	87,975
	Nov	22	160,059.02	7,275.41	120,771.45	5,489.61	1,966,274	89,376
	Dec	19	121,892.46	6,415.39	108,151.49	5,692.18	1,464,221	77,064

Note: Stock transactions in foreign currencies are excluded from the total turnover in value but included iShares turnover.

Trading value and volume of foreign stocks (1997 – 2001)

Year/Month	No. of trading days	Value (HK\$thousand)		Volume (thousands of shares)		Deals		
		Total	Average daily	Total	Average daily	Total	Average daily	
1997	245	2,531,470.44	10,332.53	825,852.13	3,370.83	20,206	82	
1998	247	1,444,526.40	5,848.29	1,113,470.49	4,507.98	20,892	85	
1999	247	3,403,012.90	13,777.38	745,236.72	3,017.15	35,474	144	
2000	247	5,500,052.79	22,267.42	1,205,313.93	4,879.81	58,778	238	
2001	243	1,592,398.19	6,553.08	314,618.93	1,294.73	28,602	118	
2001	Jan	19	295,437.63	15,549.35	29,616.45	1,558.76	5,248	276
	Feb	20	162,169.27	8,108.46	67,667.24	3,383.36	3,800	190
	Mar	22	151,827.14	6,901.23	36,985.32	1,681.15	3,113	142
	Apr	17	64,979.50	3,822.32	14,458.26	850.49	1,266	74
	May	22	122,486.17	5,567.55	26,943.95	1,224.72	2,470	112
	Jun	20	122,472.05	6,123.60	23,503.26	1,175.16	1,967	98
	Jul	19	114,963.58	6,050.71	15,435.88	812.41	1,656	87
	Aug	23	223,262.12	9,707.05	32,929.06	1,431.70	2,237	97
	Sep	20	164,339.82	8,216.99	15,944.91	797.25	3,391	170
	Oct	20	59,382.04	2,969.10	10,587.39	529.37	1,245	62
	Nov	22	64,665.02	2,939.32	7,738.08	351.73	1,246	57
	Dec	19	46,413.87	2,442.84	32,809.14	1,726.80	963	51

Notes:

1. Foreign stocks are stocks of listed companies incorporated overseas which have a majority of their business outside Hong Kong and China.
2. The exchange rate of HK\$7.8/US\$1 was used to calculate the equivalent value in Hong Kong dollars.

Trading value by type of securities (2001)

Month	Equities		Warrants	Unit trusts	Debt securities	Market total
	Mil of shares	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil
Jan	49,331.21	160,243.91	16,105.78	2,321.88	0.77	178,672.34
Feb	74,974.45	166,496.52	13,083.01	1,935.72	0.49	181,515.74
Mar	63,864.12	165,841.47	13,491.19	4,871.66	0.90	184,205.22
Apr	78,743.98	137,615.64	7,899.79	1,378.46	0.87	146,894.76
May	156,743.23	200,488.25	10,142.32	1,402.22	1.52	212,034.31
Jun	211,848.90	206,272.28	6,137.64	1,296.56	1.07	213,707.55
Jul	90,095.48	123,785.21	5,337.99	1,244.09	0.12	130,367.42
Aug	75,074.89	131,904.23	10,521.57	1,798.15	7.23	144,231.18
Sep	57,156.96	123,511.69	12,141.27	1,544.08	13.73	137,210.78
Oct	85,621.91	131,091.32	7,250.95	949.74	3.96	139,295.96
Nov	105,266.80	154,957.96	3,988.63	1,108.94	3.48	160,059.02
Dec	99,474.76	118,906.35	2,121.74	860.46	3.91	121,892.46
Total	1,148,196.68	1,821,114.84	108,221.89	20,711.95	38.06	1,950,086.74

Notes:

1. Turnover values and shares have been adjusted for late reported and rejected sales.
2. Figures may not add up to total due to rounding.
3. Turnover for equities included trading only stocks transaction.

Trading value by industrial classification (2001)

Classification	No. of issue *	HK\$mil	Turnover	
			% of total	Annual turnover velocity (%)
Finance	59	339,028.72	18.62	29.68
Utilities	11	113,446.23	6.23	42.71
Properties	98	279,369.66	15.34	48.45
Consolidated enterprises	243	674,592.50	37.04	47.01
Industrials	328	401,043.60	22.02	92.99
Hotels	15	2,684.65	0.15	9.60
Miscellaneous	8	10,728.98	0.59	168.61
Sub-total	762	1,820,894.33	99.99	46.87
Trading only stocks	9	220.51	0.01	n.a.
Total	771	1,821,114.84	100.00	n.a.

Notes:

1. Turnover in warrants, debt securities and unit trusts are not included.
2. Turnover values have been adjusted for late reported and rejected sales.
3. Figures may not add up to total due to rounding.

* Year-end figures

20 most active stocks (in dollars)

Rank	Stock	Turnover (HK\$mil)	% of equity total
1	HSBC HOLDINGS	171,877.47	9.44
2	CHINA MOBILE	164,772.00	9.05
3	HUTCHISON	122,514.83	6.73
4	CHEUNG KONG	89,830.94	4.93
5	CHINA UNICOM	64,067.69	3.52
6	SHK PPT	62,041.37	3.41
7	PCCW	46,550.43	2.56
8	HANG SENG BANK	44,613.95	2.45
9	PETROCHINA - H SHARES	34,264.32	1.88
10	HENDERSON LAND	29,625.87	1.63
11	LEGEND HOLD	27,785.22	1.53
12	CLP HOLDINGS	25,091.83	1.38
13	CITIC PACIFIC	24,200.96	1.33
14	SINOPEC CORP - H SHARES	24,074.71	1.32
15	CNOOC	23,344.51	1.28
16	HK ELECTRIC	23,267.58	1.28
17	SWIRE PACIFIC 'A'	22,050.40	1.21
18	HKEX	21,702.25	1.19
19	DAO HENG BANK	20,748.33	1.14
20	CHINA EB LTD	18,754.83	1.03
	Total	1,061,179.50	58.27
	Equity total	1,821,114.84	100.00

Note: Turnover for equity total included trading only stock transaction

20 most active stocks (in shares)

Rank	Stock	Turnover (mil of shares)	% of equity total
1	CHINA BIO-MEDIC	41,238.36	3.59
2	CHINA UNITED	33,255.33	2.90
3	SAC CEMENT	23,311.00	2.03
4	TECHCAP HOLDING	23,046.04	2.01
5	PETROCHINA - H SHARES	22,637.64	1.97
6	SOLARTECH INT'L	21,813.45	1.90
7	RENREN HOLDINGS	21,804.43	1.90
8	GUANGNAN (HOLD)	19,723.96	1.72
9	SINOPEC CORP - H SHARES	18,646.42	1.62
10	PCCW	17,307.09	1.51
11	STAR BIO-TECH	16,686.32	1.45
12	B-TECH (HOLD)	16,447.18	1.43
13	WELBACK HOLD	16,441.62	1.43
14	PEARL ORI HOLD	15,899.52	1.38
15	HINET HOLDINGS	15,716.85	1.37
16	MAANSHAN IRON - H SHARES	13,988.69	1.22
17	ANANDA WING ON	13,365.72	1.16
18	TEMFAT HINGFUNG	12,766.24	1.11
19	SHENZHEN INT'L	12,635.33	1.10
20	SUN TEL CYBER	11,799.88	1.03
	Total	388,531.08	33.84
	Equity total	1,148,196.88	100.00

Note: Turnover for equity total included trading only stock transaction

Turnover, market capitalisation and PE ratio of HSI stocks (2001)

End of 2001

Rank	Company (stock code)	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
1	HSBC HOLDINGS (0005)	171,877.47	9.44	853,564.06	21.97	91.250	-21.00	15.41
2	CHINA MOBILE (0941)	164,772.00	9.05	510,718.37	13.14	27.450	-35.56	23.26
3	HUTCHISON (0013)	122,514.83	6.73	320,818.65	8.26	75.250	-22.62	9.40
4	CHEUNG KONG (0001)	89,830.94	4.93	187,609.31	4.83	81.000	-18.80	9.62
5	HANG SENG BANK (0011)	44,613.95	2.45	163,940.51	4.22	85.750	-18.33	16.37
6	SHK PPT (0016)	62,041.37	3.41	151,257.16	3.89	63.000	-18.97	18.16
7	CHINA UNICOM (0762)	64,067.69	3.52	107,955.77	2.78	8.600	-28.03	31.65
8	CLP HOLDINGS (0002)	25,091.83	1.38	72,801.43	1.87	29.750	-8.23	12.88
9	HK ELECTRIC (0006)	23,267.58	1.28	61,893.59	1.59	29.000	0.69	11.07
10	HENDERSON LAND (0012)	29,625.87	1.63	60,619.33	1.56	35.200	-11.34	13.80
11	CNOOC (0883)	23,344.51	1.28	60,374.12	1.55	7.350	-99.93	4.79
12	MTR CORPORATION (0066)	17,491.39	0.96	51,559.09	1.33	10.200	-25.27	12.58
13	HK & CHINA GAS (0003)	14,891.72	0.82	50,096.39	1.29	9.550	-8.25	17.32
14	PCCW (0008)	46,550.43	2.56	48,747.67	1.25	2.150	-57.43	-
15	WHARF HOLDINGS (0004)	14,649.77	0.80	46,605.91	1.20	19.050	0.53	18.79
16	SWIRE PACIFIC 'A' (0019)	22,050.40	1.21	39,954.76	1.03	42.500	-24.44	16.96
17	CITIC PACIFIC (0267)	24,200.96	1.33	37,992.69	0.98	17.350	-37.25	11.15
18	CATHAY PAC AIR (0293)	13,335.95	0.73	33,298.18	0.86	10.000	-30.56	6.74
19	JOHNSON ELEC H (0179)	15,250.78	0.84	30,125.07	0.78	8.200	-31.67	26.55
20	LEGEND HOLD (0992)	27,785.22	1.53	29,904.06	0.77	3.975	-18.88	34.48
21	CKI HOLDINGS (1038)	4,564.40	0.25	27,388.65	0.70	12.150	-5.45	8.48
22	LI & FUNG (0494)	16,618.01	0.91	25,187.03	0.65	8.750	-38.38	27.17
23	BANK OF E ASIA (0023)	15,377.61	0.84	24,080.04	0.62	16.800	-16.63	12.61
24	AMOY PROPERTIES (0101)	6,483.30	0.36	23,259.14	0.60	8.050	-7.47	16.82
25	HENDERSON INV (0097)	4,193.62	0.23	17,044.83	0.44	6.050	8.04	8.62
26	TVB (0511)	7,864.32	0.43	14,804.40	0.38	33.800	-17.56	19.12
27	CHINA RESOURCES (0291)	18,271.24	1.00	14,712.79	0.38	7.300	-26.63	8.75
28	NEW WORLD DEV (0017)	17,673.97	0.97	14,511.14	0.37	6.800	-28.04	68.00
29	WHEELOK (0020)	3,806.82	0.21	13,508.85	0.35	6.650	3.10	26.14
30	SHANGHAI IND H (0363)	7,781.49	0.43	12,840.70	0.33	14.300	0.70	11.24
31	SINO LAND (0083)	6,225.79	0.34	11,907.36	0.31	3.100	-23.93	23.08
32	HANG LUNG DEV (0010)	4,009.99	0.22	9,129.43	0.23	6.900	-0.72	12.26
33	HYSAN DEV (0014)	3,252.41	0.18	8,095.73	0.21	7.850	-28.64	9.54
Total		1,133,377.64	62.24	3,136,306.20	80.72			
Equity total		1,821,114.84	100.00	3,885,342.08	100.00			

* As compared with previous year.

Turnover, market capitalisation and PE ratio of HSCI stocks (2001)

End of 2001

Rank	Company (stock code)	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
1	HSBC HOLDINGS (0005)	171,877.47	9.44	853,564.06	21.97	91.250	-21.00	15.41
2	CHINA MOBILE (0941)	164,772.00	9.05	510,718.37	13.14	27.450	-35.56	23.26
3	HUTCHISON (0013)	122,514.83	6.73	320,818.65	8.26	75.250	-22.62	9.40
4	CHEUNG KONG (0001)	89,830.94	4.93	187,609.31	4.83	81.000	-18.80	9.62
5	HANG SENG BANK (0011)	44,613.95	2.45	163,940.51	4.22	85.750	-18.33	16.37
6	SHK PPT (0016)	62,041.37	3.41	151,257.16	3.89	63.000	-18.97	18.16
7	CHINA UNICOM (0762)	64,067.69	3.52	107,955.77	2.78	8.600	-28.03	31.65
8	CLP HOLDINGS (0002)	25,091.83	1.38	72,801.43	1.87	29.750	-8.23	12.88
9	HK ELECTRIC (0006)	23,267.58	1.28	61,893.59	1.59	29.000	0.69	11.07
10	HENDERSON LAND (0012)	29,625.87	1.63	60,619.33	1.56	35.200	-11.34	13.80
11	CNOOC (0883)	23,344.51	1.28	60,374.12	1.55	7.350	-99.93	4.79
12	MTR CORPORATION (0066)	17,491.39	0.96	51,559.09	1.33	10.200	-25.27	12.58
13	HK & CHINA GAS (0003)	14,891.72	0.82	50,096.39	1.29	9.550	-8.25	17.32
14	PCCW (0008)	46,550.43	2.56	48,747.67	1.25	2.150	-57.43	-
15	WHARF HOLDINGS (0004)	14,649.77	0.80	46,605.91	1.20	19.050	0.53	18.79
16	SWIRE PACIFIC 'A' (0019)	22,050.40	1.21	39,954.76	1.03	42.500	-24.44	16.96
17	CITIC PACIFIC (0267)	24,200.96	1.33	37,992.69	0.98	17.350	-37.25	11.15
18	CATHAY PAC AIR (0293)	13,335.95	0.73	33,298.18	0.86	10.000	-30.56	6.74
19	JOHNSON ELEC H (0179)	15,250.78	0.84	30,125.07	0.78	8.200	-31.67	26.55
20	LEGEND HOLD (0992)	27,785.22	1.53	29,904.06	0.77	3.975	-18.88	34.48
21	CKI HOLDINGS (1038)	4,564.40	0.25	27,388.65	0.70	12.150	-5.45	8.48
22	LI & FUNG (0494)	16,618.01	0.91	25,187.03	0.65	8.750	-38.38	27.17
23	PETROCHINA - H SHARES (0857)	34,264.32	1.88	24,263.74	0.62	1.380	6.15	4.55
24	BANK OF E ASIA (0023)	15,377.61	0.84	24,080.04	0.62	16.800	-16.63	12.61
25	AMOY PROPERTIES (0101)	6,483.30	0.36	23,259.14	0.60	8.050	-7.47	16.82
26	SINOPEC CORP - H SHARES (0386)	24,074.71	1.32	17,955.12	0.46	1.070	-13.71	4.30
27	SWIRE PACIFIC 'B' (0087)	2,752.84	0.15	17,132.09	0.44	5.600	-17.65	11.17
28	HENDERSON INV (0097)	4,193.62	0.23	17,044.83	0.44	6.050	8.04	8.62
29	TVB (0511)	7,864.32	0.43	14,804.40	0.38	33.800	-17.56	19.12
30	CHINA RESOURCES (0291)	18,271.24	1.00	14,712.79	0.38	7.300	-26.63	8.75
31	NEW WORLD DEV (0017)	17,673.97	0.97	14,511.14	0.37	6.800	-28.04	68.00
32	GUOCO GROUP (0053)	9,444.87	0.52	14,000.32	0.36	43.200	85.81	0.87
33	WHEELLOCK (0020)	3,806.82	0.21	13,508.85	0.35	6.650	3.10	26.14
34	K M BUS HOLD (0062)	1,115.02	0.06	13,400.83	0.34	33.200	81.42	15.68
35	SHANGRI-LA ASIA (0069)	1,379.41	0.08	13,279.43	0.34	6.100	-27.81	22.48
36	SHANGHAI IND H (0363)	7,781.49	0.43	12,840.70	0.33	14.300	0.70	11.24
37	HKEX (0388)	21,702.25	1.19	12,331.88	0.32	11.850	-33.80	14.03
38	SINO LAND (0083)	6,225.79	0.34	11,907.36	0.31	3.100	-23.93	23.08
39	YUE YUEN IND (0551)	972.19	0.05	10,308.93	0.27	14.650	8.52	6.32
40	CHINA MER HOLD (0144)	9,270.29	0.51	10,267.36	0.26	5.000	-11.50	11.64
41	ESPRIT HOLDINGS (0330)	4,862.43	0.27	10,065.77	0.26	8.800	32.33	17.20
42	I-CABLE COMM (1097)	2,514.30	0.14	9,566.50	0.25	4.750	43.94	475.00
43	CHINA EB LTD (0165)	18,754.83	1.03	9,142.27	0.24	5.850	-27.33	6.96
44	HANG LUNG DEV (0010)	4,009.99	0.22	9,129.43	0.23	6.900	-0.72	12.26
45	DAH SING (0440)	3,638.11	0.20	9,098.76	0.23	36.900	-12.14	11.21
46	KERRY PPT (0683)	1,564.12	0.09	8,783.53	0.23	7.600	-27.62	11.71
47	COSCO PACIFIC (1199)	10,877.78	0.60	8,623.69	0.22	4.025	-33.47	7.74
48	SCMP GROUP (0583)	2,703.40	0.15	8,498.48	0.22	4.900	-15.52	14.29
49	DENWAY MOTORS (0203)	10,403.32	0.57	8,111.75	0.21	2.425	96.20	15.80
50	HYSAN DEV (0014)	3,252.41	0.18	8,095.73	0.21	7.850	-28.64	9.54
51	WING HANG BANK (0302)	3,471.07	0.19	7,335.71	0.19	25.000	-11.35	8.14
52	TINGYI (0322)	634.57	0.03	7,321.20	0.19	1.310	45.56	23.43
53	HUANENG POWER - H SHARES (0902)	9,711.24	0.53	7,050.00	0.18	4.700	24.50	11.20
54	CHINA TRAVEL HK (0308)	6,621.99	0.36	6,794.52	0.17	1.610	64.29	34.70
55	WING LUNG BANK (0096)	886.09	0.05	6,756.73	0.17	29.100	-7.91	6.69
56	CITIC KA WAH BK (0183)	2,721.00	0.15	5,995.63	0.15	1.980	-21.83	10.02
57	BEIJING ENT (0392)	4,139.28	0.23	5,976.00	0.15	9.600	35.21	11.58
58	ASM PACIFIC (0522)	2,216.17	0.12	5,829.70	0.15	15.350	38.29	5.37
59	CHINA OVERSEAS (0688)	6,518.21	0.36	5,518.66	0.14	1.010	-	20.49
60	SMARTONE TELE (0315)	3,216.05	0.18	5,493.88	0.14	9.300	-17.33	-
61	CHINA INSURANCE (0966)	3,498.88	0.19	5,284.58	0.14	4.775	318.86	31.75
62	BRILLIANCE CHI (1114)	9,539.44	0.52	5,242.46	0.13	1.430	-32.71	4.99
63	GREAT EAGLE H (0041)	1,786.61	0.10	5,079.07	0.13	8.800	-33.58	7.76
64	ASIA SATELLITE (1135)	988.90	0.05	5,073.45	0.13	13.000	-19.75	8.80
65	GIORDANO INT'L (0709)	5,466.05	0.30	4,954.30	0.13	3.450	-4.17	11.78
66	TEXWINCA HOLD (0321)	1,844.19	0.10	4,740.61	0.12	3.725	164.18	10.25
67	CHAODA MODERN (0682)	4,197.23	0.23	4,224.00	0.11	2.200	70.54	7.46
68	GLOBAL BIO-CHEM (0809)	5,908.36	0.32	4,193.56	0.11	2.725	-99.97	11.36

Turnover, market capitalisation and PE ratio of HSCI stocks (2001) (continued)

End of 2001

Rank	Company (stock code)	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
69	NEW ASIA REALTY (0049)	234.19	0.01	4,191.02	0.11	2.025	22.73	17.28
70	CNAC (1110)	1,045.53	0.06	4,141.03	0.11	1.280	-1.54	13.31
71	HOPEWELL HOLD (0054)	1,773.06	0.10	4,051.42	0.10	4.625	52.89	13.26
72	GUANGDONG INV (0270)	1,277.48	0.07	3,952.40	0.10	0.770	-14.44	-
73	ICBC (ASIA) (0349)	841.65	0.05	3,905.20	0.10	7.350	9.70	22.08
74	INTERCHINA HOLD (0202)	6,096.81	0.33	3,820.58	0.10	0.870	52.63	5.48
75	NEW WORLD CHINA (0917)	1,150.97	0.06	3,701.76	0.10	2.500	13.64	17.69
76	BEIJING DATANG - H SHARES (0991)	9,585.79	0.53	3,576.67	0.09	2.500	23.46	9.96
77	SHENZHEN INT'L (0152)	5,236.10	0.29	3,476.72	0.09	0.310	-	27.68
78	JCG HOLDINGS (0626)	1,001.18	0.05	3,429.31	0.09	4.850	32.88	7.68
79	HK&S HOTELS (0045)	653.77	0.04	3,388.77	0.09	2.900	-32.56	39.89
80	TCL INT'L HOLD (1070)	4,992.64	0.27	3,264.59	0.08	1.300	-26.97	7.31
81	LCH BANK (1111)	674.47	0.04	3,132.00	0.08	7.200	-20.44	6.86
82	FIRST PACIFIC (0142)	3,649.41	0.20	3,014.18	0.08	0.960	-56.85	7.03
83	GLOBAL TECH (0143)	1,037.45	0.06	2,993.76	0.08	0.630	-30.00	6.11
84	TPV TECHNOLOGY (0903)	506.57	0.03	2,977.68	0.08	2.450	206.25	11.34
85	DIGITAL CHINA (0861)	2,865.06	0.16	2,919.51	0.08	3.400	-99.97	18.16
86	HKCB BANK (0655)	5,430.86	0.30	2,905.80	0.07	2.150	-20.37	8.03
87	CNPC (HONG KONG) (0135)	3,848.56	0.21	2,859.42	0.07	0.580	24.73	4.51
88	HUTCH HARB RING (0715)	2,784.04	0.15	2,805.00	0.07	0.500	9.89	-
89	ZHEJIANGEXPRESS - H SHARES (0576)	4,398.63	0.24	2,753.00	0.07	1.920	54.84	14.13
90	SE ASIA WOOD (1205)	568.51	0.03	2,692.40	0.07	1.270	284.85	22.28
91	NGAI LIK IND (0332)	579.23	0.03	2,655.60	0.07	3.350	20.72	13.95
92	CHINA SOUTH AIR - H SHARES (1055)	6,488.79	0.36	2,641.90	0.07	2.250	-5.26	16.05
93	YANZHOU COAL - H SHARES (1171)	8,379.16	0.46	2,524.50	0.06	2.475	15.12	9.12
94	SHENZHEN INVEST (0604)	2,638.05	0.14	2,523.32	0.06	2.325	61.46	8.68
95	GUANGZHOU INV (0123)	5,589.55	0.31	2,482.74	0.06	0.620	6.90	21.91
96	BEIJING AIRPORT - H SHARES (0694)	3,810.25	0.21	2,476.92	0.06	1.840	5.14	15.08
97	HKR INT'L (0480)	1,765.03	0.10	2,459.43	0.06	2.125	-42.57	5.61
98	CAFE DE CORAL H (0341)	429.56	0.02	2,458.65	0.06	4.500	35.34	9.71
99	NEW WORLD INFRA (0301)	1,944.93	0.11	2,437.69	0.06	2.850	-39.68	-
100	COFCO INTL (0506)	1,922.23	0.11	2,416.61	0.06	1.540	-9.94	11.25
101	SHANDONG POWER - H SHARES (1071)	5,540.70	0.30	2,389.82	0.06	1.670	35.77	6.96
102	KINGBOARD CHEM (0148)	698.95	0.04	2,360.89	0.06	5.000	37.93	5.78
103	ORIENTAL PRESS (0018)	1,222.36	0.07	2,325.98	0.06	0.970	-14.91	8.65
104	CHI RES BEIJING (1109)	5,899.85	0.32	2,292.73	0.06	1.530	-6.13	23.54
105	EGANAGOLDPFEIL (0048)	1,354.96	0.07	2,252.61	0.06	0.194	13.45	18.83
106	HENGAN INT'L (1044)	250.50	0.01	2,239.45	0.06	2.250	30.81	10.75
107	INTL BK OF ASIA (0636)	2,070.42	0.11	2,215.38	0.06	1.890	-8.92	9.06
108	JIANGSU EXPRESS - H SHARES (0177)	2,763.93	0.15	2,089.62	0.05	1.710	39.02	12.90
109	ROADSHOW (0888)	1,510.13	0.08	2,012.47	0.05	2.050	-99.98	-
110	HAECO (0044)	192.41	0.01	2,002.04	0.05	12.000	-13.04	5.43
111	PACIFIC CONCORD (0438)	682.55	0.04	1,963.34	0.05	0.610	5.17	14.45
112	GUANGNAN (HOLD) (1203)	4,891.51	0.27	1,940.27	0.05	0.217	85.47	0.23
113	TRAVELSKY TECH - H SHARES (0696)	6,645.76	0.36	1,865.12	0.05	6.000	-99.94	14.32
114	GUANGSHEN RAIL - H SHARES (0525)	4,715.67	0.26	1,832.06	0.05	1.280	31.96	11.97
115	PAC CENTURY INS (0065)	1,692.92	0.09	1,798.94	0.05	2.175	-2.42	11.87
116	TECHTRONIC IND (0669)	623.62	0.03	1,795.05	0.05	3.125	87.13	9.19
117	CHINA RAREEARTH (0769)	2,292.87	0.13	1,791.80	0.05	2.200	77.42	9.49
118	HENDERSON CHINA (0246)	742.06	0.04	1,788.39	0.05	3.600	9.09	10.66
119	CHINESE EST H (0127)	260.85	0.01	1,715.43	0.04	0.720	-17.24	-
120	LIU CHONG HING (0194)	151.60	0.01	1,648.40	0.04	4.350	-6.95	4.45
121	SHANGHAI PEICHEM - H SHARES (0338)	7,408.54	0.41	1,631.00	0.04	0.700	-10.26	6.24
122	CHINA SHIP DEV - H SHARES (1138)	5,947.02	0.33	1,607.04	0.04	1.240	5.08	13.90
123	HESHUN HOLDINGS (0285)	155.25	0.01	1,599.60	0.04	0.860	59.26	7.28
124	ROAD KING INFRA (1098)	128.36	0.01	1,554.71	0.04	3.075	-12.14	4.03
125	VARITRONIX INTL (0710)	1,823.74	0.10	1,535.24	0.04	5.200	-26.76	7.54
126	SUN HUNG KAI CO (0086)	168.33	0.01	1,534.31	0.04	1.020	-23.31	10.20
127	GZI TRANSPORT (1052)	286.47	0.02	1,504.20	0.04	1.440	23.08	5.29
128	SHUI ON CONS (0983)	660.53	0.04	1,494.40	0.04	5.650	-36.52	2.92
129	QPI INT'L (0243)	4,330.25	0.24	1,493.43	0.04	2.800	-37.43	1.93
130	SHUN TAK HOLD (0242)	719.27	0.04	1,491.79	0.04	0.960	3.23	5.34
131	SW KINGSWAY (0188)	633.62	0.03	1,488.34	0.04	1.440	56.52	6.94
132	CHINA EAST AIR - H SHARES (0670)	6,200.76	0.34	1,472.93	0.04	0.940	-23.58	27.65
133	ALLIED GROUP (0373)	177.91	0.01	1,466.24	0.04	0.430	-4.44	-
134	TIAN AN (0028)	1,127.29	0.06	1,426.44	0.04	0.168	25.37	12.09
135	EFORCE HOLDINGS (0943)	1,205.20	0.07	1,426.28	0.04	1.220	7.96	-
136	FOUNDER HOLD (0418)	4,500.10	0.25	1,404.75	0.04	1.250	-42.53	7.71

Turnover, market capitalisation and PE ratio of HSCI stocks (2001) (continued)

End of 2001

Rank	Company (stock code)	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
137	WONG'S INT'L (0099)	503.55	0.03	1,365.10	0.04	2.925	25.81	1.64
138	YIZHENG CHEM - H SHARES (1033)	9,497.04	0.52	1,330.00	0.03	0.950	-34.93	4.80
139	CHINA AEROSPACE (0031)	1,836.03	0.10	1,328.30	0.03	0.620	-11.43	-
140	SHENZHENEXPRESS - H SHARES (0548)	2,924.53	0.16	1,323.08	0.03	1.770	84.38	10.43
141	TIANJIN DEV (0882)	2,066.76	0.11	1,321.61	0.03	1.950	-7.14	7.76
142	APT SATELLITE (1045)	283.73	0.02	1,310.39	0.03	3.175	4.96	9.27
143	NEXT MEDIA (0282)	545.44	0.03	1,283.01	0.03	1.040	-43.01	-
144	MOULIN INT'L (0389)	426.87	0.02	1,273.37	0.03	0.630	-	6.33
145	HK PHARMA (0182)	375.38	0.02	1,258.89	0.03	0.910	7.06	-
146	TOP GLORY INT'L (0268)	1,553.64	0.09	1,243.94	0.03	0.465	-1.06	19.06
147	VITASOY INT'L (0345)	408.38	0.02	1,225.47	0.03	1.260	18.87	9.58
148	VTECH HOLDINGS (0303)	1,554.00	0.09	1,217.85	0.03	5.400	-24.48	-
149	GLORIOUS SUN (0393)	179.92	0.01	1,170.68	0.03	1.170	4.46	6.43
150	VISION CENTURY (0535)	226.77	0.01	1,167.55	0.03	0.700	-20.45	-
151	LIPPO CHINA RES (0156)	1,748.30	0.10	1,159.34	0.03	0.126	-18.71	-
152	AEON CREDIT (0900)	173.51	0.01	1,151.61	0.03	2.750	15.79	5.48
153	QINGLING MOTORS - H SHARES (1122)	5,825.36	0.32	1,145.55	0.03	1.160	18.37	4.81
154	CHINA RES LOGIC (1193)	412.50	0.02	1,131.62	0.03	0.750	19.05	-
155	ASIA ALUMINUM (0930)	415.90	0.02	1,115.86	0.03	0.495	-12.39	5.49
156	SHENZHEN HI-TEC (0106)	1,480.69	0.08	1,096.78	0.03	0.172	-22.52	-
157	IDT INT'L (0167)	205.13	0.01	1,076.55	0.03	0.520	-16.13	7.90
158	JIANGXI COPPER - H SHARES (0358)	3,607.79	0.20	1,052.40	0.03	0.910	31.88	21.67
159	E&E INT'L (0033)	339.78	0.02	1,045.81	0.03	0.890	17.41	9.91
160	ZHENHAI REFIN - H SHARES (1128)	3,132.58	0.17	1,042.21	0.03	1.440	35.85	9.16
161	MAANSHAN IRON - H SHARES (0323)	10,232.25	0.56	1,039.76	0.03	0.600	62.16	40.27
162	ALLIED PPT (HK) (0056)	153.92	0.01	1,013.74	0.03	0.233	-29.39	-
163	CCT TELECOM (0138)	1,849.85	0.10	1,013.05	0.03	2.400	-38.46	3.31
164	STONE ELECTRON (0409)	2,606.13	0.14	994.81	0.03	0.830	-30.25	-
165	CHAMPION TECH (0092)	893.23	0.05	987.18	0.03	0.081	-27.03	3.79
166	CULTURECOM HOLD (0343)	2,401.60	0.13	952.97	0.02	0.350	-36.36	-
167	SKYWORTHDIGITAL (0751)	917.22	0.05	943.00	0.02	0.460	21.05	-
168	TRULY INT'L (0732)	36.04	0.00	910.73	0.02	2.050	-28.07	11.05
169	SUNDAY COMM (0866)	748.95	0.04	882.05	0.02	0.295	-46.36	-
170	CHINA PHARMA (1093)	1,674.08	0.09	868.31	0.02	0.700	-9.09	10.79
171	SUN TEL CYBER (0307)	1,659.84	0.09	831.74	0.02	0.109	-40.11	-
172	SUN MAN TAI (0433)	3,563.31	0.20	829.19	0.02	0.360	-61.29	26.28
173	COSCO INTL HOLD (0517)	1,183.18	0.06	822.69	0.02	0.590	-31.40	26.94
174	SHANXI PHARMA (0327)	1,025.23	0.06	808.50	0.02	0.385	-17.20	17.99
175	ANGANG NEWSTEEL - H SHARES (0347)	7,422.27	0.41	801.00	0.02	0.900	26.76	5.09
176	TCC INT'L HOLD (1136)	528.72	0.03	793.47	0.02	1.000	-33.33	6.98
177	CHINA EB INT'L (0257)	831.61	0.05	789.98	0.02	0.310	5.08	-
178	GOLD PEAK (0040)	41.01	0.00	765.94	0.02	1.440	-25.77	9.84
179	SA SA INT'L (0178)	117.19	0.01	742.26	0.02	0.560	-34.88	10.05
180	GREAT WALL TECH - H SHARES (0074)	2,958.36	0.16	717.12	0.02	1.580	-38.04	8.72
181	CELESTIAL ASIA (1049)	360.72	0.02	703.38	0.02	0.110	-57.69	5.50
182	SOFTBANK INV (0648)	1,795.72	0.10	700.72	0.02	0.310	-67.02	-
183	CONTINENTAL MAR (0119)	205.81	0.01	681.06	0.02	0.840	-25.00	-
184	REGAL INT'L (0078)	122.81	0.01	677.47	0.02	0.172	-14.43	-
185	BEIJING YANHUA - H SHARES (0325)	4,100.59	0.23	667.92	0.02	0.660	-15.38	6.80
186	HANNY HOLDINGS (0275)	840.61	0.05	660.44	0.02	0.103	-58.30	-
187	LAI SUN DEV (0488)	25.65	0.00	621.84	0.02	0.166	-29.96	-
188	E-NEW MEDIA (0128)	685.61	0.04	610.74	0.02	0.370	-38.33	-
189	AUTOMATED SYS (0771)	126.58	0.01	605.33	0.02	2.100	-22.22	8.14
190	CHINA ONLINE (0383)	360.40	0.02	594.33	0.02	0.064	-30.43	0.45
191	GUANGDONG KELON - H SHARES (0921)	3,474.50	0.19	569.89	0.01	1.240	-3.88	-
192	COMPUTER & TECH (0046)	2,128.35	0.12	561.30	0.01	2.075	-50.89	26.91
193	GLOBAL CHI TECH (1105)	281.73	0.02	529.32	0.01	0.360	-30.77	-
194	QUALITY HEALTH (0593)	222.64	0.01	513.94	0.01	0.237	-71.27	-
195	SILVERNET GROUP (0622)	195.19	0.01	461.30	0.01	0.127	-47.74	-
196	ANANDA WING ON (1189)	1,349.49	0.07	454.07	0.01	0.050	-49.49	4.67
197	DICKSON CONCEPT (0113)	117.63	0.01	423.15	0.01	1.650	-41.59	7.54
198	HIKARI TSUSHIN (0603)	648.91	0.04	382.43	0.01	0.082	-60.58	-
199	E-KONG GROUP (0524)	1,095.93	0.06	211.47	0.01	0.045	-74.29	56.25
200	CHINA STRATEGIC (0235)	43.47	0.00	184.39	0.00	0.400	-76.47	-
201	CHINA BIO-MEDIC (0140)	4,590.04	0.25	111.63	0.00	0.018	-90.53	-
Total		1,643,252.95	90.24	3,664,751.35	94.32			
Equity total		1,821,114.84	100.00	3,885,342.08	100.00			

* As compared with previous year.

Turnover, market capitalisation and PE ratio of HSHKCI stocks (2001)

End of 2001

Rank	Company (stock code)	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
1	HSBC HOLDINGS (0005)	171,877.47	9.44	853,564.06	21.97	91.250	-21.00	15.41
2	HUTCHISON (0013)	122,514.83	6.73	320,818.65	8.26	75.250	-22.62	9.40
3	CHEUNG KONG (0001)	89,830.94	4.93	187,609.31	4.83	81.000	-18.80	9.62
4	HANG SENG BANK (0011)	44,613.95	2.45	163,940.51	4.22	85.750	-18.33	16.37
5	SHK PPT (0016)	62,041.37	3.41	151,257.16	3.89	63.000	-18.97	18.16
6	CLP HOLDINGS (0002)	25,091.83	1.38	72,801.43	1.87	29.750	-8.23	12.88
7	HK ELECTRIC (0006)	23,267.58	1.28	61,893.59	1.59	29.000	0.69	11.07
8	HENDERSON LAND (0012)	29,625.87	1.63	60,619.33	1.56	35.200	-11.34	13.80
9	MTR CORPORATION (0066)	17,491.39	0.96	51,559.09	1.33	10.200	-25.27	12.58
10	HK & CHINA GAS (0003)	14,891.72	0.82	50,096.39	1.29	9.550	-8.25	17.32
11	PCCW (0008)	46,550.43	2.56	48,747.67	1.25	2.150	-57.43	-
12	WHARF HOLDINGS (0004)	14,649.77	0.80	46,605.91	1.20	19.050	0.53	18.79
13	SWIRE PACIFIC 'A' (0019)	22,050.40	1.21	39,954.76	1.03	42.500	-24.44	16.96
14	CITIC PACIFIC (0267)	24,200.96	1.33	37,992.69	0.98	17.350	-37.25	11.15
15	CATHAY PAC AIR (0293)	13,335.95	0.73	33,298.18	0.86	10.000	-30.56	6.74
16	JOHNSON ELEC H (0179)	15,250.78	0.84	30,125.07	0.78	8.200	-31.67	26.55
17	CKI HOLDINGS (1038)	4,564.40	0.25	27,388.65	0.70	12.150	-5.45	8.48
18	LI & FUNG (0494)	16,618.01	0.91	25,187.03	0.65	8.750	-38.38	27.17
19	BANK OF E ASIA (0023)	15,377.61	0.84	24,080.04	0.62	16.800	-16.63	12.61
20	AMOY PROPERTIES (0101)	6,483.30	0.36	23,259.14	0.60	8.050	-7.47	16.82
21	SWIRE PACIFIC 'B' (0087)	2,752.84	0.15	17,132.09	0.44	5.600	-17.65	11.17
22	HENDERSON INV (0097)	4,193.62	0.23	17,044.83	0.44	6.050	8.04	8.62
23	TVB (0511)	7,864.32	0.43	14,804.40	0.38	33.800	-17.56	19.12
24	CHINA RESOURCES (0291)	18,271.24	1.00	14,712.79	0.38	7.300	-26.63	8.75
25	NEW WORLD DEV (0017)	17,673.97	0.97	14,511.14	0.37	6.800	-28.04	68.00
26	GUOCO GROUP (0053)	9,444.87	0.52	14,000.32	0.36	43.200	85.81	0.87
27	WHEELLOCK (0020)	3,806.82	0.21	13,508.85	0.35	6.650	3.10	26.14
28	K M BUS HOLD (0062)	1,115.02	0.06	13,400.83	0.34	33.200	81.42	15.68
29	SHANGRI-LA ASIA (0069)	1,379.41	0.08	13,279.43	0.34	6.100	-27.81	22.48
30	HKEX (0388)	21,702.25	1.19	12,331.88	0.32	11.850	-33.80	14.03
31	SINO LAND (0083)	6,225.79	0.34	11,907.36	0.31	3.100	-23.93	23.08
32	YUE YUEN IND (0551)	972.19	0.05	10,308.93	0.27	14.650	8.52	6.32
33	ESPRIT HOLDINGS (0330)	4,862.43	0.27	10,065.77	0.26	8.800	32.33	17.20
34	I-CABLE COMM (1097)	2,514.30	0.14	9,566.50	0.25	4.750	43.94	475.00
35	CHINA EB LTD (0165)	18,754.83	1.03	9,142.27	0.24	5.850	-27.33	6.96
36	HANG LUNG DEV (0010)	4,009.99	0.22	9,129.43	0.23	6.900	-0.72	12.26
37	DAH SING (0440)	3,638.11	0.20	9,098.76	0.23	36.900	-12.14	11.21
38	KERRY PPT (0683)	1,564.12	0.09	8,783.53	0.23	7.600	-27.62	11.71
39	SCMP GROUP (0583)	2,703.40	0.15	8,498.48	0.22	4.900	-15.52	14.29
40	HYSAN DEV (0014)	3,252.41	0.18	8,095.73	0.21	7.850	-28.64	9.54
41	WING HANG BANK (0302)	3,471.07	0.19	7,335.71	0.19	25.000	-11.35	8.14
42	WING LUNG BANK (0096)	886.09	0.05	6,756.73	0.17	29.100	-7.91	6.69
43	CITIC KA WAH BK (0183)	2,721.00	0.15	5,995.63	0.15	1.980	-21.83	10.02
44	ASM PACIFIC (0522)	2,216.17	0.12	5,829.70	0.15	15.350	38.29	5.37
45	CHINA OVERSEAS (0688)	6,518.21	0.36	5,518.66	0.14	1.010	-	20.49
46	SMARTONE TELE (0315)	3,216.05	0.18	5,493.88	0.14	9.300	-17.33	-
47	CHINA INSURANCE (0966)	3,498.88	0.19	5,284.58	0.14	4.775	318.86	31.75
48	GREAT EAGLE H (0041)	1,786.61	0.10	5,079.07	0.13	8.800	-33.58	7.76
49	ASIA SATELLITE (1135)	988.90	0.05	5,073.45	0.13	13.000	-19.75	8.80
50	GIORDANO INT'L (0709)	5,466.05	0.30	4,954.30	0.13	3.450	-4.17	11.78
51	NEW ASIA REALTY (0049)	234.19	0.01	4,191.02	0.11	2.025	22.73	17.28
52	CNAC (1110)	1,045.53	0.06	4,141.03	0.11	1.280	-1.54	13.31
53	HOPEWELL HOLD (0054)	1,773.06	0.10	4,051.42	0.10	4.625	52.89	13.26
54	ICBC (ASIA) (0349)	841.65	0.05	3,905.20	0.10	7.350	9.70	22.08
55	INTERCHINA HOLD (0202)	6,096.81	0.33	3,820.58	0.10	0.870	52.63	5.48
56	JCG HOLDINGS (0626)	1,001.18	0.05	3,429.31	0.09	4.850	32.88	7.68
57	HK&S HOTELS (0045)	653.77	0.04	3,388.77	0.09	2.900	-32.56	39.89
58	LCH BANK (1111)	674.47	0.04	3,132.00	0.08	7.200	-20.44	6.86
59	FIRST PACIFIC (0142)	3,649.41	0.20	3,014.18	0.08	0.960	-56.85	7.03
60	TPV TECHNOLOGY (0903)	506.57	0.03	2,977.68	0.08	2.450	206.25	11.34
61	HKCB BANK (0655)	5,430.86	0.30	2,905.80	0.07	2.150	-20.37	8.03
62	HUTCH HARB RING (0715)	2,784.04	0.15	2,805.00	0.07	0.500	9.89	-
63	NGAI LIK IND (0332)	579.23	0.03	2,655.60	0.07	3.350	20.72	13.95
64	HKR INT'L (0480)	1,765.03	0.10	2,459.43	0.06	2.125	-42.57	5.61
65	CAFE DE CORAL H (0341)	429.56	0.02	2,458.65	0.06	4.500	35.34	9.71
66	COFCO INTL (0506)	1,922.23	0.11	2,416.61	0.06	1.540	-9.94	11.25
67	ORIENTAL PRESS (0018)	1,222.36	0.07	2,325.98	0.06	0.970	-14.91	8.65
68	EGANAGOLDPFEL (0048)	1,354.96	0.07	2,252.61	0.06	0.194	13.45	18.83

Turnover, market capitalisation and PE ratio of HSHKCI stocks (2001) (continued)

End of 2001

Rank	Company (stock code)	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
69	INTL BK OF ASIA (0636)	2,070.42	0.11	2,215.38	0.06	1.890	-8.92	9.06
70	ROADSHOW (0888)	1,510.13	0.08	2,012.47	0.05	2.050	-99.98	-
71	HAECO (0044)	192.41	0.01	2,002.04	0.05	12.000	-13.04	5.43
72	GUANGNAN (HOLD) (1203)	4,891.51	0.27	1,940.27	0.05	0.217	85.47	0.23
73	PAC CENTURY INS (0065)	1,692.92	0.09	1,798.94	0.05	2.175	-2.42	11.87
74	TECHTRONIC IND (0669)	623.62	0.03	1,795.05	0.05	3.125	87.13	9.19
75	CHINESE EST H (0127)	260.85	0.01	1,715.43	0.04	0.720	-17.24	-
76	LIU CHONG HING (0194)	151.60	0.01	1,648.40	0.04	4.350	-6.95	4.45
77	VARITRONIX INTL (0710)	1,823.74	0.10	1,535.24	0.04	5.200	-26.76	7.54
78	SUN HUNG KAI CO (0086)	168.33	0.01	1,534.31	0.04	1.020	-23.31	10.20
79	SHUI ON CONS (0983)	660.53	0.04	1,494.40	0.04	5.650	-36.52	2.92
80	QPL INT'L (0243)	4,330.25	0.24	1,493.43	0.04	2.800	-37.43	1.93
81	SHUN TAK HOLD (0242)	719.27	0.04	1,491.79	0.04	0.960	3.23	5.34
82	SW KINGSWAY (0188)	633.62	0.03	1,488.34	0.04	1.440	56.52	6.94
83	ALLIED GROUP (0373)	177.91	0.01	1,466.24	0.04	0.430	-4.44	-
84	EFORCE HOLDINGS (0943)	1,205.20	0.07	1,426.28	0.04	1.220	7.96	-
85	WONG'S INT'L (0099)	503.55	0.03	1,365.10	0.04	2.925	25.81	1.64
86	CHINA AEROSPACE (0031)	1,836.03	0.10	1,328.30	0.03	0.620	-11.43	-
87	NEXT MEDIA (0282)	545.44	0.03	1,283.01	0.03	1.040	-43.01	-
88	MOULIN INT'L (0389)	426.87	0.02	1,273.37	0.03	0.630	-	6.33
89	HK PHARMA (0182)	375.38	0.02	1,258.89	0.03	0.910	7.06	-
90	VITASOY INT'L (0345)	408.38	0.02	1,225.47	0.03	1.260	18.87	9.58
91	VTECH HOLDINGS (0303)	1,554.00	0.09	1,217.85	0.03	5.400	-24.48	-
92	GLORIOUS SUN (0393)	179.92	0.01	1,170.68	0.03	1.170	4.46	6.43
93	VISION CENTURY (0535)	226.77	0.01	1,167.55	0.03	0.700	-20.45	-
94	LIPPO CHINA RES (0156)	1,748.30	0.10	1,159.34	0.03	0.126	-18.71	-
95	AEON CREDIT (0900)	173.51	0.01	1,151.61	0.03	2.750	15.79	5.48
96	SHENZHEN HI-TEC (0106)	1,480.69	0.08	1,096.78	0.03	0.172	-22.52	-
97	IDT INT'L (0167)	205.13	0.01	1,076.55	0.03	0.520	-16.13	7.90
98	E&E INT'L (0033)	339.78	0.02	1,045.81	0.03	0.890	17.41	9.91
99	ALLIED PPT (HK) (0056)	153.92	0.01	1,013.74	0.03	0.233	-29.39	-
100	CCT TELECOM (0138)	1,849.85	0.10	1,013.05	0.03	2.400	-38.46	3.31
101	CULTURECOM HOLD (0343)	2,401.60	0.13	952.97	0.02	0.350	-36.36	-
102	TRULY INT'L (0732)	36.04	0.00	910.73	0.02	2.050	-28.07	11.05
103	SUNDAY COMM (0866)	748.95	0.04	882.05	0.02	0.295	-46.36	-
104	SUN TEL CYBER (0307)	1,659.84	0.09	831.74	0.02	0.109	-40.11	-
105	SUN MAN TAI (0433)	3,563.31	0.20	829.19	0.02	0.360	-61.29	26.28
106	COSCO INTL HOLD (0517)	1,183.18	0.06	822.69	0.02	0.590	-31.40	26.94
107	TCC INT'L HOLD (1136)	528.72	0.03	793.47	0.02	1.000	-33.33	6.98
108	GOLD PEAK (0040)	41.01	0.00	765.94	0.02	1.440	-25.77	9.84
109	SA SA INT'L (0178)	117.19	0.01	742.26	0.02	0.560	-34.88	10.05
110	CELESTIAL ASIA (1049)	360.72	0.02	703.38	0.02	0.110	-57.69	5.50
111	CONTINENTAL MAR (0119)	205.81	0.01	681.06	0.02	0.840	-25.00	-
112	REGAL INT'L (0078)	122.81	0.01	677.47	0.02	0.172	-14.43	-
113	HANNY HOLDINGS (0275)	840.61	0.05	660.44	0.02	0.103	-58.30	-
114	LAI SUN DEV (0488)	25.65	0.00	621.84	0.02	0.166	-29.96	-
115	E-NEW MEDIA (0128)	685.61	0.04	610.74	0.02	0.370	-38.33	-
116	AUTOMATED SYS (0771)	126.58	0.01	605.33	0.02	2.100	-22.22	8.14
117	CHINA ONLINE (0383)	360.40	0.02	594.33	0.02	0.064	-30.43	0.45
118	QUALITY HEALTH (0593)	222.64	0.01	513.94	0.01	0.237	-71.27	-
119	SILVERNET GROUP (0622)	195.19	0.01	461.30	0.01	0.127	-47.74	-
120	ANANDA WING ON (1189)	1,349.49	0.07	454.07	0.01	0.050	-49.49	4.67
121	DICKSON CONCEPT (0113)	117.63	0.01	423.15	0.01	1.650	-41.59	7.54
122	HIKARI TSUSHIN (0603)	648.91	0.04	382.43	0.01	0.082	-60.58	-
123	E-KONG GROUP (0524)	1,095.93	0.06	211.47	0.01	0.045	-74.29	56.25
124	CHINA BIO-MEDIC (0140)	4,590.04	0.25	111.63	0.00	0.018	-90.53	-
Total		1,034,112.14	56.79	2,724,923.26	70.13			
Equity total		1,821,114.84	100.00	3,885,342.08	100.00			

* As compared with previous year.

Turnover, market capitalisation and PE ratio of HSMLCI stocks (2001)

End of 2001

Rank	Company (stock code)	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
1	CHINA MOBILE (0941)	164,772.00	9.05	510,718.37	13.14	27.450	-35.56	23.26
2	CHINA UNICOM (0762)	64,067.69	3.52	107,955.77	2.78	8.600	-28.03	31.65
3	CNOOC (0883)	23,344.51	1.28	60,374.12	1.55	7.350	-99.93	4.79
4	LEGEND HOLD (0992)	27,785.22	1.53	29,904.06	0.77	3.975	-18.88	34.48
5	PETROCHINA - H SHARES (0857)	34,264.32	1.88	24,263.74	0.62	1.380	6.15	4.55
6	SINOPEC CORP - H SHARES (0386)	24,074.71	1.32	17,955.12	0.46	1.070	-13.71	4.30
7	SHANGHAI IND H (0363)	7,781.49	0.43	12,840.70	0.33	14.300	0.70	11.24
8	CHINA MER HOLD (0144)	9,270.29	0.51	10,267.36	0.26	5.000	-11.50	11.64
9	COSCO PACIFIC (1199)	10,877.78	0.60	8,623.69	0.22	4.025	-33.47	7.74
10	DENWAY MOTORS (0203)	10,403.32	0.57	8,111.75	0.21	2.425	96.20	15.80
11	TINGYI (0322)	634.57	0.03	7,321.20	0.19	1.310	45.56	23.43
12	HUANENG POWER - H SHARES (0902)	9,711.24	0.53	7,050.00	0.18	4.700	24.50	11.20
13	CHINA TRAVEL HK (0308)	6,621.99	0.36	6,794.52	0.17	1.610	64.29	34.70
14	BEIJING ENT (0392)	4,139.28	0.23	5,976.00	0.15	9.600	35.21	11.58
15	BRILLIANCE CHI (1114)	9,539.44	0.52	5,242.46	0.13	1.430	-32.71	4.99
16	TEXWINCA HOLD (0321)	1,844.19	0.10	4,740.61	0.12	3.725	164.18	10.25
17	CHAODA MODERN (0682)	4,197.23	0.23	4,224.00	0.11	2.200	70.54	7.46
18	GLOBAL BIO-CHEM (0809)	5,908.36	0.32	4,193.56	0.11	2.725	-99.97	11.36
19	GUANGDONG INV (0270)	1,277.48	0.07	3,952.40	0.10	0.770	-14.44	-
20	NEW WORLD CHINA (0917)	1,150.97	0.06	3,701.76	0.10	2.500	13.64	17.69
21	BEIJING DATANG - H SHARES (0991)	9,585.79	0.53	3,576.67	0.09	2.500	23.46	9.96
22	SHENZHEN INT'L (0152)	5,236.10	0.29	3,476.72	0.09	0.310	-	27.68
23	TCL INT'L HOLD (1070)	4,992.64	0.27	3,264.59	0.08	1.300	-26.97	7.31
24	GLOBAL TECH (0143)	1,037.45	0.06	2,993.76	0.08	0.630	-30.00	6.11
25	DIGITAL CHINA (0861)	2,865.06	0.16	2,919.51	0.08	3.400	-99.97	18.16
26	CNPC(HONG KONG) (0135)	3,848.56	0.21	2,859.42	0.07	0.580	24.73	4.51
27	ZHEJIANGEXPRESS - H SHARES (0576)	4,398.63	0.24	2,753.00	0.07	1.920	54.84	14.13
28	SE ASIA WOOD (1205)	568.51	0.03	2,692.40	0.07	1.270	284.85	22.28
29	CHINA SOUTH AIR - H SHARES (1055)	6,488.79	0.36	2,641.90	0.07	2.250	-5.26	16.05
30	YANZHOU COAL - H SHARES (1171)	8,379.16	0.46	2,524.50	0.06	2.475	15.12	9.12
31	SHENZHEN INVEST (0604)	2,638.05	0.14	2,523.32	0.06	2.325	61.46	8.68
32	GUANGZHOU INV (0123)	5,589.55	0.31	2,482.74	0.06	0.620	6.90	21.91
33	BEIJING AIRPORT - H SHARES (0694)	3,810.25	0.21	2,476.92	0.06	1.840	5.14	15.08
34	NEW WORLD INFRA (0301)	1,944.93	0.11	2,437.69	0.06	2.850	-39.68	-
35	SHANDONG POWER - H SHARES (1071)	5,540.70	0.30	2,389.82	0.06	1.670	35.77	6.96
36	KINGBOARD CHEM (0148)	698.95	0.04	2,360.89	0.06	5.000	37.93	5.78
37	CHI RES BEIJING (1109)	5,899.85	0.32	2,292.73	0.06	1.530	-6.13	23.54
38	HENGAN INT'L (1044)	250.50	0.01	2,239.45	0.06	2.250	30.81	10.75
39	JIANGSU EXPRESS - H SHARES (0177)	2,763.93	0.15	2,089.62	0.05	1.710	39.02	12.90
40	PACIFIC CONCORD (0438)	682.55	0.04	1,963.34	0.05	0.610	5.17	14.45
41	TRAVELSKY TECH - H SHARES (0696)	6,645.76	0.36	1,865.12	0.05	6.000	-99.94	14.32
42	GUANGSHEN RAIL - H SHARES (0525)	4,715.67	0.26	1,832.06	0.05	1.280	31.96	11.97
43	CHINA RAREEARTH (0769)	2,292.87	0.13	1,791.80	0.05	2.200	77.42	9.49
44	HENDERSON CHINA (0246)	742.06	0.04	1,788.39	0.05	3.600	9.09	10.66
45	SHANGHAI PECHEM - H SHARES (0338)	7,408.54	0.41	1,631.00	0.04	0.700	-10.26	6.24
46	CHINA SHIP DEV - H SHARES (1138)	5,947.02	0.33	1,607.04	0.04	1.240	5.08	13.90
47	HESHUN HOLDINGS (0285)	155.25	0.01	1,599.60	0.04	0.860	59.26	7.28
48	ROAD KING INFRA (1098)	128.36	0.01	1,554.71	0.04	3.075	-12.14	4.03
49	GZI TRANSPORT (1052)	286.47	0.02	1,504.20	0.04	1.440	23.08	5.29
50	CHINA EAST AIR - H SHARES (0670)	6,200.76	0.34	1,472.93	0.04	0.940	-23.58	27.65
51	TIAN AN (0028)	1,127.29	0.06	1,426.44	0.04	0.168	25.37	12.09
52	FOUNDER HOLD (0418)	4,500.10	0.25	1,404.75	0.04	1.250	-42.53	7.71
53	YIZHENG CHEM - H SHARES (1033)	9,497.04	0.52	1,330.00	0.03	0.950	-34.93	4.80
54	SHENZHENEXPRESS - H SHARES (0548)	2,924.53	0.16	1,323.08	0.03	1.770	84.38	10.43
55	TIANJIN DEV (0882)	2,066.76	0.11	1,321.61	0.03	1.950	-7.14	7.76
56	APT SATELLITE (1045)	283.73	0.02	1,310.39	0.03	3.175	4.96	9.27
57	TOP GLORY INT'L (0268)	1,553.64	0.09	1,243.94	0.03	0.465	-1.06	19.06
58	QINGLING MOTORS - H SHARES (1122)	5,825.36	0.32	1,145.55	0.03	1.160	18.37	4.81
59	CHINA RES LOGIC (1193)	412.50	0.02	1,131.62	0.03	0.750	19.05	-
60	ASIA ALUMINUM (0930)	415.90	0.02	1,115.86	0.03	0.495	-12.39	5.49
61	JIANGXI COPPER - H SHARES (0358)	3,607.79	0.20	1,052.40	0.03	0.910	31.88	21.67
62	ZHENHAI REFIN - H SHARES (1128)	3,132.58	0.17	1,042.21	0.03	1.440	35.85	9.16
63	MAANSHAN IRON - H SHARES (0323)	10,232.25	0.56	1,039.76	0.03	0.600	62.16	40.27
64	STONE ELECTRON (0409)	2,606.13	0.14	994.81	0.03	0.830	-30.25	-
65	CHAMPION TECH (0092)	893.23	0.05	987.18	0.03	0.081	-27.03	3.79
66	SKYWORTHDIGITAL (0751)	917.22	0.05	943.00	0.02	0.460	21.05	-
67	CHINA PHARMA (1093)	1,674.08	0.09	868.31	0.02	0.700	-9.09	10.79
68	SHANXI PHARMA (0327)	1,025.23	0.06	808.50	0.02	0.385	-17.20	17.99

Turnover, market capitalisation and PE ratio of HSMLCI stocks (2001) (continued)

End of 2001

Rank	Company (stock code)	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
69	ANGANG NEWSTEEL - H SHARES (0347)	7,422.27	0.41	801.00	0.02	0.900	26.76	5.09
70	CHINA EB INT'L (0257)	831.61	0.05	789.98	0.02	0.310	5.08	-
71	GREAT WALL TECH - H SHARES (0074)	2,958.36	0.16	717.12	0.02	1.580	-38.04	8.72
72	SOFTBANK INV (0648)	1,795.72	0.10	700.72	0.02	0.310	-67.02	-
73	BEIJING YANHUA - H SHARES (0325)	4,100.59	0.23	667.92	0.02	0.660	-15.38	6.80
74	GUANGDONG KELON - H SHARES (0921)	3,474.50	0.19	569.89	0.01	1.240	-3.88	-
75	COMPUTER & TECH (0046)	2,128.35	0.12	561.30	0.01	2.075	-50.89	26.91
76	GLOBAL CHI TECH (1105)	281.73	0.02	529.32	0.01	0.360	-30.77	-
77	CHINA STRATEGIC (0235)	43.47	0.00	184.39	0.00	0.400	-76.47	-
Total		609,140.81	33.45	939,828.09	24.19			
Equity total		1,821,114.84	100.00	3,885,342.08	100.00			

* As compared with previous year.

Turnover, market capitalisation and PE ratio of HSCEI stocks (2001)

End of 2001

Rank	Company (stock code)	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
1	PETROCHINA - H SHARES (0857)	34,264.32	1.88	24,263.74	0.62	1.380	6.15	4.55
2	SINOPEC CORP - H SHARES (0386)	24,074.71	1.32	17,955.12	0.46	1.070	-13.71	4.30
3	HUANENG POWER - H SHARES (0902)	9,711.24	0.53	7,050.00	0.18	4.700	24.50	11.20
4	BEIJING DATANG - H SHARES (0991)	9,585.79	0.53	3,576.67	0.09	2.500	23.46	9.96
5	ZHEJIANGEXPRESS - H SHARES (0576)	4,398.63	0.24	2,753.00	0.07	1.920	54.84	14.13
6	CHINA SOUTH AIR - H SHARES (1055)	6,488.79	0.36	2,641.90	0.07	2.250	-5.26	16.05
7	YANZHOU COAL - H SHARES (1171)	8,379.16	0.46	2,524.50	0.06	2.475	15.12	9.12
8	BEIJING AIRPORT - H SHARES (0694)	3,810.25	0.21	2,476.92	0.06	1.840	5.14	15.08
9	SHANDONG POWER - H SHARES (1071)	5,540.70	0.30	2,389.82	0.06	1.670	35.77	6.96
10	JIANGSU EXPRESS - H SHARES (0177)	2,763.93	0.15	2,089.62	0.05	1.710	39.02	12.90
11	TRAVELSKY TECH - H SHARES (0696)	6,645.76	0.36	1,865.12	0.05	6.000	-99.94	14.32
12	GUANGSHEN RAIL - H SHARES (0525)	4,715.67	0.26	1,832.06	0.05	1.280	31.96	11.97
13	SHANGHAI PEICHEM - H SHARES (0338)	7,408.54	0.41	1,631.00	0.04	0.700	-10.26	6.24
14	CHINA SHIP DEV - H SHARES (1138)	5,947.02	0.33	1,607.04	0.04	1.240	5.08	13.90
15	CHINA EAST AIR - H SHARES (0670)	6,200.76	0.34	1,472.93	0.04	0.940	-23.58	27.65
16	YIZHENG CHEM - H SHARES (1033)	9,497.04	0.52	1,330.00	0.03	0.950	-34.93	4.80
17	SHENZHENEXPRESS - H SHARES (0548)	2,924.53	0.16	1,323.08	0.03	1.770	84.38	10.43
18	QINGLING MOTORS - H SHARES (1122)	5,825.36	0.32	1,145.55	0.03	1.160	18.37	4.81
19	JIANGXI COPPER - H SHARES (0358)	3,607.79	0.20	1,052.40	0.03	0.910	31.88	21.67
20	ZHENHAI REFIN - H SHARES (1128)	3,132.58	0.17	1,042.21	0.03	1.440	35.85	9.16
21	MAANSHAN IRON - H SHARES (0323)	10,232.25	0.56	1,039.76	0.03	0.600	62.16	40.27
22	ANGANG NEWSTEEL - H SHARES (0347)	7,422.27	0.41	801.00	0.02	0.900	26.76	5.09
23	GREAT WALL TECH - H SHARES (0074)	2,958.36	0.16	717.12	0.02	1.580	-38.04	8.72
24	BEIJING YANHUA - H SHARES (0325)	4,100.59	0.23	667.92	0.02	0.660	-15.38	6.80
25	GUANGDONG KELON - H SHARES (0921)	3,474.50	0.19	569.89	0.01	1.240	-3.88	-
Total		193,110.54	10.60	85,818.37	2.21			
Equity total		1,821,114.84	100.00	3,885,342.08	100.00			

* As compared with previous year.

Turnover, market capitalisation and PE ratio of HSCCI stocks (2001)

End of 2001

Rank	Company (stock code)	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
1	CHINA MOBILE (0941)	164,772.00	9.05	510,718.37	13.14	27.450	-35.56	23.26
2	CHINA UNICOM (0762)	64,067.69	3.52	107,955.77	2.78	8.600	-28.03	31.65
3	CNOOC (0883)	23,344.51	1.28	60,374.12	1.55	7.350	-99.93	4.79
4	LEGEND HOLD (0992)	27,785.22	1.53	29,904.06	0.77	3.975	-18.88	34.48
5	SHANGHAI IND H (0363)	7,781.49	0.43	12,840.70	0.33	14.300	0.70	11.24
6	CHINA MER HOLD (0144)	9,270.29	0.51	10,267.36	0.26	5.000	-11.50	11.64
7	COSCO PACIFIC (1199)	10,877.78	0.60	8,623.69	0.22	4.025	-33.47	7.74
8	DENWAY MOTORS (0203)	10,403.32	0.57	8,111.75	0.21	2.425	96.20	15.80
9	CHINA TRAVEL HK (0308)	6,621.99	0.36	6,794.52	0.17	1.610	64.29	34.70
10	BEIJING ENT (0392)	4,139.28	0.23	5,976.00	0.15	9.600	35.21	11.58
11	BRILLIANCE CHI (1114)	9,539.44	0.52	5,242.46	0.13	1.430	-32.71	4.99
12	GUANGDONG INV (0270)	1,277.48	0.07	3,952.40	0.10	0.770	-14.44	-
13	SHENZHEN INT'L (0152)	5,236.10	0.29	3,476.72	0.09	0.310	-	27.68
14	TCL INT'L HOLD (1070)	4,992.64	0.27	3,264.59	0.08	1.300	-26.97	7.31
15	DIGITAL CHINA (0861)	2,865.06	0.16	2,919.51	0.08	3.400	-99.97	18.16
16	CNPC(HONG KONG) (0135)	3,848.56	0.21	2,859.42	0.07	0.580	24.73	4.51
17	SHENZHEN INVEST (0604)	2,638.05	0.14	2,523.32	0.06	2.325	61.46	8.68
18	GUANGZHOU INV (0123)	5,589.55	0.31	2,482.74	0.06	0.620	6.90	21.91
19	CHI RES BEIJING (1109)	5,899.85	0.32	2,292.73	0.06	1.530	-6.13	23.54
20	GZI TRANSPORT (1052)	286.47	0.02	1,504.20	0.04	1.440	23.08	5.29
21	FOUNDER HOLD (0418)	4,500.10	0.25	1,404.75	0.04	1.250	-42.53	7.71
22	TIANJIN DEV (0882)	2,066.76	0.11	1,321.61	0.03	1.950	-7.14	7.76
23	TOP GLORY INT'L (0268)	1,553.64	0.09	1,243.94	0.03	0.465	-1.06	19.06
24	CHINA RES LOGIC (1193)	412.50	0.02	1,131.62	0.03	0.750	19.05	-
25	STONE ELECTRON (0409)	2,606.13	0.14	994.81	0.03	0.830	-30.25	-
26	CHINA PHARMA (1093)	1,674.08	0.09	868.31	0.02	0.700	-9.09	10.79
27	CHINA EB INT'L (0257)	831.61	0.05	789.98	0.02	0.310	5.08	-
Total		384,881.63	21.14	799,839.45	20.59			
Equity total		1,821,114.84	100.00	3,885,342.08	100.00			

* As compared with previous year.

Turnover and market capitalisation of China enterprises (H shares) (1997 – 2001)

Year/Month	No. of issues	Turnover				Market capitalisation		
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total	
1997	39	111,936.68	10.28	297,769.58	8.48	48,622.01	1.52	
1998	41	64,739.85	11.97	73,538.67	4.61	33,532.66	1.26	
1999	44	76,610.60	7.28	102,788.51	5.80	41,888.78	0.89	
2000	47	117,925.78	5.07	164,309.62	5.39	85,139.58	1.78	
2001	50	174,626.28	11.73	245,201.03	12.57	99,813.09	2.57	
2001	Jan	47	6,507.95	6.83	8,771.76	4.91	83,427.98	1.64
	Feb	48	17,326.92	15.04	24,108.24	13.28	96,828.09	2.01
	Mar	48	14,155.16	13.77	16,819.78	9.13	96,995.41	2.32
	Apr	48	19,353.08	19.05	24,527.98	16.70	109,986.77	2.48
	May	48	23,720.30	12.61	37,436.94	17.66	123,991.82	2.76
	Jun	48	27,799.94	11.83	46,615.63	21.81	123,737.20	2.78
	Jul	48	12,165.53	11.08	17,532.21	13.45	106,376.58	2.53
	Aug	48	11,018.44	9.42	14,445.10	10.02	97,738.38	2.57
	Sep	48	10,174.07	11.67	12,151.31	8.86	92,399.60	2.74
	Oct	48	13,273.17	12.33	16,137.90	11.59	101,954.04	2.94
	Nov	48	12,222.83	10.12	16,428.71	10.26	98,472.05	2.56
	Dec	50	6,908.89	6.39	10,225.46	8.39	99,813.09	2.57

Note: (1) Market capitalisation represents the value of the H shares only, not that of the entire issued capital of the enterprise.
(2) Figures are included the H shares listed on Main Board only.

Turnover and market capitalisation of red chip stocks (1997 – 2001)

Year/Month	No. of issues	Turnover				Market capitalisation		
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total	
1997	59	229,261.07	12.97	1,043,672.51	27.55	472,970.42	14.77	
1998	63	122,665.28	15.03	369,386.79	21.71	334,966.21	12.58	
1999	67	156,387.58	11.23	354,818.00	18.52	956,942.33	20.24	
2000	68	152,011.00	6.54	674,856.93	22.14	1,203,551.95	25.10	
2001	68	165,860.55	11.14	497,246.00	25.50	908,854.82	23.39	
2001	Jan	67	5,990.61	6.29	40,952.00	22.92	1,372,916.48	27.01
	Feb	68	11,218.65	9.74	39,896.53	21.98	1,286,092.27	26.68
	Mar	67	10,541.10	10.25	45,181.53	24.53	1,043,172.83	24.96
	Apr	67	16,890.17	16.62	35,972.46	24.49	1,179,640.54	26.56
	May	67	27,800.26	14.77	63,202.17	29.81	1,218,275.41	27.16
	Jun	68	35,020.52	14.90	64,824.16	30.33	1,281,500.60	28.80
	Jul	67	10,142.75	9.24	32,306.82	24.78	1,132,164.73	26.96
	Aug	67	7,893.64	6.75	40,566.15	28.13	859,100.91	22.56
	Sep	67	7,571.74	8.69	30,834.49	22.47	818,881.46	24.27
	Oct	67	11,330.53	10.52	34,930.15	25.08	811,881.78	23.39
	Nov	68	13,367.04	11.07	40,362.82	25.22	914,241.10	23.80
	Dec	68	8,093.54	7.48	28,216.72	23.15	908,854.82	23.39

Note: Figures are included the red chips stocks listed on Main Board only.

Average dividend yields of AOI stocks* (1997 – 2001)

(%)

Year/Month	All	Consolidated							
		AOI stocks	Finance	Utilities	Properties	enterprises	Industrials	Hotels	Miscellaneous
1997		3.51	3.29	3.05	4.65	3.62	2.42	3.96	1.04
1998		3.69	3.79	3.97	3.41	3.73	3.10	5.28	1.93
1999		2.20	3.12	1.68	2.04	1.80	2.46	1.63	0.48
2000		2.01	2.68	3.44	2.35	1.01	2.94	2.31	3.59
2001		2.92	4.24	4.43	2.58	1.55	3.50	2.96	5.15
2001	Jan	1.90	2.59	3.59	2.25	0.92	2.84	2.35	0.94
	Feb	2.20	3.45	3.60	2.18	1.02	2.83	2.29	0.85
	Mar	2.62	3.92	4.57	2.62	1.33	2.72	2.38	1.85
	Apr	2.53	3.60	4.60	2.64	1.25	3.02	2.43	4.27
	May	2.51	3.67	4.61	2.59	1.23	2.83	2.49	3.59
	Jun	2.53	3.84	4.45	2.62	1.21	2.92	2.59	4.04
	Jul	2.69	4.00	4.61	2.75	1.32	3.05	2.71	4.47
	Aug	3.07	4.22	4.34	2.88	1.72	3.59	2.83	4.96
	Sep	3.41	4.67	4.51	3.45	1.88	3.95	3.80	5.67
	Oct	3.29	4.55	4.52	3.17	1.83	3.69	3.51	5.02
	Nov	2.96	4.13	4.43	2.78	1.60	3.49	3.42	4.97
	Dec	2.92	4.24	4.43	2.58	1.55	3.50	2.96	5.15

* Month-end or year-end figures

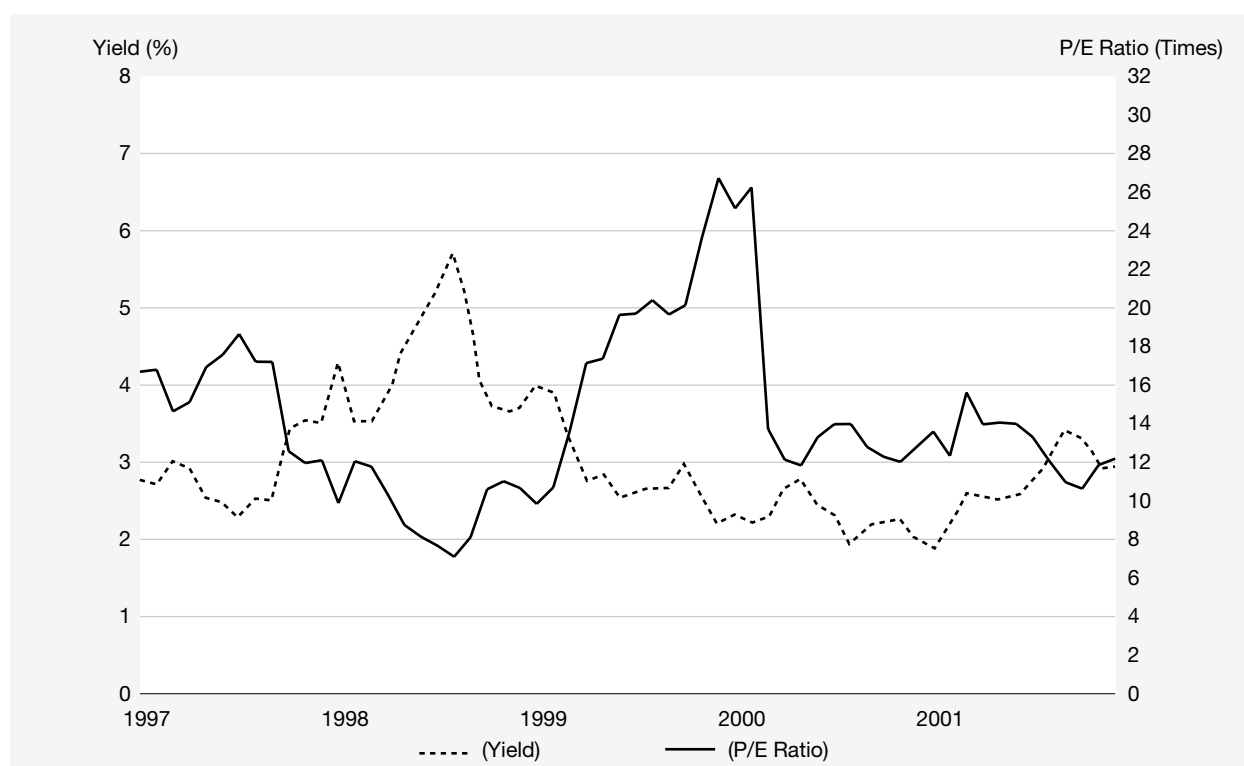
Average price earnings ratios of AOI stocks* (1997 – 2001)

(Times)

Year/Month	All	Consolidated							
		AOI stocks	Finance	Utilities	Properties	enterprises	Industrials	Hotels	Miscellaneous
1997		12.10	13.81	20.83	8.48	11.07	11.67	11.41	26.36
1998		10.66	11.94	13.05	9.36	9.73	8.48	11.57	13.18
1999		26.73	25.75	31.81	19.26	37.88	17.62	41.20	37.70
2000		12.80	22.35	17.41	7.47	12.32	9.47	19.78	8.98
2001		12.18	12.34	12.84	12.54	13.90	7.98	20.99	6.35
2001	Jan	13.59	23.17	17.31	7.78	13.45	10.07	19.42	14.05
	Feb	12.33	17.25	18.44	8.08	12.23	10.12	19.98	15.47
	Mar	15.61	15.10	13.62	12.37	21.10	10.71	27.26	13.21
	Apr	13.96	16.28	13.55	11.94	16.93	7.63	26.78	7.67
	May	14.06	15.99	13.59	12.17	17.18	7.98	26.05	9.13
	Jun	14.00	15.26	14.07	12.19	17.49	7.78	25.14	8.12
	Jul	13.32	14.73	13.56	11.75	15.97	7.84	24.51	7.34
	Aug	12.08	14.93	13.33	11.23	12.77	7.23	22.57	6.59
	Sep	10.96	13.47	12.93	9.73	11.66	6.59	16.52	5.76
	Oct	10.63	10.91	12.87	10.24	11.95	7.15	17.88	6.52
	Nov	11.85	12.03	12.84	11.65	13.65	7.84	18.36	6.58
	Dec	12.18	12.34	12.84	12.54	13.90	7.98	20.99	6.35

* Month-end or year-end figures

Comparison dividend yields and average price earnings ratios of AOI stocks (1997-2001)



Average dividend yields of HSI, HSCI, HSHKCI, HSMLCI, HSCEI and HSCCI stocks* (1997 – 2001)

Year/Month	HSI stocks	HSCI	HSHKCI	HSMLCI	HSCEI stocks	HSCCI stocks
1997	3.73	n.a.	n.a.	n.a.	n.a.	n.a.
1998	3.53	n.a.	n.a.	n.a.	n.a.	n.a.
1999	1.90	n.a.	n.a.	n.a.	n.a.	n.a.
2000	1.84	n.a.	n.a.	n.a.	n.a.	n.a.
2001	2.65	2.79	3.41	0.99	6.67	0.27
2001 Jan	1.72	n.a.	n.a.	n.a.	n.a.	n.a.
Feb	2.13	n.a.	n.a.	n.a.	n.a.	n.a.
Mar	2.58	n.a.	n.a.	n.a.	n.a.	n.a.
Apr	2.47	n.a.	n.a.	n.a.	n.a.	n.a.
May	2.51	n.a.	n.a.	n.a.	n.a.	n.a.
Jun	2.40	n.a.	n.a.	n.a.	n.a.	n.a.
Jul	2.49	n.a.	n.a.	n.a.	n.a.	n.a.
Aug	2.77	n.a.	n.a.	n.a.	n.a.	n.a.
Sep	3.05	n.a.	n.a.	n.a.	n.a.	n.a.
Oct	3.00	3.12	3.83	1.09	6.30	0.30
Nov	2.67	2.81	3.46	0.97	6.51	0.26
Dec	2.65	2.79	3.41	0.99	6.67	0.27

* Month-end or year-end figures
n.a. not available

Average price earnings ratios of HSI, HSCI, HSHKCI, HSMLCI, HSCEI and HSCCI stocks* (1997 – 2001)

	(Times)					
Year/Month	HSI stocks	HSCI	HSHKCI	HSMLCI	HSCEI stocks	HSCCI stocks
1997	12.29	n.a.	n.a.	n.a.	n.a.	n.a.
1998	12.36	n.a.	n.a.	n.a.	n.a.	n.a.
1999	27.88	n.a.	n.a.	n.a.	n.a.	n.a.
2000	12.74	n.a.	n.a.	n.a.	n.a.	n.a.
2001	15.06	13.55	12.98	15.52	6.26	18.57
2001 Jan	13.59	n.a.	n.a.	n.a.	n.a.	n.a.
Feb	12.08	n.a.	n.a.	n.a.	n.a.	n.a.
Mar	18.28	n.a.	n.a.	n.a.	n.a.	n.a.
Apr	17.68	n.a.	n.a.	n.a.	n.a.	n.a.
May	17.40	n.a.	n.a.	n.a.	n.a.	n.a.
Jun	17.65	n.a.	n.a.	n.a.	n.a.	n.a.
Jul	15.93	n.a.	n.a.	n.a.	n.a.	n.a.
Aug	14.35	n.a.	n.a.	n.a.	n.a.	n.a.
Sep	13.08	n.a.	n.a.	n.a.	n.a.	n.a.
Oct	13.34	11.94	11.36	13.99	6.63	16.45
Nov	14.90	13.20	12.49	15.73	6.57	18.69
Dec	15.06	13.55	12.98	15.52	6.26	18.57

* Month-end or year-end figures
n.a. not available

Cash dividend payout (1997 – 2001)

Year/ Month	No. of companies declared	No. of companies paid	Consolidated							Total dividend payout (HK\$mil)
			Finance (HK\$mil)	Utilities (HK\$mil)	Properties (HK\$mil)	enterprises (HK\$mil)	Industrials (HK\$mil)	Hotels (HK\$mil)	Miscellaneous (HK\$mil)	
1997	620	418	28,414.24	18,172.27	31,812.98	24,864.21	8,152.04	1,911.24	187.09	113,514.08
1998	649	405	29,789.54	19,702.73	20,202.06	20,600.25	7,469.73	2,003.24	145.03	99,912.58
1999	671	341	40,488.18	20,869.64	16,602.13	22,125.01	8,752.37	578.50	43.93	109,459.76
2000	709	521	37,253.05	8,600.59	16,678.94	20,027.35	12,392.82	764.11	1,031.79	96,748.64
2001	737	342	48,466.80	12,373.67	14,948.53	22,384.38	14,651.95	848.36	332.94	114,006.61
2001 Jan	23	5	19.54	9.58	386.38	679.05	448.01	122.83	-	1,665.39
Feb	28	19	-	-	19.26	170.74	216.88	-	-	406.88
Mar	140	80	6,161.29	307.40	-	30.07	621.22	10.00	-	7,129.99
Apr	273	104	1,015.08	1,923.05	3,125.52	522.12	360.00	10.22	-	6,956.00
May	19	7	21,476.20	3,963.47	3,594.87	7,769.77	1,547.85	104.17	30.00	38,486.33
Jun	52	29	324.86	1,696.69	654.47	5,070.93	2,859.44	179.69	265.50	11,051.57
Jul	212	77	148.53	-	83.41	327.38	2,684.17	-	29.94	3,273.43
Aug	130	53	69.86	-	20.33	349.27	1,055.32	109.67	-	1,604.46
Sep	293	88	4,858.28	870.51	217.77	1,563.77	810.36	157.07	-	8,477.77
Oct	43	20	14,121.26	2,016.88	1,418.91	4,759.50	3,426.18	135.22	7.50	25,885.45
Nov	52	21	271.89	729.58	1,520.75	980.53	161.17	11.59	-	3,675.52
Dec	220	69	-	856.49	3,906.87	161.24	461.34	7.89	-	5,393.83

Note: Foreign companies excluded

Short selling transactions by designated stock (2001)

Name	Number of shares	Value of transactions (HK\$)
AMGEN-T	4,110	1,921,290
AMOY PROPERTIES	103,111,500	884,122,150
ANHUI CONCH - H SHARES	30,000	47,700
APPL MATERIAL-T	16,550	5,979,760
ASIA SATELLITE	760,000	8,404,525
ASM PACIFIC	3,256,000	46,320,550
BANK OF E ASIA	37,229,800	687,444,690
BEIDA JADE BIRD - H SHARES	10,000	26,000
BEIJING AIRPORT - H SHARES	12,168,000	26,715,770
BEIJING DATANG - H SHARES	51,916,000	136,998,800
BEIJING ENT	4,304,000	40,951,500
BEIJING N STAR - H SHARES	2,760,000	5,374,600
BEIJING YANHUA - H SHARES	35,252,000	39,326,540
BEIREN PRINTING - H SHARES	50,000	45,500
BRILLIANCE CHI	46,052,000	94,179,560
CATHAY PAC AIR	90,369,000	850,678,750
CATIC SHENZHEN - H SHARES	20,000	16,400
CCT TELECOM	120,000	291,000
CHAODA MODERN	62,000	144,150
CHEUNG KONG	44,186,000	3,583,391,500
CHI RES BEIJING	19,292,000	39,147,760
CHINA AEROSPACE	1,798,200	1,954,044
CHINA EAST AIR - H SHARES	27,060,000	28,045,740
CHINA EB INT'L	50,000	27,500
CHINA EB LTD	28,716,000	170,264,500
CHINA EB TECH	100,000	58,000
CHINA MER HOLD	60,928,000	360,971,450
CHINA MOBILE	228,216,500	7,457,987,425
CHINA OVERSEAS	13,926,000	14,770,200
CHINA PHARMA	100,000	73,000
CHINA RESOURCES	82,592,000	886,050,300
CHINA SHIP DEV - H SHARES	28,760,000	42,850,140
CHINA SOUTH AIR - H SHARES	56,502,000	131,125,540
CHINA TRAVEL HK	12,312,000	18,571,020
CHINA UNICOM	224,298,000	2,373,173,100
CHONGQING IRON - H SHARES	250,000	204,000
CISCO-T	97,620	21,991,797
CITIC KA WAH BK	2,646,000	5,380,120
CITIC PACIFIC	39,095,000	863,770,650
CKI HOLDINGS	5,361,000	69,230,300
CLP HOLDINGS	30,160,500	1,027,658,575
CNOOC	33,940,000	256,271,975
CNPC(HONG KONG)	150,000	103,500
COMPUTER & TECH	240,000	575,500
COSCO PACIFIC	30,012,000	145,352,900
DAH SING	522,000	19,061,840
DAO HENG BANK	4,633,500	208,193,175
DELL-T	6,300	1,153,490
DENWAY MOTORS	111,890,000	287,948,480
E-NEW MEDIA	200,000	82,000
ESPRIT HOLDINGS	34,758,000	302,617,900
FIRST PACIFIC	56,420,000	99,374,090
FIRST TRACTOR - H SHARES	300,000	319,000
FOUNDER HOLD	1,558,000	2,349,660
GIORDANO INT'L	32,810,000	129,161,200
GLOBAL BIO-CHEM	320,000	760,000
GLOBAL TECH	630,000	4,657,100
GREAT EAGLE H	820,000	9,758,100
GREAT WALL TECH - H SHARES	260,000	470,920
GREENCOOL TECH	1,872,000	4,565,150
GUANGDONG KELON - H SHARES	4,800,000	8,205,250
GUANGSHEN RAIL - H SHARES	48,510,000	56,816,060
GUANGZHOU INV	4,578,000	3,808,500
GUANGZHOU PHAR - H SHARES	20,000	38,400
GUOCO GROUP	611,000	19,465,250
HANG LUNG DEV	15,215,000	104,821,300
HANG SENG BANK	43,063,100	3,702,973,700
HARBIN POWER - H SHARES	90,000	65,500
HENDERSON INV	10,269,000	61,074,400
HENDERSON LAND	32,932,000	1,241,500,600
HINET HOLDINGS	400,000	30,800
HK & CHINA GAS	37,192,000	368,585,750
HK ELECTRIC	48,915,500	1,426,592,550
HK&S HOTELS	3,968,000	12,603,747
HKCB BANK	646,000	1,849,300
HKEX	35,284,000	466,528,100
HKR INT'L	1,160,000	2,717,740
HOPEWELL HOLD	3,518,000	14,750,875
HSBC HOLDINGS	138,374,400	12,519,856,400
HUANENG POWER - H SHARES	127,492,000	560,755,650
HUTCHISON	57,379,000	4,368,910,500
HYSAN DEV	7,063,000	65,623,250
I-CABLE COMM	5,397,000	22,378,500
HUTCH HARB RING	590,000	307,400
INTEL-T	43,370	10,205,410
INTERCHINA HOLD	2,540,000	4,038,350
INTL BK OF ASIA	36,000	68,320

Short selling transactions by designated stock, 2001 (continued)

Name	Number of shares	Value of transactions (HK\$)
ISHARES KOR-TR	800	USD 11,400
JCG HOLDINGS	20,000	94,200
JIANGSU EXPRESS - H SHARES	2,788,000	5,331,260
JIANGXI COPPER - H SHARES	2,150,000	2,160,210
JILIN CHEMICAL - H SHARES	21,986,000	20,123,820
JOHNSON ELEC H	110,840,000	1,305,688,250
KERRY PPT	2,136,500	21,435,075
LEGEND HOLD	285,596,101	1,294,645,956
LI & FUNG	113,248,000	1,231,984,400
MAANSHAN IRON - H SHARES	840,000	494,900
MANULIFE	100	20,100
MICROSOFT-T	12,270	5,629,680
MTR CORPORATION	23,648,000	271,787,125
NANJING PANDA - H SHARES	2,964,000	7,758,050
NEW WORLD DEV	43,792,000	433,497,350
NEW WORLD INFRA	1,488,600	7,431,300
NORTHEAST ELEC - H SHARES	800,000	774,840
ORIENTAL PRESS	6,990,000	6,410,060
PCCW	1,841,485,200	4,848,933,660
PETROCHINA - H SHARES	542,362,000	849,226,840
QINGLING MOTORS - H SHARES	82,308,000	123,702,780
QPL INT'L	3,243,000	14,685,800
SCMP GROUP	2,130,000	10,700,450
SHANDONG POWER - H SHARES	4,118,000	7,243,130
SHANGHAI IND H	14,660,000	198,121,050
SHANGHAI PECEM - H SHARES	25,210,000	26,939,420
SHANGRI-LA ASIA	8,274,000	56,358,050
SHENYANG PUBLIC - H SHARES	20,000	33,000
SHENZHEN HI-TEC	160,000	24,800
SHENZHEN INT'L	500,000	154,500
SHENZHENEXPRESS - H SHARES	32,656,000	58,604,660
SHK PPT	60,269,000	4,007,214,850
SHOUGANG INT'L	300,000	103,500
SICHUAN EXPRESS - H SHARES	200,000	160,000
SINO LAND	23,902,000	70,361,000
SINOPEC CORP - H SHARES	323,196,000	443,114,280
SMARTONE TELE	2,906,000	31,624,650
SOFTBANK INV	96,364,000	35,269,070
STARBUCKS-T	3,760	724,210
STONE ELECTRON	560,000	652,920
SUNDAY COMM	3,267,000	2,149,520
SWIRE PACIFIC 'A'	32,618,000	1,466,294,300
SWIRE PACIFIC 'B'	10,362,500	66,501,626
TCL INT'L HOLD	35,770,000	50,866,820
TIAN AN	700,000	107,100
TINGYI	38,000	41,240
TOM.COM	444,000	1,613,550
TRACKER FUND	43,136,000	568,616,675
TRAVELSKY TECH - H SHARES	6,319,000	37,468,150
TSINGTAO BREW - H SHARES	5,996,000	14,726,750
TVB	5,605,000	164,245,800
VANDA SYSTEMS	550,000	504,900
VARITRONIX INTL	2,878,000	15,224,675
VTECH HOLDINGS	2,002,000	8,083,775
WHARF HOLDINGS	63,885,000	1,148,593,650
WHEELOCK	13,568,000	86,583,500
WING HANG BANK	3,000	78,500
WING LUNG BANK	16,400	556,840
YANZHOU COAL - H SHARES	15,118,000	40,004,350
YIZHENG CHEM - H SHARES	39,682,000	60,039,000
YUE YUEN IND	232,000	3,283,700
ZHEJIANGEXPRESS - H SHARES	26,712,000	49,413,840
Total	6,228,395,181	65,627,250,935

Note: Total value of transaction was excluded the foreign currency for trading

Monthly short selling transactions (2001)

	No. of designated securities (month-end figure)	Number of shares	Value of transactions (HK\$)	No. of designated securities recording short selling
Jan	217	317,804,540	4,496,379,710	65
Feb	217	419,317,940	5,687,715,605	77
Mar	217	421,371,050	7,017,679,705	80
Apr	221	569,189,651	4,815,109,756	76
May	227	772,887,360	6,171,900,722	87
Jun	227	607,810,950	4,978,855,649	94
Jul	227	328,760,900	4,377,532,590	82
Aug	227	394,441,150	4,560,257,240	86
Sep	225	656,013,980	6,310,110,176	86
Oct	225	587,460,320	6,215,271,350	86
Nov	225	785,401,320	6,573,502,982	77
Dec	157	367,936,020	4,423,024,370	90
Total		6,228,395,181	65,627,339,855	

Newly listed companies

Ordinary companies

Code	Company	Listing method / Business sector	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date / subscription rate (times)	Sponsor
0039	Wealthmark International (Holdings) Ltd	Offer for subscription Offer for sale Offer for placing Industrials	8,000,000 10,000,000 32,000,000	1.000	50.00	2001/01/16 1.97	Yuanta Securities (Hong Kong) Co Ltd
0381	Kiu Hung International Holdings Ltd	Offer for subscription Offer for placing Industrials	11,000,000 44,000,000	1.000	55.00	2001/01/22 1.15	Sun Hung Kai International Ltd
0696	TravelSky Technology Ltd - H Shares	Offer for subscription Offer for placing Consolidated enterprises	19,765,000 291,089,000	4.100	1,274.50	2001/02/07 7.14	Goldman Sachs (Asia) LLC
0883	CNOOC Ltd	Offer for subscription Offer for sale Offer for placing Industrials	82,121,500 200,000,000 1,574,468,400	5.95	11,046.71	2001/02/28 3.17	BOCI Asia Ltd Merril Lynch Far East Ltd
0809	Global Bio-chem Technology Group Co Ltd	Offer for subscription Offer for placing Industrials	32,000,000 288,000,000	1.020	326.40	2001/03/16 3.00	Deloitte & Touche Corporate Finance Ltd
0090	Gold Wo International Holdings Ltd	Offer for subscription Offer for sale Offer for placing Industrials	20,160,000 28,000,000 51,840,000	0.500	50.00	2001/04/12 1.13	Kim Eng Capital (Hong Kong) Ltd
0346	Luen Tai Group Ltd	Offer for subscription Offer for placing Industrials	20,000,000 30,000,000	1.000	50.00	2001/04/19 1.19	Sun Hung Kai International Ltd
0362	Sunlord Chemical Group Ltd	Offer for subscription Offer for sale Offer for placing Industrials	40,000,000 80,000,000 80,000,000	0.250	50.00	2001/05/02 4.52	Yuanta Securities (Hong Kong) Co Ltd
0290	Yew Sang Hong (Holdings) Ltd	Offer for subscription Offer for sale Offer for placing Industrials	10,000,000 20,000,000 20,000,000	1.000	50.00	2001/05/03 1.08	RexCapital (Hong Kong) Ltd
1213	Mobicon Group Ltd	Offer for subscription Offer for placing Consolidated enterprises	30,000,000 20,000,000	1.000	50.00	2001/05/07 1.20	Oriental Patron Asia Ltd
0329	Golden Dragon Group (Holdings) Ltd	Offer for subscription Offer for sale Offer for placing Industrials	14,600,000 41,400,000 90,000,000	0.800	116.80	2001/05/09 29.95	DBS Asia Capital Ltd
0861	Digital China Holdings Ltd	Offer for subscription Offer for sale Offer for placing Consolidated enterprises	35,304,000 159,362,278 66,194,722	3.680	959.97	2001/06/01 82.90	Goldman Sachs (Asia) L.L.C.
0889	Datronix Holdings Ltd	Offer for subscription Offer for placing Industrials	24,000,000 56,000,000	1.000	80.00	2001/06/22 38.50	Oriental Patron Asia Ltd
0888	RoadShow Holdings Ltd	Offer for subscription Offer for placing Consolidated enterprises	120,000,000 141,694,000	2.250	588.81	2001/06/28 155.88	CLSA Ltd
0885	Forefront International Holdings Ltd	Offer for subscription Offer for placing Consolidated enterprises	40,000,000 67,500,000	1.080	116.10	2001/07/12 76.60	Worldsec Corporate Finance Ltd
0932	Euro-Asia Agricultural (Holdings) Co Ltd	Offer for subscription Offer for placing Industrials	160,000,000 300,000,000	1.480	680.80	2001/07/19 79.26	ICEA Capital Ltd
0353	Jackley Holdings Ltd	Offer for subscription Offer for sale Offer for placing Industrials	24,900,000 27,100,000 72,500,000	0.800	99.60	2001/07/26 2.00	Core Pacific - Yamaichi Capital Ltd Celestial Capital Ltd
0009	Mandarin Entertainment (Holdings) Ltd	Offer for subscription Offer for placing Consolidated enterprises	26,250,000 48,750,000	0.900	67.50	2001/09/12 11.48	Anglo Chinese Corporate Finance Ltd

Newly listed companies (continued)

Ordinary companies (continued)

Code	Company	Listing method / Business sector	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date / subscription rate (times)	Sponsor
1228	Tak Shun Technology Group Ltd	Offer for subscription Offer for placing Industrials	24,000,000 96,000,000	0.500	60.00	2001/09/18 2.62	Kingston Corporate Finance Ltd
0318	Kamboat Group Co Ltd	Offer for subscription Offer for placing Consolidated enterprises	11,500,000 103,500,000	0.500	57.50	2001/10/09 1.70	Kingston Corporate Finance Ltd
0931	Artel Solutions Group Holdings Ltd	Offer for subscription Offer for sale Offer for placing Consolidated enterprises	40,000,000 80,000,000 280,000,000	0.600	240.00	2001/10/24 2.00	Core Pacific-Yamaichi Capital Ltd
0909	Zhongda International Holdings Ltd	Offer for subscription Offer for sale Offer for placing Industrials	10,000,000 20,000,000 70,000,000	0.500	50.00	2001/11/01 9.30	South China Capital Ltd
0248	HKC International Holdings Ltd	Offer for subscription Offer for sale Offer for placing Consolidated enterprises	13,000,000 50,000,000 67,000,000	0.500	65.00	2001/11/09 3.50	Baron Capital Ltd Upbest Securities Co Ltd
0690	New Spring Holdings Ltd	Offer for subscription Offer for sale Offer for placing Industrials	12,500,000 10,000,000 27,500,000	1.000	50.00	2001/11/12 1.60	Sun Hung Kai International Ltd Celestial Capital Ltd
0629	Yue Da Holdings Ltd	Offer for subscription Offer for placing Industrials	24,000,000 36,000,000	0.890	53.40	2001/11/29 53.82	ICEA Capital Ltd
0197	Heng Tai Consumables Group Ltd	Offer for subscription Offer for sale Offer for placing Consolidated enterprises	12,500,000 25,000,000 87,500,000	0.400	50.00	2001/12/03 34.19	Kingston Corporate Finance Ltd
2668	Pak Tak International Ltd	Offer for subscription Offer for sale Offer for placing Industrials	17,100,000 15,000,000 33,450,000	0.880	57.68	2001/12/06 21.06	Anglo Chinese Corporate Finance Ltd
0739	Zhejiang Glass Co Ltd - H Shares	Offer for subscription Offer for placing Industrials	85,000,000 93,713,000	2.960	528.99	2001/12/10 120.06	Nomura International (Hong Kong) Ltd
2600	Aluminum Corporation of China Ltd - H Shares	Offer for subscription Offer for sale Offer for placing Industrials	235,294,600 249,989,815 2,264,605,553	1.370	3,767.35	2001/12/12 1.00	Morgan Stanley Dean Witter Asia Ltd China International Capital Corporation (Hong Kong) Ltd
0100	Clear Media Ltd	Offer for subscription Offer for sale Offer for placing Consolidated enterprises	14,694,000 21,937,500 111,914,500	5.890	874.94	2001/12/19 19.00	Goldman Sachs (Asia) LLC
Total					21,567.05		

Newly listed investment companies

Code	Company	Listing method / Business sector	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date / subscription rate (times)	Sponsor
0721	Prime Investments Holdings Ltd	Offer for placing Finance	31,500,000	1.000	31.50	2001/06/08	Somerley Ltd
Total					31.50		

Withdrawal of listed companies

Code	Company	Last trading date	Delisted date
0318	Ng Fung Hong Ltd	05/01/01	11/01/01
0717	FPB Bank Holdings Co Ltd	01/02/01	19/03/01
0478	The Mingly Corporation Ltd	16/03/01	22/03/01
1121	Concord Land Development Co Ltd	25/04/01	03/05/01
0980	Guangdong Development Fund Ltd	25/04/01	03/05/01
0249	Sime Darby Hong Kong Ltd	10/05/01	18/05/01
0223	Dao Heng Bank Group Ltd	26/07/01	04/09/01
0505	Gilbert Holdings Ltd	31/08/99	27/09/01
0464	Best Wide Group Ltd	19/09/96	16/11/01
0631	Evergo China Holdings Ltd	08/11/01	19/11/01
0395	Siu Fung Ceramics Holdings Ltd	09/05/00	10/12/01

Company name changes

Code	Former name	New name	Effective date	Adoption date
0340	GITIC Enterprises Ltd	INNOMAXX Biotechnology Group Ltd	23/12/00	04/01/01
0079	Fortei Holdings Ltd	Century Legend (Holdings) Ltd	28/12/00	10/01/01
1065	Tianjin Bohai Chemical Industry (Group) Co Ltd - H Shares	Tianjin Capital Environmental Protection Co Ltd - H Shares	08/01/01	11/01/01
0899	Wing Lee International Holdings Ltd	Asia Resources Transportation Holdings Ltd	09/01/01	12/01/01
1193	Logic International Holdings Ltd	China Resources Logic Ltd	23/01/01	05/02/01
0680	Savoy Concepts Ltd	Learning Concepts Holdings Ltd	16/01/01	07/02/01
0497	DCP Holdings Ltd	Capital Strategic Investment Ltd	15/02/01	28/02/01
1033	Yizheng Chemical Fibre Co Ltd	Sinopec Yizheng Chemical Fibre Co Ltd	27/02/01	14/03/01
0431	Pam & Frank International Holdings Ltd	Greater China Sci-Tech Holdings Ltd	20/03/01	20/03/01
0535	Hing Kong Holdings Ltd	Vision Century Corporation Ltd	05/03/01	28/03/01
0279	Tung Fong Hung (Holdings) Ltd	Hansom Eastern (Holdings) Ltd	27/02/01	29/03/01
1041	Ta Fu International Holdings Ltd	Fulbond Holdings Ltd	10/04/01	10/04/01
0164	Huey Tai International Ltd	Sing Pao Media Group Ltd	02/04/01	11/04/01
0254	eCyberChinanet Ltd	eCyberChina Holdings Ltd	09/04/01	17/04/01
0131	Cheuk Nang Technologies (Holdings) Ltd	Cheuk Nang (Holdings) Ltd	04/04/01	26/04/01
0155	HiNet Holdings Ltd	HiNet Holdings Ltd *	07/05/01	07/05/01
0140	Global Link Cyber International Ltd	China Bio-medical Group Ltd	07/05/01	14/05/01
0506	China Foods Holdings Ltd	COFCO International Ltd	16/05/01	24/05/01
1196	Sega.com Asia Ltd	Cheong Ming Investments Ltd	07/05/01	25/05/01
0702	PNF Food Holdings Ltd	GeoMaxima (Hong Kong) Holdings Ltd	15/05/01	29/05/01
0818	Guangdong Building Industries Ltd	Hi Sun Holdings Ltd	11/06/01	13/06/01
0988	Pearl Oriental Cyberforce Ltd	Pearl Oriental Holdings Ltd	30/05/01	13/06/01
0378	e2-Capital (Holdings) Ltd	E2-Capital (Holdings) Ltd	04/06/01	19/06/01
0930	Global Applied Technologies Holdings Ltd	Asia Aluminium Holdings Ltd	12/06/01	22/06/01
1064	Zhong Hua Land Holdings Ltd	Zhong Hua International Holdings Ltd	04/07/01	06/07/01
0619	South China Online Ltd	South China Brokerage Co Ltd	09/07/01	13/07/01
0164	Sing Pao Media Group Ltd	Premium Land Ltd	18/07/01	30/07/01
1010	PCL Enterprises Holdings Ltd	PacMOS Technologies Holdings Ltd	12/07/01	06/08/01
0680	Learning Concepts Holdings Ltd	South Sea Holding Co Ltd	31/07/01	20/08/01
0342	Sound International Ltd	NewOcean Green Energy Holdings Ltd	09/08/01	21/08/01
0401	401.com Ltd	401 Holdings Ltd	05/09/01	20/09/01
0408	Yip's Hang Cheung (Holdings) Ltd	Yip's Chemical Holdings Ltd	07/09/01	26/09/01
0234	Multi-Asia International Holdings Ltd	New Century Group Hong Kong Ltd	21/09/01	26/09/01
0336	netalone.com Ltd	Leapteck Ltd	25/09/01	09/10/01
0715	ICG Asia Ltd	Hutchison Harbour Ring Ltd	25/09/01	09/10/01
0639	Fu Hui Holdings Ltd	Fushan Holdings Ltd	03/10/01	10/10/01
1060	Interform Ceramics Technologies Ltd	Shanghai Allied Cement Ltd	03/10/01	15/10/01
0384	eBiz.hk.com Ltd	Hai Xia Holdings Ltd	16/10/01	29/10/01
0185	Online Credit International Ltd	Heng Fung Holdings Ltd	29/10/01	05/11/01
0952	Wah Fu International Holdings Ltd	Quam Ltd	05/11/01	14/11/01
0583	South China Morning Post (Holdings) Ltd	SCMP Group Ltd	19/11/01	28/11/01
0312	Lamex Holdings Ltd	Ezcom Holdings Ltd	09/11/01	29/11/01
0258	Pudong Development Holdings Ltd	Tomson Group Ltd	01/12/01	05/12/01
0059	renren Media Ltd	renren Holdings Ltd	13/11/01	06/12/01
0604	Shum Yip Investment Ltd	Shenzhen Investment Ltd	17/12/01	19/12/01

* Change of Chinese name only

Foreign companies as at the end of 2001

Code	Name	Classification	Incorporation	Listing date
0557	City e-Solutions Ltd	Hotels	Cayman Islands	29/12/89
0504	HSBC China Fund Ltd, The	Finance	Cayman Islands	18/06/92
0881	JF Japan OTC Fund Inc	Finance	Cayman Islands	19/01/90
0945	Manulife Financial Corporation	Finance	Canada	27/09/99
0575	iRegent Group Ltd	Finance	Cayman Islands	19/05/97
0770	Shanghai International Shanghai Growth Investment Ltd	Finance	Cayman Islands	30/11/93
0678	Star Cruises Ltd	Consolidated enterprises	Bermuda	30/11/00
0693	Tan Chong International Ltd	Consolidated enterprises	Bermuda	07/07/98
0543	Thai Asset Fund Ltd, The	Finance	Guernsey	29/11/89
0540	Thai-Asia Fund Ltd, The	Finance	Cayman Islands	15/11/89

Note: A listed company would be counted as a foreign company if it is incorporated overseas AND has a majority of its business outside Hong Kong and China, and as a domestic company if otherwise.

Investment companies as at the end of 2001

Code	Name	Classification	Incorporation	Listing date
0170	China Assets (Holdings) Ltd	Finance	Hong Kong	15/04/92
0133	China Merchants China Direct Investments Ltd	Finance	Hong Kong	22/07/93
0339	Earnest Investments Holdings Ltd	Finance	Cayman Islands	26/07/00
0204	Everest International Investments Ltd	Finance	Cayman Islands	12/12/00
0310	GR Investment Holdings Ltd	Finance	Hong Kong	21/12/92
0428	Harmony Assets Ltd	Finance	Cayman Islands	14/12/93
0905	Haywood Investments Ltd	Finance	Cayman Islands	17/07/98
0504	HSBC China Fund Ltd, The	Finance	Cayman Islands	19/01/90
1062	ING Beijing Investment Co Ltd	Finance	Hong Kong	10/05/94
0881	JF Japan OTC Fund Inc, The	Finance	Cayman Islands	27/12/89
0721	Prime Investments Holdings Ltd	Finance	Cayman Islands	08/06/01
0770	Shanghai International Shanghai Growth Investment Ltd	Finance	Cayman Islands	30/11/93
0540	Thai-Asia Fund Ltd, The	Finance	Cayman Islands	15/11/89
0543	Thai Asset Fund Ltd, The	Finance	Guernsey	29/11/89
0768	UBA Investments Ltd	Finance	Cayman Islands	18/01/00
0913	Unity Investments Holdings Ltd	Finance	Cayman Islands	27/10/99
0666	Yu Ming Investment Ltd	Finance	Hong Kong	21/12/90

Secondary listing stocks as at the end of 2001

Code	Name	Classification	Incorporation	Listing date	Primary list
0945	Manulife Financial Corporation	Finance	Canada	27/09/99	Toronto

Reorganisations/demergers

Code	Company	Particulars	Proposed date	
			Completed / EGM date	
0366	Luks Industrial Co Ltd (LICL)	By a scheme of arrangement, LICL became a wholly-owned subsidiary of Luks Industrial (Group) Ltd. (LIGL). Shareholders received 1 LIGL share in exchange for every 1 LICL share held. The listing of the shares of LICL would be withdrawn and the share of LIGL would be listed on the Stock Exchange by way of introduction.	2001/02/13	2001/04/04
1168	Sinolink Worldwide Holdings Ltd (SWHL)	SWHL proposed a separate listing of Panva Gas Holdings Ltd. (PGHL) by way of placing. Shareholders of SWHL entitled to Pre-IPO distribution of 20 PGHL shares for every 2,000 SWHL shares held. The share of PGHL would be listed on the Growth Enterprise Market of the Exchange.	2001/01/17	2001/04/20
0992	Legend Holdings Ltd (LHL)	LHL proposed a separate listing of Digital China Holdings Ltd. (DCHL) by way of new issue and placing. Shareholders of LHL entitled to Pre-IPO distribution of 1 DCHL shares for every 10 LHL shares held. The share of DCHL would be listed on the Exchange.	2001/03/07	2001/06/01
0673	Honko International Holdings Ltd. (HIHL)	By a scheme of arrangement, HIHL became a wholly-owned subsidiary of TechCap Holdings Ltd (TechCap). Shareholders received 1 TechCap share in exchange for every 1 HIHL share held. The listing of the shares of HIHL would be withdrawn and the share of TechCap would be listed on the Stock Exchange by way of introduction.	2001/04/09	2001/06/13
0062	Kowloon Motor Bus Holdings Ltd, The (KMBHL)	KMBHL proposed a separate listing of Roadshow Holdings Ltd. (RHL) by way of a new issue and placing. Shareholders of KMBHL entitled to participate in the preferential offer of 1 RHL share for every 12 KMBHL shares held at a price of \$2.25 per share. The share of RHL would be listed on the Exchange.	2001/02/07	2001/06/28
0818	Hi Sun Holdings Ltd (HSHL)	By a scheme of arrangement, HSHL became a wholly-owned subsidiary of Hi Sun Group Ltd. (Hi Sun Group). Shareholders received 1 Hi Sun Group share in exchange for every 1 HSHL share held. The listing of the shares of HSHL would be withdrawn and the share of Hi Sun Group would be listed on the Stock Exchange by way of introduction.	2001/08/09	2001/10/17
0024	WellNet Holdings Ltd (WNHL)	WNHL proposed a separate listing of WorldMetal Holdings Ltd. (WMHL) by way of placing. Shareholders of WNHL entitled to Pre-IPO distribution of 1 WMHL share for every 20 WNHL shares held. The share of WMHL would be listed on the Growth Enterprise Market of the Exchange.	2001/01/17	2001/10/26
0310	GR Investment Holdings Ltd (GIHL)	By a scheme of arrangement, GIHL became a wholly-owned subsidiary of GR Investment International Ltd (GR Inv Int'l). Shareholders received 1 GR Inv Int'l share for every GIHL share held. The listing of the shares of GIHL would be withdrawn and the shares of GR Inv Int'l would be listed on the Stock Exchange by way of introduction.	2001/10/19	2001/12/12
0472	iQuorum Cybernet Ltd. (iQCL)	By a scheme of arrangement, iQuorum Cybernet Ltd (iQCL) became a wholly-owned subsidiary of Applied (China) Ltd (Applied). Shareholders received 1 Applied share and warrants for 4 iQCL shares and 4 warrants held. The listing of the shares of iQCL would be withdrawn and the shares and warrants of Applied would be listed on the Stock Exchange by way of introduction.	2001/07/10	2001/12/20

Privatisations by major shareholder

Code	Company	Particulars	Last trading date	Delisted date
0717	FPB Bank Holding Co Ltd	HK\$3.50 in cash for each share	2001/02/01	2001/03/19
0318	Ng Fung Hong Ltd	HK\$4.90 in cash for each share; or HK\$19.44 in cash and 1 new share in China Resources Enterprise for every 6 shares	2001/01/05	2001/01/11
0249	Sim Darby Hong Kong Ltd	HK\$4.00 in cash for each share	2001/05/10	2001/05/18
0478	The Mingly Corporation Ltd	HK\$0.70 in cash for each share	2001/03/16	2001/03/22
1121	Concord Land Development Co Ltd	2.1538 new Pacific Concord Holdings Ltd shares for each share	2001/04/25	2001/05/03
0223	Dao Heng Bank Group Ltd	HK\$60.01 in cash for each share; or HK\$43.13 in cash and 1 new DBS share for each share; and HK\$38.19 in cash for each option	2001/07/26	2001/09/04
0631	Evergo China Holdings Ltd	130 new Chinese Estate Holdings Ltd shares for every 1,000 shares; or HK\$0.18 in cash for each share	2001/11/08	2001/11/19

Change of domicile

Code	Former company	New company	Place of incorporation	Effective date
0366	Luks Industrial Co Ltd	Luks Industrial (Group) Ltd	Bermuda	04/04/01
0472	iQuorum Cybernet Ltd	Applied (China) Ltd	Bermuda	20/12/01
0818	Hi Sun Holdings Ltd	Hi Sun Group Ltd	Bermuda	17/10/01
0310	GR Investment Holdings Ltd	GR Investment International Ltd	Bermuda	12/12/01

Companies under suspension as at the end of 2001

Code	Stock	Last closing price		Date of suspension
		(HK\$)	(Date)	
0448	Akai Holdings Ltd.	0.026	(22/08/00)	23/08/00
0987	Albatronics (Far East) Co. Ltd.	0.062	(30/06/99)	02/07/99
1197	China DigiContent Co. Ltd.	0.010	(10/05/01)	11/05/01
0181	Fujian Group Ltd.	0.082	(15/02/01)	16/02/01
0921	Guangdong Kelon Electrical Holdings Co. Ltd. - H Shares	1.240	(13/12/01)	14/12/01
1141	Hung Fung Group Holdings Ltd.	0.013	(19/01/01)	22/01/01
0729	Innovative International (Holdings) Ltd.	0.029	(18/10/01)	19/10/01
0959	Kessel International Holdings Ltd.	0.280	(23/05/01)	23/05/01
0072	King Pacific International Holdings Ltd.	0.122	(03/11/00)	06/11/00
0606	Leading Spirit High-Tech (Holdings) Co. Ltd.	0.010	(10/05/01)	11/05/01
0269	Seapower Resources International Ltd.	0.027	(28/12/01)	28/12/01
0661	Tem Fat Hing Fung (Holdings) Ltd.	0.013	(21/12/01)	24/12/01
0159	Wah Nam Group Ltd.	0.024	(19/07/00)	20/07/00
0261	Wireless InterNetworks Ltd.	0.010	(09/02/01)	12/02/01
0700	Yaohan International Holdings Ltd.	0.039	(10/08/98)	11/08/98

Takeovers and mergers

Code	Company	Proposed date Offer closing date
0098	Winsor Industrial Corporation Ltd Conditional cash offer was made by Super-Rich Finance Ltd at a price of HK\$2.500 for each share.	2000/12/23 2001/01/30
0717	FPB Bank Holding Co Ltd Conditional cash offer was made by The Bank of East Asia, Ltd at a price of HK\$3.500 for each share.	2001/01/03 2001/01/19
0318	Ng Fung Hong Ltd Conditional offer was made by Best-Growth Resources Ltd, a wholly owned subsidiary of China Resources Enterprise, Ltd at the prices of HK\$4.900 for each share or HK\$19.440 and 1 new share in China Resources Enterprise for every 6 shares.	2000/11/20 2001/01/11
0164	Huey Tai International Ltd (Name change to Premium Land Ltd) Conditional cash offer was made by Gold Brilliant Ltd at a price of HK\$0.220 for each share.	2001/01/22 2001/02/13
0022	Asean Resources Holdings Ltd Unconditional cash offer was made by United Goal Development Ltd at a price of HK\$0.700 for each share and HK\$0.020 for each option.	2001/01/22 2001/02/13
0249	Sim Darby Hong Kong Ltd Conditional cash offer was made by Sime Darby Berhad at a price of HK\$4.000 for each share.	2001/02/23 2001/05/15
0535	Hing Kong Holdings Ltd (Name change to Vision Century Corporation Ltd) Unconditional cash offer was made by Vision Century Ltd at the prices of HK\$1.000 for each share and HK\$0.010 per warrant.	2001/02/10 2001/03/06
0478	The Mingly Corporation Ltd Conditional cash offer was made by Hong Lok International Ltd at a price of HK\$0.700 for each share.	2001/02/12 2001/03/22
0233	Sing Tao Holdings Ltd Unconditional cash offer was made by Global China Multimedia Ltd, a wholly-owned subsidiary of Global China Technology Group Ltd at the prices of HK\$1.650 for each share, HK\$0.360 for each option granted on 16 May 2000 and HK\$0.650 for each option granted on 20 Dec 2000.	2001/02/02 2001/03/06
1121	Concord Land Development Co Ltd Conditional share exchange was offered by Camstar Capital Ltd, a wholly-own subsidiary of Pacific Concord Holdings Ltd in the proportion of 2.1538 new Pacific Concord Holdings Ltd for each Concord Land share.	2001/03/23 2001/05/03
0996	Pricerite Group Ltd Unconditional cash offer was made by Celestial Capital Ltd at the prices of HK\$0.350 for each share or 7 new Celestial Asia Securities Holdings Ltd share for every 6 shares, HK\$0.0001 for each option surrendered.	2001/04/12 2001/05/03
0702	PNF Food Holdings Ltd (Name change to Hi Sun Group Ltd) Unconditional cash offer was made by China GeoMaxima Co Ltd at a price of HK\$1.000 for each share.	2001/03/28 2001/04/25
0818	Guangdong Building Industries Ltd Unconditional cash offer was made by Hi Sun Ltd at a price of HK\$0.654 for each share.	2001/03/09 2001/04/10
0223	Dao Heng Bank Group Ltd Conditional offer was made by DBS Diamond Holdings Ltd at the prices of either HK\$60.010 for each share, or HK\$43.130 and one new DBS share for each share and HK\$38.190 for each option.	2001/06/29 2001/07/20
0059	renren Media Ltd (Name change to renren Holdings Ltd) Unconditional cash offer was made by Sky Concord Development Ltd at the prices of HK\$0.01307 for each share and HK\$0.0001 for each option granted during Sep 2000 to Mar 2001.	2001/05/11 2001/05/25
0164	Sing Pao Media Group Ltd (Name change to Premium Land Ltd) Unconditional cash offer was made by Upland Profits Ltd, a wholly-owned subsidiary of Capital Strategic Investment Ltd at a price of HK\$1.100 for each share.	2001/07/25 2001/08/08
0715	ICG Asia Ltd (Name change to Hutchison Harbour Ring Ltd) Conditional cash offer was made by Promising Land International Inc, an indirect wholly owned subsidiary of Hutchison Whampoa Ltd at the prices of HK\$0.250 for each share and HK\$1.000 for each warrants.	2001/07/11 2001/08/02
0764	Ocean Shores Group Ltd Mandatory conditional cash offer was made by Classical Statue Ltd, a wholly-owned subsidiary of China Star Entertainment Ltd at a price of HK\$1.000 for each share.	2001/08/02 2001/09/14
0234	Multi-Asia International Holdings Ltd (Name change to New Century Group Hong Kong Ltd) Mandatory unconditional cash offer was made by New Century Worldwide Capital Ltd at a price of HK\$0.455 for each share.	2001/08/06 2001/08/27
0631	Evergo China Holdings Ltd Offer was made by Chinese Estates Holdings Ltd at the prices of 123 new Chinese Estate Holdings Ltd shares for every 1,000 shares, or HK\$0.180 for each share.	2001/10/04 2001/11/14
0649	Dong Jian Tech.Com Holdings Ltd (Name change to Shimao China Holdings Ltd) Unconditional cash offer was made by Perfect Zone International Ltd at the prices of HK\$0.3682 for each share, HK\$0.0182 for each warrant and HK\$0.001 for each option.	2001/10/24 2001/11/13
0200	Melco International Development Ltd. Voluntary conditional cash offer was made by Lasting Legend Ltd at a price of HK\$1.150 for each share.	2001/10/20 2001/11/20

Share subdivisions and share consolidations

Code	Stock	Particulars	Old par value (HK\$)	New par value (HK\$)	Effective date
0571	eSun Holdings Ltd	Consolidation 5 into 1	0.100	0.500	01/02/01
0432	Companion Building Material International Holdings Ltd	Consolidation 10 into 1	0.010	0.010 (1)	26/02/01
0109	Digital World Holdings Ltd	Consolidation 80 into 1	0.001	0.010 (2)	23/03/01
0164	Sing Pao Media Group Ltd (Formerly: Huey Tai International Ltd)	Consolidation 5 into 1	0.400 (3)	0.050	03/04/01
0286	G-Prop (Holdings) Ltd	Consolidation 20 into 1	0.100	0.010 (4)	15/05/01
0702	GeoMaxima (Hong Kong) Holdings Ltd (Formerly: PNF Food Holdings Ltd)	Split 1 into 10	0.100	0.010	16/05/01
1063	SunCorp Technologies Ltd	Consolidation 10 into 1	0.010	0.100	05/06/01
0254	eCyberChina Holdings Ltd (Formerly: eCyberChinanet Ltd)	Split 1 into 5	1.000	0.200	19/06/01
1205	South East Asia Wood Industries Holdings Ltd	Consolidation 5 into 1	0.010	0.050	27/06/01
0327	Shanxi Central Pharmaceutical International Ltd	Split 1 into 5	0.100	0.020	26/07/01
1139	Victory Group Ltd	Consolidation 10 into 1	0.020	0.010 (5)	26/07/01
0147	Graneagle Holdings Ltd	Consolidation 50 into 1	0.020	0.010 (6)	06/08/01
1064	Zhong Hua International Holdings Ltd (Formerly: Zhong Hua Land Holdings Ltd)	Split 1 into 5	0.100	0.020	09/08/01
0235	China Strategic Holdings Ltd	Consolidation 10 into 1	0.100	0.100 (7)	10/08/01
0155	HiNet Holdings Ltd	Split 1 into 2	0.100	0.010 (8)	13/08/01
0265	South China Holdings Ltd	Split 1 into 4	0.100	0.025	16/08/01
0143	Global Tech (Holdings) Ltd	Split 1 into 10	0.100	0.010	23/08/01
0755	Fourseas.com Ltd	Consolidation 10 into 1	0.020	0.020 (9)	30/08/01
0412	B-Tech (Holdings) Ltd	Consolidation 10 into 1	0.010 (10)	0.010	18/09/01
0282	Next Media Ltd	Consolidation 5 into 1	0.200	1.000	23/10/01
1223	Symphony Holdings Ltd	Consolidation 25 into 1	0.040	1.000	23/10/01
0726	South East Group Ltd	Consolidation 10 into 1	0.100 (11)	0.100	26/10/01
0698	Tongda Group Holdings Ltd	Split 1 into 10	0.100	0.010	30/10/01
0076	Sen Hong Resources Holdings Ltd	Consolidation 10 into 1	US\$ 0.100	US\$ 0.010 (12)	09/11/01
0876	Wing Lee Holdings Ltd	Consolidation 5 into 1	0.100	0.500	12/11/01
1051	Star Bio-Tech (Holdings) Ltd	Consolidation 40 into 1	0.010	0.010 (13)	29/11/01
0138	CCT Telecom Holdings Ltd	Consolidation 10 into 1	0.500	5.000	30/11/01
0835	ehealthcareasia Ltd	Consolidation 10 into 1	0.100 (14)	0.010	21/12/01

Notes:

- (1) Par value was reduced by HK\$0.090 of par from HK\$0.100 to HK\$0.010
- (2) Par value was reduced by HK\$0.070 of par from HK\$0.080 to HK\$0.010
- (3) Par value was reduced by HK\$0.390 of par from HK\$0.400 to HK\$0.010
- (4) Par value was reduced by HK\$1.990 of par from HK\$2.000 to HK\$0.010
- (5) Par value was reduced by HK\$0.190 of par from HK\$0.200 to HK\$0.010
- (6) Par value was reduced by HK\$0.990 of par from HK\$1.000 to HK\$0.010
- (7) Par value was reduced by HK\$0.900 of par from HK\$1.000 to HK\$0.100
- (8) Par value was reduced by HK\$0.040 of par from HK\$0.050 to HK\$0.010
- (9) Par value was reduced by HK\$0.180 of par from HK\$0.200 to HK\$0.020
- (10) Par value was reduced by HK\$0.009 of par from HK\$0.010 to HK\$0.001
- (11) Par value was reduced by HK\$0.090 of par from HK\$0.100 to HK\$0.010
- (12) Par value was reduced by US\$0.990 of par from US\$1.000 to US\$0.010
- (13) Par value was reduced by HK\$0.390 of par from HK\$0.400 to HK\$0.010
- (14) Par value was reduced by HK\$0.099 of par from HK\$0.100 to HK\$0.001

Bonus issues/bonus warrants

Code	Stock	Particulars	Ex-date
0611	Tack Hsin Holdings Ltd	1 2004 wt for 5	02/01/01
0539	Victory City International Holdings Ltd	3 for 1 subdivided shares	05/01/01
0040	Gold Peak Industries (Holdings) Ltd	1 2002 wt for 10	12/01/01
0243	QPL International Holdings Ltd	1 2004 wt for 5	18/01/01
0439	Climax International Co Ltd	1 2003 wt for 5	19/01/01
0033	Elec & Eltek International Holdings Ltd	1 for 5	14/03/01
1041	Fulbond Holdings Ltd (Formerly: Ta Fu International Holdings Ltd)	2 2004 wts for 5 Participation offer	16/03/01
0002	CLP Holdings Ltd	1 for 5	09/04/01
0619	South China Online Ltd	1 2002 wt for 5	09/04/01
0930	Global Applied Technologies Holdings Ltd	1 for 1	11/04/01
1059	Kantone Holdings Ltd	2 for 1	11/04/01
0003	Hong Kong and China Gas Co. Ltd., The	1 for 10	12/04/01
1163	Bright International Group Ltd	1 for 2	18/04/01
0065	Pacific Century Insurance Holdings Ltd	1 for 5	27/04/01
0566	RBI Holdings Ltd	1 for 10	18/05/01
0203	Denway Motors Ltd	1 for 10	18/05/01
0308	China Travel International Investment Hong Kong Ltd	1 2003 wts for 5	24/05/01
0118	Cosmos Machinery Enterprises Ltd	1 2003 wt for 5	31/05/01
0366	Luks Industrial Co Ltd (Formerly: Luks Industrial (Group) Ltd)	1 2004 wts for 5	04/06/01
0898	Multifield International Holdings Ltd	1 for 5	15/06/01
0925	Peaktop International Holdings Ltd	1 for 10	19/06/01
1201	Kith Holdings Ltd	1 2004 wt for 5	29/06/01
0015	Vantage International (Holdings) Ltd	1 for 5	11/07/01
1170	Kingmaker Footwear Holdings Ltd	1 for 10	07/08/01
1062	ING Beijing Investment Co Ltd	1 2003 wt for 5	10/08/01
0211	Styland Holdings Ltd	1 for 3	16/08/01
0143	Global Tech (Holdings) Ltd	1 2004 wt for 9 subdivided shares	20/08/01
0148	Kingboard Chemical Holdings Ltd	1 2003 wt for 10	20/08/01
1229	Artfield Group Ltd	1 2004 wt for 5	22/08/01
0007	Karl Thomson Holdings Ltd	1 for 1	22/08/01
0627	U-Right International Holdings Ltd	2 for 1, 1 2003 wt for 5	23/08/01
1196	Cheong Ming Investments Ltd (Formerly: Sega.com Asia Ltd)	1 for 4	27/08/01
0759	CEC International Holdings Ltd	1 for 5	06/09/01
0056	Allied Properties (H.K.) Ltd	1 2002 wt for 5	12/09/01
0381	Kiu Hung International Holdings Ltd	1 for 1, 1 2003 wt for 5	20/09/01
0274	Global Green Tech Group Ltd	1 2003 wt for 5	11/10/01
1168	Sinolink Worldwide Holdings Ltd	1 for 10	19/10/01
0898	Multifield International Holdings Ltd	1 for 10	29/10/01
0764	Ocean Shores Group Ltd	1 for 1	30/10/01
1001	Van Shung Chong Holdings Ltd	1 2004 wt for 10	06/11/01
1059	Kantone Holdings Ltd	1 for 1	22/11/01
0028	Tian An China Investments Co Ltd	1 2004 wt for 5	27/11/01
0092	Champion Technology Holdings Ltd	1 for 1	30/11/01
0092	Champion Technology Holdings Ltd	1 2003 wt for 5	20/12/01

Rights issues/open offers

Code	Stock	Particulars	Funds raised (HK\$mil)	Ex-date payment date
1203	Guangnan (Holdings) Ltd	Open offer 17 for 2 @\$0.10	773.08	2000/12/08 2001/01/02
0989	China Motion Telecom International Ltd	Rts 1 for 4 @\$1.00	105.10	2000/12/14 2001/01/17
0571	eSun Holdings Ltd	Rts 1 for 2 @\$0.85 (after consolidation 5 into 1)	160.25	2000/12/18 2001/01/15
0526	Magician Industries (Holdings) Ltd	Open offer 1 for 1 @\$0.05	21.72	2000/12/28 2001/01/18
0275	Hanny Holdings Ltd	Rts 1 for 2 @\$0.16	343.00	2001/02/05 2001/02/23
0635	Playmates Interactive Entertainment Ltd	Rts 1 for 2 @\$0.32	107.63	2001/02/21 2001/03/13
1041	Fulbond Holdings Ltd (Formerly: Ta Fu International Holdings Ltd)	Participation Offer 1 for 1 sh @\$0.02 (with 2 2004 wts for 5 Participation shs)	10.78	2001/03/16 2001/04/09
1124	Coastal Realty Group Ltd	Rts 3 for 5 @\$0.16	61.44	2001/03/21 2001/04/20
0201	Magnificent Estates Ltd	Rts 1 5% Mandatorily Convertible Bond for 2 @\$0.06 (with bonus 1 2002 wts for 5 rts bonds)	-	2001/04/11 2001/05/09
0079	Century Legend (Holdings) Ltd	Rts 1 for 1 @\$0.10 (with bonus 1 for 1 rts sh)	47.80	2001/04/27 2001/05/31
0487	China Development Corporation Ltd	Rts 1 for 8 @\$0.40 (with bonus 3 for 1 rts sh)	49.69	2001/05/04 2001/05/31
0673	TechCap Holdings Ltd (Formerly: Honko International Holdings Ltd)	Provisional allotment 4 TechCap Holdings Ltd shares for 1 Honko share @\$0.02	28.64	2001/04/26 2001/06/05
0578	Everbest Century Holdings Ltd	Open offer 1 for 1 @\$0.025	77.70	2001/05/18 2001/06/11
0146	Tai Ping Carpets International Ltd	Rts 1 for 2 @\$1.50	101.50	2001/05/17 2001/06/13
0056	Allied Properties (H.K.) Ltd	Rts 1 for 2 @\$0.25	362.57	2001/05/22 2001/06/14
0238	Lei Shing Hong Ltd	Rts 1 2006 wt for 5 @\$0.50	-	2001/05/17 2001/06/14
1063	SunCorp Technologies Ltd	Open offer 1 for 2 @HK\$0.35	26.48	2001/05/28 2001/06/18
0467	Orient Resources Group Co Ltd	Rts 1 for 4 @\$0.12	23.04	2001/06/15 2001/07/11
0286	G-Prop (Holdings) Ltd	Open offer 1 for 2 @\$0.25	11.45	2001/07/23 2001/08/14
0965	Yue Fung International Group Holding Ltd	Rts 3 for 1 @\$0.035	121.30	2001/08/07 2001/08/29
0279	Hansom Eastern (Holdings) Ltd	Rts 2 for 1 @\$0.10	207.74	2001/08/20 2001/09/07
1218	Easyknit International Holdings Ltd	Rts 1 for 2 @\$0.15	55.15	2001/08/13 2001/09/04
0132	China Investments Holdings Ltd	Rts 1 for 7 @\$0.35	29.15	2001/08/21 2001/09/12
0497	Capital Strategic Investment Ltd	Rts 1 for 2 @\$0.15	63.14	2001/09/05 2001/09/26
0593	Quality Healthcare Asia Ltd	Rts 1 for 1 @\$0.25	228.23	2001/09/11 2001/10/04
0208	Kin Don Holdings Ltd	Rts 1 for 1 @\$0.02	59.50	2001/09/14 2001/10/11
0542	MUI Hong Kong Ltd	Rts 3 for 10 @\$0.20 (with Bonus 3 for 2 rts shs)	82.78	2001/09/24 2001/10/23
1137	City Telecom (H.K.) Ltd	Open offer 1 2004 wt for 5 @\$0.11	-	2001/10/05 2001/10/26
0760	Tomorrow International Holdings Ltd	Rts 2 for 1 @\$0.10	178.85	2001/10/09 2001/11/06
0996	Pricerite Group Ltd	Rts 2 for 1 @\$0.10	138.45	2001/10/26 2001/11/20
0211	Styland Holdings Ltd	Rts 2 for 1 @\$0.03	29.93	2001/10/24 2001/11/26
0172	Can Do Holdings Ltd	Rts 2 for 5 @\$0.25	86.12	2001/11/12 2001/12/03
0524	e-Kong Group Ltd.	Rts 2 for 1 share and 1 convertible preference share @\$0.0425	133.42	2001/11/19 2001/12/10
0138	CCT Telecom Holdings Ltd	Rts 1 for 4 consolidated shares @\$5.00 (with bonus 5 for 1 rts share)	211.05	2001/11/22 2001/12/17
Total			3,936.68	

Placings

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
0273	China United Holdings Ltd	1,994,000,000	0.0170	33.90	02/01/01
0439	Climax International Co Ltd	2,000,000,000	0.0500	100.00	09/01/01
0279	Tung Fong Hung (Holdings) Ltd (Name change to Hansom Eastern (Holdings) Ltd)	142,000,000	0.1400	19.88	09/01/01
0680	Savoy Concepts Ltd (Name change to South Sea Holding Co Ltd)	263,327,000	0.1350	35.55	10/01/01
1139	Victory Group Ltd	240,000,000	0.0230	5.52	19/01/01
0497	DCP Holdings Ltd (Name change to Capital Strategic Investment Ltd)	115,000,000	0.3800	43.70	22/01/01
0083	Sino Land Co Ltd	180,000,000	4.3500	783.00	22/01/01
0661	Tem Fat Hing Fung (Holdings) Ltd	133,064,000	0.2430	32.33	22/01/01
0139	139 Holdings Ltd	997,000,000	0.0200	19.94	05/02/01
0401	401.com Ltd (Name change to 401 Holdings Ltd)	800,000,000	0.0100	8.00	12/02/01
0094	O2New Technology Ltd	250,000,000	0.0800	20.00	12/02/01
0623	Leefung-Asco Printers Holdings Ltd	100,792,000	1.6500	166.31	13/02/01
0720	Wo Kee Hong (Holdings) Ltd	5,000,000	0.1000	0.50	14/02/01
0259	Yeebo (International Holdings) Ltd	350,000,000	0.4000	140.00	15/02/01
0433	Sun Man Tai Holdings Co Ltd	53,880,000	0.7400	39.87	20/02/01
0273	China United Holdings Ltd	2,393,500,000	0.0140	33.51	21/02/01
0082	Yanion International Holdings Ltd	45,600,000	0.6800	31.01	21/02/01
0497	Capital Strategic Investment Ltd	112,500,000	0.5000	56.25	27/02/01
1189	Ananda Wing On Travel (Holdings) Ltd	207,000,000	0.0800	16.56	28/02/01
1013	Telecom Plus Holdings Ltd	60,000,000	0.3000	18.00	02/03/01
0702	PNF Food Holdings Ltd (Name change to GeoMaxima (Hong Kong) Holdings Ltd)	23,750,000	3.8000	90.25	05/03/01
0456	New Rank City Development Ltd	7,500,000	1.3000	9.75	13/03/01
0399	Far East Pharmaceutical Technology Co Ltd	20,000,000	1.0000	20.00	19/03/01
1031	Medtech Group Co Ltd	61,000,000	0.0600	3.66	19/03/01
0254	eCyberChinanet Ltd (Name change to eCyberChina Holdings Ltd)	13,000,000	1.2000	15.60	28/03/01
0154	Beijing Development (Hong Kong) Ltd	218,000,000	1.0000	218.00	29/03/01
1041	Ta Fu International Holdings Ltd (Name change to Fulbond Holdings Ltd)	4,000,000,000	0.0195	78.00	30/03/01
0202	Interchina Holdings Co Ltd	614,000,000	0.2600	159.64	31/03/01
0431	Greater China Sci-Tech Holdings Ltd	306,000,000	0.1500	45.90	02/04/01
0648	Softbank Investment International (Strategic) Ltd	132,653,061	0.9800	130.00	02/04/01
1169	CCT Multimedia Holdings Ltd	40,000,000	0.1000	4.00	10/04/01
0649	Dong Jian Tech.com Holdings Ltd	31,000,000	0.4000	12.40	12/04/01
0649	Dong Jian Tech.com Holdings Ltd	10,000,000	0.4000	4.00	12/04/01
1180	LifeTec Group Ltd	142,000,000	0.2750	39.05	12/04/01
0661	Tem Fat Hing Fung (Holdings) Ltd	309,320,000	0.1036	32.05	18/04/01
0501	RNA Holdings Ltd	133,780,000	0.2020	27.02	24/04/01
0966	China Insurance International Holdings Co Ltd	47,000,000	1.6800	78.96	03/05/01
1205	South East Asia Wood Industries Holdings Ltd	1,000,000,000	0.1500	150.00	03/05/01
0501	RNA Holdings Ltd	140,000,000	0.1430	20.02	10/05/01
0172	Can Do Holdings Ltd	80,000,000	0.2500	20.00	15/05/01
1060	Interform Ceramics Technologies Ltd (Name change to Shanghai Allied Cement Ltd)	7,822,770,000	0.0100	78.23	15/05/01
1060	Interform Ceramics Technologies Ltd (Name change to Shanghai Allied Cement Ltd)	1,777,230,000	0.0110	19.55	15/05/01
1130	Benefun International Holdings Ltd	133,600,000	0.1150	15.36	16/05/01
0297	Wah Tak Fung Holdings Ltd	130,000,000	0.1000	13.00	16/05/01
1190	Luen Cheong Tai International Holdings Ltd	52,000,000	0.1600	8.32	17/05/01
1171	Yanzhou Coal Mining Co Ltd - H Shares	170,000,000	2.9250	497.25	19/05/01
0760	Tomorrow International Holdings Ltd	69,000,000	0.1700	11.73	21/05/01
0430	Oriental Explorer Holdings Ltd	250,000,000	0.0600	15.00	22/05/01
0198	Star East Holdings Ltd	205,000,000	0.1400	28.70	23/05/01
0620	UDL Holdings Ltd	100,922,478	0.0400	4.04	25/05/01
0082	Yanion International Holdings Ltd	137,600,000	1.2500	172.00	25/05/01
0273	China United Holdings Ltd	2,960,000,000	0.0100	29.60	28/05/01
0692	Ching Hing (Holdings) Ltd	30,690,000	0.2600	7.98	29/05/01
0205	Sino InfoTech Holdings Ltd	100,452,778	0.1184	11.89	29/05/01
0506	COFCO International Ltd	52,000,000	1.6500	85.80	30/05/01
0295	Kong Sun Holdings Ltd	303,858,720	0.2200	66.85	30/05/01
0862	Asia Logistics Technologies Ltd	505,846,000	0.1750	88.52	04/06/01
1185	CASIL Telecommunications Holdings Ltd	100,000,000	0.5000	50.00	04/06/01
0431	Greater China Sci-Tech Holdings Ltd	250,000,000	0.2380	59.50	04/06/01
0431	Greater China Sci-Tech Holdings Ltd	50,000,000	0.2380	11.90	04/06/01
1191	China Rich Holdings Ltd	180,000,000	0.1500	27.00	05/06/01
1013	Telecom Plus Holdings Ltd	100,000,000	0.1800	18.00	05/06/01
1013	Telecom Plus Holdings Ltd	60,000,000	0.1800	10.80	05/06/01
0855	Cedar Base Electronic (Group) Ltd	114,000,000	0.2697	30.75	06/06/01
0651	Wonson International Holdings Ltd	939,700,000	0.0220	20.67	06/06/01
0979	Prosper eVision Ltd	118,000,000	0.1180	13.92	07/06/01
0539	Victory City International Holdings Ltd	55,296,000	0.2500	13.82	07/06/01
1114	Brilliance China Automotive Holdings Ltd	318,000,000	2.2000	699.60	08/06/01
0279	Hansom Eastern (Holdings) Ltd	173,118,000	0.1200	20.77	08/06/01
1166	Solartech International Holdings Ltd	1,000,000,000	0.0240	24.00	08/06/01
0286	G-Prop (Holdings) Ltd	14,500,000	0.5200	7.54	11/06/01
0673	TechCap Holdings Ltd	2,800,000,000	0.0100	28.00	13/06/01
0673	TechCap Holdings Ltd	1,000,000,000	0.0100	10.00	13/06/01
0578	Everbest Century Holdings Ltd	600,000,000	0.0250	15.00	18/06/01
0382	GZITIC Hualing Holdings Ltd	120,000,000	0.5500	66.00	18/06/01
0340	INNOMAXX Biotechnology Group Ltd	116,500,000	0.2000	23.30	18/06/01
0433	Sun Man Tai Holdings Co Ltd	80,000,000	0.2100	16.80	19/06/01

Placings (continued)

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
1189	Ananda Wing On Travel (Holdings) Ltd	800,000,000	0.0600	48.00	20/06/01
0506	COFCO International Ltd	140,000,000	1.9750	276.50	20/06/01
0965	Yue Fung International Group Holdings Ltd	192,072,000	0.0900	17.29	20/06/01
0372	ITC Corporation Ltd	105,000,000	0.6000	63.00	21/06/01
0298	China Cyberworld Ltd	170,000,000	0.2700	45.90	22/06/01
1192	Gemzboh Holdings Ltd	500,000,000	0.0400	20.00	22/06/01
0115	Grand Field Group Holdings Ltd	170,000,000	0.1600	27.20	22/06/01
1063	SunCorp Technologies Ltd	31,500,000	0.3500	11.03	22/06/01
0204	Everest International Investments Ltd	40,000,000	0.2470	9.88	23/06/01
0697	Shougang Concord International Enterprises Co Ltd	200,000,000	0.4600	92.00	26/06/01
1166	Solartech International Holdings Ltd	1,600,000,000	0.0210	33.60	26/06/01
0106	Shenzhen High-Tech Holdings Ltd	750,000,000	0.2600	195.00	27/06/01
0433	Sun Man Tai Holdings Co Ltd	200,000,000	0.2100	42.00	27/06/01
0501	RNA Holdings Ltd	620,000,000	0.1030	63.86	28/06/01
0139	139 Holdings Ltd	1,197,000,000	0.0300	35.91	29/06/01
0578	Everbest Century Holdings Ltd	600,000,000	0.0400	24.00	29/06/01
0059	renren Media Ltd (Name change to renren Holdings Ltd)	1,300,000,000	0.0360	46.80	30/06/01
0501	RNA Holdings Ltd	263,987,558	0.1030	27.19	04/07/01
0085	Winsan (China) Investment Group Co Ltd	42,000,000	0.2500	10.50	04/07/01
0190	Hong Kong Construction (Holdings) Ltd	65,000,000	1.1200	72.80	05/07/01
0736	Northern International Holdings Ltd	300,000,000	0.0290	8.70	05/07/01
0499	HyComm Wireless Ltd	150,000,000	0.1000	15.00	10/07/01
0149	China Land Group Ltd	226,000,000	0.4000	90.40	11/07/01
0295	Kong Sun Holdings Ltd	100,000,000	0.2000	20.00	14/07/01
0295	Kong Sun Holdings Ltd	221,750,322	0.2000	44.35	14/07/01
0851	MAE Holdings Ltd	260,000,000	0.0650	16.90	16/07/01
0501	RNA Holdings Ltd	518,000,000	0.1010	52.32	16/07/01
0409	Stone Electronic Technology Ltd	65,000,000	1.2000	78.00	16/07/01
0297	Wah Tak Fung Holdings Ltd	330,000,000	0.2000	66.00	17/07/01
0600	Dah Hwa International (Holdings) Ltd	50,000,000	0.1300	6.50	18/07/01
0996	Pricerite Group Ltd	63,000,000	0.4000	25.20	19/07/01
0651	Wonson International Holdings Ltd	1,127,600,000	0.0480	54.12	19/07/01
0769	China Rare Earth Holdings Ltd	105,200,000	2.4500	257.74	23/07/01
0431	Greater China Sci-Tech Holdings Ltd	1,200,000,000	0.1000	120.00	23/07/01
0431	Greater China Sci-Tech Holdings Ltd	300,000,000	0.1000	30.00	23/07/01
1166	Solartech International Holdings Ltd	3,100,000,000	0.0160	49.60	23/07/01
0198	Star East Holdings Ltd	67,000,000	0.1850	12.40	23/07/01
0198	Star East Holdings Ltd	383,000,000	0.1850	70.86	23/07/01
1189	Ananda Wing On Travel (Holdings) Ltd	380,000,000	0.1460	55.48	24/07/01
0661	Tem Fat Hing Fung (Holdings) Ltd	211,555,555	0.0270	5.71	24/07/01
0661	Tem Fat Hing Fung (Holdings) Ltd	370,370,370	0.0270	10.00	24/07/01
0661	Tem Fat Hing Fung (Holdings) Ltd	185,185,185	0.0270	5.00	24/07/01
0661	Tem Fat Hing Fung (Holdings) Ltd	359,060,000	0.0270	9.69	24/07/01
0274	Global Green Tech Group Ltd	10,000,000	0.8000	8.00	26/07/01
0190	Hong Kong Construction (Holdings) Ltd	35,000,000	1.1200	39.20	26/07/01
0855	Cedar Base Electronic (Group) Ltd	152,295,000	0.2000	30.46	27/07/01
0559	FT Holdings International Ltd	54,500,000	0.3000	16.35	03/08/01
0922	Vision Tech International Holdings Ltd	21,500,000	0.2000	4.30	03/08/01
0273	China United Holdings Ltd	3,610,000,000	0.0100	36.10	09/08/01
0966	China Insurance International Holdings Co Ltd	80,000,000	2.7500	220.00	13/08/01
0139	139 Holdings Ltd	1,436,560,000	0.0250	35.91	15/08/01
1203	Guangnan (Holdings) Ltd	300,000,000	0.1700	51.00	22/08/01
0431	Greater China Sci-Tech Holdings Ltd	500,000,000	0.1630	81.50	23/08/01
0164	Sing Pao Media Group Ltd	62,000,000	1.1000	68.20	28/08/01
1003	Rockapetta Holdings Ltd	111,000,000	0.1500	16.65	29/08/01
0384	eBiz.hk.com Ltd (Name change to Hai Xia Holdings Ltd)	30,000,000	0.6000	18.00	30/08/01
0922	Vision Tech International Holdings Ltd	21,500,000	0.1800	3.87	30/08/01
0755	Fourseas.com Ltd	3,500,000,000	0.0200	70.00	03/09/01
0111	Hantec Investment Holdings Ltd	60,000,000	0.7300	43.80	04/09/01
0082	Yanion International Holdings Ltd	86,000,000	1.2600	108.36	10/09/01
0312	Lamex Holdings Ltd (Name change to Ezcom Holdings Ltd)	766,000,000	0.1000	76.60	11/09/01
0979	Prosper eVision Ltd	174,800,000	0.1150	20.10	12/09/01
0639	Fu Hui Holdings Ltd (Name change to Fushan Holdings Ltd)	700,000,000	0.1000	70.00	14/09/01
0639	Fu Hui Holdings Ltd (Name change to Fushan Holdings Ltd)	150,000,000	0.1000	15.00	14/09/01
0639	Fu Hui Holdings Ltd (Name change to Fushan Holdings Ltd)	150,000,000	0.1000	15.00	14/09/01
0208	Kin Don Holdings Ltd	1,300,000,000	0.0200	26.00	14/09/01
0172	Can Do Holdings Ltd	80,000,000	0.2500	20.00	18/09/01
0501	RNA Holdings Ltd	69,900,000	0.2418	16.90	18/09/01
0273	China United Holdings Ltd	4,654,000,000	0.0100	46.54	20/09/01
0070	Mandarin Resources Corporation Ltd	160,000,000	0.0500	8.00	20/09/01
1041	Fulbond Holdings Ltd	790,000,000	0.0400	31.60	30/09/01
0493	Capital Automation Holdings Ltd	44,300,000	0.1800	7.97	05/10/01
1222	Wang On Group Ltd	116,500,000	0.0800	9.32	08/10/01
1222	Wang On Group Ltd	43,500,000	0.0800	3.48	08/10/01
0759	CEC International Holdings Ltd	20,588,235	0.6800	14.00	09/10/01
0500	DVN (Holdings) Ltd	38,900,000	2.0000	77.80	10/10/01
0809	Global Bio-chem Technology Group Co Ltd	256,000,000	1.8600	476.16	10/10/01
0764	Ocean Shores Group Ltd	33,000,000	1.0000	33.00	11/10/01
0855	Cedar Base Electronic (Group) Ltd	180,000,000	0.1000	18.00	22/10/01

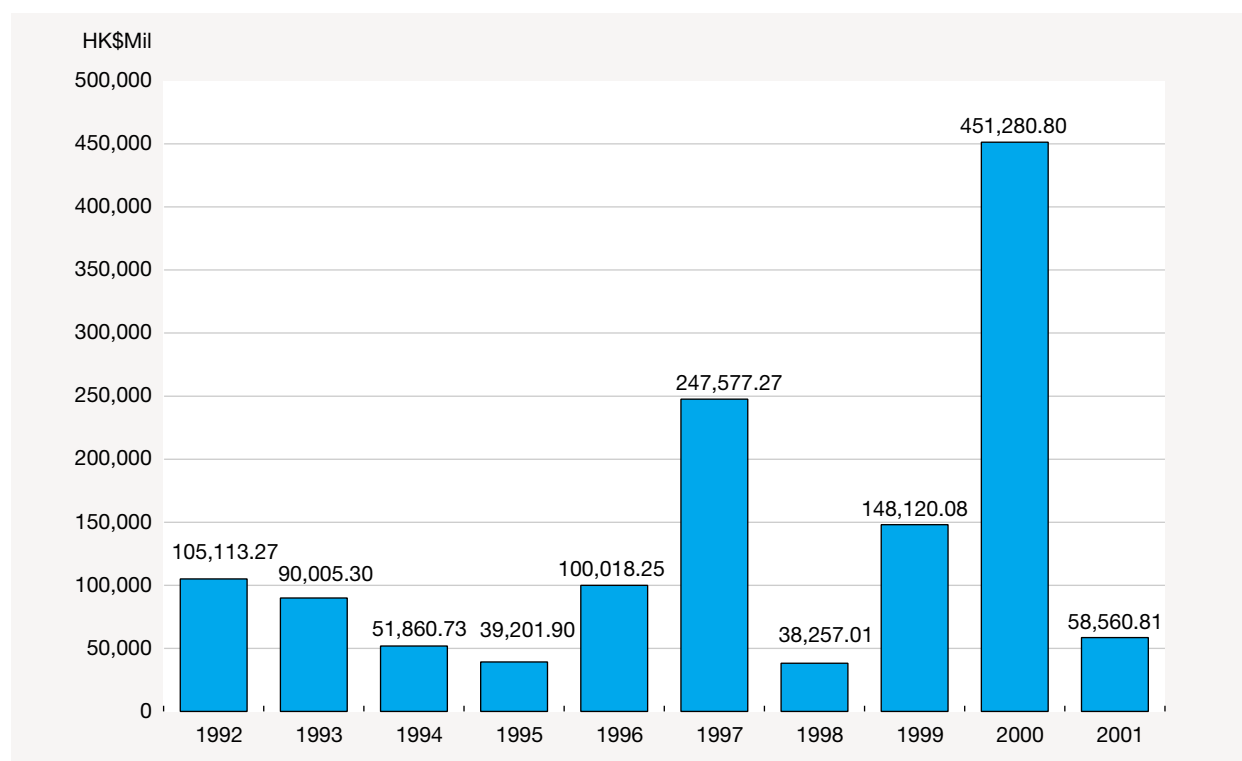
Placings (continued)

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
0274	Global Green Tech Group Ltd	27,000,000	1.6200	43.74	24/10/01
0303	VTech Holdings Ltd	375,900	2.0750	0.78	24/10/01
0295	Kong Sun Holdings Ltd	250,000,000	0.3000	75.00	26/10/01
0650	Shun Cheong Holdings Ltd	45,000,000	0.2000	9.00	26/10/01
0650	Shun Cheong Holdings Ltd	16,000,000	0.2000	3.20	26/10/01
0650	Shun Cheong Holdings Ltd	16,000,000	0.2000	3.20	26/10/01
0138	CCT Telecom Holdings Ltd	120,000,000	0.5000	60.00	29/10/01
0274	Global Green Tech Group Ltd	10,000,000	1.6800	16.80	29/10/01
0370	E-LIFE International Ltd	2,256,000,000	0.0500	112.80	05/11/01
0370	E-LIFE International Ltd	744,000,000	0.0500	37.20	05/11/01
0979	Prosper eVision Ltd	200,000,000	0.1000	20.00	07/11/01
1222	Wang On Group Ltd	96,900,000	0.0900	8.72	12/11/01
1222	Wang On Group Ltd	131,100,000	0.0900	11.80	12/11/01
0494	Li & Fung Ltd	4,600,000	7.3974	34.03	16/11/01
0501	RNA Holdings Ltd	322,000,000	0.1000	32.20	16/11/01
0501	RNA Holdings Ltd	470,000,000	0.1000	47.00	16/11/01
0162	I-Wood International Holdings Ltd	140,000,000	0.4000	56.00	19/11/01
0938	Man Sang International Ltd	120,000,000	0.1200	14.40	26/11/01
0070	Mandarin Resources Corporation Ltd	211,000,000	0.0600	12.66	28/11/01
1131	Kwong Hing International Holdings (Bermuda) Ltd	322,200,000	0.0500	16.11	29/11/01
0243	QPL International Holdings Ltd	25,000,000	2.6750	66.88	29/11/01
0682	Chaoda Modern Agriculture (Holdings) Ltd	223,000,000	2.3000	512.90	30/11/01
0682	Chaoda Modern Agriculture (Holdings) Ltd	97,000,000	2.3000	223.10	30/11/01
0706	Start Technology Co Ltd	40,000,000	0.5000	20.00	30/11/01
0371	IFTA Pacific Holdings Ltd	128,745,000	0.1500	19.31	03/12/01
0399	Far East Pharmaceutical Technology Co Ltd	20,000,000	1.3600	27.20	07/12/01
0362	Sunlord Chemical Group Ltd	60,000,000	0.3600	21.60	10/12/01
1215	Wah Lee Resources Holdings Ltd	5,500,000,000	0.0100	55.00	10/12/01
0286	G-Prop (Holdings) Ltd	28,200,000	0.1100	3.10	11/12/01
0648	Softbank Investment International (Strategic) Ltd	80,000,000	0.3000	24.00	11/12/01
0648	Softbank Investment International (Strategic) Ltd	20,000,000	0.3000	6.00	11/12/01
0979	Prosper eVision Ltd	249,700,000	0.1280	31.96	12/12/01
0966	China Insurance International Holdings Co Ltd	101,789,808	3.7668	383.42	17/12/01
0307	Sun Television Cybernetworks Holdings Ltd	673,000,000	0.1000	67.30	19/12/01
1013	Telecom Plus Holdings Ltd	80,000,000	0.4500	36.00	19/12/01
0340	INNOMAXX Biotechnology Group Ltd	70,000,000	0.1100	7.70	21/12/01
0604	Shenzhen Investment Ltd	50,000,000	1.9750	98.75	21/12/01
0818	Hi Sun Group Ltd	16,800,000	1.1400	19.15	24/12/01
1220	Ocean Grand Holdings Ltd	210,623,202	0.1250	26.33	27/12/01
1220	Ocean Grand Holdings Ltd	70,207,734	0.1250	8.78	27/12/01
0858	Extrawell Pharmaceutical Holdings Ltd	120,000,000	0.2500	30.00	28/12/01
0412	B-Tech (Holdings) Ltd	513,180,000	0.0180	9.24	31/12/01
1180	LifeTec Group Ltd	200,000,000	0.2350	47.00	31/12/01
0539	Victory City International Holdings Ltd	28,000,000	0.2750	7.70	31/12/01
1222	Wang On Group Ltd	129,600,000	0.0900	11.66	31/12/01
1222	Wang On Group Ltd	144,400,000	0.0900	13.00	31/12/01
Total				11,578.64	

Funds raised by classification (1997 – 2001)

		HK\$ mil				
Type	Listing method	1997	1998	1999	2000	2001
Equities	Offer for subscription	18,509.38	3,681.51	2,669.52	11,770.81	2,228.18
	Offer for sale	123.67	135.13	71.88	227.60	2,483.15
	Offer for placing	63,020.57	2,137.21	12,815.79	105,304.83	16,887.22
	Placing	78,173.82	16,399.51	69,318.13	106,247.34	11,578.64
	Rights issue	16,297.97	5,301.51	7,357.99	11,712.86	2,986.83
	Open offer	213.54	83.62	709.00	937.27	949.85
	Consideration issue	58,859.90	9,695.36	50,106.22	205,020.66	14,263.82
	Warrants exercised	8,322.09	310.57	2,506.84	2,687.35	273.39
	Share option scheme	4,056.33	512.59	2,564.71	7,372.10	6,909.72
Equities funds raised		247,577.27	38,257.01	148,120.08	451,280.80	58,560.81
Debt securities		178,209.17	87,984.78	52,954.02	23,835.24	28,595.27
Unit trusts/Mutual funds		–	–	33,333.34	–	257.64
Derivative warrants – premium		33,289.19	15,631.57	17,679.80	29,791.41	15,838.81
Equity warrants – premium		1,440.99	–	241.15	343.56	234.28

Equity funds raised (1992 – 2001)



Trading value and volume for NASDAQ stocks (2001)

Year/month	No. of trading days	Value (HK\$)		Volume (shares)		Deals		
		Total	Average daily	Total	Average daily	Total	Average daily	
2000	147	271,933,065	1,849,885	655,350	4,458	6,172	42	
2001	243	117,803,136	484,787	463,670	1,908	3,077	13	
2001	Jan	19	28,494,270	1,499,698	90,130	4,744	608	32
	Feb	20	28,824,970	1,441,249	108,020	5,401	609	30
	Mar	22	11,882,885	540,131	51,250	2,330	354	16
	Apr	17	8,134,005	478,471	36,980	2,175	313	18
	May	22	11,074,430	503,383	42,820	1,946	267	12
	Jun	20	6,324,325	316,216	26,800	1,340	181	9
	Jul	19	5,164,395	271,810	19,490	1,026	119	6
	Aug	23	3,893,180	169,269	17,730	771	111	5
	Sep	20	3,023,318	151,166	16,730	837	122	6
	Oct	20	3,104,658	155,233	17,780	889	139	7
	Nov	22	5,259,000	239,045	23,950	1,089	161	7
	Dec	19	2,623,700	138,089	11,990	631	93	5

Note : Pilot programme for 7 NASDAQ stocks trading commenced by 31 May 2000

Monthly turnover for NASDAQ stocks (2001)

Year / Month		Trading days	(HK\$'000)							Total
			Dell	Amgen	Cisco	Intel	Applied	Starbucks	Microsoft	
2000		147	12,151	12,848	59,993	77,329	50,246	5,711	53,655	271,933
2001		243	4,665	4,112	38,877	32,278	16,125	1,930	19,816	117,803
2001	Jan	19	1,479	1,248	4,539	7,673	7,218	73	6,264	28,494
	Feb	20	1,053	203	16,734	6,094	2,067	-	2,674	28,825
	Mar	22	397	10	4,980	2,670	2,083	373	1,370	11,883
	Apr	17	441	173	2,316	2,363	1,361	300	1,180	8,134
	May	22	325	994	3,322	2,707	349	-	3,377	11,074
	Jun	20	85	390	1,413	2,187	497	419	1,333	6,324
	Jul	19	91	835	880	1,077	1,608	154	521	5,164
	Aug	23	55	34	670	1,979	152	346	657	3,893
	Sep	20	212	24	781	956	564	-	487	3,023
	Oct	20	326	105	922	1,050	141	66	495	3,105
	Nov	22	114	98	1,498	2,322	86	28	1,113	5,259
	Dec	19	85	-	824	1,200	-	171	343	2,624

* Pilot programme for 7 NASDAQ stocks trading commenced by 31 May 2000

Trading value and volume for iShares (2001)

Year/month	No. of trading days	Value (HK\$)		Volume (shares)		Deals	
		Total	Average daily	Total	Average daily	Total	Average daily
2001	165	102,710,876	622,490	1,195,850	7,248	250	2
2001	May	46,130,776	2,096,853	473,250	21,511	93	4
	Jun	6,912,851	345,643	79,250	3,963	29	1
	Jul	11,301,966	594,840	163,400	8,600	23	1
	Aug	9,788,454	425,585	121,150	5,267	24	1
	Sep	3,014,528	150,726	47,200	2,360	13	1
	Oct	1,062,360	53,118	16,900	845	6	0
	Nov	12,645,149	574,780	166,950	7,589	27	1
	Dec	11,854,791	623,936	127,750	6,724	35	2

Notes:

- Two iShares trading commenced by 2 May 2001
- The exchange rate of HK\$7.8/US\$1 was used to calculate the equivalent value in Hong Kong dollars.

Monthly turnover for iShares (2001)

					(HK\$'000)
Year / Month	Trading days	iShares South Korea	iShares Taiwan	Total	
2001	165	37,454	65,257	102,711	
2001	May	23,660	22,471	46,131	
	Jun	1,552	5,361	6,913	
	Jul	1,388	9,914	11,302	
	Aug	3,645	6,143	9,788	
	Sep	429	2,586	3,015	
	Oct	282	780	1,062	
	Nov	2,999	9,646	12,645	
	Dec	3,498	8,356	11,855	

Notes:

- Two iShares trading commenced by 2 May 2001
- The exchange rate of HK\$7.8/US\$1 was used to calculate the equivalent value in Hong Kong dollars.

Newly listed equity warrants

Code	Warrant	Subscription price	Subscription period	Initial issued amount (HK\$)	Listing date
		per unit (HK\$)			
00589	Midland Realty (Holdings) Ltd Warrants 2003	0.50	2000/01/11 – 2003/01/11	52,054,000	03/01/01
00309	Styland Holdings Ltd Warrants 2001	0.38	2001/01/01 – 2001/12/31	27,471,714	03/01/01
00734	Sun Hung Kai & Co Ltd Warrants 2003	3.00	2001/01/12 – 2003/01/11	204,990,240	15/01/01
00609	QPL International Holdings Ltd Warrants 2004	6.25	2001/01/29 – 2004/01/28	635,459,294	31/01/01
00772	Tack Hsin Holdings Ltd Warrants 2004	1.45	2001/01/31 – 2004/01/30	87,015,312	31/01/01
00324	Climax International Co Ltd Warrants 2004	0.05	2001/02/09 – 2004/02/08	36,177,961	09/02/01
00634	Gold Peak Industries (Holdings) Ltd Warrants 2002	2.20	2001/02/09 – 2002/02/08	116,953,069	14/02/01
00574	Fulbond Holdings Ltd Warrants 2004	USD 0.0025	2001/03/23 – 2004/03/31	26,248,001	19/04/01
00394	South China Online Ltd Warrants 2002	0.11	2001/04/18 – 2002/04/18	106,968,273	20/04/01
00714	Culturecom Holdings Ltd Warrants 2002	0.27	2001/05/10 – 2002/05/09	81,000,000	10/05/01
00753	Magnificent Estates Ltd Warrants 2003	0.035	2002/11/14 – 2003/02/14	22,564,967	21/05/01
00906	Cosmos Machinery Enterprises Ltd Warrants 2003	0.40	2001/06/11 – 2003/06/10	51,266,514	15/06/01
00360	Luks Industrial (Group) Ltd Warrants 2004	0.90	2001/06/14 – 2004/06/17	58,824,533	18/06/01
00695	Lei Shing Hong Ltd Warrants 2006	3.00	2001/06/19 – 2006/06/19	570,699,000	22/06/01
00763	China Travel International Investment Hong Kong Ltd Warrants 2003	1.22	2001/07/03 – 2003/06/30	925,241,777	03/07/01
00569	Kith Holdings Ltd Warrants 2004	2.20	2001/07/11 – 2004/06/30	117,040,000	11/07/01
00556	Yugang International Ltd Warrants 2003	0.09	2001/07/18 – 2003/07/18	118,132,740	18/07/01
01034	ING Beijing Investment Co Ltd Warrants 2003	0.2244	2001/08/16 – 2003/08/15	24,183,139	21/08/01
00853	Global Tech (Holdings) Ltd Warrants 2004	0.68	2001/08/27 – 2004/08/26	359,007,352	29/08/01
00602	Kingboard Chemical Holdings Ltd Warrants 2003	5.80	2001/09/03 – 2003/12/31	273,862,915	03/09/01
00845	Artfield Group Ltd Warrants 2004	1.23	2004/02/20 – 2004/03/01	59,730,645	06/09/01
00591	U-Right International Holdings Ltd Warrants 2003	0.45	2001/09/13 – 2003/09/12	74,250,000	17/09/01
00150	Allied Properties (H.K.) Ltd Warrants 2002	0.27	2001/09/20 – 2002/09/17	234,943,693	20/09/01
00564	Kiu Hung International Holdings Ltd Warrants 2003	0.70	2001/10/08 – 2003/10/07	61,600,000	08/10/01
00722	Global Green Tech Group Ltd Warrants 2003	2.50	2002/10/22 – 2003/12/30	135,301,813	23/10/01
00625	Oriental Union Holdings Ltd Warrants 2004	0.50	2001/10/18 – 2004/03/31	117,000,000	24/10/01
00705	Zhongda International Holdings Ltd Warrants 2006	0.68	2001/11/01 – 2006/10/31	33,750,000	01/11/01
00940	City Telecom (H.K.) Ltd Warrants 2004	0.11	2001/11/02 – 2004/11/01	98,314,800	02/11/01
00561	Van Shung Chong Holdings Ltd Warrants 2002	1.18	2001/11/19 – 2004/11/19	41,887,425	16/11/01
00390	Tian An China Investments Co Ltd Warrants 2004	0.20	2001/12/05 – 2004/12/03	339,628,173	05/12/01
00568	China Rich Holdings Ltd Warrants 2003	0.35	2001/12/12 – 2003/06/11	400,000,000	12/12/01

Newly listed derivative warrants

Code	Issuer	Name of issue	Strike level/			Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units	Subscription/exercise period		
2191	Merrill Lynch Int'l & Co C V	Cheung Kong (H) European Warrants Sep 2001	1.0218	117.9000	2001/09/27	63,300,000	09/01/01
2195	Merrill Lynch Int'l & Co C V	Cheung Kong (H) European Put Warrants Sep 2001	1.6703	103.1625	2001/09/28	37,700,000	09/01/01
2189	Credit Lyonnais F P (G) Ltd	PCCW European Warrants 2 Aug 2001	1.3860	4.356 per unit	2001/08/02	65,000,000	10/01/01
2192	KBC Financial Products International Ltd	HSBC European Warrants Jul 2001	1.2800	112.0000	2001/07/13	110,000,000	10/01/01
2193	Credit Lyonnais F P (G) Ltd	MTR European Warrants Aug 2001	0.3276	12.7800	2001/08/24	230,000,000	11/01/01
2194	KBC Financial Products International Ltd	MTR European Warrants Oct 2001	0.2560	13.9200	2001/10/31	230,000,000	11/01/01
2196	Macquarie Bank Ltd	MTR European Warrants Sep 2001	0.2654	13.7740	2001/09/03	230,000,000	11/01/01
2197	Morgan Stanley Dean Witter & Co	Henderson Land European Warrants Jul 2001	0.6700	41.9000	2001/07/16	80,000,000	11/01/01
2190	Credit Suisse First Boston	China Resources European Warrants Dec 2001	0.2900	9.4530	2001/12/19	330,000,000	12/01/01
2198	Credit Suisse First Boston	MTR European Warrant Dec 2001	0.2680	14.4840	2001/12/21	230,000,000	15/01/01
2199	Credit Lyonnais F P (G) Ltd	Henderson Land European Warrants Aug 2001	0.9640	39.4680	2001/08/03	80,000,000	16/01/01
2201	Credit Lyonnais F P (G) Ltd	China Resources European Warrants Aug 2001	0.3350	9.3925	2001/08/09	250,000,000	17/01/01
2202	KBC Financial Products International Ltd	China Resources European Warrants Jul 2001	0.2500	10.5000	2001/07/27	400,000,000	17/01/01
2203	Lehman Brothers Holdings Inc	China Mobile European Warrants Jul 2001	0.7600	46.9350	2001/07/20	175,000,000	17/01/01
2204	Macquarie Bank Ltd	Henderson Land European Warrants Jul 2001	0.6810	43.6000	2001/07/17	150,000,000	17/01/01
2208	ABN AMRO Bank N.V.	Hutchison European Warrants Sep 2001	1.1800	109.8250	2001/09/27	100,000,000	18/01/01
2209	Credit Lyonnais F P (G) Ltd	China Merchants European Warrants Dec 2001	0.2513	5.0160	2001/12/15	300,000,000	18/01/01
2200	Credit Suisse First Boston	PetroChina European Warrants Nov 2001	0.3370	1.36 per unit	2001/11/28	250,000,000	18/01/01
2210	KBC Financial Products International Ltd	MTR European Warrants Nov 2001	0.2500	14.3800	2001/11/16	380,000,000	18/01/01
2205	Morgan Stanley Dean Witter & Co	Shanghai Ind European Warrants Aug 2001	0.3050	14.4875	2001/08/06	170,000,000	18/01/01
2206	Lehman Brothers Holdings Inc	COSCO European Warrants Nov 2001	0.2500	6.1180	2001/11/30	500,000,000	18/01/01
2207	Macquarie Bank Ltd	China Everbright European Warrants Jul 2001	0.2510	8.0500	2001/07/18	400,000,000	18/01/01
2211	Macquarie Bank Ltd	China Resources European Warrants Jul 2001	0.2500	10.8500	2001/07/25	400,000,000	18/01/01
2212	Credit Suisse First Boston	COSCO Pac European Warrants Dec 2001	0.2500	5.2280	2001/12/19	300,000,000	22/01/01
2189#	Credit Lyonnais F P (G) Ltd	PCCW European Warrants 2 Aug 2001	1.0100	4.356 per unit	2001/08/02	49,500,000	22/01/01
2177#	Credit Lyonnais F P (G) Ltd	China Unicom European Warrants Jul 2001	0.4350	9.3080	2001/07/27	364,000,000	23/01/01
2213	KBC Financial Products International Ltd	PCCW European Warrants Aug 2001	0.9380	4.1 per unit	2001/08/03	80,000,000	23/01/01
2217	KBC Financial Products International Ltd	PCCW European Put Warrants Jul 2001	0.6680	3.85 per unit	2001/07/25	125,000,000	23/01/01
2214	Merrill Lynch Int'l & Co C V	China Merchants European Warrants Oct 2001	0.7920	6.2425	2001/10/15	64,000,000	23/01/01
2215	Morgan Stanley Dean Witter & Co	PetroChina European Warrants Oct 2001	0.3110	1.4000	2001/10/10	170,000,000	23/01/01
2216	ABN AMRO Bank N.V.	China Mobile European Warrants Oct 2001	0.9300	51.5900	2001/10/30	100,000,000	30/01/01
2218	Credit Suisse First Boston	China Petroleum European Warrant Nov 2001	0.2500	1.298 per unit	2001/11/01	250,000,000	31/01/01
2219	KBC Financial Products International Ltd	Hang Seng Bank European Warrants Aug 2001	0.7100	116.3000	2001/08/06	128,000,000	06/02/01
2222	Credit Lyonnais F P (G) Ltd	Hutchison European Warrants Oct 2001	2.2300	94.3000	2001/10/05	40,000,000	07/02/01
2220	Goldman Sachs Int'l	HSBC European Warrants Sep 2001	1.4400	127.0500	2001/09/28	60,000,000	07/02/01
2225	KBC Financial Products International Ltd	Cheung Kong (H) European Warrants Aug 2001	1.1100	110.0000	2001/08/08	110,000,000	07/02/01
2221	Macquarie Bank Ltd	Cheung Kong (H) European Warrants Aug 2001	0.9250	114.5000	2001/08/07	110,000,000	07/02/01
2226	ABN AMRO Bank N.V.	HSBC European Warrants Oct 2001	1.1500	132.0000	2001/10/30	65,000,000	08/02/01
2223	Lehman Brothers Holdings Inc	New World Development European Warrants Aug 2001	0.2530	12.5460	2001/08/13	500,000,000	08/02/01
2224	Macquarie Bank Ltd	New World Development European Warrants Aug 2001	0.2570	12.0500	2001/08/08	400,000,000	08/02/01
2227	KBC Financial Products International Ltd	HSBC European Warrants Aug 2001	1.0300	123.3000	2001/08/13	118,000,000	13/02/01
2229	Credit Lyonnais F P (G) Ltd	PCCW European Warrants Sep 2001	1.5320	3.7 per unit	2001/09/06	65,000,000	14/02/01

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Unit issued on initial listing	Listing date	
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units			
2228	Credit Suisse First Boston	New World Dev European Warrants Dec 2001	0.2500	13.1040	2001/12/10	300,000,000	14/02/01
2230	Goldman Sachs International	New World Dev European Warrants Oct 2001	0.3033	11.3050	2001/10/09	168,000,000	14/02/01
2231	ABN AMRO Bank N.V.	Swire Pac 'A' European Warrants Oct 2001	0.7650	57.2000	2001/10/30	100,000,000	15/02/01
2233	Credit Lyonnais F P (G) Ltd	PetroChina European Warrants Oct 2001	0.3572	1.152 per unit	2001/10/12	250,000,000	15/02/01
2232	Credit Suisse First Boston	New World Dev European Put Warrants Nov 2001	0.2950	12.4020	2001/11/08	280,000,000	15/02/01
2234	Morgan Stanley Dean Witter & Co	HSBC European Warrants Oct 2001	1.0360	132.5500	2001/10/03	70,000,000	15/02/01
2145#	KBC Financial Products International Ltd	PCCW European Warrants Jan 2002	0.1210	4.9000	2002/01/17	400,000,000	16/02/01
2235	Credit Suisse First Boston	Wharf European Warrants Dec 2001	0.3850	24.4650	2001/12/12	250,000,000	19/02/01
2237	KBC Financial Products International Ltd	SHK Ppt European Warrants Aug 2001	1.0200	86.6300	2001/08/20	100,000,000	20/02/01
2238	Macquarie Bank Ltd	Sun Hung Kai Prop European Warrants Aug 2001	0.9800	89.1000	2001/08/21	110,000,000	20/02/01
2239	Merrill Lynch Int'l & Co C V	China Resources European Warrants Nov 2001	0.2608	12.3000	2001/11/12	237,000,000	20/02/01
2236	SGA Societe Generale Acceptance N.V.	HSBC European Warrants Sep 2001	1.3260	119.0000	2001/09/10	50,000,000	21/02/01
2158#	ABN AMRO Bank N.V.	PCCW European Warrants Sep 2001	0.0430	6.0000	2001/09/26	1,000,000,000	22/02/01
2240	Lehman Brothers Holdings Inc	HSBC European Warrants Sep 2001	1.0360	126.5250	2001/09/03	125,000,000	22/02/01
2241	Macquarie Bank Ltd	CITIC Pacific European Warrants Aug 2001	0.3550	30.5500	2001/08/22	300,000,000	22/02/01
2242	Morgan Stanley Dean Witter & Co	Swire Pac 'A' European Warrants Sep 2001	0.7100	56.9750	2001/09/03	80,000,000	22/02/01
2243	Macquarie Bank Ltd	China Mobile European Warrants Aug 2001	0.6860	53.1000	2001/08/29	150,000,000	22/02/01
2244	SGA Societe Generale Acceptance N.V.	China Resources European Warrants Sep 2001	0.2620	11.7300	2001/09/17	300,000,000	22/02/01
2245	Goldman Sachs Int'l	Cheung Kong (H) European Warrants Aug 2001	1.0290	102.9000	2001/08/31	70,000,000	23/02/01
2246	Credit Suisse First Boston	Huaneng Power European Warrants Oct 2001	0.9700	5 per unit	2001/10/29	80,000,000	26/02/01
2247	KBC Financial Products International Ltd	Hutchison European Warrants Sep 2001	1.2000	95.0000	2001/09/03	80,000,000	27/02/01
2248	Macquarie Bank Ltd	Hutchison European Warrants Aug 2001	0.7930	109.2500	2001/08/31	130,000,000	27/02/01
2196#	Macquarie Bank Ltd	MTR European Warrants Sep 2001	0.1710	13.7740	2001/09/03	290,000,000	28/02/01
2249	SGA Societe Generale Acceptance N.V.	Bank of East Asia European Warrants Sep 2001	0.2730	18.8100	2001/09/17	280,000,000	28/02/01
2250	Goldman Sachs International	PCCW European Warrants Sep 2001	1.0520	3.9375 per unit	2001/09/21	80,000,000	28/02/01
2251	Credit Lyonnais F P (G) Ltd	China Petroleum European Warrants Sep 2001	0.3617	1.1176 per unit	2001/09/21	230,000,000	01/03/01
2252	Macquarie Bank Ltd	HSBC European Warrants Sep 2001	1.1610	121.0000	2001/09/07	90,000,000	01/03/01
2253	ABN AMRO Bank N.V.	MTR European Warrants Nov 2001	0.2530	13.5380	2001/11/29	250,000,000	01/03/01
2254	Macquarie Bank Ltd	China Unicom European Warrants Sep 2001	0.2500	10.8500	2001/09/28	400,000,000	01/03/01
2255	SGA Societe Generale Acceptance N.V.	COSCO Pac European Warrants Oct 2001	0.8850	6.38 per unit	2001/10/15	60,000,000	01/03/01
2256	Merrill Lynch Int'l & Co C V	H Shares Basket European Warrants Dec 2001	0.2711	9.5853	2001/12/21	192,000,000	02/03/01
2257	Credit Lyonnais F P (G) Ltd	China Mobile European Warrants Oct 2001	1.4080	33.9200	2001/10/26	72,000,000	06/03/01
2229#	Credit Lyonnais F P (G) Ltd	PCCW European Warrants Sep 2001	1.2200	3.7 per unit	2001/09/06	40,000,000	06/03/01
2260	KBC Financial Products International Ltd	HSBC European Warrants Sep 2001	1.0800	103.0000	2001/09/06	128,000,000	06/03/01
2259	ABN AMRO Bank N.V.	China Unicom European Warrants Nov 2001	0.2750	11.7500	2001/11/15	300,000,000	07/03/01
2178#	Credit Suisse First Boston	China Unicom European Warrants Sep 2001	0.2700	9.6360	2001/09/10	180,000,000	07/03/01
2258	Credit Suisse First Boston	Li & Fung European Warrants Dec 2001	0.4090	15.1200	2001/12/03	250,000,000	07/03/01
2263	KBC Financial Products International Ltd	Cheung Kong (H) European Warrants Sep 2001	0.9900	93.8000	2001/09/07	100,000,000	07/03/01
2264	ABN AMRO Bank N.V.	Cheung Kong (H) European Warrants Nov 2001	0.9450	101.2000	2001/11/22	120,000,000	08/03/01
2265	Credit Lyonnais F P (G) Ltd	HSBC European Warrants Nov 2001	2.5410	85.2800	2001/11/28	40,000,000	08/03/01
2261	Macquarie Bank Ltd	HSBC European Warrants Oct 2001	1.0100	110.0000	2001/10/04	100,000,000	08/03/01
2262	SGA Societe Generale Acceptance N.V.	Hutchison European Warrants Sep 2001	0.9340	97.1200	2001/09/11	85,000,000	08/03/01
2266	SGA Societe Generale Acceptance N.V.	Hutchison European Put Warrants Sep 2001	0.7680	87.8800	2001/09/10	100,000,000	08/03/01

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/				Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units	Subscription/exercise period	Unit issued on initial listing	
2267	Credit Suisse First Boston	Sino Land European Warrants Nov 2001	0.7850	5.115 per unit	2001/11/30	100,000,000	13/03/01
2268	SGA Societe Generale Acceptance N.V.	Huaneng Power European Warrants Sep 2001	0.7910	3.825 per unit	2001/09/24	100,000,000	14/03/01
2269	ABN AMRO Bank N.V.	HSBC European Warrants 6 Dec 2001	1.0300	107.6250	2001/12/06	120,000,000	14/03/01
2271	Macquarie Bank Ltd	Cheung Kong (H) European Warrants Sep 2001	0.9790	95.5000	2001/09/14	110,000,000	14/03/01
2272	Merrill Lynch Int'l & Co C V	HSBC European Warrants Dec 2001	1.2770	103.5000	2001/12/11	40,000,000	15/03/01
2273	Morgan Stanley Dean Witter & Co	China Mobile European Warrants Oct 2001	0.7700	35.5300	2001/10/03	80,000,000	15/03/01
2276	Merrill Lynch Int'l & Co C V	HSBC European Put Warrants Dec 2001	1.0090	98.8425	2001/12/10	69,500,000	15/03/01
2270	Credit Suisse First Boston	MSCI Taiwan Index European Warrants Mar 2002	0.2500	HK\$285.37 per 280 units	2002/03/05	380,000,000	19/03/01
2275	ABN AMRO Bank N.V.	China Mobile European Warrants Dec 2001	0.7050	38.6400	2001/12/13	130,000,000	20/03/01
2274	SGA Societe Generale Acceptance N.V.	Henderson Land European Warrants Oct 2001	0.5820	48.0900	2001/10/15	140,000,000	20/03/01
2277	Macquarie Bank Ltd	Hutchison European Warrants Oct 2001	1.2170	84.7500	2001/10/31	80,000,000	20/03/01
2278	Macquarie Bank Ltd	HSBC European Warrants Oct 2001	1.0350	98.5000	2001/10/11	100,000,000	21/03/01
2279	Credit Lyonnais F P (G) Ltd	Hutchison European Warrants Dec 2001	2.1491	73.1000	2001/12/17	40,000,000	22/03/01
2280	Macquarie Bank Ltd	China Mobile European Warrants Sep 2001	0.6230	39.8000	2001/09/24	170,000,000	22/03/01
2283	KBC Financial Products International Ltd	CITIC Pacific European Warrants Oct 2001	0.2650	25.3000	2001/10/15	350,000,000	27/03/01
2281	Macquarie Bank Ltd	SHK Ppt European Warrants Sep 2001	1.1660	78.7500	2001/09/27	90,000,000	27/03/01
2282	SGA Societe Generale Acceptance N.V.	China Mobile European Warrants Oct 2001	0.7550	38.5000	2001/10/23	100,000,000	27/03/01
2284	Macquarie Bank Ltd	CITIC Pacific European Warrants Sep 2001	0.2580	25.4000	2001/09/27	400,000,000	27/03/01
2286	SGA Societe Generale Acceptance N.V.	China Mobile European Put Warrants Oct 2001	0.6470	38.5000	2001/10/22	120,000,000	27/03/01
2285	SGA Societe Generale Acceptance N.V.	Hang Seng Bank European Warrants Oct 2001	0.9200	89.7500	2001/10/03	85,000,000	28/03/01
2288	Credit Lyonnais F P (G) Ltd	Cheung Kong (H) European Warrants Oct 2001	1.7437	72.3800	2001/10/22	72,000,000	29/03/01
2289	Merrill Lynch Int'l & Co C V	Li & Fung European Warrants Oct 2001	0.2738	11.7000	2001/10/22	185,000,000	29/03/01
2290	SGA Societe Generale Acceptance N.V.	Cheung Kong (H) European Warrants Oct 2001	1.0550	82.2500	2001/10/15	75,000,000	29/03/01
2293	Merrill Lynch Int'l & Co C V	Li & Fung European Put Warrants Oct 2001	0.2955	12.2850	2001/10/23	283,000,000	29/03/01
2294	SGA Societe Generale Acceptance N.V.	Cheung Kong (H) European Put Warrants Oct 2001	0.9420	82.2500	2001/10/03	85,000,000	29/03/01
2287	Credit Suisse First Boston	Legend European Warrants Apr 2002	0.2500	5.0600	2002/04/30	330,000,000	30/03/01
2273#	Morgan Stanley Dean Witter & Co	China Mobile European Warrants Oct 2001	0.5170	35.5300	2001/10/03	80,000,000	30/03/01
2291	Credit Lyonnais F P (G) Ltd	HSBC European Warrants Oct 2001	1.1190	92.0000	2001/10/12	100,000,000	02/04/01
2295	KBC Financial Products International Ltd	HSBC European Warrants Oct 2001	0.9600	92.0000	2001/10/08	88,000,000	03/04/01
2299	KBC Financial Products International Ltd	HSBC European Put Warrants Oct 2001	0.9700	93.6000	2001/10/05	105,000,000	03/04/01
2292	Macquarie Bank Ltd	Henderson Land European Put Warrants Oct 2001	0.8060	40.9500	2001/10/18	120,000,000	03/04/01
2296	Macquarie Bank Ltd	Hutchison European Put Warrants Oct 2001	1.2000	85.3000	2001/10/08	85,000,000	04/04/01
1700	Macquarie Bank Ltd	PCCW European Warrants Oct 2001	0.4750	3.575 per unit	2001/10/04	210,000,000	04/04/01
2297	Credit Suisse First Boston	CITIC Pacific European Put Warrants Jan 2002	0.4750	23.4090	2002/01/08	160,000,000	06/04/01
1701	Credit Suisse First Boston	CITIC Pacific European Warrants Jan 2002	0.4150	24.0980	2002/01/18	150,000,000	09/04/01
2298	Lehman Brothers Holdings Inc	CITIC Pacific European Warrants Nov 2001	0.2520	25.2450	2001/11/01	400,000,000	09/04/01
1702	ABN AMRO Bank N.V.	HSBC European Warrants 20 Dec 2001	0.8700	101.2000	2001/12/20	58,000,000	10/04/01
1706	ABN AMRO Bank N.V.	HSBC European Warrants 7 Dec 2001	0.7900	87.4000	2001/12/07	75,000,000	10/04/01
1705	Macquarie Bank Ltd	China Mobile European Warrants Oct 2001	0.5410	29.7000	2001/10/11	190,000,000	11/04/01
1707	Morgan Stanley Dean Witter & Co	Cheung Kong (H) European Warrants Dec 2001	1.3690	76.4750	2001/12/03	40,000,000	12/04/01
1704	Credit Suisse First Boston	HSBC European Warrants Jan 2002	1.0770	98.0000	2002/01/28	80,000,000	17/04/01
1703	Lehman Brothers Holdings Inc	Cheung Kong (H) European Warrants Oct 2001	0.7360	90.4750	2001/10/23	135,000,000	17/04/01

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/			Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units	Subscription/ exercise period		
1708	Macquarie Bank Ltd	China Resources European Warrants Dec 2001	0.2580	9.1500	2001/12/18	400,000,000	19/04/01
1709	SGA Societe Generale Acceptance N.V.	PCCW European Warrants Dec 2001	0.4600	2.777 per unit	2001/12/04	180,000,000	19/04/01
1710	Macquarie Bank Ltd	Wharf European Warrants Oct 2001	0.2960	17.9500	2001/10/26	350,000,000	19/04/01
1713	SGA Societe Generale Acceptance N.V.	PCCW European Put Warrants Dec 2001	0.3430	2.273 per unit	2001/12/03	240,000,000	19/04/01
1711	Credit Lyonnais F P (G) Ltd	PCCW European Warrants Oct 2001	0.5767	2.5 per unit	2001/10/22	150,000,000	20/04/01
1712	KBC Financial Products International Ltd	Hutchison European Warrants Oct 2001	0.8800	83.9000	2001/10/24	95,000,000	20/04/01
1716	KBC Financial Products International Ltd	Hutchison European Put Warrants Oct 2001	0.9950	83.9000	2001/10/22	110,000,000	20/04/01
1714	Morgan Stanley Dean Witter & Co	HSBC European Put Warrants Nov 2001	0.4540	81.6200	2001/11/05	120,000,000	25/04/01
1719	Macquarie Bank Ltd	Sun Hung Kai P European Put Warrants Oct 2001	0.7970	71.2500	2001/10/29	125,000,000	25/04/01
1715	Credit Suisse First Boston	China Mobile European Warrants Feb 2002	0.8100	34.2000	2002/02/28	110,000,000	26/04/01
1717	Credit Suisse First Boston	DJIA European Put Warrants Mar 2002	0.2520	HKD 9,800 per 5,200 units	2002/03/05	350,000,000	26/04/01
1718	SGA Societe Generale Acceptance N.V.	HSBC European Warrants Nov 2001	0.7240	103.4000	2001/11/19	110,000,000	26/04/01
1720	Merrill Lynch Int'l & Co C V	Legend European Warrants Oct 2001	1.2024	5.532 per unit	2001/10/29	43,000,000	26/04/01
1722	SGA Societe Generale Acceptance N.V.	HSBC European Put Warrants Nov 2001	0.5170	84.6000	2001/11/12	160,000,000	26/04/01
1721	SGA Societe Generale Acceptance N.V.	HSI European Warrants Nov 2001	0.2500	HKD 14,792 per 4,300 units	2001/11/29	300,000,000	02/05/01
1725	SGA Societe Generale Acceptance N.V.	HSI European Put Warrants Nov 2001	0.2500	HKD 12,373 per 3,700 units	2001/11/29	300,000,000	02/05/01
1724	SGA Societe Generale Acceptance N.V.	China Everbright European Warrants Dec 2001	1.1570	HKD 8.085 per unit	2001/12/05	70,000,000	03/05/01
1723	Lehman Brothers Holdings Inc	Hutchison European Warrants Nov 2001	0.7250	94.8750	2001/11/19	140,000,000	04/05/01
1726	Macquarie Bank Ltd	Bank of East Asia European Warrants Nov 2001	0.2530	17.5500	2001/11/05	260,000,000	04/05/01
1727	KBC Financial Products International Ltd	Cheung Kong (H) European Warrants Nov 2001	0.9600	86.0000	2001/11/12	90,000,000	07/05/01
1731	KBC Financial Products International Ltd	Cheung Kong (H) European Put Warrants Nov 2001	1.0100	87.7200	2001/11/08	100,000,000	07/05/01
1729	Credit Lyonnais F P (G) Ltd	HKEx European Warrants Dec 2001	0.3272	12.3640	2001/12/03	318,000,000	10/05/01
1728	SGA Societe Generale Acceptance N.V.	China Merchants European Warrants Nov 2001	0.9120	HKD 5.90 per unit	2001/11/09	90,000,000	10/05/01
1730	Macquarie Bank Ltd	China Merchants European Warrants Nov 2001	0.7990	HKD 6.00 per unit	2001/11/12	80,000,000	10/05/01
1733	Citibank Aktiengesellschaft	HSBC European Warrants Nov 2001	1.3706	93.3375	2001/11/30	36,500,000	15/05/01
1734	Credit Lyonnais F P (G) Ltd	Sun Hung Kai Ppt European Warrants Dec 2001	1.6860	64.9000	2001/12/07	55,000,000	15/05/01
1735	J P Morgan Investor Derivatives Ltd	HSBC European Warrants Feb 2002	1.0600	102.1130	2002/02/15	60,000,000	15/05/01
1739	J P Morgan Investor Derivatives Ltd	HSBC European Put Warrants Feb 2002	0.8320	92.3880	2002/02/18	85,000,000	15/05/01
1736	KBC Financial Products International Ltd	Sun Hung Kai Ppt European Warrants Nov 2001	0.9700	75.2800	2001/11/15	85,000,000	15/05/01
1740	ABN AMRO Bank N.V.	Hutchison European Warrants Jan 2002	1.0000	91.6130	2002/01/30	100,000,000	16/05/01
1732	Credit Suisse First Boston	PetroChina European Warrants Apr 2002	0.2550	1.865 per unit	2002/04/19	330,000,000	16/05/01
1737	Morgan Stanley Dean Witter & Co	China Mobile European Put Warrants Jan 2002	0.3750	32.3900	2002/01/02	160,000,000	16/05/01
1738	SGA Societe Generale Acceptance N.V.	MTRC European Warrants Nov 2001	1.5980	13.600 per unit	2001/11/19	50,000,000	16/05/01
1741	SGA Societe Generale Acceptance N.V.	Cathay Pacific European Warrants Dec 2001	1.1400	12.760 per unit	2001/12/03	70,000,000	17/05/01
1742	KBC Financial Products International Ltd	China Mobile European Warrants Nov 2001	0.5830	39.1000	2001/11/27	120,000,000	22/05/01
1746	KBC Financial Products International Ltd	China Mobile European Put Warrants Nov 2001	0.6850	39.1000	2001/11/22	140,000,000	22/05/01
1744	Dresdner Bank AG	Cheung Kong European Warrants Nov 2001	0.8870	95.0250	2001/11/29	60,000,000	23/05/01
1743	SGA Societe Generale Acceptance N.V.	China Unicom European Warrants Dec 2001	1.6700	13.225 per unit	2001/12/03	48,000,000	23/05/01
1747	SGA Societe Generale Acceptance N.V.	China Unicom European Put Warrants Nov 2001	1.4040	10.350 per unit	2001/11/26	56,000,000	23/05/01
1745	Morgan Stanley Dean Witter & Co	Henderson Land European Warrants Dec 2001	0.4800	36.4000	2001/12/17	110,000,000	24/05/01

Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Strike level/		Subscription/ exercise period	Unit issued on initial listing	Listing date
			Issue prices (HK\$)	Subscription price (HK\$) per 10 units			
1748	Credit Lyonnais F P (G) Ltd	HSI European Put Warrants Nov 2001	0.2522	13,721.27 per 6,800 units	2001/11/29	350,000,000	29/05/01
1750	KBC Financial Products International Ltd	Pacific Century European Warrants Nov 2001	0.5220	2.675 per unit	2001/11/30	168,000,000	29/05/01
1751	Macquarie Bank Ltd	HKEx European Warrants Dec 2001	0.2690	14.5000	2001/12/04	283,000,000	29/05/01
1749	SGA Societe Generale Acceptance N.V.	PetroChina European Warrants Dec 2001	0.2600	1.800 per unit	2001/12/03	300,000,000	30/05/01
1753	Macquarie Bank Ltd	Cheung Kong European Put Warrants Nov 2001	0.3660	81.5000	2001/11/30	280,000,000	30/05/01
1754	Credit Lyonnais F P (G) Ltd	PetroChina European Warrants Dec 2001	0.3443	1.674 per unit	2001/12/04	300,000,000	31/05/01
1752	Lehman Brothers Holdings Inc	China Resources European Warrants Dec 2001	0.2550	11.4750	2001/12/21	375,000,000	31/05/01
1755	Morgan Stanley Dean Witter & Co	HKEx European Warrants Mar 2002	0.2630	15.8550	2002/03/04	200,000,000	31/05/01
1756	SGA Societe Generale Acceptance N.V.	CITIC Pacific European Warrants Dec 2001	0.2589	28.7600	2001/12/17	310,000,000	31/05/01
1760	SGA Societe Generale Acceptance N.V.	CITIC Pacific European Put Warrants Dec 2001	0.2589	24.8400	2001/12/10	310,000,000	31/05/01
1757	Macquarie Bank Ltd	New World Dev European Warrants Feb 2002	0.2530	9.2500	2002/02/22	220,000,000	05/06/01
1758	Macquarie Bank Ltd	China Everbright European Warrants Apr 2002	0.2570	8.4500	2002/04/02	400,000,000	05/06/01
1759	Macquarie Bank Ltd	CNOOC European Warrants May 2002	0.2550	6.8000	2002/05/30	225,000,000	06/06/01
1761	SGA Societe Generale Acceptance N.V.	CNOOC European Warrants Dec 2001	1.1320	8.800 per unit	2001/12/17	70,000,000	06/06/01
1763	Macquarie Bank Ltd	PetroChina European Warrants Dec 2001	0.3760	1.580 per unit	2001/12/14	150,000,000	06/06/01
1764	Credit Lyonnais F P (G) Ltd	CNOOC European Warrants Feb 2002	0.2502	6.6650	2002/02/08	500,000,000	07/06/01
1762	Credit Suisse First Boston	CNOOC European Warrants Aug 2002	0.2500	8.0000	2002/08/28	350,000,000	07/06/01
1765	J P Morgan Investor Derivatives Ltd	Hutchison European Warrants Feb 2002	1.0850	84.0000	2002/02/26	50,000,000	07/06/01
1796	J P Morgan Investor Derivatives Ltd	Hutchison European Put Warrants Feb 2002	1.1120	88.2000	2002/02/28	60,000,000	07/06/01
1767	KBC Financial Products International Ltd	HSBC European Warrants Dec 2001	0.9630	97.0000	2001/12/12	100,000,000	12/06/01
1766	Dresdner Bank Aktiengesellschaft	Automobile Basket European Warrants Dec 2001	0.2740	14.0595	2001/12/17	200,000,000	13/06/01
1768	Macquarie Bank Ltd	Transportation Basket European Warrants Dec 2001	0.2540	12.3100	2001/12/14	210,000,000	13/06/01

Further issue

List of expired equity warrants (2001)

Code	Warrant	Last trading date	Delisted date
1084	Far East Consortium International Ltd. Warrants 2000	2000/12/22	2001/01/02
0707	Online Credit International Ltd. Warrants 2000	2000/12/22	2001/01/02
0331	Styland Holdings Ltd. Warrants 2000	2000/12/22	2001/01/02
0763	Ocean Grand Holdings Ltd. Warrants 2000	2000/12/22	2001/01/02
0637	South China Online Ltd. Warrants 2001	2001/02/23	2001/03/01
1076	Lamex Holdings Ltd. Warrants 2001	2001/02/27	2001/03/05
0009	Chun Wo Holdings Ltd. Warrants 2001	2001/03/12	2001/03/16
0652	Victory Group Ltd. Warrants 2001	2001/03/13	2001/03/19
0739	Culturecom Holdings Ltd. Warrants 2001	2001/03/14	2001/03/20
0940	Asia Tele-Net And Technology Corporation Ltd. Warrants 2001	2001/03/23	2001/03/29
1212	China Rich Holdings Ltd. Warrants 2001	2001/03/27	2001/04/02
1227	Starlight International Holdings Ltd. Warrants 2001	2001/03/27	2001/04/02
0951	China Cyberworld Ltd. Warrants 2001	2001/03/27	2001/04/02
0916	Skynet (International Group) Holdings Ltd. Warrants 2001	2001/04/02	2001/04/09
1219	Hop Hing Holdings Ltd. Warrants 2001	2001/04/24	2001/05/02
1161	Wah Lee Resources Holdings Ltd. Warrants 2001	2001/04/27	2001/05/02
0712	Hansom Eastern (Holdings) Ltd. Warrants 2001	2001/04/26	2001/05/04
1073	China Logistics Group Ltd. Warrants 2001	2001/05/04	2001/05/10
1178	Peaktop International Holdings Ltd. Warrants 2001	2001/05/29	2001/06/04
1214	Corasia Group Ltd. Warrants 2001	2001/06/26	2001/07/03
1194	Egana Jewellery & Pearls Ltd. Warrants 2001	2001/06/26	2001/07/03
1107	Ngai Lik Industrial Holdings Ltd. Warrants 2001	2001/06/26	2001/07/03
0924	Silver Grant International Industries Ltd. Warrants 2001	2001/06/26	2001/07/03
0654	Mansion Holdings Ltd. Warrants 2001	2001/07/24	2001/07/30
1083	First Shanghai Investments Ltd. Warrants 2001	2001/07/27	2001/08/02
1226	Fairyong Holdings Ltd. Warrants 2001	2001/08/28	2001/09/03
0915	Oriental Union Holdings Ltd. Warrants 2001	2001/08/28	2001/09/03
0860	Man Sang International Ltd. Warrants 2001	2001/09/10	2001/09/14
1198	Yugang International Ltd. Warrants 2001	2001/09/11	2001/09/17
0982	Shenzhen International Holdings Ltd. Warrants 2001	2001/09/11	2001/09/17
0311	Asia Standard Int'l Group Ltd. Warrants 2001	2001/09/25	2001/10/03
0929	Gold-Face Holdings Ltd. Warrants 2001	2001/09/25	2001/10/03
1099	Hung Fung Group Holdings Ltd. Warrants 2001	2001/09/25	2001/10/03
0856	K. Wah International Holdings Ltd. Warrants 2001	2001/09/25	2001/10/03
0944	Dickson Group Holdings Ltd. Warrants 2001	2001/09/25	2001/10/03
0169	Asia Standard Hotel Group Ltd. Warrants 2001	2001/10/03	2001/10/09
1094	Leading Spirit High-Tech (Holdings) Co. Ltd. Warrants 2001	2001/10/11	2001/10/12
0387	Digital World Holdings Ltd. Warrants 2001	2001/10/11	2001/10/17
0837	China Pharmaceutical Ent. & Inv Corp Ltd. Warrants 2001	2001/10/16	2001/10/22
0928	Global Food Culture Group Ltd. Warrants 2001	2001/10/26	2001/11/01
0565	Tian An China Investments Co. Ltd. Warrants 2001	2001/11/02	2001/11/08
0357	South China Holdings Ltd. Warrants 2001	2001/11/19	2001/11/23
1183	Asia Resources Transportation Holdings Ltd. Warrants 2001	2001/11/27	2001/12/03
0587	Pacific Century CyberWorks Ltd. Warrants 2001	2001/11/29	2001/12/05
0667	Champion Technology Holdings Ltd. Warrants 2001	2001/12/18	2001/12/24
0691	China Sci-Tech Holdings Ltd. Warrants 2001	2001/12/20	2001/12/31

List of expired derivative warrants (2001)

Code	Warrant	Last trading date	Delisted date
1986	Macquarie Bank Ltd. - HSBC European Warrants Jan 2001	27/12/2000	04/01/2001
1987	Macquarie Bank Ltd. - China Mobile European Wts Jan 2001	02/01/2001	09/01/2001
1988	Morgan Stanley (Jersey) Ltd. - HSBC European Wts Jan 2001	02/01/2001	09/01/2001
1990	KBC Fin Products Int'l Ltd. - CK(H) European Wts Jan 2001	02/01/2001	09/01/2001
1996	KBC Fin Products Int'l Ltd. - CITIC Pac Euro Wts Jan 2001	03/01/2001	10/01/2001
1993	SGA Societe Generale Acceptance N.V.- HSBC Euro Wts Jan 2001	03/01/2001	10/01/2001
1995	KBC Fin Products Int'l Ltd. - Chi Res Ent Euro Wts Jan 2001	03/01/2001	10/01/2001
1967	Credit Lyonnais F P (G) Ltd. - CKH European Wts Jan 2001	04/01/2001	11/01/2001
2000	Credit Lyonnais F P (G) Ltd. - CITIC European Wts Jan 2001	05/01/2001	12/01/2001
1991	Macquarie Bank Ltd. - Cheung Kong (H) European Wts Jan 2001	08/01/2001	15/01/2001
2001	SGA Societe Generale Acceptance N.V. - Legend Euro Wts 2001	09/01/2001	16/01/2001
1999	SGA Societe Generale Acceptance N.V. - Wharf Euro Wts 2001	09/01/2001	16/01/2001
2008	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Jan 2001	09/01/2001	16/01/2001
1997	Macquarie Bank Ltd. - Hutchison European Warrants Jan 2001	12/01/2001	19/01/2001
1974	Credit Lyonnais F P (G) Ltd. - HSBC European Wts Jan 2001	15/01/2001	22/01/2001
2013	Macquarie Bank Ltd. - Legend European Warrants Jan 2001	16/01/2001	23/01/2001
2003	SGA Societe Generale Acceptance N.V. - HLD Euro Wts Jan 2001	16/01/2001	23/01/2001
2004	Credit Lyonnais F P (G) Ltd. - NWD European Wts Jan 2001	16/01/2001	23/01/2001
2005	KBC Fin Products Int'l Ltd. - HSBC European Wts Jan 2001	16/01/2001	23/01/2001
2021	KBC Fin Products Int'l Ltd. - China Mobile Euro Wts Jan 2001	16/01/2001	23/01/2001
2009	Credit Suisse First Boston - HSBC European Wts Jan 2001	17/01/2001	29/01/2001
2014	Macquarie Bank Ltd. - New World Dev European Wts Jan 2001	17/01/2001	30/01/2001
1994	Credit Suisse First Boston - CITIC Pac European Wts Jan 2001	17/01/2001	30/01/2001
2017	Macquarie Bank Ltd. - Hutchison European Warrants Feb 2001	23/01/2001	02/02/2001
2037	KBC Fin Products Int'l Ltd. - HSBC European Wts Feb 2001	29/01/2001	05/02/2001
2018	Morgan Stanley (Jersey) Ltd. - HSBC European Wts Feb 2001	30/01/2001	06/02/2001
2019	SGA Societe Generale Acceptance N.V. -PetroChina Eur Wt 2001	30/01/2001	06/02/2001
2040	KBC Fin Products Int'l Ltd. - PCCW Euro Wts Feb 2001	30/01/2001	06/02/2001
2022	Macquarie Bank Ltd. - PCCW European Warrants Feb 2001	31/01/2001	06/02/2001
2026	Credit Lyonnais F P (G) Ltd. - HWL European Wts Feb 2001	30/01/2001	06/02/2001
2010	Credit Lyonnais F P (G) Ltd. - HLD European Wts Feb 2001	01/02/2001	08/02/2001
2042	Credit Lyonnais F P (G) Ltd. - PCCW European Wts Feb 2001	01/02/2001	08/02/2001
2011	Credit Suisse First Boston - PetroChina Euro Wts Feb 2001	02/02/2001	09/02/2001
2043	Credit Suisse First Boston - Legend European Wts Feb 2001	02/02/2001	09/02/2001
2027	Morgan Stanley (Jersey) Ltd. - Ch Mob European Wts Feb 2001	06/02/2001	13/02/2001
2028	Macquarie Bank Ltd. - Legend European Warrants Feb 2001	06/02/2001	13/02/2001
2023	Credit Lyonnais F P (G) Ltd. - BEA European Wts Feb 2001	08/02/2001	15/02/2001
2044	Credit Lyonnais F P (G) Ltd. - C Mob European Wts Feb 2001	09/02/2001	16/02/2001
2029	Macquarie Bank Ltd. - SHK Ppt European Warrants Feb 2001	12/02/2001	19/02/2001
2030	Credit Lyonnais F P (G) Ltd. - CKH European Wts Feb 2001	12/02/2001	19/02/2001
1998	Morgan Stanley (Jersey) Ltd. - NWD European Wts Feb 2001	13/02/2001	20/02/2001
2031	SGA Societe Generale Acceptance N.V. - HSBC Euro Wts Feb 2001	13/02/2001	20/02/2001
2025	SGA Societe Generale Acceptance N.V. - CKH Euro Wts Feb 2001	13/02/2001	20/02/2001
2024	KBC Fin Products Int'l Ltd. - CK(H) European Wts Feb 2001	14/02/2001	21/02/2001
2035	Macquarie Bank Ltd. - HSBC European Warrants Feb 2001	15/02/2001	22/02/2001
2049	KBC Fin Products Int'l Ltd. - China Mobile Euro Wts Feb 2001	16/02/2001	23/02/2001
2034	Credit Lyonnais F P (G) Ltd. - HSBC European Wts Feb 2001	19/02/2001	26/02/2001
2041	Macquarie Bank Ltd. - PCCW European Warrants Feb 2001	20/02/2001	27/02/2001
2006	ABN AMRO Bank N.V. - CK (H) Warrants Feb 2001	21/02/2001	28/02/2001
2002	ABN AMRO Bank N.V. - HSBC Warrants Feb 2001	21/02/2001	28/02/2001
2055	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Mar 2001	23/02/2001	02/03/2001
2046	Macquarie Bank Ltd. - Sh Ind European Warrants Mar 2001	26/02/2001	05/03/2001
2036	SGA Societe Generale Acceptance N.V. - C Mob Eur Wt Mar 2001	27/02/2001	06/03/2001
2033	SGA Societe Generale Acceptance N.V. - HWL Eur Put Wt Mar 01	27/02/2001	06/03/2001
2012	SGA Societe Generale Acceptance N.V. - NWD Euro Wts Mar 2001	27/02/2001	06/03/2001
2047	Morgan Stanley (Jersey) Ltd. - Sh Ind European Wts Mar 2001	27/02/2001	06/03/2001
2062	Credit Suisse First Boston - PCCWL European Wts Mar 2001	28/02/2001	07/03/2001
2058	Macquarie Bank Ltd. - Cheung Kong (H) European Wts Mar 2001	01/03/2001	08/03/2001
2066	Credit Suisse First Boston - Ch Mob European Wts Mar 2001	02/03/2001	09/03/2001
2032	Credit Suisse First Boston - PetroChina Euro Wts Mar 2001	02/03/2001	09/03/2001
2052	Morgan Stanley (Jersey) Ltd. - BEA European Wts Mar 2001	06/03/2001	13/03/2001
2039	SGA Societe Generale Acceptance N.V. - PCCW Euro Wts 2001	06/03/2001	13/03/2001
2038	SGA Societe Generale Acceptance N.V. - CPA European Wts 2001	06/03/2001	13/03/2001
2069	Credit Suisse First Boston - CK(H) European Wts Mar 2001	07/03/2001	14/03/2001
2051	Credit Lyonnais F P (G) Ltd. - PCCW European Wts Mar 2001	09/03/2001	16/03/2001
2061	Macquarie Bank Ltd. - HSBC European Warrants Mar 2001	12/03/2001	19/03/2001
2067	KBC Fin Products Int'l Ltd. - China Mobile Euro Wts Mar 2001	13/03/2001	20/03/2001
2053	SGA Societe Generale Acceptance N.V. - BEA Euro Wts Mar 2001	13/03/2001	20/03/2001
2059	SGA Societe Generale Acceptance N.V. - C Res Eur Put Mar 2001	13/03/2001	20/03/2001
2060	Credit Suisse First Boston - SHK P European Wts Mar 2001	13/03/2001	20/03/2001
2057	KBC Fin Products Int'l Ltd. - PCCW European Wts Mar 2001	14/03/2001	21/03/2001
2078	Macquarie Bank Ltd. - China Mobile European Wts Mar 2001	15/03/2001	22/03/2001
2064	Macquarie Bank Ltd. - Hutchison European Warrants Mar 2001	20/03/2001	27/03/2001
2065	SGA Societe Generale Acceptance N.V. - C Mer Eur Wt Mar 2001	20/03/2001	27/03/2001
2088	Macquarie Bank Ltd. - China Unicom European Wts Mar 2001	21/03/2001	28/03/2001
2045	Credit Suisse First Boston - PCCW European Wts Mar 2001	21/03/2001	28/03/2001
2090	KBC Fin Products Int'l Ltd. - China Unicom Euro Wts Mar 2001	22/03/2001	29/03/2001
2091	KBC Fin Products Int'l Ltd. - PetroChina Euro Wts Mar 2001	23/03/2001	30/03/2001
2015	SGA Societe Generale Acceptance N.V. - HSI Euro Put Wts 2001	23/03/2001	30/03/2001
2016	Credit Lyonnais F P (G) Ltd. - HSI European Put Wts Mar 2001	23/03/2001	30/03/2001
2068	Robert Fleming Equity Der. Ltd. - CKH Euro Wts Mar 2001	26/03/2001	02/04/2001
2099	KBC Fin Products Int'l Ltd. - HSBC European Put Wts Mar 2001	26/03/2001	02/04/2001
2080	Macquarie Bank Ltd. - PetroChina European Warrants Mar 2001	26/03/2001	02/04/2001
2084	KBC Fin Products Int'l Ltd. - PCCW European Wts Apr 2001	27/03/2001	03/04/2001

List of expired derivative warrants (2001) (continued)

Code	Warrant	Last trading date	Delisted date
2095	KBC Fin Products Int'l Ltd. - CITIC P Euro Warrants Apr 2001	27/03/2001	03/04/2001
2093	SGA Societe Generale Acceptance N.V. - HSBC Put Euro Wt 2001	27/03/2001	03/04/2001
2097	SGA Societe Generale Acceptance N.V. - HWL Eur Put Wt Apr 01	27/03/2001	03/04/2001
2073	Macquarie Bank Ltd. - CITIC Pac European Warrants Apr 2001	30/03/2001	09/04/2001
2110	Macquarie Bank Ltd. - China Mobile European Wts Apr 2001	30/03/2001	09/04/2001
2076	Credit Lyonnais F P (G) Ltd. - L&F European Wts Apr 2001	30/03/2001	09/04/2001
2102	Credit Lyonnais F P (G) Ltd. - PetCh European Wts Apr 2001	02/04/2001	10/04/2001
2111	Morgan Stanley Dean Witter & Co - C Mob Euro Wts Apr 2001	02/04/2001	10/04/2001
2087	Credit Suisse First Boston - C Uni European Wts Apr 2001	02/04/2001	10/04/2001
2103	Credit Lyonnais F P (G) Ltd. - China Mobile Euro Wt Apr 2001	03/04/2001	11/04/2001
2081	Macquarie Bank Ltd. - PCCW European Warrants Apr 2001	04/04/2001	12/04/2001
2114	KBC Fin Products Int'l Ltd. - CK (H) Euro Wts Apr 2001	06/04/2001	17/04/2001
2085	Credit Lyonnais F P (G) Ltd. - BEA European Wts Apr 2001	09/04/2001	18/04/2001
2071	SGA Societe Generale Acceptance N.V. - HSBC Eur Wt Apr 2001	09/04/2001	18/04/2001
2074	SGA Societe Generale Acceptance N.V. - Sh Ind Euro Wts 2001	09/04/2001	18/04/2001
2063	SGA Societe Generale Acceptance N.V. - HS Bk Euro Wts 2001	09/04/2001	18/04/2001
2098	Credit Suisse First Boston - HKEx European Wts Apr 2001	10/04/2001	19/04/2001
2086	Credit Lyonnais F P (G) Ltd. - C Uni European Wts Apr 2001	10/04/2001	19/04/2001
2083	Macquarie Bank Ltd. - Wharf European Warrants Apr 2001	10/04/2001	19/04/2001
2079	Credit Suisse First Boston - PCCW European Put Wts Apr 2001	10/04/2001	19/04/2001
2122	KBC Fin Products Int'l Ltd. - Hutchison Eur Put Wts Apr 2001	11/04/2001	20/04/2001
2101	SGA Societe Generale Acceptance N.V. - Euro/USD Euro Wt 2001	12/04/2001	23/04/2001
2092	Macquarie Bank Ltd. - PCCW European Warrants Apr 2001	17/04/2001	24/04/2001
2072	Credit Lyonnais F P (G) Ltd. - Sh In European Wts Apr 2001	18/04/2001	25/04/2001
2105	Credit Lyonnais F P (G) Ltd. - PCCW European Wts Apr 2001	19/04/2001	26/04/2001
2120	Lehman Brothers Holdings Inc. - CITIC European Wts Apr 2001	19/04/2001	26/04/2001
2020	ABN AMRO Bank N.V. - Hang Seng Bank Warrants Apr 2001	19/04/2001	26/04/2001
2048	ABN AMRO Bank N.V. - HSBC Warrants Apr 2001	19/04/2001	26/04/2001
2054	ABN AMRO Bank N.V. - China Mobile European Wts Apr 2001	20/04/2001	27/04/2001
2056	ABN AMRO Bank N.V. - HWL European Wts Apr 2001	20/04/2001	27/04/2001
2007	ABN AMRO Bank N.V. - HSBC European Put Wts Apr 2001	20/04/2001	27/04/2001
2127	SGA Societe Generale Acceptance N.V.-HSI Eur Put Wt Apr 2001	20/04/2001	27/04/2001
2128	Credit Lyonnais F P (G) Ltd. - HSI Euro Put Wts Apr 2001	20/04/2001	27/04/2001
2129	KBC Fin Products Int'l Ltd. - HSI Euro Put Warrants Apr 2001	20/04/2001	27/04/2001
2136	KBC Fin Products Int'l Ltd. - CK (H) Euro Put Wts Apr 2001	20/04/2001	27/04/2001
2132	SGA Societe Generale Acceptance N.V. - CK (H) Eur Wts Apr 01	23/04/2001	02/05/2001
2070	Credit Suisse First Boston - COSCO European Wts Apr 2001	23/04/2001	02/05/2001
2116	Credit Lyonnais F P (G) Ltd. - HKEx European Wts Apr 2001	23/04/2001	02/05/2001
2104	SGA Societe Generale Acceptance N.V. - HSI European Wts 2001	23/04/2001	02/05/2001
2139	Macquarie Bank Ltd. - China Unicom European Wts May 2001	24/04/2001	03/05/2001
2113	SGA Societe Generale Acceptance N.V. - CKH Eur Put Wt May 01	24/04/2001	03/05/2001
2096	Macquarie Bank Ltd. - HKEx European Warrants 02 May 2001	24/04/2001	03/05/2001
2131	Lehman Brothers Holdings Inc. - C Mob European Wts May 2001	24/04/2001	03/05/2001
2147	KBC Fin Products Int'l Ltd. - Chi Mob Euro Put May 2001	25/04/2001	04/05/2001
2077	Credit Lyonnais F P (G) Ltd. - Wharf European Wts May 2001	26/04/2001	07/05/2001
2107	KBC Fin Products Int'l Ltd. - PCCW Euro Put Wts May 2001	26/04/2001	07/05/2001
2150	Lehman Brothers Holdings Inc. - HWL European Wts May 2001	26/04/2001	07/05/2001
2144	Lehman Brothers Holdings Inc. - PetroChina Euro Wts May 2001	27/04/2001	08/05/2001
2089	SGA Societe Generale Acceptance N.V.- Ch Unicom Euro Wt 2001	27/04/2001	08/05/2001
2082	SGA Societe Generale Acceptance N.V. - Wharf Warrants 2001	27/04/2001	08/05/2001
2100	Macquarie Bank Ltd. - PCCW European Warrants May 2001	27/04/2001	08/05/2001
2152	Morgan Stanley Dean Witter & Co. - HS Bk Euro Wts May 2001	27/04/2001	08/05/2001
2119	J P Morgan Investor Derivatives Ltd. - C Uni Euro Wts May 01	04/05/2001	11/05/2001
2151	Macquarie Bank Ltd. - CITIC Pac European Warrants May 2001	07/05/2001	14/05/2001
2123	Credit Lyonnais F P (G) Ltd. - Hutchison Euro Wts May 2001	07/05/2001	14/05/2001
2154	Lehman Brothers Holdings Inc. - HSBC European Wts May 2001	08/05/2001	15/05/2001
2130	SGA Societe Generale Acceptance N.V.-C Mob Eur Put Wt May 01	08/05/2001	15/05/2001
2109	SGA Societe Generale Acceptance N.V. - HKEx Euro Wt May 2001	08/05/2001	15/05/2001
2108	Lehman Brothers Holdings Inc. - PCCWL European Wts May 2001	08/05/2001	15/05/2001
2075	SGA Societe Generale Acceptance N.V. - NWD Euro Wts May 2001	08/05/2001	15/05/2001
2115	SGA Societe Generale Acceptance N.V.-PCCWL Eur Put Wt May 01	09/05/2001	16/05/2001
2153	Macquarie Bank Ltd. - SHK Ppt European Warrants May 2001	10/05/2001	17/05/2001
2161	KBC Fin Products Int'l Ltd. - HSBC European Wts May 2001	10/05/2001	17/05/2001
2137	Macquarie Bank Ltd. - Cheung Kong (H) European Wts May 2001	14/05/2001	21/05/2001
2118	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts 18 May 2001	14/05/2001	21/05/2001
2112	SGA Societe Generale Acceptance N.V. - PCCWL Euro Wts 2001	15/05/2001	22/05/2001
2138	SGA Societe Generale Acceptance N.V. - CITIC Eur Wt May 2001	15/05/2001	22/05/2001
2125	Macquarie Bank Ltd. - PCCW European Warrants May 2001	16/05/2001	23/05/2001
2164	Macquarie Bank Ltd. - Hutchison European Warrants May 2001	21/05/2001	28/05/2001
2124	Macquarie Bank Ltd. - HKEx European Warrants 25 May 2001	21/05/2001	28/05/2001

List of expired derivative warrants (2001) (continued)

Code	Warrant	Last trading date	Delisted date
2169	KBC Fin Products Int'l Ltd. - China Unicom Euro Wts May 2001	21/05/2001	28/05/2001
2156	Lehman Brothers Holdings Inc.- SHK Ppt European Wts May 2001	21/05/2001	28/05/2001
2134	Macquarie Bank Ltd. - Hutchison European Warrants May 2001	23/05/2001	30/05/2001
2172	KBC Fin Products Int'l Ltd. - SHK Ppt European Wts May 2001	24/05/2001	31/05/2001
2160	Lehman Brothers Holdings Inc. - BEA European Wts May 2001	24/05/2001	31/05/2001
2175	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts 31 May 2001	25/05/2001	01/06/2001
2159	Macquarie Bank Ltd. - BEA European Warrants Jun 2001	28/05/2001	04/06/2001
2176	Macquarie Bank Ltd. - C Mob European Warrants Jun 2001	29/05/2001	05/06/2001
2162	Credit Lyonnais F P (G) Ltd. - CK (H) Euro Warrants Jun 2001	01/06/2001	08/06/2001
2140	Credit Suisse First Boston - SHK Ppt Euro Put Wts Jun 2001	04/06/2001	11/06/2001
2183	KBC Fin Products Int'l Ltd. - Chi Mob Euro Put Wts Jun 2001	04/06/2001	11/06/2001
2143	KBC Fin Products Int'l Ltd. - Chi Mob European Wts Jun 2001	05/06/2001	12/06/2001
2168	Credit Lyonnais F P (G) Ltd. - HSBC European Wts Jun 2001	08/06/2001	15/06/2001
2186	Macquarie Bank Ltd. - HSBC European Wts Jun 2001	11/06/2001	18/06/2001
2171	Merrill Lynch Int'l & Co. C.V. - C Uni European Wts Jun 2001	12/06/2001	19/06/2001
2126	SGA Societe Generale Acceptance N.V. - C Mob Eur Wt Jun 2001	12/06/2001	19/06/2001
2094	Deutsche Bank AG - HKEx European Wts Jun 2001	14/06/2001	21/06/2001
2174	Credit Lyonnais F P (G) Ltd. - HKEx European Wts Jun 2001	15/06/2001	22/06/2001
2187	Lehman Brothers Holdings Inc. - HWL European Wts Jun 2001	15/06/2001	22/06/2001
2133	ABN AMRO Bank N.V. - HSBC European Warrants Jun 2001	21/06/2001	29/06/2001
2141	Credit Suisse First Boston - SHK Ppt Euro Warrants Jun 2001	21/06/2001	29/06/2001
2106	ABN AMRO Bank N.V. - Hutchison European Put Wts Jun 2001	21/06/2001	29/06/2001
2185	Lehman Brothers Holdings Inc - C Uni European Wts Jun 2001	21/06/2001	29/06/2001
2155	Morgan Stanley Dean Witter & Co. - CLP Euro Wts Jul 2001	26/06/2001	04/07/2001
2146	Credit Suisse First Boston - China Mobile Euro Wts Jul 2001	29/06/2001	09/07/2001
2180	Merrill Lynch International & Co CV - HSBC Euro Wts Jul 01	03/07/2001	10/07/2001
2121	SGA Societe Generale Acceptance N.V.-Legend Eur Put Wt Jul01	03/07/2001	10/07/2001
2179	KBC Fin Products Int'l Ltd. - Chi Mob European Wts Jul 2001	03/07/2001	10/07/2001
2163	Credit Suisse First Boston - NQ100 European Wts Jul 2001	04/07/2001	11/07/2001
2184	Merrill Lynch International & Co CV - HSBC Eur Put Wt Jul 01	04/07/2001	11/07/2001
2181	Credit Lyonnais F P (G) Ltd. - Chi Mobile Euro Wts Jul 2001	06/07/2001	13/07/2001
2192	KBC Fin Products Int'l Ltd. - HSBC European Wts Jul 2001	09/07/2001	16/07/2001
2197	Morgan Stanley Dean Witter & Co.-Henderson Land Eur Wt Jul01	10/07/2001	17/07/2001
2117	SGA Societe Generale Acceptance N.V.-Legend Euro Wt Jul 2001	10/07/2001	17/07/2001
2204	Macquarie Bank Ltd. - Henderson Land European Wts Jul 2001	11/07/2001	18/07/2001
2207	Macquarie Bank Ltd. - China Everbright Euro Wts Jul 2001	12/07/2001	19/07/2001
2142	Credit Suisse First Boston - Chi Mob Euro Put Wts Jul 2001	13/07/2001	20/07/2001
2203	Lehman Brothers Holdings Inc. - China Mobile Euro Wts Jul 01	16/07/2001	23/07/2001
2217	KBC Fin Products Int'l Ltd. - PCCW European Put Wts Jul 2001	19/07/2001	26/07/2001
2211	Macquarie Bank Ltd. - China Resources European Wts Jul 2001	19/07/2001	26/07/2001
2202	KBC Fin Products Int'l Ltd. - China Res Euro Wts Jul 2001	23/07/2001	30/07/2001
2177	Credit Lyonnais F P (G) Ltd. - Chi Unicom Euro Wts Jul 2001	23/07/2001	30/07/2001
2165	Morgan Stanley Dean Witter & Co. - HSBC Eur Put Wts Aug 2001	26/07/2001	02/08/2001
2189	Credit Lyonnais F P (G) Ltd. - PCCW European Wts 2 Aug 2001	27/07/2001	03/08/2001
2213	KBC Fin Products Int'l Ltd. - PCCW European Wts Jul 2001	30/07/2001	06/08/2001
2199	Credit Lyonnais F P (G) Ltd. - Henderson Land Euro Wt Aug 01	30/07/2001	06/08/2001
2205	Morgan Stanley Dean Witter & Co. - Sh Ind Euro Wts Aug 2001	31/07/2001	07/08/2001
2219	KBC Fin Products Int'l Ltd. - Hang Seng Bk Euro Wts Aug 2001	31/07/2001	07/08/2001
2221	Macquarie Bank Ltd. - Cheung Kong (H) Euro Wts Aug 2001	01/08/2001	08/08/2001
2224	Macquarie Bank Ltd. - NWD European Wts Aug 2001	02/08/2001	09/08/2001
2225	KBC Fin Products Int'l Ltd. - CK (H) European Wts Aug 2001	02/08/2001	09/08/2001
2201	Credit Lyonnais F P (G) Ltd. - China Res Euro Wts Aug 2001	03/08/2001	10/08/2001
2223	Lehman Brothers Holdings Inc. - NWD Euro Wts Aug 2001	07/08/2001	14/08/2001
2227	KBC Fin Products Int'l Ltd. - HSBC European Wts Aug 2001	07/08/2001	14/08/2001
2237	KBC Fin Products Int'l Ltd. - SHK Ppt European Wts Aug 2001	14/08/2001	21/08/2001
2238	Macquarie Bank Ltd. - SHK Ppt European Warrants Aug 2001	15/08/2001	22/08/2001
2241	Macquarie Bank Ltd. - CITIC Pac European Wts Aug 2001	16/08/2001	23/08/2001
2149	Credit Lyonnais F P (G) Ltd. - PCCW European Wts Aug 2001	20/08/2001	27/08/2001
2193	Credit Lyonnais F P (G) Ltd. - MTR European Wts Aug 2001	20/08/2001	27/08/2001
2243	Macquarie Bank Ltd. - China Mobile European Wts Aug 2001	23/08/2001	30/08/2001
2248	Macquarie Bank Ltd. - Hutchison European Warrants Aug 2001	27/08/2001	03/09/2001
2245	Goldman Sachs Int'l - Cheung Kong (H) European Wts Aug 2001	27/08/2001	03/09/2001
2196	Macquarie Bank Ltd. - MTRC European Wts Sep 2001	28/08/2001	04/09/2001
2240	Lehman Brothers Holdings Inc. - HSBC Euro Wts Sep 2001	28/08/2001	04/09/2001
2242	Morgan Stanley Dean Witter & Co. - SPA Euro Wts Sep 2001	28/08/2001	04/09/2001
2247	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Sep 2001	28/08/2001	04/09/2001
2260	KBC Fin Products Int'l Ltd. - HSBC European Wts Sep 2001	31/08/2001	07/09/2001
2229	Credit Lyonnais F P (G) Ltd. - PCCW European Wts Sep 2001	31/08/2001	07/09/2001
2252	Macquarie Bank Ltd. - HSBC European Warrants Sep 2001	03/09/2001	10/09/2001
2263	KBC Fin Products Int'l Ltd. - Cheung Kong Euro Wts Sep 2001	03/09/2001	10/09/2001

List of expired derivative warrants (2001) (continued)

Code	Warrant	Last trading date	Delisted date
2178	Credit Suisse First Boston - Chi Unicom Euro Wts Sep 2001	04/09/2001	11/09/2001
2236	SGA Societe Generale Acceptance N.V. - HSBC Euro Wts Sep 01	04/09/2001	11/09/2001
2266	SGA Societe Generale Acceptance N.V. - HWL Eur Put Wt Sep 01	04/09/2001	11/09/2001
2262	SGA Societe Generale Acceptance N.V. - HWL Euro Wts Sep 2001	05/09/2001	12/09/2001
2271	Macquarie Bank Ltd. - Cheung Kong (H) European Wts Sep 2001	10/09/2001	17/09/2001
2249	SGA Societe Generale Acceptance N.V. - BEA Euro Wts Sep 2001	11/09/2001	18/09/2001
2244	SGA Societe Generale Acceptance N.V. - C Res Euro Wts Sep 01	11/09/2001	18/09/2001
2250	Goldman Sachs Int'l - PCCW European Warrants 2001	17/09/2001	24/09/2001
2251	Credit Lyonnais F P (G) Ltd. - China Petro Euro Wts Sep 2001	17/09/2001	24/09/2001
2280	Macquarie Bank Ltd. - China Mobile European Wts Sep 2001	18/09/2001	25/09/2001
2268	SGA Societe Generale Acceptance N.V. - Huaneng Euro Wts 2001	18/09/2001	25/09/2001
2158	ABN AMRO Bank N.V. - PCCW European Warrants Sep 2001	20/09/2001	27/09/2001
2284	Macquarie Bank Ltd. - CITIC Pac European Wts Sep 2001	21/09/2001	28/09/2001
2208	ABN AMRO Bank N.V. - Hutchison European Warrants Sep 2001	21/09/2001	28/09/2001
2281	Macquarie Bank Ltd. - SHK Ppt European Wts Sep 2001	21/09/2001	28/09/2001
2191	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Wts Sep 2001	21/09/2001	28/09/2001
2173	ABN AMRO Bank N.V. - HSBC European Put Warrants Sep 2001	21/09/2001	28/09/2001
2254	Macquarie Bank Ltd. - China Unicom European Wts Sep 2001	24/09/2001	03/10/2001
2167	Credit Suisse First Boston - NQ100 European Put Wts Oct 2001	24/09/2001	03/10/2001
2195	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Put Wts Sep 2001	24/09/2001	03/10/2001
2220	Goldman Sachs Int'l - HSBC European Warrants Sep 2001	24/09/2001	03/10/2001
2285	SGA Societe Generale Acceptance N.V.-HS Bk Euro Wts Oct 2001	25/09/2001	04/10/2001
2294	SGA Societe Generale Acceptance N.V.-CK(H) Euro Put Wts 2001	25/09/2001	04/10/2001
2234	Morgan Stanley Dean Witter & Co. - HSBC Euro Wts Oct 2001	25/09/2001	04/10/2001
2273	Morgan Stanley Dean Witter & Co. - C Mobile Eur Wts Oct 2001	25/09/2001	04/10/2001
1700	Macquarie Bank Ltd. - PCCWL European Wts Oct 2001	26/09/2001	05/10/2001
2261	Macquarie Bank Ltd. - HSBC European Warrants Oct 2001	26/09/2001	05/10/2001
2222	Credit Lyonnais F P (G) Ltd. - Hutchison Euro Wts Oct 2001	27/09/2001	08/10/2001
2299	KBC Fin Products Int'l Ltd. - HSBC Euro Put Wts Oct 2001	27/09/2001	08/10/2001
2166	Credit Suisse First Boston - Legend Euro Put Wts Oct 2001	28/09/2001	09/10/2001
2295	KBC Fin Products Int'l Ltd. - HSBC European Wts Oct 2001	28/09/2001	09/10/2001
2296	Macquarie Bank Ltd. - Hutchison European Put Wts Oct 2001	28/09/2001	09/10/2001
2230	Goldman Sachs Int'l - New World Dev European Wts Oct 2001	03/10/2001	10/10/2001
2215	Morgan Stanley Dean Witter & Co. - PetCh Euro Wts Oct 2001	04/10/2001	11/10/2001
2278	Macquarie Bank Ltd. - HSBC European Warrants Oct 2001	05/10/2001	12/10/2001
1705	Macquarie Bank Ltd. - China Mobile European Wts Oct 2001	05/10/2001	12/10/2001
2291	Credit Lyonnais F P (G) Ltd. - HSBC European Warrants 2001	08/10/2001	15/10/2001
2233	Credit Lyonnais F P (G) Ltd. - PetroChina Euro Wts Oct 2001	08/10/2001	15/10/2001
2255	SGA Societe Generale Acceptance N.V. - COSCO Euro Wts Oct 01	09/10/2001	16/10/2001
2214	Merrill Lynch International & Co. C.V. - C Mer Eur Wt Oct 01	09/10/2001	16/10/2001
2290	SGA Societe Generale Acceptance N.V.-CK(H) Euro Wts Oct 2001	09/10/2001	16/10/2001
2274	SGA Societe Generale Acceptance N.V.-HLand Euro Wts Oct 2001	09/10/2001	16/10/2001
2283	KBC Fin Products Int'l Ltd. - CITIC Pac Euro Wts Oct 2001	09/10/2001	16/10/2001
2157	Credit Suisse First Boston - S&P Index Euro Put Wts Oct 2001	12/10/2001	19/10/2001
2292	Macquarie Bank Ltd. - Henderson Land Euro Put Wts Oct 2001	12/10/2001	19/10/2001
2170	Credit Suisse First Boston - Legend Euro Wts Oct 2001	12/10/2001	19/10/2001
2188	Credit Suisse First Boston - China Evb Euro Wts Oct 2001	12/10/2001	19/10/2001
2135	Credit Suisse First Boston - PCCW European Warrants Oct 2001	15/10/2001	22/10/2001
2182	Credit Suisse First Boston - Chi Uni Euro Put Wts Oct 2001	15/10/2001	22/10/2001
1711	Credit Lyonnais F P (G) Ltd. - PCCW European Wts Nov 2001	16/10/2001	23/10/2001
1716	KBC Fin Products Int'l Ltd. -Hutchison Euro Put Wts Oct 2001	16/10/2001	23/10/2001
2286	SGA Societe Generale Acceptance N.V.-C Mob Euro Put Wts 2001	16/10/2001	23/10/2001
2288	Credit Lyonnais F P (G) Ltd. - CK (H) European Wts Oct 2001	16/10/2001	23/10/2001
2289	Merrill Lynch Int'l & Co. C.V. - Li & Fung Euro Wts Oct 2001	16/10/2001	23/10/2001
2282	SGA Societe Generale Acceptance N.V.-C Mob Euro Wts Oct 2001	17/10/2001	24/10/2001
1703	Lehman Brothers Holdings Inc. - CK(H) European Wts Oct 2001	17/10/2001	24/10/2001
2293	Merrill Lynch Int'l & Co. C.V. - Li & Fung Eur Put Wts 2001	17/10/2001	24/10/2001
1712	KBC Fin Products Int'l Ltd. - Hutchison Euro Wts Oct 2001	18/10/2001	26/10/2001
2257	Credit Lyonnais F P (G) Ltd. - Chi Mobile Euro Wts Oct 2001	19/10/2001	29/10/2001
1710	Macquarie Bank Ltd. - Wharf European Warrants Oct 2001	19/10/2001	29/10/2001
1719	Macquarie Bank Ltd. - SHK P European Put Warrants Oct 2001	22/10/2001	30/10/2001
2246	Credit Suisse First Boston - Huaneng Power Euro Wts Oct 2001	22/10/2001	30/10/2001
1720	Merrill Lynch Int'l & Co. C.V. - Legend European Wt Oct 2001	22/10/2001	30/10/2001
2231	ABN AMRO Bank N.V. - Swire Pac 'A' European Wts Oct 2001	23/10/2001	31/10/2001
2226	ABN AMRO Bank N.V. - HSBC European Warrants Oct 2001	23/10/2001	31/10/2001
2216	ABN AMRO Bank N.V. - China Mobile European Warrants Oct 2001	23/10/2001	31/10/2001
2277	Macquarie Bank Ltd. - Hutchison European Warrants Oct 2001	24/10/2001	01/11/2001
2194	KBC Fin Products Int'l Ltd. - MTR European Wts Oct 2001	24/10/2001	01/11/2001
2218	Credit Suisse First Boston - China Petro Euro Wts Nov 2001	26/10/2001	02/11/2001
2298	Lehman Brothers Holdings Inc. - CITIC Pac Euro Wts Nov 2001	26/10/2001	02/11/2001

List of expired derivative warrants (2001) (continued)

Code	Warrant	Last trading date	Delisted date
1726	Macquarie Bank Ltd. - BEA European Warrants Nov 2001	30/10/2001	06/11/2001
1714	Morgan Stanley Dean Witter & Co. - HSBC Euro Put Wts Nov 01	30/10/2001	06/11/2001
1731	KBC Fin Products Int'l Ltd. - CK(H) Euro Put Wts Nov 2001	02/11/2001	09/11/2001
2232	Credit Suisse First Boston - New World Dev Euro Put Wts 2001	02/11/2001	09/11/2001
1728	SGA Societe Generale Acceptance N.V. - C Mer Eur Wt Nov 2001	05/11/2001	12/11/2001
1727	KBC Fin Products Int'l Ltd. - CK(H) European Wts Nov 2001	06/11/2001	13/11/2001
2239	Merrill Lynch Int'l & Co. C.V. - C Res Euro Wts Nov 2001	06/11/2001	13/11/2001
1722	SGA Societe Generale Acceptance N.V. -HSBC Eur Put Wt Nov 01	06/11/2001	13/11/2001
1730	Macquarie Bank Ltd. - C Mer European Warrants Nov 2001	06/11/2001	13/11/2001
1736	KBC Fin Product Int'l Ltd. - SHK Ppt European Wts Nov 2001	09/11/2001	16/11/2001
2259	ABN AMRO Bank N.V. - China Unicom European Warrants Nov 2001	09/11/2001	16/11/2001
2210	KBC Fin Products Int'l Ltd. - MTR European Warrants Nov 2001	12/11/2001	19/11/2001
1718	SGA Societe Generale Acceptance N.V. - HSBC Eur Wts Nov 2001	13/11/2001	20/11/2001
1723	Lehman Brothers Holdings Inc. - HWL European Wts Nov 2001	13/11/2001	20/11/2001
1738	SGA Societe Generale Acceptance N.V.- MTRC Euro Wts Nov 2001	13/11/2001	20/11/2001
1746	KBC Fin Products Int'l Ltd. - Chi Mob Euro Put Wts Nov 2001	16/11/2001	23/11/2001
2264	ABN AMRO Bank N.V. - Cheung Kong (H) European Wts Nov 2001	16/11/2001	23/11/2001
1747	SGA Societe Generale Acceptance N.V.-C Uni Eur Put Wt Nov 01	20/11/2001	27/11/2001
1742	KBC Fin Products Int'l Ltd. - China Mobile Euro Wts Nov 2001	21/11/2001	28/11/2001
2265	Credit Lyonnais F P (G) Ltd. - HSBC European Wts Nov 2001	22/11/2001	29/11/2001
2200	Credit Suisse First Boston - PetroChina Euro Wts Nov 2001	22/11/2001	29/11/2001
1721	SGA Societe Generale Acceptance N.V. - HSI Euro Wts Nov 2001	23/11/2001	30/11/2001
1725	SGA Societe Generale Acceptance N.V.-HSI Euro Put Wts Nov 01	23/11/2001	30/11/2001
1748	Credit Lyonnais F P (G) Ltd. - HSI Euro Put Wts Nov 2001	23/11/2001	30/11/2001
2253	ABN AMRO Bank N.V. - MTR Corp European Warrants Nov 2001	23/11/2001	30/11/2001
1744	Dresdner Bank AG - Cheung Kong European Warrants Nov 2001	23/11/2001	30/11/2001
1750	KBC Fin Products Int'l Ltd. - PCCW European Wts Nov 2001	26/11/2001	03/12/2001
2267	Credit Suisse First Boston - Sino Land European Wts Nov 2001	26/11/2001	03/12/2001
1753	Macquarie Bank Ltd. - C K (H) European Put Warrants Nov 2001	26/11/2001	03/12/2001
1733	Citibank Aktiengesellschaft - HSBC European Wts Nov 2001	26/11/2001	03/12/2001
2206	Lehman Brothers Holdings Inc. - COSCO European Wts Nov 2001	26/11/2001	03/12/2001
1713	SGA Societe Generale Acceptance N.V. -PCCW Eur Put Wt Dec 01	27/11/2001	04/12/2001
1749	SGA Societe Generale Acceptance N.V.-PetChina Eur Wt Dec 01	27/11/2001	04/12/2001
1741	SGA Societe Generale Acceptance N.V. - CPAir Euro Wts Dec 01	27/11/2001	04/12/2001
2258	Credit Suisse First Boston - Li & Fung European Wts Dec 2001	27/11/2001	04/12/2001
1707	Morgan Stanley Dean Witter & Co. - CK(H) European Wts Dec 01	27/11/2001	04/12/2001
1729	Credit Lyonnais F P (G) Ltd. - HKEx European Wts Dec 2001	27/11/2001	04/12/2001
1743	SGA Societe Generale Acceptance N.V. - C Uni Euro Wts Dec 01	27/11/2001	04/12/2001
1751	Macquarie Bank Ltd. - HKEx European Warrants Dec 2001	28/11/2001	05/12/2001
1754	Credit Lyonnais F P (G) Ltd. - PetroChina Euro Wts Dec 2001	28/11/2001	05/12/2001
1709	SGA Societe Generale Acceptance N.V. - PCCW Euro Wt Dec 2001	28/11/2001	05/12/2001
1724	SGA Societe Generale Acceptance N.V. - C Evb Euro Wts Dec 01	29/11/2001	06/12/2001
2269	ABN AMRO Bank N.V. - HSBC European Warrants 6 Dec 2001	30/11/2001	07/12/2001
1734	Credit Lyonnais F P (G) Ltd. - SHK Ppt European Wts Dec 2001	03/12/2001	10/12/2001
1706	ABN AMRO Bank N.V. - HSBC European Put Warrants Dec 2001	03/12/2001	10/12/2001
1760	SGA Societe Generale Acceptance N.V.-CITIC Eur Put Wt Dec 01	04/12/2001	11/12/2001
2228	Credit Suisse First Boston - New World Dev Euro Wts Dec 2001	04/12/2001	11/12/2001
2276	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wts Dec 2001	04/12/2001	11/12/2001
2272	Merrill Lynch Int'l & Co. C.V. - HSBC European Wts Dec 2001	05/12/2001	12/12/2001
1767	KBC Fin Product Int'l Ltd. - HSBC European Warrants Dec 2001	06/12/2001	13/12/2001
2235	Credit Suisse First Boston - Wharf European Wts Dec 2001	06/12/2001	13/12/2001
2275	ABN AMRO Bank N.V. - China Mobile European Warrants Dec 2001	07/12/2001	14/12/2001
1763	Macquarie Bank Ltd. - PetroChina European Warrants Dec 2001	10/12/2001	17/12/2001
2209	Credit Lyonnais F P (G) Ltd. - China Mer Euro Wts Dec 2001	10/12/2001	17/12/2001
1768	Macquarie Bank Ltd. - Transportation Euro Wts Dec 2001	10/12/2001	17/12/2001
1745	Morgan Stanley Dean Witter & Co. - H Land Euro Wts Dec 2001	11/12/2001	18/12/2001
1761	SGA Societe Generale Acceptance N.V. - CNOOC Euro Wts Dec 01	11/12/2001	18/12/2001
2279	Credit Lyonnais F P (G) Ltd. - Hutchison Euro Wts Dec 2001	11/12/2001	18/12/2001
1766	Dresdner Bank AG - Automobile Basket Euro Warrants Dec 2001	11/12/2001	18/12/2001
1756	SGA Societe Generale Acceptance N.V. - CITIC Euro Wts Dec 01	11/12/2001	18/12/2001
1708	Macquarie Bank Ltd. - China Res European Warrants Dec 2001	12/12/2001	19/12/2001
2190	Credit Suisse First Boston - China Res European Wts Dec 2001	13/12/2001	20/12/2001
2212	Credit Suisse First Boston - COSCO Pac Euro Wts Dec 2001	13/12/2001	20/12/2001
1702	ABN AMRO Bank N.V. - HSBC European Warrants 20 Dec 2001	14/12/2001	21/12/2001
1752	Lehman Brothers Holdings Inc. - C Res Euro Warrants Dec 2001	17/12/2001	24/12/2001
2198	Credit Suisse First Boston - MTR European Warrants Dec 2001	17/12/2001	24/12/2001
2256	Merrill Lynch Int'l & Co. C.V. - H Shares Bkt Euro Wt Dec 01	17/12/2001	24/12/2001

Market value for warrants (1997 – 2001)

		Equity warrants		Derivative warrants		Total	
Year/Month		Number	HK\$mil	Number	HK\$mil	Number	HK\$mil
1997		187	7,263.06	346	9,128.75	533	16,391.82
1998		129	1,706.41	142	12,463.37	271	14,169.78
1999		100	4,877.84	92	14,931.43	192	19,809.27
2000		89	2,573.18	202	13,430.61	291	16,003.79
2001		74	2,357.12	22	543.75	96	2,900.86
2001	Jan	90	2,268.18	209	14,100.86	299	16,369.04
	Feb	92	2,368.63	214	11,967.92	306	14,336.56
	Mar	86	1,638.14	226	10,028.45	312	11,666.59
	Apr	84	1,648.48	216	10,164.99	300	11,813.47
	May	82	1,899.84	212	10,534.70	294	12,434.54
	Jun	84	1,867.19	206	9,324.38	290	11,191.57
	Jul	82	1,874.64	187	6,219.60	269	8,094.24
	Aug	83	1,699.88	169	5,525.84	252	7,225.72
	Sep	82	1,373.20	142	6,667.65	224	8,040.86
	Oct	76	1,588.98	93	3,201.28	169	4,790.26
	Nov	76	2,292.80	63	1,121.88	139	3,414.69
	Dec	74	2,357.12	22	543.75	96	2,900.86

Month-end or year-end figures.

Warrant trading (1997 – 2001)

		Equity warrants		Derivative warrants		Total	
Year/Month		HK\$mil	% of market total	HK\$mil	% of market total	HK\$mil	% of market total
1997		60,242.67	1.59	215,665.52	5.69	275,908.19	7.28
1998		2,472.65	0.15	101,611.34	5.97	104,083.99	6.12
1999		10,384.93	0.54	119,787.83	6.25	130,172.76	6.79
2000		8,046.72	0.26	159,360.79	5.23	167,407.50	5.49
2001		3,219.59	0.17	105,002.30	5.38	108,221.89	5.55
2001	Jan	859.52	0.48	15,246.27	8.53	16,105.78	9.01
	Feb	733.61	0.40	12,349.40	6.80	13,083.01	7.21
	Mar	168.46	0.09	13,322.72	7.23	13,491.19	7.32
	Apr	52.61	0.04	7,847.18	5.34	7,899.79	5.38
	May	147.14	0.07	9,995.17	4.71	10,142.32	4.78
	Jun	194.95	0.09	5,942.69	2.78	6,137.64	2.87
	Jul	196.07	0.15	5,141.92	3.94	5,337.99	4.09
	Aug	113.00	0.08	10,408.57	7.22	10,521.57	7.29
	Sep	71.94	0.05	12,069.33	8.80	12,141.27	8.85
	Oct	163.05	0.12	7,087.90	5.09	7,250.95	5.21
	Nov	341.87	0.21	3,646.76	2.28	3,988.63	2.49
	Dec	177.36	0.15	1,944.38	1.60	2,121.74	1.74

Newly listed debt securities

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
4077	Hong Kong Monetary Authority	5.19% Exchange Fund Notes due 2004	100.9000	2004/01/12	2001/01/15
4078	Hong Kong Monetary Authority	4.70% Exchange Fund Notes due 2003	99.8100	2003/02/12	2001/02/13
1515 #	Province of Ontario	6.00% Bonds due 2006	100.0000	2006/02/21	2001/02/23
4079	Hong Kong Monetary Authority	5.20% Exchange Fund Notes due 2006	100.3000	2006/03/20	2001/03/20
4080	Hong Kong Monetary Authority	4.97% Exchange Fund Notes due 2004	100.1200	2004/04/23	2001/04/24
4081	Hong Kong Monetary Authority	4.50% Exchange Fund Notes due 2003	100.3600	2003/05/14	2001/05/15
2550	Magnificent Estates Ltd.	5.00% Mandatory Convertible Bonds due 2002	100.0000	2002/11/14	2001/05/21
2551 2552 2553	People's Republic of China	5.25% Bonds due 2006	99.7100	2006/05/23	2001/05/24
2554 2555 2556	People's Republic of China	6.80% Notes due 2011	99.1920	2011/05/23	2001/05/24
4082	Hong Kong Monetary Authority	5.43% Exchange Fund Notes due 2006	100.2100	2006/06/12	2001/06/12
4083	Hong Kong Monetary Authority	6.13% Exchange Fund Notes due 2011	99.7100	2011/06/20	2001/06/21
4084	Hong Kong Monetary Authority	4.74% Exchange Fund Notes due 2004	100.4100	2004/07/23	2001/07/24
4085	Hong Kong Monetary Authority	3.97% Exchange Fund Notes due 2003	100.1900	2003/08/13	2001/08/14
4311	Hong Kong Mortgage Corporation Ltd.	4.28% Notes due 2004	99.7300	2004/08/30	2001/08/30
4086	Hong Kong Monetary Authority	4.88% Exchange Fund Notes due 2006	101.5400	2006/09/18	2001/09/18
4087	Hong Kong Monetary Authority	3.52% Exchange Fund Notes due 2004	99.6300	2004/10/26	2001/10/29
4088	Hong Kong Monetary Authority	2.79% Exchange Fund Notes due 2003	100.3400	2003/11/12	2001/11/13
4089	Hong Kong Monetary Authority	5.92% Exchange Fund Notes due 2011	100.7100	2011/12/05	2001/12/06
2557	P.T. Bank Mandiri (Persero)	Floating Rate Notes due 2006	99.7350	2006/12/13	2001/12/13
4090	Hong Kong Monetary Authority	5.20% Exchange Fund Notes due 2006	100.2500	2006/12/18	2001/12/18
2558	HSBC Market (Bahamas) Ltd	Zero Coupon Notes with Local Floor due 2005	94.0000	2005/12/07	2001/12/19
2559	HSBC Market (Bahamas) Ltd	Zero Coupon Notes due 2005	94.0000	2005/12/07	2001/12/19

Further issue

Withdrawal of listed debt securities

Code	Issuer	Particulars	Last trading date	Delisted date
1676	Sun Hung Kai & Co. Ltd.	Redemption of its issue of 8% Convertible Notes due Dec 2000	2000/12/19	2001/01/02
4017	Hong Kong Monetary Authority	Redemption of its issue of 9.28% Exchange Fund Notes due 2001	2001/01/19	2001/01/12
1678	European Investment Bank	Redemption of its issue of 10% Bonds due 2001	2001/01/11	2001/01/17
0976	Sun Hung Kai Prop. Fin. Int'l Ltd.	Redemption of its issue of Guaranteed Floating Rate Notes due 2001	2001/01/29	2001/02/01
0994	Paul Y. - ITC Construction Holdings Ltd	Redemption of its issue of 5% Convertible Bonds due 2001	2001/01/31	2001/02/06
1560	Paul Y. - ITC Int'l Ltd.	Redemption of its issue of 5.5% Convertible Guaranteed Bonds due 2001	2001/01/31	2001/02/06
1008	Nordic Investment Bank	Redemption of its issue of 6.1% Bonds due 2001	2001/02/05	2001/02/09
4018	Hong Kong Monetary Authority	Redemption of its issue of 6.63% Exchange Fund Notes due 2001	2001/02/07	2001/02/12
1510 1511 1512	Province of Ontario, The	Redemption of its issue of 5% Notes due 2001	2001/02/12	2001/02/16
1681	Int'l Bank for Reconstruction & Development	Redemption of its issue of 11% Notes due 2001	2001/02/14	2001/02/20
4303	Hong Kong Mortgage Corporation Ltd., The	Redemption of its issue of 7.70% Notes due 2001	2001/02/28	2001/03/05
4304 4305	Hong Kong Mortgage Corporation Ltd., The	Redemption of its issue of 8.0% Notes due 2001	2001/03/07	2001/03/12
1685	Asian Development Bank	Redemption of its issue of 9.10% Bonds due 2001	2001/03/13	2001/03/19
4019	Hong Kong Monetary Authority	Redemption of its issue of 6.75% Exchange Fund Notes due 2001	2001/03/14	2001/03/19
2503	Toyota Auto Lease Trust 1997 - A	Redemption of its issue of 6.45% Auto Lease Asset Backed Certificate, Class A-3, due 2001	2001/03/21	2001/03/27
1467	Nissho Iwai HK (Cayman) Ltd.	Redemption of its issue of Euroyen Step-down Coupon Bonds due 2001	2001/03/22	2001/03/29
4020	Hong Kong Monetary Authority	Redemption of its issue of 7.50% Exchange Fund Notes due 2001	2001/04/18	2001/04/23
1518 1519	Int'l Bank for Reconstruction & Development	Redemption of its issue of 7.05% Notes due 2001	2001/04/18	2001/04/24
1601	Nissho Iwai HK (Cayman) Ltd.	Redemption of its issue of Reverse Floating Rate Notes due Apr 2001	2001/04/24	2001/05/02
1520	Nissho Iwai HK (Cayman) Ltd.	Redemption of its issue of Indexed Interest Notes due 2001	2001/05/04	2001/05/10
4021	Hong Kong Monetary Authority	Redemption of its issue of 5.97% Exchange Fund Notes due 2001	2001/05/09	2001/05/14
1603	Volvo Treasury Asia Ltd.	Redemption of its issue of Guaranteed Floating Rate Notes due 2001	2001/05/09	2001/05/15
2507 2508	Caisse Centrale du Credit Immobilier de France	Redemption of its issue of Floating Rate Notes due 2001	2001/05/17	2001/05/23
2500	PEWC (Treasury) Ltd.	Redemption of its issue of Guaranteed Extendible Floating Rate Notes due 2001	2001/05/23	2001/05/29
1524 1525 1526	Toyota Motor Credit Corporation	Redemption of its issue of 6.50% Notes due May 2001	2001/05/24	2001/05/30
0977	AB Svensk Exportkredit	Redemption of its issue of 6.5% Notes due 2001	2001/05/31	2001/06/06
1531 1532 1533	Ford Motor Credit Co.	Redemption of its issue of 6.875% Notes due 2001	2001/05/31	2001/06/06
1534 1535 1536	Philips Electronics N.V.	Redemption of its issue of 7% Notes due 2001	2001/06/04	2001/06/08
4022	Hong Kong Monetary Authority	Redemption of its issue of 7.23% Exchange Fund Notes due 2001	2001/06/06	2001/06/11
1086	CAM - Sociedade do Aeroporto Internacional de Macau S.A.R.L.	Redemption of its issue of 9.1% Guaranteed Series A Notes due 2001	2001/06/12	2001/06/18
1090 1091 1092	Kingdom of Spain, The	Redemption of its issue of 6.5% Bonds due 2001	2001/06/15	2001/06/21

Withdrawal of listed debt securities (continued)

Code	Issuer	Particulars	Last trading date	Delisted date
1145 1146 1147	Province of Ontario, The	Redemption of its issue of 4.40% Notes due 2001	2001/06/15	2001/06/21
1538	Housing & Commercial Bank, Korea	Redemption of its issue of Fixed/Floating Rate Bonds due 2001	2001/06/15	2001/06/21
0435	Nichimen Hong Kong (Cayman) Ltd.	Redemption of its issue of 2.05% Notes due 2001	2001/06/21	2001/06/28
1543	People's Republic of China	Redemption of its issue of 7.375% Notes due 2001	2001/06/27	2001/07/04
1547	European Investment Bank	Redemption of its issue of 7.52% Bonds due 2001	2001/07/04	2001/07/10
1113	China Construction Bank	Redemption of its issue of Floating Rate Notes due 2001	2001/07/06	2001/07/12
4023	Hong Kong Monetary Authority	Redemption of its issue of 9.72% Exchange Fund Notes due 2001	2001/07/18	2001/07/23
1555 1556 1557	Japan Bank for International Cooperation	Redemption of its issue of 7% Guar Bonds due 2001	2001/07/30	2001/08/03
4024	Hong Kong Monetary Authority	Redemption of its issue of 6.21% Exchange Fund Notes due 2001	2001/08/08	2001/08/13
1167	P.T. Bank Mandiri (Persero)	Redemption of its issue of Floating Rate Notes due 2001	2001/09/04	2001/09/10
4025	Hong Kong Monetary Authority	Redemption of its issue of 7.32% Exchange Fund Notes due 2001	2001/09/12	2001/09/17
1695	Korea Development Bank, The	Redemption of its issue of 7.125% Bonds due 2001	2001/09/12	2001/09/18
1562 1563 1564 1565	Export-Import Bank of Korea, The	Redemption of its issue of 7.125% Notes due 2001	2001/09/17	2001/09/21
1567	Ford Motor Credit Co.	Redemption of its issue of 7% Notes due 2001	2001/09/20	2001/09/26
1401	Shenzhen Int'l Trust & Investment Corp.	Redemption of its issue of Floating Rate Notes due 2001	2001/09/25	2001/10/03
1570	European Investment Bank	Redemption of its issue of 7.62% Bonds due 2001	2001/10/04	2001/10/10
1691	European Investment Bank	Redemption of its issue of 6.808% Bonds due 2001	2001/10/04	2001/10/10
0949	Asian Development Bank	Redemption of its issue of 6.52% Bonds due 2001	2001/10/09	2001/10/15
4026	Hong Kong Monetary Authority	Redemption of its issue of 7.02% Exchange Fund Notes due 2001	2001/10/22	2001/10/26
2520	Hong Kong Mortgage Corporation Ltd., The	Redemption of its issue of 8.27% Notes due 2001	2001/10/23	2001/10/29
4308	Hong Kong Mortgage Corporation Ltd., The	Redemption of its issue of 6.65% Notes due 2001	2001/10/23	2001/10/29
1613	Aktiebolaget Spintab (publ)	Redemption of its issue of 7.57% Notes due 2001	2001/10/24	2001/10/31
1572 1573 1574	Toyota Motor Credit Corporation	Redemption of its issue of 6.50% Notes due Oct 2001	2001/10/26	2001/11/01
1575 1576 1577	Associates Corp of North America	Redemption of its issue of 6.75% Notes due 2001	2001/10/26	2001/11/01
1582 1583 1584	Toyota Motor Credit Corporation	Redemption of its issue of 4.875% Notes due 2001	2001/11/05	2001/11/09
2521	Shougang Concord Int'l Ent Co. Ltd.	Redemption of its issue of Convertible Bonds due 2001	2001/10/31	2001/11/12
4060	Hong Kong Monetary Authority	Redemption of its issue of 6.20% Exchange Fund Notes due 2001	2001/11/07	2001/11/12
4306	Hong Kong Mortgage Corporation Ltd., The	Redemption of its issue of 7.75% Notes due 2001	2001/11/08	2001/11/13
1605	Nissho Iwai HK (Cayman) Ltd.	Redemption of its issue of 2.21% Notes due 2001	2001/11/09	2001/11/15
1409	Thai Oil Co. Ltd.	Redemption of its issue of Floating Rate Notes due 2001	2001/11/13	2001/11/19
1611	Nissho Iwai HK (Cayman) Ltd.	Redemption of its issue of Floating Rate Notes due 2001	2001/11/21	2001/11/27
1607 1608	P.T. Bank Danamon Indonesia	Redemption of its issue of Floating Rate Notes due 2001	2001/11/22	2001/11/28
4307	Hong Kong Mortgage Corporation Ltd., The	Redemption of its issue of 7.50% Notes due 2001	2001/11/28	2001/12/03
4027	Hong Kong Monetary Authority	Redemption of its issue of 6.57% Exchange Fund Notes due 2001	2001/12/12	2001/12/17

Debt securities trading by type of issue

HK\$ mil

Year	Bank	Corporation	State	State		Total
				corporation	Supranational	
1997	0.00	179.79	0.00	0.00	0.00	179.79
1998	0.00	109.61	0.00	0.00	0.00	109.61
1999	0.00	132.37	3.21	1.85	0.00	137.42
2000	0.00	13.67	0.40	44.67	0.00	58.74
2001	0.00	2.60	4.25	31.21	0.00	38.06

Classification of listed debt securities as at the end of 2001

Major group	No. of issuers		Nominal amount (HK\$mil)
Bank	11	11	42,484.11
Corporation	25	61	137,340.30
State	11	94	317,588.57
State corporation	8	19	70,389.00
Supranational	6	10	40,160.00
Total	61	195	607,961.98

Listed debt securities (1997 – 2001)

Country	1997		1998		1999		2000		2001	
	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)
Hong Kong	18	15,370.56	15	11,892.88	81	67,952.38	79	72,462.86	74	73,363.12
PRC	17	34,811.02	16	40,476.06	18	44,744.72	18	45,306.31	16	49,859.69
Foreign	222	714,280.76	193	743,799.09	157	604,769.72	124	531,390.04	95	444,579.17
Supranational	29	49,094.59	31	59,430.84	24	51,410.00	19	48,160.00	10	40,160.00
Total	286	813,556.93	255	855,598.87	280	768,876.82	240	697,319.21	195	607,961.98

Newly listed unit trusts and mutual funds

Unit trust / mutual fund	Fund manager	Issue price (US\$)	Listing date
iShares MSCI China Tracker	Barclays Global Investors North Asia Ltd	16.95	2001/11/28

Withdrawal of listed unit trusts and mutual funds

Code	Unit trust/mutual fund	Last trading date	Delisted date
	Nil		

Unit trusts and mutual funds trading by issuers

Name	Number of shares	Value of transactions (HK\$)
Barclays Asian Selection Funds Ltd. - Asean Fund	-	-
Barclays Asian Selection Funds Ltd. - China (PRC) Fund	-	-
Barclays Asian Selection Funds Ltd. - Hong Kong Fund	-	-
Barclays Asian Selection Funds Ltd. - Indonesia Fund	-	-
Barclays Asian Selection Funds Ltd. - Japan Fund	-	-
Barclays Asian Selection Funds Ltd. - Korea Fund	-	-
Barclays Asian Selection Funds Ltd. - Malaysia Fund	-	-
Barclays Asian Selection Funds Ltd. - Philippines Fund	-	-
Barclays Asian Selection Funds Ltd. - Singapore Fund	-	-
Barclays Asian Selection Funds Ltd. - South East Asia Fund	-	-
Barclays Asian Selection Funds Ltd. - Thailand Fund	-	-
Barclays Asian Selection Funds Ltd. - USD Liquidity Fund	-	-
China Fund, The	65,400	US\$1,216,475
CITC Seoul Access Trust	-	-
Daehan Asia Trust - Beneficial Certificates, The	-	-
Daehan Asia Trust - IDRs, The	-	-
Dresdner RCM Tiger Fund Ltd.	-	-
iShares MSCI China Tracker	7,394,923	125,673,413
Korea 1990 Trust - Beneficial Certificates, The	-	-
Korea 1990 Trust - IDR, The	-	-
Korea Growth Trust, The	-	-
LG China Fund Ltd.	98,400	459,200
Nippon Fund, The	-	-
Tracker Fund of Hong Kong	1,598,713,512	20,585,819,501
Total	1,606,272,235	20,711,952,114

Note: Trading in US dollars are excluded for total value of transaction.

Stock trading value (1970 – 2001)

HK\$mil

Year	Whole year value	No. of trading days	Average daily	High day (*)		Low day (*)	
				Value	Date	Value	Date
1970	6,056.13	294	20.60	56.69	10/03	6.15	09/06
1971	14,806.21	276	53.65	211.39	20/09	9.12	01/03
1972	42,907.54	247	173.71	659.34	10/11	21.48	02/02
1973	48,880.44	245	199.51	704.69	08/02	35.39	29/08
1974	11,436.70	245	46.68	219.33	17/06	10.91	05/12
1975	10,359.91	246	42.11	167.46	06/03	9.00	06/01
1976	13,183.31	248	53.16	223.42	26/02	9.72	26/07
1977	6,132.43	246	24.93	67.47	18/04	8.54	10/10
1978	27,445.86	244	112.48	410.91	18/08	9.39	10/02
1979	25,632.21	246	104.20	407.69	26/09	18.39	02/01
1980	95,670.54	246	388.90	1,424.95	03/11	47.58	09/04
1981	105,970.90	244	434.31	991.92	12/06	132.90	26/10
1982	46,221.25	247	187.13	2,064.18	26/04	68.68	23/12
1983	37,166.26	247	150.47	589.22	15/07	40.37	04/01
1984	48,808.70	248	196.81	1,264.27	13/01	38.50	03/01
1985	75,821.03	247	306.97	3,308.08	01/02	116.04	23/12
1986	123,128.35	247	498.50	1,721.13	08/10	124.62	05/02
1987	371,406.46	246	1,509.78	5,407.55	02/10	401.58	21/04
1988	199,480.68	248	804.36	1,889.48	15/06	230.00	19/09
1989	299,146.70	246	1,216.04	3,673.60	23/05	290.01	18/07
1990	288,714.90	248	1,164.17	3,280.82	07/08	338.36	28/12
1991	334,103.92	248	1,347.19	3,389.14	05/07	241.35	02/01
1992	700,577.50	250	2,802.31	6,420.47	25/05	965.50	03/01
1993	1,222,675.13	249	4,910.34	12,909.44	10/12	1,019.78	04/01
1994	1,137,414.00	248	4,586.35	17,204.66	07/01	1,313.55	04/07
1995	826,800.60	247	3,347.37	9,924.41	12/05	1,385.27	01/05
1996	1,412,242.38	249	5,671.66	13,803.84	06/12	2,734.90	27/05
1997	3,788,959.79	245	15,465.14	46,096.84	29/08	3,253.55	29/12
1998	1,701,112.01	247	6,887.09	79,002.61	28/08	1,221.90	28/12
1999	1,915,940.58	247	7,756.84	17,387.59	16/11	2,100.34	22/02
2000	3,047,565.32	247	12,338.32	35,746.19	15/02	4,565.80	27/12
2001	1,950,086.74	243	8,025.05	17,752.03	19/04	2,906.48	27/12

Records since 1970

High year : 1997

High day : 28/08/1998

Low year : 1970

Low day : 09/06/1970

(*) Only full trading days are included for the records.
Market turnover values since 1993 were adjusted for late reported and rejected sales.
Prior to 2 April 1986, there were four stock exchanges in Hong Kong.

Key statistics for listed stocks (1976 – 2001)

Year	No. of listed companies	No. of listed securities	Equities funds raised* (HK\$mil)	Total issued capital (HK\$mil)	Market capitalisation (HK\$mil)
1976	295	319	n.a.	23,257.50	56,674.94
1977	284	315	n.a.	23,427.13	51,277.87
1978	265	298	n.a.	24,915.61	65,938.58
1979	262	298	n.a.	26,853.48	112,809.31
1980	262	309	n.a.	33,080.48	209,752.50
1981	269	335	n.a.	59,273.61	232,331.28
1982	273	342	n.a.	63,560.69	131,639.82
1983	277	351	n.a.	67,940.79	142,093.77
1984	278	348	n.a.	69,944.56	184,641.57
1985	279	340	n.a.	72,234.65	269,511.35
1986	253	335	14,707.16	73,106.35	419,281.38
1987	276	412	48,081.69	90,712.97	419,612.06
1988	304	479	18,506.40	106,213.58	580,378.02
1989	298	479	20,345.00	103,403.60	605,010.44
1990	299	520	21,233.47	108,808.32	650,409.78
1991	357	597	39,456.73	117,546.65	949,171.62
1992	413	749	105,113.27	136,111.21	1,332,184.10
1993	477	891	90,005.30	164,061.59	2,975,379.30
1994	529	1,006	51,860.73	177,567.72	2,085,182.06
1995	542	1,033	39,201.90	180,423.25	2,348,309.95
1996	583	1,272	100,018.25	202,861.28	3,475,965.40
1997	658	1,533	247,577.27	236,715.76	3,202,629.78
1998	680	1,246	38,257.01	238,612.39	2,661,712.74
1999	701	1,205	148,120.08	225,577.27	4,727,527.07
2000	736	1,294	451,280.80	283,355.88	4,795,150.07
2001	756	1,075	58,560.81	321,070.54	3,885,342.08

Year-end figures

n.a. Not available

* Equities funds raised prior to 1990 do not include share option scheme.

Highest turnover records (1970 – 2001)

Year		
Rank	Value (HK\$mil)	Year
1	3,788,959.79	1997
2	3,047,565.32	2000
3	1,950,086.74	2001
4	1,915,940.58	1999
5	1,701,112.01	1998
6	1,412,242.38	1996
7	1,222,675.13	1993
8	1,137,414.00	1994
9	826,800.60	1995
10	700,577.50	1992

Month		
Rank	Value (HK\$mil)	Month/Year
1	637,007.44	08/1997
2	424,605.25	09/1997
3	419,666.29	02/2000
4	409,601.31	07/1997
5	398,969.72	06/1997
6	375,728.99	10/1997
7	367,324.72	01/2000
8	345,796.78	03/2000
9	343,830.78	05/1997
10	286,840.64	07/2000

Quarter		
Rank	Value (HK\$mil)	Quarter/Year
1	1,471,214.00	3/1997
2	1,132,787.80	1/2000
3	943,038.35	2/1997
4	777,457.78	4/1997
5	755,498.56	3/2000
6	654,545.43	4/1999
7	623,855.79	2/2000
8	597,249.65	1/1997
9	572,636.62	2/2001
10	546,512.13	1/1998

Day		
Rank	Value (HK\$mil)	Date
1	79,002.61	28/08/1998
2	46,096.84	29/08/1997
3	41,136.44	21/08/1997
4	38,773.71	28/08/1997
5	37,276.51	26/08/1997
6	37,174.07	25/08/1997
7	36,145.04	20/08/1997
8	35,746.19	15/02/2000
9	34,873.67	01/09/1997
10	34,609.52	03/09/1997

10 largest day-to-day fluctuations in stock price indices

All Ordinaries Index (1986-2001)

2 April 1986 = 1000

Rank	% up	Date	Closing index
1	14.32	29/10/97	5380.98
2	12.70	02/02/98	4853.94
3	9.43	23/05/89	1813.95
4	7.82	16/10/98	4192.54
5	7.81	12/06/89	1417.98
6	7.47	03/09/97	7714.87
7	7.43	06/11/87	1208.89
8	7.36	07/09/98	3548.61
9	6.55	24/10/97	5570.72
10	6.33	14/08/98	3276.19

Rank	% down	Date	Closing index
1	32.75	26/10/87	1371.44
2	21.80	05/06/89	1228.56
3	13.39	19/10/87	2039.44
4	11.56	28/10/97	4706.88
5	11.17	22/05/89	1657.66
6	9.97	23/10/97	5228.14
7	9.39	17/04/00	6189.72
8	9.31	12/01/98	4033.09
9	8.89	25/05/89	1622.20
10	8.77	12/09/01	4092.87

Rank	Points up	Date	Closing index
1	674.10	29/10/97	5380.98
2	547.05	02/02/98	4853.94
3	536.45	03/09/97	7714.87
4	343.63	03/11/97	5780.09
5	342.58	24/10/97	5570.72
6	315.66	25/09/00	6359.13
7	303.91	16/10/98	4192.54
8	288.07	17/03/00	7355.56
9	280.59	14/01/94	5600.73
10	275.49	11/02/00	7648.02

Rank	Points down	Date	Closing index
1	668.00	26/10/87	1371.44
2	641.34	17/04/00	6189.72
3	615.12	28/10/97	4706.88
4	578.75	23/10/97	5228.14
5	538.31	05/01/00	6709.50
6	414.08	12/01/98	4033.09
7	394.84	22/10/97	5806.89
8	393.40	12/09/01	4092.87
9	393.09	01/09/97	7261.90
10	387.04	11/03/96	5015.40

Hang Seng Index (1970-2001)

31 July 1964 = 100

Rank	% up	Date	Closing index
1	18.82	29/10/97	10765.30
2	14.41	09/02/73	1449.91
3	14.33	02/02/98	10578.60
4	13.36	27/11/74	185.17
5	13.20	02/02/73	1098.86
6	13.20	11/04/73	926.45
7	12.35	27/01/75	209.62
8	11.71	18/07/73	602.34
9	10.69	27/03/75	279.38
10	10.48	08/02/73	1267.26

Rank	% down	Date	Closing index
1	33.33	26/10/87	2241.69
2	21.75	05/06/89	2093.61
3	13.70	28/10/97	9059.89
4	13.31	26/03/73	1229.28
5	11.48	10/04/73	818.39
6	11.43	13/11/72	672.62
7	11.12	19/10/87	3362.39
8	10.78	22/05/89	2806.57
9	10.41	23/10/97	10426.30
10	9.69	05/10/81	1113.77

Rank	Points up	Date	Closing index
1	1705.41	29/10/97	10765.30
2	1326.24	02/02/98	10578.60
3	978.66	03/09/97	14713.99
4	816.07	25/09/00	15428.95
5	806.59	16/10/98	9777.01
6	723.99	17/03/00	17082.99
7	722.96	31/05/00	14713.86
8	718.04	24/10/97	11144.34
9	681.87	24/02/00	17058.66
10	652.44	02/07/99	14184.58

Rank	Points down	Date	Closing index
1	1438.31	28/10/97	9059.89
2	1380.39	17/04/00	14762.37
3	1226.10	05/01/00	15846.72
4	1211.47	23/10/97	10426.30
5	1120.70	26/10/87	2241.69
6	923.74	12/09/01	9493.62
7	820.34	11/03/96	10397.45
8	793.43	06/01/94	11374.50
9	773.58	12/01/98	8121.06
10	765.33	22/10/97	11637.77

World stock market indices (1997 – 2001)

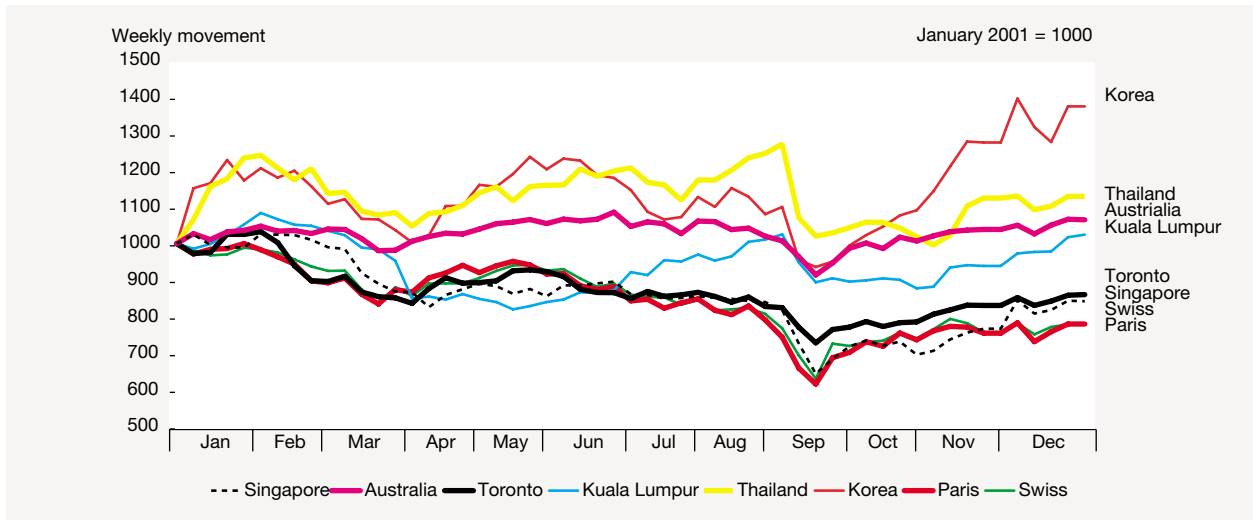
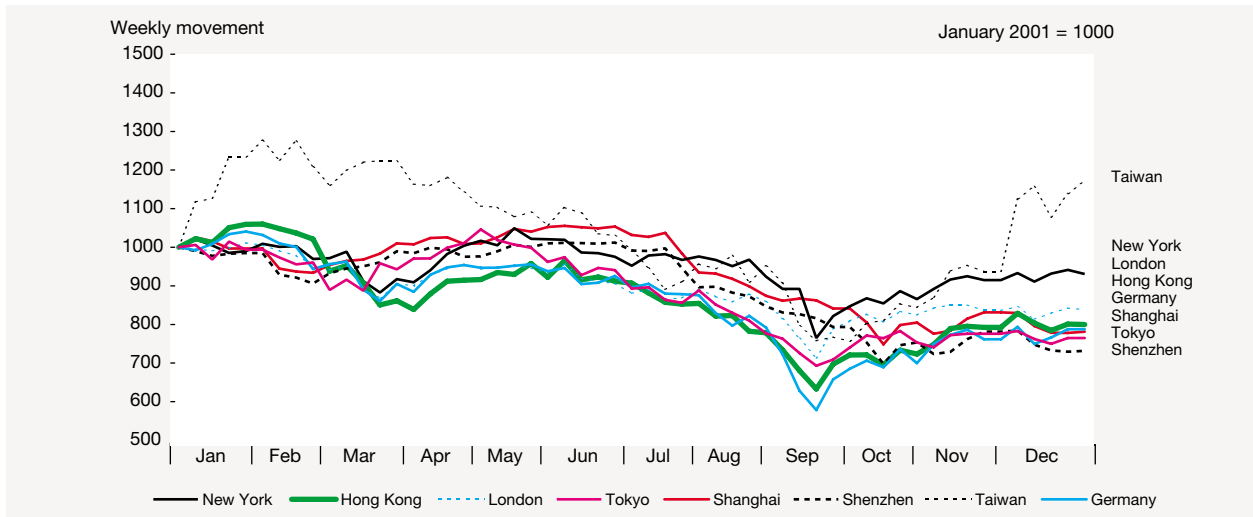
	AUSTRALIA	GERMANY	HONG KONG	KOREA	KUALA LUMPUR	LONDON	NEW YORK	PARIS
Year/ Month	AI Ordinaries Index	DAX Capital Value Index	All Ordinaries Index	Composite Stock Index	Composite Index	FTSE 100 Index	DJIA Index	CAC 40 Index
1997	2616.50	3383.21	5284.23	376.31	594.44	5135.50	7908.25	2998.91
1998	2813.40	3933.96	4319.20	562.46	586.13	5882.60	9181.43	3942.66
1999	3152.50	5409.33	7134.79	1028.07	812.33	6930.20	11497.12	5958.32
2000	3154.70	4934.85	6107.89	504.62	679.64	6222.50	10768.85	5926.42
2001	3359.90	3887.48	4885.63	693.70	696.09	5217.40	10021.50	4624.58
2001								
Jan	3291.50	5212.04	6480.01	617.91	727.73	6297.50	10887.36	5998.49
Feb	3274.10	4757.29	6067.66	578.10	709.39	5917.90	10495.28	5367.48
Mar	3096.90	4465.23	5260.07	523.22	647.48	5633.70	9878.78	5180.45
Apr	3270.30	4769.96	5585.71	577.36	584.50	5966.90	10734.97	5640.03
May	3317.20	4627.06	5638.21	612.16	572.88	5796.10	10911.94	5454.19
Jun	3425.20	4567.89	5571.90	595.13	592.99	5642.50	10502.40	5225.33
Jul	3270.80	4415.91	5250.09	541.55	659.40	5529.10	10522.81	5085.51
Aug	3217.80	3908.38	4754.41	545.11	687.16	5345.00	9949.75	4689.34
Sep	2988.00	3245.65	4258.09	479.68	615.34	4903.40	8847.56	4079.02
Oct	3185.30	3434.73	4379.03	537.81	600.07	5039.70	9075.14	4341.29
Nov	3276.90	3759.27	4840.32	643.89	638.02	5203.60	9851.56	4476.06
Dec	3359.90	3887.48	4885.63	693.70	696.09	5217.40	10021.50	4624.58

Note: Month-end or year-end figures.

	SHANGHAI	SHENZHEN	SINGAPORE	SWITZERLAND	TAIWAN	THAILAND	TOKYO	TORONTO
Year/ Month	A-Share Index	A-Share Index	Straits Times Index	SPI General Index	Taipei Weighted Stock Index	Bangkok SET Index	Nikkei 225 Index	Composite Index
1997	1258.49	406.45	1529.84	3898.15	8187.27	372.69	15258.74	6699.44
1998	1219.64	370.12	1392.73	4497.12	6418.43	355.81	13842.17	6485.94
1999	1451.90	431.84	2479.58	5022.86	8448.84	481.92	18934.34	8413.75
2000	2192.38	682.61	1926.83	5621.13	4739.09	269.19	13785.69	8933.68
2001	1712.54	499.39	1623.60	4382.94	5551.24	303.85	10542.62	7688.41
2001								
Jan	2185.20	672.30	1991.29	5564.09	5936.20	332.77	13843.55	9321.87
Feb	2069.56	627.77	1947.40	5320.82	5674.69	325.20	12883.54	8078.72
Mar	2214.69	675.55	1674.19	4952.28	5797.92	291.94	12999.70	7608.00
Apr	2214.49	666.46	1722.72	5064.11	5381.67	300.63	13934.32	7946.63
May	2299.71	688.19	1657.05	5183.45	5048.86	310.13	13262.14	8161.87
Jun	2309.99	690.80	1726.50	5006.54	4883.43	322.55	12969.05	7736.35
Jul	2006.33	597.54	1666.03	4732.71	4352.98	297.69	11860.77	7689.69
Aug	1916.43	578.02	1619.12	4540.71	4509.44	335.57	10713.51	7399.22
Sep	1844.29	541.61	1319.53	4085.51	3636.94	277.04	9774.68	6838.56
Oct	1762.36	512.44	1367.84	4137.99	3903.49	275.09	10366.34	6885.70
Nov	1822.84	533.40	1478.54	4260.27	4441.12	302.62	10697.44	7425.65
Dec	1712.54	499.39	1623.60	4382.94	5551.24	303.85	10542.62	7688.41

Note: Month-end or year-end figures.

World stock market indices (2001)



Growth Enterprise Index (GEI)

Introduction

The Growth Enterprise Index (GEI) is designed to measure the overall performance of the Growth Enterprise Market, which has commenced operation since 25 November 1999. The Index is market-value weighted. This means that the GEI is weighted according to each company's size in term of market capitalisation (shares-in-issue multiplied by share price). All the GEM stocks will be included for index calculation.

Growth Enterprise Index (GEI)	
Base date	17 March 2000
Base value	1000 index points
Currency used for index	Hong Kong Dollar
Constituents	All GEM stocks
Constituents' weighting	Full market capitalisation of each constituent stock
Calculation	Every 60 seconds

Calculation formula

$$\frac{\text{Current AMV}}{\text{Adjusted previous minute's AMV}} \times \text{Previous minute's index} = \text{Current index}$$

The GEI summaries price movements by following the changes in the Aggregate Market Capitalisation (AMV) of the constituent stocks. The AMV will be adjusted for capital changes and stock additions and deletions.

Index components

(as at the end December 2001)

The GEI consists of 111 constituent stocks with market value of HK\$60,964 million as at the end of December 2001. The composition and the weighting of each stock are as follow table:

Code	Name	Market value (HK\$ mil)	Weighting (%)
8001	tom.com limited	12,525.15	20.55
8002	Phoenix Satellite Television Holdings Ltd.	4,882.41	8.01
8008	Sunevision Holdings Ltd.	3,264.86	5.36
8023	Henderson Cyber Ltd.	2,750.00	4.51
8056	Greencool Technology Holdings Ltd.	2,050.00	3.36
8061	AcrossAsia Multimedia Ltd.	1,772.62	2.91
8052	Convenience Retail Asia Ltd.	1,655.39	2.72
8035	Wah Sang Gas Holdings Ltd.	1,481.20	2.43
8149	Xinao Gas Holdings Ltd.	1,457.78	2.39
8006	hongkong.com Corporation	1,280.78	2.10
8110	First Mobile Group Holdings Ltd.	1,242.50	2.04
8018	SIIC Medical Science and Technology (Group) Ltd.	1,010.60	1.66
8012	Far Eastern Polychem Industries Ltd.	994.97	1.63
8133	Kingdee International Software Group Co. Ltd.	814.22	1.34
8132	Panva Gas Holdings Ltd.	775.00	1.27

Growth Enterprise Index (GEI) (continued)

Code	Name	Market value (HK\$ mil)	Weighting (%)
8059	Goldigit Atom-tech Holdings Ltd.	747.94	1.23
8016	China Data Broadcasting Holdings Ltd.	731.40	1.20
8097	Arcontech Corporation	691.20	1.13
8027	Sino Biopharmaceutical Ltd.	660.00	1.08
8119	Thiz Technology Group Ltd.	587.35	0.96
8033	Vodatel Networks Holdings Ltd.	547.19	0.90
8128	IIN International Ltd	519.34	0.85
8048	Excel Technology International Holdings Ltd.	493.52	0.81
8131	abc Multiactive Ltd.	481.77	0.79
8090	EVI Education Asia Ltd.	480.00	0.79
8107	iLink Holdings Ltd.	474.06	0.78
8091	Universal Technologies Holdings Ltd.	462.31	0.76
8082	Info Communication Holdings Ltd.	448.36	0.74
8076	Sing Lee Software (Group) Ltd.	434.16	0.71
8095	Beijing Beida Jade Bird Universal Sci-Tech Co. Ltd. – H Shs	422.40	0.69
8161	WorldMetal Holdings Ltd.	410.00	0.67
8028	Timeless Software Ltd.	402.94	0.66
8186	Medical China Ltd.	396.00	0.65
8180	Golden Meditech Co. Ltd.	388.00	0.64
8165	Jian ePayment Systems Ltd.	384.00	0.63
8011	China Agrotech Holdings Ltd.	378.61	0.62
8069	Tong Ren Tang Technologies Co. Ltd. – H Shares	345.80	0.57
8098	Techwayson Holdings Ltd.	339.50	0.56
8169	Eco-Tek Holdings Ltd.	337.21	0.55
8010	STAREASTnet.com Corporation	328.16	0.54
8157	Capinfo Co. Ltd. – H Shares	326.70	0.54
8179	AKuP International Holding Ltd.	315.00	0.52
8178	Xteam Software International Ltd.	311.63	0.51
8080	iSteelAsia.com Ltd.	309.77	0.51
8152	GP NanoTechnology Group Ltd.	300.00	0.49
8115	Sinotronics Holdings Ltd.	288.53	0.47
8005	Yuxing InfoTech Holdings Ltd.	280.00	0.46
8078	Emperor Entertainment Group Ltd.	254.80	0.42
8112	Angels Transport Technology Co. Ltd.	252.00	0.41
8101	iAsia Technology Ltd.	250.44	0.41
8120	China Medical Science Ltd.	245.00	0.40
8030	DigiTel Group Ltd.	242.49	0.40
8043	Era Information & Entertainment Ltd.	236.80	0.39
8153	M21 Technology Ltd.	234.38	0.38
8009	iMerchants Ltd.	227.63	0.37
8100	Inworld Group Ltd.	224.64	0.37
8019	Everpride Biopharmaceutical Co. Ltd.	222.00	0.36
8151	Essex Bio-Technology Ltd.	220.51	0.36
8021	WLS Holdings Ltd.	218.25	0.36
8079	Rainbow International Holdings Ltd.	217.00	0.36
8116	Neolink Cyber Technology (Holding) Ltd.	208.37	0.34
8127	Riverhill Holdings Ltd.	205.43	0.34
8004	International Capital Network Holdings Ltd.	204.80	0.34
8129	Q9 Technology Holdings Ltd.	202.50	0.33
8102	Shanghai Fudan Microelectronics Co. Ltd. – H Shares	198.38	0.33
8135	Chengdu Top Sci-Tech Co. Ltd. – H Shares	190.97	0.31
8045	Jiangsu Nandasoft Co. Ltd. – H Shares	184.86	0.30

Growth Enterprise Index (GEI) (continued)

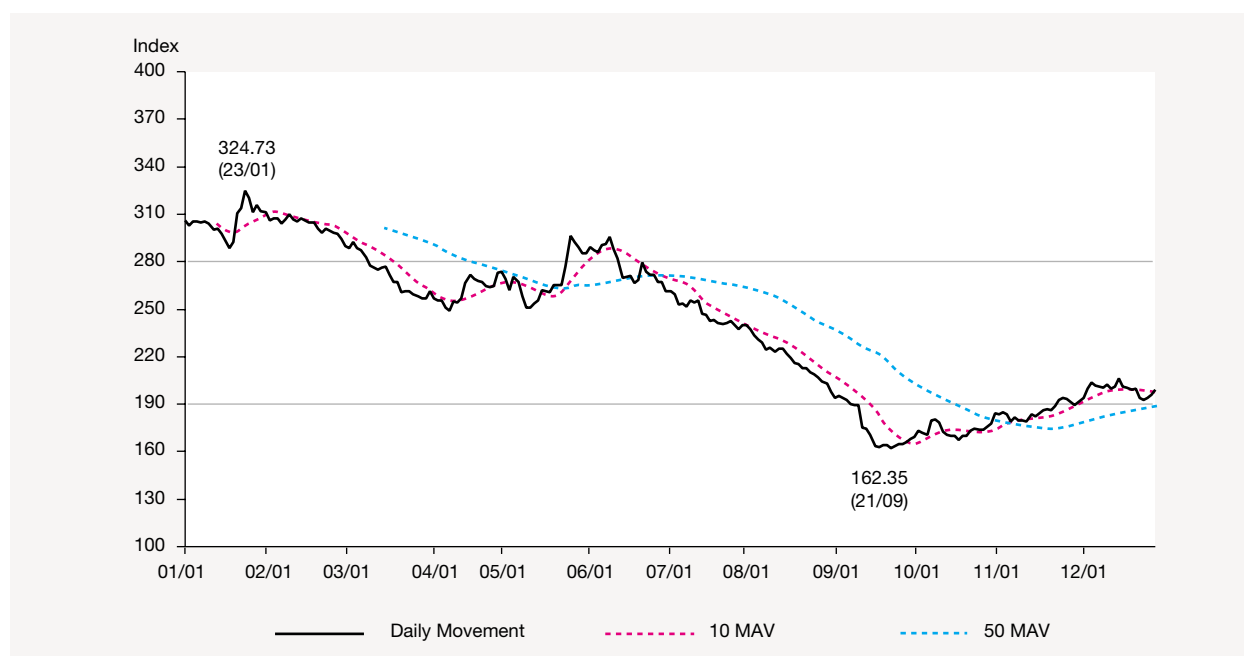
Code	Name	Market value (HK\$ mil)	Weighting (%)
8013	Pine Technology Holdings Ltd.	177.52	0.29
8092	ITE (Holdings) Ltd.	177.06	0.29
8088	techpacific.com Ltd.	175.18	0.29
8083	SYSCAN Technology Holdings Ltd.	171.79	0.28
8150	Fast Systems Technology (Holdings) Ltd.	171.00	0.28
8117	Billybala Holdings Ltd.	167.20	0.27
8075	Rojam Entertainment Holdings Ltd.	165.70	0.27
8066	Cardlink Technology Group Ltd.	163.20	0.27
8050	FlexSystem Holdings Ltd.	162.00	0.27
8138	Town Health International Holdings Co. Ltd.	161.28	0.26
8122	CASH Financial Services Group Ltd.	157.19	0.26
8015	Qianlong Technology International Holdings Ltd.	147.35	0.24
8041	Intcera High Tech Group Ltd.	146.63	0.24
8020	Wanasports Holdings Ltd.	145.94	0.24
8026	Prosten Technology Holdings Ltd.	132.93	0.22
8047	IA International Holdings Ltd.	132.00	0.22
8049	Jilin Province Huinan Changlong Bio-pharmacy Co. Ltd. – H shs	131.10	0.22
8081	Computech Holdings Ltd.	118.00	0.19
8040	Fortune Telecom Holdings Ltd.	114.80	0.19
8162	Codebank Ltd.	112.80	0.19
8086	ePRO Ltd.	100.28	0.16
8032	GreaterChina Technology Group Ltd.	99.00	0.16
8068	SmarTech Digital Manufacturing Holdings Ltd.	94.00	0.15
8111	Soluteck Holdings Ltd.	90.90	0.15
8188	Mudan Automobile Shares Co. Ltd. – H Shares	88.55	0.15
8017	CyberM International (Holdings) Ltd.	85.02	0.14
8089	Proactive Technology Holdings Ltd.	74.24	0.12
8063	Trasy Gold Ex Ltd.	73.78	0.12
8103	System Information Technology (Holdings) Ltd.	72.55	0.12
8055	ProSticks International Holdings Ltd.	68.40	0.11
8022	Argos Enterprise (Holdings) Ltd.	65.70	0.11
8158	B M Intelligence International Ltd.	63.63	0.10
8070	MRC Holdings Ltd.	58.38	0.10
8139	Prosperity International Holdings (H.K.) Ltd.	57.12	0.09
8051	TeleEye Holdings Ltd.	55.80	0.09
8007	DIGITALHONGKONG.COM	53.25	0.09
8025	Asian Information Resources (Holdings) Ltd.	52.39	0.09
8003	T S Telecom Technologies Ltd.	50.23	0.08
8036	36.com Holdings Ltd.	46.89	0.08
8029	L.P. Lammas International Ltd.	44.00	0.07
8073	Panda-Recruit Ltd.	42.75	0.07
8071	E-silkroad Holdings Ltd.	29.42	0.05
8108	Grandmass Enterprise Solution Ltd.	24.00	0.04
8118	hkcyber.com (Holdings) Ltd.	21.62	0.04

Growth Enterprise Index, daily closing (2001)

17 March 2000 = 1000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	312.02	294.13	-	-	285.55	-	239.69	-	-	184.18	-
2	306.28	311.05	289.64	261.03	272.81	-	-	240.26	-	-	183.43	-
3	302.62	-	-	256.58	273.41	-	261.24	237.40	194.18	169.03	-	193.79
4	305.22	-	-	255.63	269.39	-	289.18	261.37	-	195.57	173.47	-
5	305.40	306.26	288.31	-	-	287.21	259.44	-	194.09	172.22	184.89	203.98
6	-	307.55	292.68	255.57	-	285.76	-	233.22	192.38	-	183.56	201.45
7	-	307.43	288.38	-	261.74	290.74	-	230.75	190.37	-	179.13	200.97
8	305.05	304.17	287.36	-	270.67	291.19	-	228.92	-	170.34	181.69	-
9	305.16	306.83	282.94	250.86	267.38	-	252.68	224.63	-	179.85	179.52	-
10	304.03	-	-	249.25	257.87	-	253.33	225.79	189.74	180.38	-	200.70
11	299.95	-	-	254.89	250.65	295.65	251.42	-	189.53	178.23	-	202.18
12	300.57	310.08	277.50	254.45	-	287.98	255.68	-	175.35	172.79	179.51	199.68
13	-	306.54	276.26	-	-	281.90	254.31	223.34	174.80	-	179.27	201.01
14	-	305.68	275.26	-	251.28	269.50	-	225.00	170.42	-	183.73	206.12
15	297.37	307.13	276.22	-	253.38	270.56	-	225.27	-	170.44	182.38	-
16	293.09	306.32	277.08	-	255.70	-	255.70	221.77	-	169.78	184.27	-
17	288.55	-	-	256.72	261.86	-	246.95	218.96	163.61	169.86	-	201.15
18	292.72	-	-	266.31	261.10	-	271.33	246.66	-	162.53	167.63	-
19	310.42	304.71	271.59	271.47	-	266.82	242.38	-	164.45	170.13	185.89	198.82
20	-	304.56	267.08	268.99	-	268.66	243.19	215.98	163.85	-	186.88	199.77
21	-	300.93	267.11	-	260.80	279.39	-	215.32	162.35	-	186.05	194.03
22	313.57	298.35	260.86	-	265.02	273.68	-	212.69	-	170.27	188.51	-
23	324.73	300.61	261.19	267.70	265.52	-	240.94	212.94	-	173.37	192.63	-
24	-	-	-	266.87	265.47	-	240.87	210.06	163.30	174.62	-	192.71
25	-	-	-	264.32	277.19	-	-	-	164.79	-	-	-
26	-	299.67	261.47	263.79	-	271.68	241.36	-	164.91	173.94	193.69	-
27	-	297.98	259.54	264.33	-	271.77	242.24	208.97	165.99	-	193.16	194.01
28	-	297.75	258.27	-	296.29	267.21	-	207.12	167.75	-	191.13	195.64
29	320.26	-	256.51	-	292.12	267.27	-	204.60	-	174.02	189.20	-
30	311.19	-	256.89	-	289.14	-	240.08	202.78	-	175.73	192.31	-
31	315.57	-	-	-	285.59	-	237.36	198.05	-	177.52	-	199.42
High	324.73	312.02	294.13	271.47	296.29	295.65	261.37	240.26	195.57	180.38	193.69	206.12
Low	288.55	297.75	256.51	249.25	250.65	266.82	237.36	198.05	162.35	167.63	179.13	192.71
Avg	305.36	304.78	273.92	260.52	268.38	278.65	248.80	220.15	175.50	173.18	185.68	199.23

Growth Enterprise Index daily movement (2001)



Newly listed companies of GEM

Ordinary companies

Code	Company	Listing method / Business sector	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ subscription rate (times)	Sponsor
8111	Soluteck Holdings Ltd	Offer for sale Offer for placing Banking software	30,000,000 60,000,000	0.40	36.00	2001/01/03 -	DBS Asia Capital Ltd
8052	Convenience Retail Asia Ltd	Offer for subscription Offer for placing Convenience store	32,780,000 131,120,000	1.15	188.49	2001/01/18 6.50	BNP Paribas Peregrine Capital Ltd
8131	abc Multiactive Ltd	Offer for placing Internet software	41,876,000	1.20	50.25	2001/01/31 -	BNP Paribas Peregrine Capital Ltd
8098	Techwayson Holdings Ltd	Offer for placing Computer services	70,000,000	0.78	54.60	2001/02/08 -	China Everbright Capital Ltd
8133	Kingdee International Software Group Co Ltd	Offer for placing Enterprise software	87,500,000	1.03	90.13	2001/02/15 -	BNP Paribas Peregrine Capital Ltd
8092	ITE (Holdings) Ltd	Offer for placing Smartcard solution	49,068,000	0.75	36.80	2001/02/21 -	Vickers Ballas Capital Ltd
8071	E-silkroad Holdings Ltd	Offer for placing E-commerce	130,456,000	0.25	32.61	2001/03/02 -	Oriental Patron Asia Ltd Celestial Capital Ltd
8107	iLink Holdings Ltd	Offer for placing IT infrastructure	110,000,000	1.28	140.80	2001/03/09 -	BNP Paribas Peregrine Capital Ltd
8090	EVI Education Asia Ltd	Offer for placing e-Learning	160,000,000	0.38	60.80	2001/03/15 -	ICEA Capital Ltd
8135	Chengdu Top Sci-Tech Co Ltd - H Shares	Offer for placing Enterprise software	169,000,000	0.72	121.68	2001/03/30 -	Core Pacific - Yamaichi Capital Ltd
8153	M21 Technology Ltd	Offer for placing Mastering services	93,750,000	0.335	31.41	2001/03/30 -	Shenyin Wanguo Capital (H.K.) Ltd
8101	iAsia Technology Ltd	Offer for subscription Offer for placing Internet software	9,000,000 81,000,000	0.70	63.00	2001/04/09 13.61	First Shanghai Capital Ltd
8120	China Medical Science Ltd	Offer for placing Pharmaceutical	100,000,000	0.55	55.00	2001/04/10 -	Yuanta Securities (Hong Kong) Co Ltd Polaris Securities (Hong Kong) Ltd
8132	Panva Gas Holdings Ltd	Offer for placing Energy	95,000,000	0.57	54.15	2001/04/20 -	Tai Fook Capital Ltd
8045	Jiangsu Nandasoft Co Ltd - H Shares	Offer for placing Enterprise software	234,000,000	0.36	84.24	2001/04/24 -	Core Pacific - Yamaichi Capital Ltd
8051	TeleEye Holdings Ltd	Offer for subscription Offer for placing Monitoring systems	8,000,000 42,000,000	0.60	30.00	2001/05/08 110.50	AMS Corporate Finance Ltd
8149	Xiniao Gas Holdings Ltd	Offer for placing Energy	207,000,000	1.15	238.05	2001/05/10 -	N M Rothschild & Sons
8115	Sinotronics Holdings Ltd	Offer for placing Circuit board	77,625,000	0.90	69.86	2001/05/17 -	Deloitte & Touche Corporate Finance Ltd
8129	Q9 Technology Holdings Ltd	Offer for placing Inputting method	250,000,000	0.45	112.50	2001/05/18 -	Anglo Chinese Corporate Finance Ltd
8049	Jilin Province Huinan Changlong Bio-pharmacy Co Ltd - H Shares	Offer for placing Pharmaceutical	172,500,000	0.50	86.25	2001/05/24 -	Oriental Patron Asia Ltd
8075	Rojam Entertainment Holdings Ltd	Offer for sale Offer for placing Music entertainment	20,000,000 70,000,000	1.00	90.00	2001/05/31 -	Core Pacific - Yamaichi Capital Ltd
8127	Riverhill Holdings Ltd	Offer for subscription Offer for placing Application software	20,000,000 95,000,000	0.60	69.00	2001/06/01 120.00	Anglo Chinese Corporate Finance Ltd
8070	MRC Holdings Ltd	Offer for placing Enterprise software	41,400,000	0.39	16.15	2001/06/05 -	CSC Asia Ltd
8151	Essex Bio-Technology Ltd	Offer for placing Pharmaceutical	102,564,000	0.50	51.28	2001/06/27 -	Dao Heng Securities Ltd Deloitte & Touche Corporate Finance Ltd
8043	Era Information & Entertainment Ltd	Offer for sale Offer for placing E-commerce	22,000,000 70,000,000	1.00	92.00	2001/06/28 -	RexCapital (Hong Kong) Ltd

Newly listed companies of GEM

Ordinary companies (continued)

Code	Company	Listing method / Business sector	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ subscription rate (times)	Sponsor
8059	Goldigit Atom-tech Holdings Ltd	Offer for sale Offer for placing Chemicals	85,000,000 340,000,000	0.50	212.50	2001/07/09 -	Core Pacific - Yamaichi Capital Ltd
8152	GP NanoTechnology Group Ltd	Offer for sale Offer for placing Manufacturing	32,000,000 68,000,000	0.80	80.00	2001/07/17 -	Shenyin Wanguo Capital (H.K.) Ltd
8158	B M Intelligence International Ltd	Offer for sale Offer for placing Business consultant	30,000,000 67,750,000	0.40	39.10	2001/07/18 -	Core Pacific-Yamaichi Capital Ltd Guotai Junan Securities (Hong Kong) Ltd Polaris Securities (Hong Kong) Ltd JS Cresvale International Ltd
8019	Everpride Biopharmaceutical Co Ltd	Offer for sale Offer for placing Pharmaceutical	10,000,000 162,500,000	0.50	85.39	2001/07/20 -	DBS Asia Capital Ltd
8119	Thiz Technology Group Ltd	Offer for sale Offer for placing System developer	8,500,000 26,000,000	1.19	41.06	2001/07/27 -	CSC Asia Ltd
8139	Prosperity International Holdings (H.K.) Ltd	Offer for placing High pressure laminates	120,000,000	0.25	30.00	2001/08/02 -	Oriental Patron Asia Ltd
8150	Fast Systems Technology (Holdings) Ltd	Offer for subscription Offer for sale Offer for placing Manufacturing	19,800,000 9,000,000 70,200,000	0.30	29.70	2001/08/10 7.00	TIS Securities (HK) Ltd CSC Asia Ltd
8022	Argos Enterprise (Holdings) Ltd	Offer for sale Offer for placing Transportation	24,000,000 30,000,000	1.00	54.00	2001/08/13 -	Yu Ming Investment Management Ltd
8112	Angels Transport Technology Co Ltd	Offer for sale Offer for placing Transportation technology	13,000,000 50,000,000	0.70	44.10	2001/08/30 -	DBS Asia Capital Ltd
8076	Sing Lee Software (Group) Ltd	Offer for sale Offer for placing Enterprise software	36,000,000 150,000,000	0.48	89.28	2001/09/05 -	Core Pacific - Yamaichi Capital Ltd
8079	Rainbow International Holdings Ltd	Offer for subscription Offer for sale Offer for placing Cosmetic trading	6,000,000 20,000,000 54,000,000	0.50	40.00	2001/10/15 6.00	DBS Asia Capital Ltd
8091	Universal Technologies Holdings Ltd	Offer for placing Enterprise software	158,300,000	0.21	33.24	2001/10/26 -	Vickers Ballas Capital Ltd
8161	WorldMetal Holdings Ltd	Offer for sale Offer for placing E-commerce	71,000,000 130,000,000	0.24	48.24	2001/10/26 -	BOCI Asia Ltd
8047	IA International Holdings Ltd	Offer for placing E-commerce	55,000,000	0.40	22.00	2001/11/01 -	Vickers Ballas Capital Ltd
8082	Info Communication Holdings Ltd	Offer for placing Exhibition business	80,640,000	0.25	20.16	2001/11/02 -	CSC Asia Ltd
8179	AKuP International Holdings Ltd	Offer for sale Offer for placing Enterprise software	28,700,000 117,000,000	0.28	40.80	2001/11/05 -	Core Pacific - Yamaichi Capital Ltd
8128	IIN International Ltd	Offer for placing IT infrastructure	492,424,000	0.30	147.73	2001/11/30 -	ING Barings Core Pacific - Yamaichi Capital Ltd
8004	International Capital Network Holdings Ltd	Offer for subscription Offer for sale Offer for placing Business consultant	11,200,000 24,000,000 44,800,000	0.84	67.20	2001/11/30 27.00	Celestial Capital Ltd
8169	Eco-Tek Holdings Ltd	Offer for placing Environmental protection	138,200,000	0.238	32.89	2001/12/05 -	Celestial Capital Ltd
8055	ProSticks International Holdings Ltd	Offer for placing Financial software	100,000,000	0.33	33.00	2001/12/05 -	RexCapital (Hong Kong) Ltd
8021	WLS Holdings Ltd	Offer for sale Offer for placing Scaffolding supply	27,000,000 64,800,000	0.38	34.88	2001/12/07 -	CSC Asia Ltd

Newly listed companies of GEM

Ordinary companies (continued)

Code	Company	Listing method / Business sector	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ subscription rate (times)	Sponsor
8165	Jian ePayment Systems Ltd	Offer for placing Smartcard system	100,000,000	0.33	33.00	2001/12/10 -	Oriental Patron Asia Ltd
8020	Wanasports Holdings Ltd	Offer for placing Sale of sports products	85,000,000	0.33	28.05	2001/12/11 -	Vickers Ballas Capital Ltd
8178	Xteam Software International Ltd	Offer for sale Offer for placing Software solution	28,800,000 70,560,000	0.38	37.76	2001/12/11 -	First Shanghai Securities Ltd
8117	Billybala Holdings Ltd	Offer for sale Offer for placing Game-on-demand	36,666,000 73,334,000	0.30	33.00	2001/12/13 -	Tai Fook Capital Ltd
8188	Mudan Automobile Shares Co Ltd - H Shares	Offer for subscription Offer for placing Automobile	7,700,000 80,850,000	1.13	100.06	2001/12/18 52.80	CEF Capital Ltd
8066	Cardlink Technology Group Ltd	Offer for placing Smartcard solution	80,000,000	0.47	37.60	2001/12/20 -	Tai Fook Capital Ltd
8157	Capinfo Co Ltd - H Shares	Offer for sale Offer for placing Internet solution	70,408,909 704,089,091	0.48	371.76	2001/12/21 -	Core Pacific - Yamaichi Capital Ltd
8162	Codebank Ltd	Offer for sale Offer for placing Internet solution	44,760,000 105,240,000	0.50	75.00	2001/12/21 -	Deloitte & Touche Corporate Finance Ltd
8180	Golden Meditech Co Ltd	Offer for placing Medical equipment	115,000,000	0.88	101.20	2001/12/28 -	ICEA Capital Ltd
8100	Inworld Group Ltd	Offer for subscription Offer for sale Offer for placing Internet solution	9,600,000 48,000,000 86,400,000	0.32	46.08	2001/12/31 50.80	Sun Hung Kai International Ltd
8186	Medical China Ltd	Offer for placing Medical service	144,000,000	0.50	72.00	2001/12/31 -	Celestial Capital Ltd
Total					4,115.81		

Newly listed equity warrants

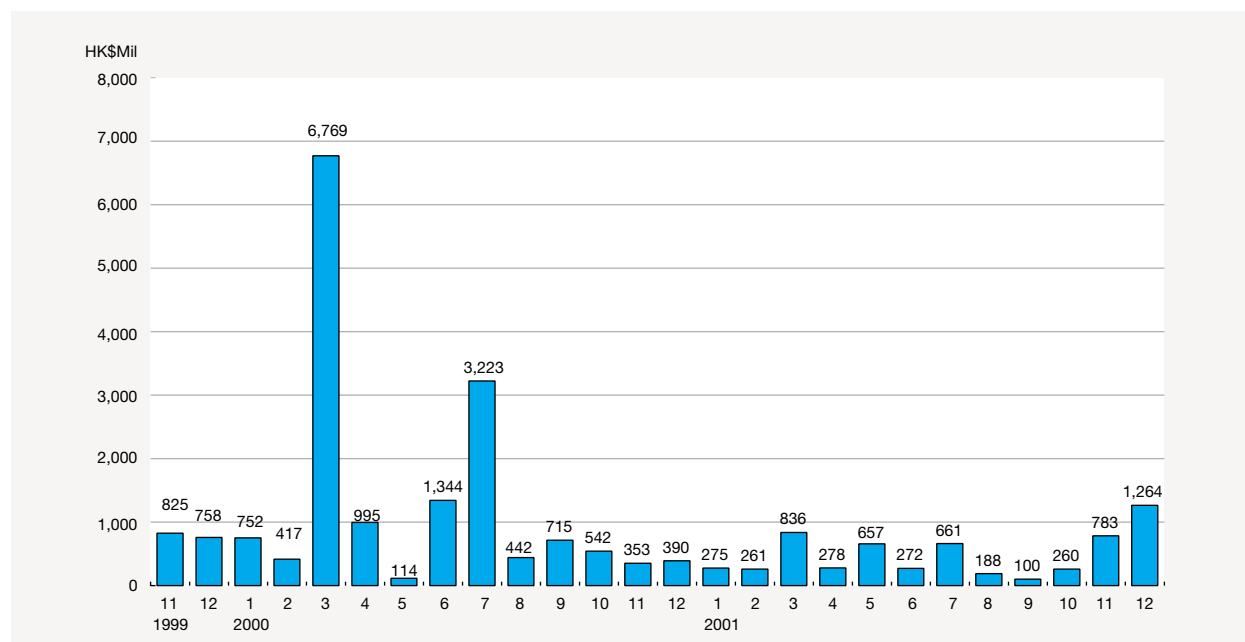
Code	Warrant	Subscription price per unit (HK\$)	Subscription period	Initial issued amount (HK\$)	Listing date
08352	Prosetn Technology Holdings Ltd Warrants 2004	1.430	2001/01/09 - 004/01/08	73,108,750	12/01/01
08353	STAREASTnet.com Corporation Warrants 2003	0.100	2001/11/29 - 2003/05/28	76,912,500	03/12/01

Funds raised by classification for GEM (1999 – 2001)

HK\$ mil

Type	Listing method	1999	2000	2001
Equities	Offer for subscription	231.04	817.28	90.92
	Offer for sale	220.38	249.38	370.32
	Offer for placing	1,131.57	13,748.16	3,654.58
	Placing	-	508.66	274.19
	Rights issue	-	-	153.83
	Open offer	-	-	-
	Consideration issue	-	682.43	1,245.48
	Warrants exercised	-	-	28.20
	Share option scheme	-	49.84	18.02
Equities funds raised		1,583.00	16,055.75	5,835.53
Derivative warrants - premium		-	-	-
Equity warrants - premium		-	-	-

Equity funds raised for GEM (1999 – 2001)



Market capitalisation for GEM

	HK\$mil		
	1999*	2000	2001
Jan		11,424.51	70,205.95
Feb		16,686.12	67,200.96
Mar		86,726.35	60,919.82
Apr		63,660.99	64,005.25
May		49,447.81	72,745.81
Jun		56,112.30	69,939.65
Jul		87,526.75	64,421.57
Aug		92,814.85	54,415.38
Sep		79,852.67	46,350.22
Oct		71,407.71	49,631.98
Nov	5,373.53	67,353.33	55,382.71
Dec	7,236.84	67,290.06	60,964.09

Note: Month-end figures

* The GEM commenced trading on 25 November 1999.

50 leading companies in GEM market capitalisation

End of 2001

Rank	Code	Company	Issued capital (shares)	Closing price (HK\$)	Market capitalisation (HK\$mil)	% of market total
1	8001	tom.com limited	3,274,548,808	3.825	12,525.15	20.55
2	8002	Phoenix Satellite Television Holdings Ltd.	4,931,730,000	0.990	4,882.41	8.01
3	8008	Sunevision Holdings Ltd.	2,027,864,500	1.610	3,264.86	5.36
4	8023	Henderson Cyber Ltd.	5,000,000,000	0.550	2,750.00	4.51
5	8056	Greencool Technology Holdings Ltd.	1,000,000,000	2.050	2,050.00	3.36
6	8061	AcrossAsia Multimedia Ltd.	5,064,615,385	0.350	1,772.62	2.91
7	8052	Convenience Retail Asia Ltd.	655,600,000	2.525	1,655.39	2.72
8	8035	Wah Sang Gas Holdings Ltd.	2,116,000,000	0.700	1,481.20	2.43
9	8149	Xiniao Gas Holdings Ltd.	627,000,000	2.325	1,457.78	2.39
10	8006	hongkong.com Corporation	4,131,558,942	0.310	1,280.78	2.10
11	8110	First Mobile Group Holdings Ltd.	1,750,000,000	0.710	1,242.50	2.04
12	8018	SIIC Medical Science and Technology (Group) Ltd.	620,000,000	1.630	1,010.60	1.66
13	8012	Far Eastern Polychem Industries Ltd.	410,296,000	2.425	994.97	1.63
14	8133	Kingdee International Software Group Co. Ltd.	437,750,000	1.860	814.22	1.34
15	8132	Panva Gas Holdings Ltd.	500,000,000	1.550	775.00	1.27
16	8059	Goldigit Atom-tech Holdings Ltd.	1,699,860,000	0.440	747.94	1.23
17	8016	China Data Broadcasting Holdings Ltd.	318,000,000	2.300	731.40	1.20
18	8097	Arcontech Corporation	720,000,000	0.960	691.20	1.13
19	8027	Sino Biopharmaceutical Ltd.	300,000,000	2.200	660.00	1.08
20	8119	Thiz Technology Group Ltd.	165,450,000	3.550	587.35	0.96
21	8033	Vodatel Networks Holdings Ltd.	607,984,000	0.900	547.19	0.90
22	8128	IIN International Ltd.	1,403,621,350	0.370	519.34	0.85
23	8048	Excel Technology International Holdings Ltd.	987,044,000	0.500	493.52	0.81
24	8131	abc Multiactive Ltd.	1,605,909,668	0.300	481.77	0.79
25	8090	EVI Education Asia Ltd.	4,000,000,000	0.120	480.00	0.79
26	8107	iLink Holdings Ltd.	5,267,374,610	0.090	474.06	0.78
27	8091	Universal Technologies Holdings Ltd.	608,300,000	0.760	462.31	0.76
28	8082	Info Communication Holdings Ltd.	800,640,000	0.560	448.36	0.74
29	8076	Sing Lee Software (Group) Ltd.	603,000,000	0.720	434.16	0.71
30	8095	Beijing Beida Jade Bird Universal Sci-Tech Co. Ltd. - H Shs	264,000,000	1.600	422.40	0.69
31	8161	WorldMetal Holdings Ltd.	1,000,000,000	0.410	410.00	0.67
32	8028	Timeless Software Ltd.	830,798,129	0.485	402.94	0.66
33	8186	Medical China Ltd.	800,000,000	0.495	396.00	0.65
34	8180	Golden Meditech Co. Ltd.	400,000,000	0.970	388.00	0.64
35	8165	Jian ePayment Systems Ltd.	400,000,000	0.960	384.00	0.63
36	8011	China Agrotech Holdings Ltd.	270,434,782	1.400	378.61	0.62
37	8069	Tong Ren Tang Technologies Co. Ltd. - H Shares	72,800,000	4.750	345.80	0.57
38	8098	Techwayson Holdings Ltd.	350,000,000	0.970	339.50	0.56
39	8169	Eco-Tek Holdings Ltd.	552,800,000	0.610	337.21	0.55
40	8010	STAREASTnet.com Corporation	2,563,750,000	0.128	328.16	0.54
41	8157	Capinfo Co. Ltd. - H Shares	742,498,000	0.440	326.70	0.54
42	8179	AKuP International Holding Ltd.	630,000,000	0.500	315.00	0.52
43	8178	Xteam Software International Ltd.	537,293,233	0.580	311.63	0.51
44	8080	iSteelAsia.com Ltd.	1,564,500,000	0.198	309.77	0.51
45	8152	GP NanoTechnology Group Ltd.	500,000,000	0.600	300.00	0.49
46	8115	Sinotronics Holdings Ltd.	347,625,000	0.830	288.53	0.47
47	8005	Yuxing InfoTech Holdings Ltd.	400,000,000	0.700	280.00	0.46
48	8078	Emperor Entertainment Group Ltd.	260,000,000	0.980	254.80	0.42
49	8112	Angels Transport Technology Co. Ltd.	180,000,000	1.400	252.00	0.41
50	8101	iAsia Technology Ltd.	491,057,143	0.510	250.44	0.41
Total					52,737.55	86.51
Equity total					60,964.09	100.00

Trading value and volume (1999- 2001)

Year/Month	No. of trading days	Value (HK\$mil)		Volume (mil of shares)		Deals		
		Total	Average daily	Total	Average daily	Total	Average daily	
1999	25	3,604.74	144.19	1,499.35	59.97	58,760	2,350	
2000	247	84,287.13	341.24	30,715.28	124.35	1,226,941	4,967	
2001	243	39,416.83	162.21	43,845.74	180.44	824,675	3,394	
2001	Jan	19	2,231.53	117.45	2,792.07	146.95	48,599	2,558
	Feb	20	2,569.33	128.47	3,106.92	155.35	56,262	2,813
	Mar	22	2,615.63	118.89	4,284.05	194.73	60,457	2,748
	Apr	17	2,968.37	174.61	2,843.47	167.26	68,424	4,025
	May	22	7,698.76	349.94	7,144.26	324.74	148,071	6,731
	Jun	20	7,280.85	364.04	6,104.70	305.23	112,454	5,623
	Jul	19	2,574.83	135.52	2,774.48	146.03	54,178	2,851
	Aug	23	1,327.05	57.70	1,749.14	76.05	38,364	1,668
	Sep	20	736.41	36.82	1,111.74	55.59	23,141	1,157
	Oct	20	1,381.71	69.09	2,077.43	103.87	39,910	1,996
	Nov	22	2,895.92	131.63	4,149.18	188.60	63,074	2,867
	Dec	19	5,136.44	270.34	5,708.32	300.44	111,741	5,881

Notes: Stock transactions in foreign currencies are excluded from the total turnover in value.

20 most active GEM stocks (in dollars)

Rank	Stock	Turnover (HK\$mil)	% of market total
1	TOM.COM	3,906.55	9.91
2	PHOENIX TV	3,305.27	8.39
3	GREENCOOL TECH	3,280.82	8.32
4	NEOLINK CYBER	2,532.23	6.42
5	BEIDA JADE BIRD - H SHARES	2,052.26	5.21
6	WAH SANG GAS	1,636.50	4.15
7	TOWN HEALTH	1,435.31	3.64
8	XINAO GAS HOLD	1,222.35	3.10
9	NANDASOFT - H SHARES	1,122.29	2.85
10	SUNEVISION	868.98	2.20
11	CHENG DUTOP TECH - H SHARES	868.80	2.20
12	ACROSSASIA	817.81	2.07
13	KINGDEE INT'L	793.70	2.01
14	JILIN CHANGLONG - H SHARES	778.59	1.98
15	SMARTTECH	723.61	1.84
16	EEG	697.68	1.77
17	TONG REN TANG - H SHARES	657.74	1.67
18	TIMELESS	566.49	1.44
19	ARCONTECH	540.99	1.37
20	CR ASIA	539.62	1.37
	Total	28,347.57	71.92
	Market total	39,414.22	100.00

20 most active GEM stocks (in shares)

Rank	Stock	Turnover (mil of shares)	% of market total
1	SMARTTECH	6,093.00	13.91
2	TOWN HEALTH	2,416.63	5.52
3	PHOENIX TV	2,284.91	5.22
4	NEOLINK CYBER	2,224.46	5.08
5	TOM.COM	1,528.41	3.49
6	TRASY GOLD EX	1,378.32	3.15
7	GREENCOOL TECH	1,253.82	2.86
8	NANDASOFT - H SHARES	950.18	2.17
9	BEIDA JADE BIRD - H SHARES	937.68	2.14
10	Q9 TECHNOLOGY	925.48	2.11
11	GOLDIGIT ATOM	831.32	1.90
12	WAH SANG GAS	823.94	1.88
13	HKCYBER.COM	779.99	1.78
14	PINE TECHNOLOGY	771.96	1.76
15	WLS HOLDINGS	738.71	1.69
16	LP LAMMAS	718.43	1.64
17	XINAO GAS HOLD	705.56	1.61
18	36.COM	663.72	1.52
19	TIMELESS	648.67	1.48
20	CHENG DUTOP TECH - H SHARES	603.99	1.38
	Total	27,279.20	62.29
	Market total	43,797.10	100.00

20 GEM Stocks with largest price changes (increase)

Rank	Stock	Closing Prices (HK\$)		
		2000	2001	% down
1	SINO BIOPHARM	0.760	2.200	189.47
2	CHINA AGROTECH	0.720	1.400	94.44
3	TOM.COM	2.100	3.825	82.14
4	FE POLYCHEM	1.470	2.425	64.97
5	QIANLONG TECH	0.440	0.700	59.09
6	SYSCAN TECH	0.106	0.168	58.49
7	TONG REN TANG - H SHARES	3.200	4.750	48.44
8	SHANGHAI FUDAN - H SHARES	1.100	1.380	25.45
9	SIIC MEDTECH	1.300	1.630	25.38
10	DIGITALHONGKONG	0.300	0.355	18.33
11	GREENCOOL TECH	1.830	2.050	12.02
12	WAH SANG GAS	0.645 A	0.700	8.53
13	ARCONTECH	0.970	0.960	-1.03
14	VODATEL NETWORK	0.990	0.900	-9.09
15	YUXING INFOTECH	0.820	0.700	-14.63
16	EEG	1.190	0.980	-17.65
17	CHINA DATACAST	2.850	2.300	-19.30
18	FIRST MOBILE	0.890	0.710	-20.22
19	EXCEL TECH	0.630	0.500	-20.63
20	HONGKONG.COM	0.405	0.310	-23.46

(A) Adjusted

20 GEM Stocks with largest price changes (decrease)

Rank	Stock	Closing Prices (HK\$)		
		2000	2001	% down
1	HKCYBER.COM	0.305	0.013	95.74
2	SMARTECH	0.247	0.020	91.90
3	ACROSSASIA	3.125	0.350	88.80
4	TRASY GOLD EX	0.265	0.031	88.30
5	TOWN HEALTH	1.940	0.280	85.57
6	SYSTEK INFOTECH	0.390	0.070	82.05
7	LP LAMMAS	0.245	0.055	77.55
8	PROSTEN TECH	1.080	0.260	75.93
9	FLEXSYSTEM	1.050	0.270	74.29
10	TECHPACIFIC.COM	0.270	0.070	74.07
11	PINE TECHNOLOGY	0.950	0.260	72.63
12	INTCERA	1.230	0.365	70.33
13	DIGITEL	0.750	0.233	68.93
14	STAREASTNET.COM	0.388 A	0.128	67.01
15	EPRO	0.310	0.109	64.84
16	CYBERM	0.950	0.340	64.21
17	36.COM	0.051	0.019	62.75
18	ISTEELASIA.COM	0.500	0.198	60.40
19	T S TELECOM	0.435	0.178	59.08
20	PANDA-RECRUIT	0.090	0.038	57.78

(A) Adjusted

Comparison of IPO price and year 2001 closing for GEM stocks

Code	Company		IPO price (HK\$)	Closing price (HK\$)	(%) change
8016	China Data Broadcasting Holdings Ltd	A	0.320	2.300	+ 618.75
8035	Wah Sang Gas Holdings Ltd	A	0.130	0.700	+ 438.46
8091	Universal Technologies Holdings Ltd		0.210	0.760	+ 261.90
8119	Thiz Technology Group Ltd		1.190	3.550	+ 198.32
8165	Jian ePayment Systems Ltd		0.330	0.960	+ 190.91
8132	Panva Gas Holdings Ltd		0.570	1.550	+ 171.93
8169	Eco-Tek Holdings Ltd		0.238	0.610	+ 156.30
8082	Info Communication Holdings Ltd		0.250	0.560	+ 124.00
8153	M21 Technology Ltd		0.335	0.750	+ 123.88
8052	Convenience Retail Asia Ltd		1.150	2.525	+ 119.57
8045	Jiangsu Nandasoft Co Ltd - H Shares		0.360	0.790	+ 119.44
8001	tom.com limited		1.780	3.825	+ 114.89
8149	Xinao Gas Holdings Ltd		1.150	2.325	+ 102.17
8112	Angels Transport Technology Co Ltd		0.700	1.400	+ 100.00
8027	Sino Biopharmaceutical Ltd		1.200	2.200	+ 83.33
8133	Kingdee International Software Group Co Ltd		1.030	1.860	+ 80.58
8179	AKuP International Holdings Ltd		0.280	0.500	+ 78.57
8102	Shanghai Fudan Microelectronics Co Ltd - H Shares		0.800	1.380	+ 72.50
8161	WorldMetal Holdings Ltd		0.240	0.410	+ 70.83
8090	EVI Education Asia Ltd	A	0.076	0.120	+ 57.89
8135	Chengdu Top Sci-Tech Co Ltd - H Shares		0.720	1.130	+ 56.94
8178	Xteam Software International Ltd		0.380	0.580	+ 52.63
8049	Jilin Province Huinan Changlong Bio-pharmacy Co Ltd - H Shares		0.500	0.760	+ 52.00
8076	Sing Lee Software (Group) Ltd		0.480	0.720	+ 50.00
8095	Beijing Beida Jade Bird Universal Sci-Tech Co Ltd - H Shares	A	1.100	1.600	+ 45.45
8069	Tong Ren Tang Technologies Co Ltd - H Shares		3.280	4.750	+ 44.82
8021	WLS Holdings Ltd		0.380	0.485	+ 27.63
8117	Billybala Holdings Ltd		0.300	0.380	+ 26.67
8098	Techwayson Holdings Ltd		0.780	0.970	+ 24.36
8079	Rainbow International Holdings Ltd		0.500	0.620	+ 24.00
8128	IIN International Ltd		0.300	0.370	+ 23.33
8100	Inworld Group Ltd		0.320	0.390	+ 21.88
8081	Computech Holdings Ltd		0.500	0.590	+ 18.00
8011	China Agrotech Holdings Ltd		1.200	1.400	+ 16.67
8180	Golden Meditech Co Ltd		0.880	0.970	+ 10.23
8047	IA International Holdings Ltd		0.400	0.440	+ 10.00
8066	Cardlink Technology Group Ltd		0.470	0.510	+ 8.51
8020	Wanasports Holdings Ltd		0.330	0.345	+ 4.55
8131	abc Multiactive Ltd	A	0.300	0.300	0.00
8018	SIIC Medical Science and Technology (Group) Ltd		1.630	1.630	0.00
8186	Medical China Ltd		0.500	0.495	- 1.00
8150	Fast Systems Technology (Holdings) Ltd		0.300	0.285	- 5.00
8056	Greencool Technology Holdings Ltd		2.180	2.050	- 5.96
8115	Sinotronics Holdings Ltd		0.900	0.830	- 7.78
8157	Capinfo Co Ltd - H Shares		0.480	0.440	- 8.33
8002	Phoenix Satellite Television Holdings Ltd		1.080	0.990	- 8.33
8078	Emperor Entertainment Group Ltd		1.080	0.980	- 9.26
8120	China Medical Science Ltd		0.550	0.490	- 10.91
8188	Mudan Automobile Shares Co Ltd - H Shares		1.130	1.000	- 11.50
8059	Goldigit Atom-tech Holdings Ltd		0.500	0.440	- 12.00
8110	First Mobile Group Holdings Ltd		0.820	0.710	- 13.41
8151	Essex Bio-Technology Ltd		0.500	0.430	- 14.00
8116	Neolink Cyber Technology (Holding) Ltd		0.430	0.360	- 16.28
8127	Riverhill Holdings Ltd		0.600	0.495	- 17.50
8097	Arcontech Corporation		1.180	0.960	- 18.64
8004	International Capital Network Holdings Ltd		0.840	0.640	- 23.81
8152	GP NanoTechnology Group Ltd		0.800	0.600	- 25.00
8019	Everpride Biopharmaceutical Co Ltd		0.495	0.370	- 25.25
8043	Era Information & Entertainment Ltd		1.000	0.740	- 26.00
8101	iAsia Technology Ltd		0.700	0.510	- 27.14
8033	Vodatel Networks Holdings Ltd		1.330	0.900	- 32.33
8015	Qianlong Technology International Holdings Ltd		1.060	0.700	- 33.96
8158	B M Intelligence International Ltd		0.400	0.210	- 47.50
8092	ITE (Holdings) Ltd	A	0.375	0.195	- 48.00
8055	ProSticks International Holdings Ltd		0.330	0.171	- 48.18
8012	Far Eastern Polychem Industries Ltd		4.680	2.425	- 48.18
8051	TeleEye Holdings Ltd		0.600	0.310	- 48.33
8111	Soluteck Holdings Ltd		0.400	0.202	- 49.50
8139	Prosperity International Holdings (H.K.) Ltd		0.250	0.119	- 52.40
8023	Henderson Cyber Ltd		1.250	0.550	- 56.00
8068	SmarTech Digital Manufacturing Holdings Ltd	A	0.050	0.020	- 60.00
8162	Codebank Ltd		0.500	0.188	- 62.40
8070	MRC Holdings Ltd		0.390	0.144	- 63.08
8017	CyberM International (Holdings) Ltd		0.930	0.340	- 63.44
8022	Argos Enterprise (Holdings) Ltd		1.000	0.365	- 63.50
8048	Excel Technology International Holdings Ltd		1.380	0.500	- 63.77
8129	Q9 Technology Holdings Ltd		0.450	0.162	- 64.00
8107	iLink Holdings Ltd	A	0.256	0.090	- 64.84
8007	DIGITALHONGKONG.COM		1.200	0.355	- 70.42
8029	L.P. Lammas International Ltd		0.200	0.055	- 72.50
8010	STAREASTnet.com Corporation	A	0.532	0.128	- 75.94
8089	Proactive Technology Holdings Ltd		1.380	0.320	- 76.81
8138	Town Health International Holdings Co Ltd		1.250	0.280	- 77.60
8030	DigiTel Group Ltd		1.050	0.233	- 77.81
8050	FlexSystem Holdings Ltd		1.230	0.270	- 78.05
8041	Intcera High Tech Group Ltd		1.780	0.365	- 79.49
8080	iSteelAsia.com Ltd		1.080	0.198	- 81.67

Comparison of IPO price and year 2001 closing for GEM stocks (continued)

Code	Company	IPO price (HK\$)	Closing price (HK\$)	(%) change
8071	E-silkroad Holdings Ltd	0.250	0.045	- 82.00
8013	Pine Technology Holdings Ltd	1.500	0.260	- 82.67
8005	Yuxing InfoTech Holdings Ltd	4.200	0.700	- 83.33
8006	hongkong.com Corporation	1.880	0.310	- 83.51
8028	Timeless Software Ltd	3.000	0.485	- 83.83
8086	ePRO Ltd	0.700	0.109	- 84.43
8008	Sunevision Holdings Ltd	10.380	1.610	- 84.49
8040	Fortune Tele.com Holdings Ltd	2.500	0.380	- 84.80
8075	Rojam Entertainment Holdings Ltd	1.000	0.150	- 85.00
8063	Trasy Gold Ex Ltd	0.210	0.031	- 85.24
8073	Panda-Recruit Ltd	0.280	0.038	- 86.43
8009	iMerchants Ltd	1.480	0.196	- 86.76
8083	SYSCAN Technology Holdings Ltd	1.330	0.168	- 87.37
8103	Syspek Information Technology (Holdings) Ltd	0.560	0.070	- 87.50
8003	T S Telecom Technologies Ltd	1.500	0.178	- 88.13
8061	AcrossAsia Multimedia Ltd	3.280	0.350	- 89.33
8032	GreaterChina Technology Group Ltd	1.380	0.146	- 89.42
8108	Grandmass Enterprise Solution Ltd	0.500	0.050	- 90.00
8025	Asian Information Resources (Holdings) Ltd	1.250	0.110	- 91.20
8088	techpacific.com Ltd	1.050	0.070	- 93.33
8026	Prosten Technology Holdings Ltd	4.500	0.260	- 94.22
8036	36.com Holdings Ltd	0.360	0.019	- 94.72
8118	hkcyber.com (Holdings) Ltd	A 13.600	0.013	- 99.90
8122	CASH on-line Ltd*	n.a.	0.078	

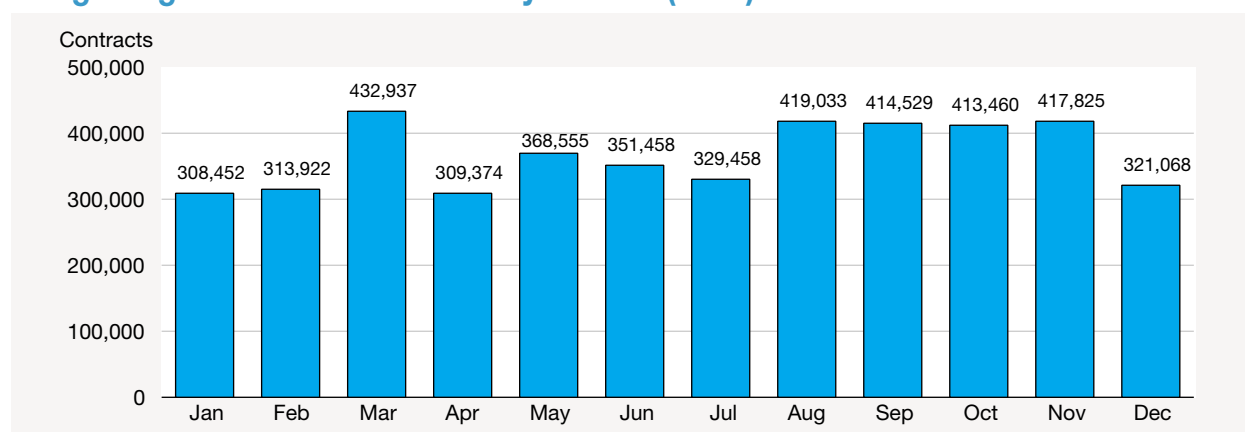
* Listing by way of introduction

A Adjusted

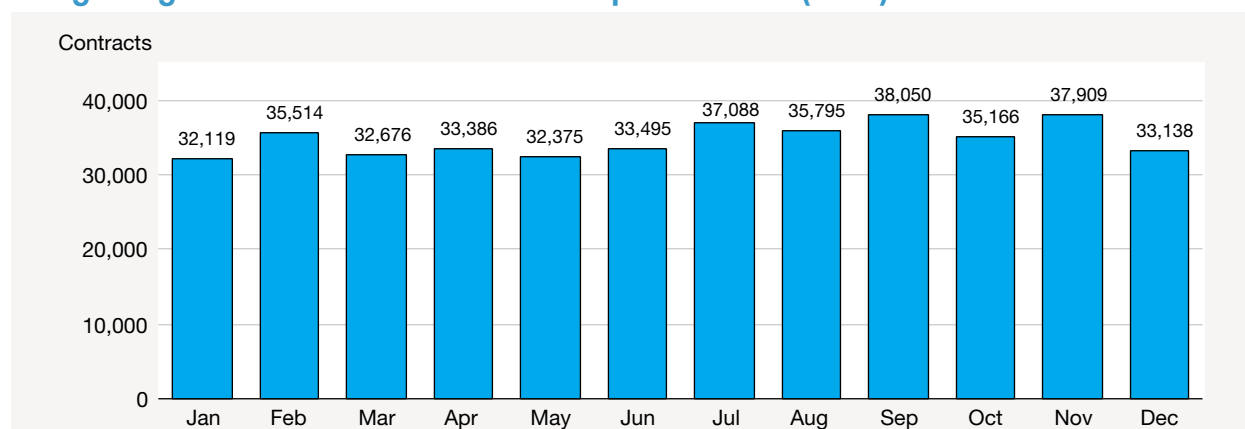
Hang Seng Index futures – trading statistics (1997 – 2001)

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
1997	245.0	6,446,696	26,313	58,835
1998	245.5	6,969,708	28,390	37,571
1999	244.5	5,132,332	20,991	31,414
2000	247.0	4,023,138	16,288	31,246
2001	241.5	4,400,071	18,220	33,138
2001 Jan	18.5	308,452	16,673	32,119
Feb	20.0	313,922	15,696	35,514
Mar	22.0	432,937	19,679	32,676
Apr	17.0	309,374	18,198	33,386
May	22.0	368,555	16,753	32,375
Jun	20.0	351,458	17,573	33,495
Jul	19.0	329,458	17,340	37,088
Aug	23.0	419,033	18,219	35,795
Sep	20.0	414,529	20,726	38,050
Oct	20.0	413,460	20,673	35,166
Nov	22.0	417,825	18,992	37,909
Dec	18.0	321,068	17,837	33,138
2001 Highest (date)		44,646 (25/09)		45,412 (26/11)

Hang Seng Index futures – monthly volume (2001)



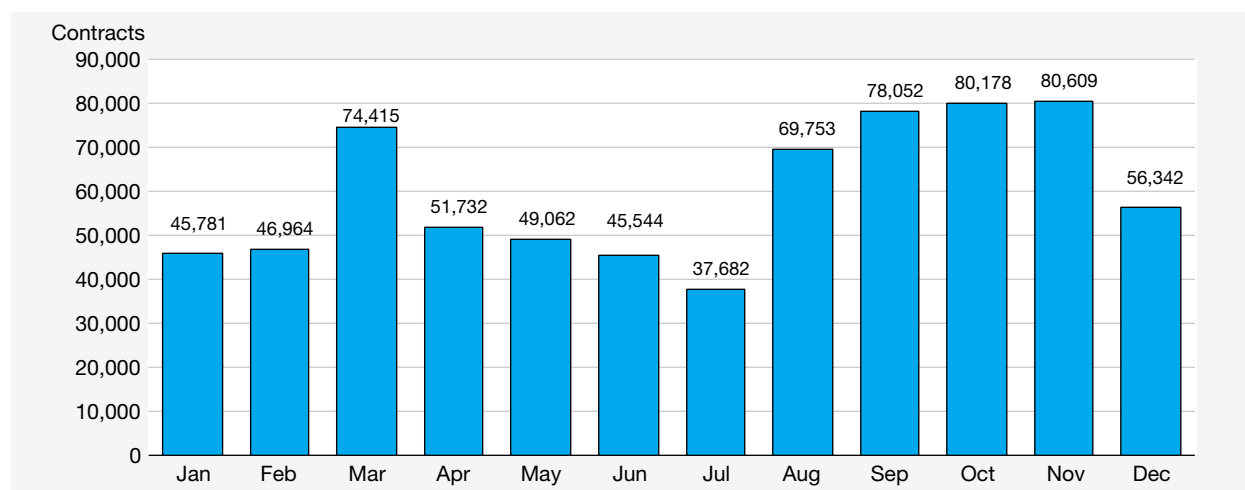
Hang Seng Index futures – month-end open interest (2001)



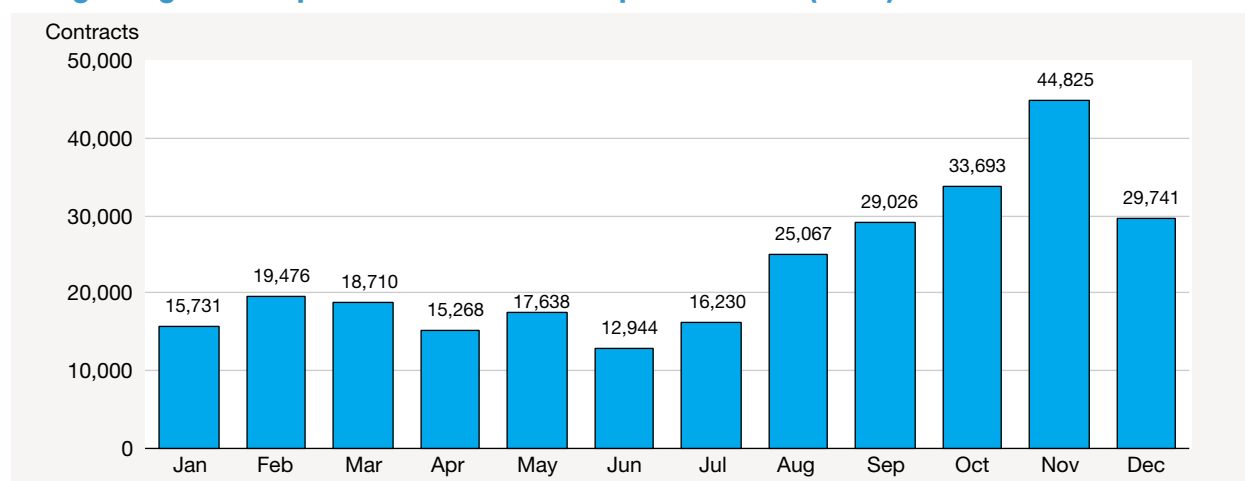
Hang Seng Index options – trading statistics (1997 – 2001)

Year/Month	No. of trading days	Volume (contracts)			Average daily	Period end open interest (contracts)
		Call	Put	Total		
1997	245.0	544,421	602,953	1,147,374	4,683	33,013
1998	245.5	423,402	375,310	798,712	3,253	33,547
1999	244.5	354,717	359,592	714,309	2,922	24,120
2000	247.0	257,537	286,510	544,047	2,203	10,519
2001	241.5	356,969	359,145	716,114	2,965	29,741
2001 Jan	18.5	25,325	20,456	45,781	2,475	15,731
2001 Feb	20.0	19,072	27,892	46,964	2,348	19,476
2001 Mar	22.0	32,029	42,386	74,415	3,383	18,710
2001 Apr	17.0	26,726	25,006	51,732	3,043	15,268
2001 May	22.0	22,684	26,378	49,062	2,230	17,638
2001 Jun	20.0	22,274	23,270	45,544	2,277	12,944
2001 Jul	19.0	14,753	22,929	37,682	1,983	16,230
2001 Aug	23.0	35,433	34,320	69,753	3,033	25,067
2001 Sep	20.0	41,969	36,083	78,052	3,903	29,026
2001 Oct	20.0	39,420	40,758	80,178	4,009	33,693
2001 Nov	22.0	45,563	35,046	80,609	3,664	44,825
2001 Dec	18.0	31,721	24,621	56,342	3,130	29,741
2001 Highest (date)				7,478 (15/11)		62,309 (28/11)

Hang Seng Index options – monthly volume (2001)



Hang Seng Index options – month-end open interest (2001)



Mini-Hang Seng Index futures – trading statistics (2000 – 2001)

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2000 *	58.0	120,165	2,072	827
2001	241.5	769,886	3,188	1,232
2001 Jan	18.5	39,266	2,122	869
Feb	20.0	45,741	2,287	2,287
Mar	22.0	69,694	3,168	1,317
Apr	17.0	49,842	2,932	1,311
May	22.0	64,457	2,930	1,781
Jun	20.0	55,235	2,762	1,521
Jul	19.0	50,754	2,671	1,565
Aug	23.0	78,125	3,397	2,078
Sep	20.0	80,910	4,046	2,551
Oct	20.0	76,431	3,822	1,331
Nov	22.0	84,209	3,828	1,409
Dec	18.0	75,222	4,179	1,232
2001 Highest (date)		6,426 (21/12)		3,190 (27/09)

* Trading in Mini-Hang Seng Index futures commenced on 9 October 2000

MSCI China Free Index futures – trading statistics (2001)

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2001 *	161.0	3,141	20	179
2001 May	19.0	771	41	48
Jun	20.0	242	12	44
Jul	19.0	462	24	42
Aug	23.0	230	10	40
Sep	20.0	236	12	55
Oct	20.0	283	14	90
Nov	22.0	377	17	149
Dec	18.0	540	30	179
2001 Highest (date)		198 (28/12)		263 (28/12)

* Trading in MSCI China Free Index futures commenced on 7 May 2001

Hang Seng 100 futures and options – trading statistics (1998 – 2001)

Year/Month	No. of trading days	Hang Seng 100 futures			Hang Seng 100 options			
		Volume (contracts)		Period end	Volume (contracts)		Period end	
		Total	Average daily	open interest (contracts)	Total	Average daily	open interest (contracts)	
1998 *	69.0	15,450	224	216	4,610	67	1,132	
1999	244.5	66,822	273	297	51,393	210	4,294	
2000	247.0	30,991	125	0	5,893	24	7	
2001 **	137.5	78	1	-	111	1	-	
2001	Jan	18.5	48	3	4	42	2	4
	Feb	20.0	16	1	2	18	1	1
	Mar	22.0	10	0	0	19	1	4
	Apr	17.0	4	0	0	15	1	3
	May	22.0	0	0	0	5	0	1
	Jun	20.0	0	0	0	10	1	0
	Jul	18.0	0	0	-	2	0	-
2001	Highest (date)		10 (08/02)		9 (30/01)	7 (06/02)		15 (18/01)

* Trading in Hang Seng 100 futures and options commenced on 18 September 1998

** Trading in Hang Seng 100 futures and options suspended with effect from 31 July 2001

Red-Chip futures and options – trading statistics (1997 – 2001)

Year/Month	No. of trading days	Red-Chip futures			Red-Chip options			
		Volume (contracts)		Period end	Volume (contracts)		Period end	
		Total	Average daily	open interest (contracts)	Total	Average daily	open interest (contracts)	
1997 *	73.0	143,078	1,960	8,127	1,234	17	19	
1998	245.5	170,385	694	709	2,035	8	5	
1999	244.5	30,753	126	410	10	0	0	
2000	247.0	3,801	15	62	0	0	0	
2001	160.5	533	3	-	0	0	-	
2001	Jan	18.5	124	7	61	0	0	0
	Feb	20.0	123	6	61	0	0	0
	Mar	22.0	58	3	29	0	0	0
	Apr	17.0	58	3	29	0	0	0
	May	22.0	58	3	29	0	0	0
	Jun	20.0	58	3	29	0	0	0
	Jul #	19.0	54	3	27	0	0	-
	Aug ##	22.0	0	0	-	-	-	-

* Trading in Red-Chip futures and options commenced on 12 September 1997

Trading in Red-Chip options suspended with effect from 31 July 2001

Trading in Red-Chip futures suspended with effect from 31 August 2001

Hang Seng Properties Sub-index futures and options – trading statistics (1999 – 2001)

Year/Month	No. of trading days	Hang Seng Properties Sub-index futures			Hang Seng Properties Sub-index options		
		Volume (contracts)		Period end	Volume (contracts)		Period end
		Total	Average daily	open interest (contracts)	Total	Average daily	open interest (contracts)
1999 *	139.5	341	2	0	2,330	17	0
2000	247.0	0	0	0	2	0	0
2001 **	137.5	0	0	-	0	0	-
2001	Jan	18.5	0	0	0	0	0
	Feb	20.0	0	0	0	0	0
	Mar	22.0	0	0	0	0	0
	Apr	17.0	0	0	0	0	0
	May	22.0	0	0	0	0	0
	Jun	20.0	0	0	0	0	0
	Jul	18.0	0	0	-	0	-

* Trading in Hang Seng Properties Sub-Index futures and options commenced on 9 June 1999

** Trading in Hang Seng Properties Sub-Index futures and options suspended with effect from 31 July 2001

Three-month HIBOR futures – trading statistics (1997 – 2001)

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
1997 *	64.0	87,819	1,372	11,251
1998	245.5	502,982	2,049	20,881
1999	244.5	308,646	1,262	18,263
2000	247.0	325,155	1,316	29,142
2001	241.5	629,491	2,607	58,830
2001 Jan	18.5	66,458	3,592	38,191
Feb	20.0	44,076	2,204	37,577
Mar	22.0	64,475	2,931	30,789
Apr	17.0	43,580	2,564	31,593
May	22.0	54,404	2,473	36,479
Jun	20.0	40,340	2,017	35,000
Jul	19.0	61,426	3,233	41,870
Aug	23.0	70,896	3,082	61,318
Sep	20.0	57,150	2,858	65,930
Oct	20.0	42,646	2,132	72,208
Nov	22.0	49,121	2,233	74,844
Dec	18.0	34,919	1,940	58,830
2001 Highest (date)		10,050 (24/07)		80,964 (15/11)

* Trading in Three-month HIBOR Futures commenced on 26 September 1997

One-month HIBOR futures – trading statistics (1998 – 2001)

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
1998 *	50.0	4,405	88	420
1999	244.5	9,726	40	945
2000	247.0	12,075	49	450
2001	241.5	14,315	59	750
2001 Jan	18.5	3,150	170	1,425
Feb	20.0	1,550	78	975
Mar	22.0	2,950	134	2,650
Apr	17.0	2,000	118	2,750
May	22.0	900	41	2,875
Jun	20.0	250	13	1,650
Jul	19.0	900	47	1,900
Aug	23.0	800	35	1,650
Sep	20.0	615	31	1,250
Oct	20.0	800	40	1,250
Nov	22.0	300	14	1,150
Dec	18.0	100	6	750
2001 Highest (date)		800 (09/01)		3,250 (12/04)

* Trading in One-month HIBOR Futures commenced on 20 October 1998

Three – Year Exchange Fund Note futures – trading statistics (2001)

Year/Month		No. of trading days	Contracts		
			Total volume	Average daily volume	Period end open interest
2001*		28.0	1,175	42	375
2001	Nov	10.0	550	55	175
	Dec	18.0	625	35	375
2001	Highest (date)	175 (30/11)	375 (31/12)		

* Trading in Three-Year Exchange Fund Note Futures commenced on 19 November 2001

Rolling Forex – trading statistics (1997 – 2001)

Year/Month	No. of trading days	Total volume (contracts)					Period end open interest (contracts)				
		Total	DEM *	JPY	GBP	EUR	Total	DEM *	JPY	GBP	EUR
1997	245.0	251,226	121,173	109,578	20,475	-	626	74	544	8	-
1998	245.5	17,146	2,263	14,100	783	-	607	102	479	26	-
1999	244.5	9,042	5,919	2,601	85	437	288	46	195	6	41
2000	247.0	3,279	255	1,804	83	1,137	207	1	162	1	43
2001	242.0	4,226	1	3,940	21	264	303	-	278	0	25
2001 Jan	18.5	231	0	207	4	20	291	1	244	1	45
2001 Feb	20.0	311	0	287	0	24	380	1	333	1	45
2001 Mar	22.0	525	0	512	1	12	357	1	301	0	55
2001 Apr	17.0	444	0	414	2	28	553	1	517	0	35
2001 May	22.0	776	0	756	0	20	627	1	593	0	33
2001 Jun	20.0	395	0	382	0	13	566	1	537	0	28
2001 Jul	19.5	176	0	176	0	0	518	1	489	0	28
2001 Aug	23.0	296	1	281	0	14	528	0	512	0	16
2001 Sep	20.0	320	-	317	0	3	387	-	372	0	15
2001 Oct	20.0	205	-	178	0	27	293	-	261	0	32
2001 Nov	22.0	232	-	164	9	59	320	-	275	5	40
2001 Dec	18.0	315	-	266	5	44	303	-	278	0	25
2001 Highest (date)		111 (30/03)					629 (01/06)				

DEM - Deutsche Mark

GBP - British Pound

JPY - Japanese Yen

EUR - Euro

* Trading in rolling forex futures Deutschemark contract suspended with effect from 31 August 2001

International stock futures and options – trading statistics (2001)

Year/Month	No. of trading days	International Stock futures			International Stock options		
		Volume (contracts)		Period end	Volume (contracts)		Period end
		Total	Average	open interest (contracts)	Total	Average	open interest (contracts)
			daily			daily	
2001*	63.0	811	13	0	1,641	26	210
2001							
Oct	20.0	309	15	111	325	16	124
Nov	22.0	260	12	0	1,316	60	1,440
Dec	21.0	242	12	0	0	0	210

* Trading in International Stock futures and options commenced on 4 October 2001

International stock futures and options contract volume and open interest by underlying stock (2001)*

Underlying Stock	International Stock futures				International Stock options			
	Volume (contracts)		Period end open interest		Volume (contracts)		Period end open interest	
	Total	% of Total	Total	% of Total	Total	% of Total	Total	% of Total
Cisco System Incorporated	0	0.00	0	-	0	0.00	0	0.00
IBM Corporation	0	0.00	0	-	0	0.00	0	0.00
Intel Corporation	0	0.00	0	-	0	0.00	0	0.00
Microsoft Corporation	0	0.00	0	-	0	0.00	0	0.00
Oracle Corporation	0	0.00	0	-	0	0.00	0	0.00
NTT DoCoMo Incorporated	0	0.00	0	-	0	0.00	0	0.00
Fujitsu Limited	0	0.00	0	-	0	0.00	0	0.00
Nippon Telegraph & Telephone	0	0.00	0	-	0	0.00	0	0.00
Sony Corporation	0	0.00	0	-	0	0.00	0	0.00
Toyota Motor Corporation	0	0.00	0	-	0	0.00	0	0.00
Korea Electric Power Corporation	10	1.23	0	-	1,132	68.98	0	0.00
Kookmin Bank	126	15.54	0	-	12	0.73	0	0.00
Korea Telecom Corporation	0	0.00	0	-	0	0.00	0	0.00
Samsung Electronics Co. Ltd.	68	8.38	0	-	1	0.06	0	0.00
SK Telecom Co. Ltd	180	22.19	0	-	327	19.93	90	42.86
Mosel Vitelic Incorporated	0	0.00	0	-	0	0.00	0	0.00
Ritek Corporation	0	0.00	0	-	0	0.00	0	0.00
Taiwan Semiconductor	195	24.04	0	-	79	4.81	30	14.29
United Micro Electronics Corporation	232	28.61	0	-	90	5.48	90	42.86
Winbond Electronics Corporation	0	0.00	0	-	0	0.00	0	0.00

* Trading in International Stock futures and options commenced on 4 October 2001

Stock futures contracts as at the end of (2001)

Underlying Stock	Launch Date	Contract Multiplier
Bank of East Asia	08/01/2001	200
Cathay Pacific	27/08/2001	1,000
Cheung Kong (Holdings)	25/09/1995	1,000
Cheung Kong Infrastructure	27/08/2001	1,000
China Merchants	27/08/2001	2,000
China Mobile	05/06/1998	500
China Resources	14/07/1997	2,000
China Unicom	27/08/2001	2,000
CITIC Pacific	23/05/1997	1,000
CLP Holdings	17/10/1997	500
COSCO Pacific	27/08/2001	2,000
Hang Seng Bank	19/09/1997	100
Henderson Land Development	19/09/1997	1,000
Hong Kong Exchanges	27/08/2001	2,000
Hong Kong Gas	27/08/2001	1,000
Hong Kong Electric Holdings	18/03/1998	500
Hopewell Holdings *	17/10/1997	1,000
HSBC Holdings PLC	31/03/1995	400
Hutchison Whampoa	19/09/1997	1,000
Johnson Electric	27/08/2001	500
Legend Holdings	08/01/2001	2,000
Li & Fung	27/08/2001	2,000
MTR Corporation	27/08/2001	500
New World Development	17/10/1997	1,000
Pacific Century CyberWorks	24/07/2000	1,000
PetroChina	27/08/2001	2,000
Shanghai Industrials	14/07/1997	1,000
Sun Hung Kai Properties	19/09/1997	1,000
Swire Pacific "A"	23/05/1997	500
Wharf (Holdings)	17/10/1997	1,000

* Trading in stock futures on Hopewell Holdings suspended with effect from 31 July 2001

Stock futures contract volume and open interest (1997 – 2001)

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
1997	245.0	4,453	18	17	
1998	245.5	4,082	17	9	
1999	244.5	5,696	23	1,213	
2000	247.0	3,322	13	21	
2001	241.5	6,945	29	455	
2001	Jan	18.5	162	9	54
	Feb	20.0	126	6	41
	Mar	22.0	196	9	13
	Apr	17.0	64	4	33
	May	22.0	150	7	56
	Jun	20.0	149	7	58
	Jul	19.0	147	8	41
	Aug	23.0	358	16	277
	Sep	20.0	1,203	60	307
	Oct	20.0	1,746	87	478
	Nov	22.0	1,511	69	488
	Dec	18.0	1,133	63	455
2001	Highest (date)		575 (10/10)		1,074 (30/10)

Stock futures – trading statistics by underlying stock (2001)

Underlying Stock	Contracts			
	Volume		Period end open Interest	
	Total	% of Total	Total	% of Total
Bank of East Asia	1	0.01	0	0.00
Cathay Pacific	28	0.40	25	5.49
Cheung Kong (Holdings)	358	5.15	30	6.59
Cheung Kong Infrastructure	0	0.00	0	0.00
China Merchants	0	0.00	0	0.00
China Mobile	1,158	16.67	30	6.59
China Resources	78	1.12	0	0.00
China Unicom	403	5.80	0	0.00
CITIC Pacific	60	0.86	0	0.00
CLP Holdings	0	0.00	0	0.00
COSCO Pacific	8	0.12	0	0.00
Hang Seng Bank	419	6.03	0	0.00
Henderson Land Development	311	4.48	1	0.22
Hong Kong Exchanges	0	0.00	0	0.00
Hong Kong Gas	0	0.00	0	0.00
Hong Kong Electric Holdings	0	0.00	0	0.00
Hopewell Holdings *	0	0.00	–	–
HSBC Holdings PLC	2,058	29.63	230	50.55
Hutchison Whampoa	1,080	15.55	50	10.99
Johnson Electric	0	0.00	0	0.00
Legend Holdings	333	4.79	20	4.40
Li & Fung	39	0.56	0	0.00
MTR Corporation	0	0.00	0	0.00
New World Development	28	0.40	0	0.00
Pacific Century CyberWorks	153	2.20	40	8.79
PetroChina	0	0.00	0	0.00
Shanghai Industrials	18	0.26	14	3.08
Sun Hung Kai Properties	336	4.84	0	0.00
Swire Pacific "A"	5	0.07	0	0.00
Wharf (Holdings)	71	1.02	15	3.30

* Trading in stock futures on Hopewell Holdings suspended with effect from 31 July 2001

Stock options classes as at the end of 2001

Class	Code	Launch date	Lot size (shares)
Bank of East Asia, Ltd., The	BEA	08/01/2001	200
Cathay Pacific Airways Ltd.	CPA	27/08/2001	1000
Cheung Kong (Holdings) Ltd.	CKH	25/09/1995	1000
Cheung Kong Infrastructure Holdings Ltd	CKI	27/08/2001	1000
China Merchants Holdings (International) Co Ltd	CMH	27/08/2001	2000
China Mobile (Hong Kong) Ltd.	CHT	15/05/1998	500
China Resources Enterprise, Ltd.	CRL	14/07/1997	2000
China Unicom Ltd.	CHU	27/08/2001	2000
CITIC Pacific Ltd.	CIP	23/10/1995	1000
CLP Holdings Ltd.	CLP	18/12/1995	500
Cosco Pacific Ltd.	COS	27/08/2001	2000
Hang Seng Bank	HAB	09/12/1996	100
Henderson Land Development Co. Ltd.	HLD	18/12/1996	1000
Hong Kong and China Gas Co. Ltd.	HKG	27/08/2001	1000
Hong Kong Exchanges and Clearing Ltd.	HEX	27/08/2001	2000
Hongkong Electric Holdings Ltd.	HKE	16/02/1998	500
Hopewell Holdings Ltd.	HHL	26/02/1996	1000
HSBC Holdings Plc.	HKB	08/09/1995	400
Hutchison Whampoa Ltd.	HWL	18/12/1995	1000
Johnson Electric Holdings Ltd.	JSE	27/08/2001	500
Legend Holdings Ltd.	LEH	08/01/2001	2000
Li & Fung Ltd.	LIF	27/08/2001	2000
MTR Corporation Ltd.	MTR	27/08/2001	500
New World Development Co. Ltd.	NWD	05/08/1996	1000
Pacific Century CyberWorks Ltd.	PCC	26/06/2000	1000
PetroChina Co. Ltd.	PEC	27/08/2001	2000
Shanghai Industrial Holdings Ltd.	SIH	14/07/1997	1000
Sun Hung Kai Properties Ltd.	SHK	18/12/1995	1000
Swire Pacific Ltd. 'A'	SPA	09/10/1995	500
The Wharf (Holdings) Ltd.	WHL	05/08/1996	1000
The Tracker Fund of Hong Kong	TRF	27/03/2000	500

Stock options contract value, volume and open interest (1997 – 2001)

Year / Month	No. of trading days	Contract value (HK\$mil)			Contract volume (contracts)				Open interest (contracts)			
		Call	Put	Average Total	daily	Call	Put	Average Total	daily	Call	Put	Total
1997	245	2,743.53	1,293.45	4,036.98	15.72	976,107	672,641	1,648,748	6,730	111,641	102,712	214,353
1998	247	2,659.92	1,629.64	4,289.56	17.37	981,802	655,645	1,637,447	6,629	26,771	35,309	62,080
1999	247	3,384.96	1,929.97	5,314.93	21.52	1,163,716	1,034,256	2,197,972	8,899	119,040	90,170	209,210
2000	247	10,752.84	3,151.94	13,904.78	56.29	2,839,594	1,349,108	4,188,702	16,958	386,861	75,633	462,494
2001	241.5	3,767.93	4,128.77	7,896.70	32.70	2,058,092	1,942,922	4,001,014	16,567	107,312	124,345	231,657
2001 Jan	18.5	1,151.52	142.62	1,294.14	69.95	288,416	96,788	385,204	20,822	384,394	101,471	485,865
Feb	20	485.43	712.33	1,197.76	59.89	212,912	210,094	423,006	21,150	386,544	156,361	542,905
Mar	22	334.55	821.57	1,156.12	52.55	250,165	247,616	497,781	22,626	290,920	102,152	393,072
Apr	17	205.14	306.56	511.70	30.10	146,055	147,104	293,159	17,245	294,194	152,027	446,221
May	22	547.85	344.40	892.25	40.56	216,263	185,079	401,342	18,243	336,636	162,126	498,762
Jun	20	219.19	318.69	537.88	26.89	177,328	170,273	347,601	17,380	239,762	118,120	357,882
Jul	19	91.72	139.31	231.03	12.16	72,924	106,070	178,994	9,421	234,995	122,634	357,629
Aug	23	169.69	269.87	439.56	19.11	144,199	172,518	316,717	13,770	281,685	161,148	442,833
Sep	20	149.90	441.26	591.16	29.56	171,374	207,794	379,168	18,958	208,470	166,372	374,842
Oct	20	178.31	431.28	609.59	30.48	150,676	160,126	310,802	15,540	197,695	160,018	357,713
Nov	22	137.13	129.30	266.43	12.11	135,793	140,231	276,024	12,547	188,209	154,605	342,814
Dec	18	97.48	71.58	169.06	9.39	91,987	99,229	191,216	10,623	107,312	124,345	231,657

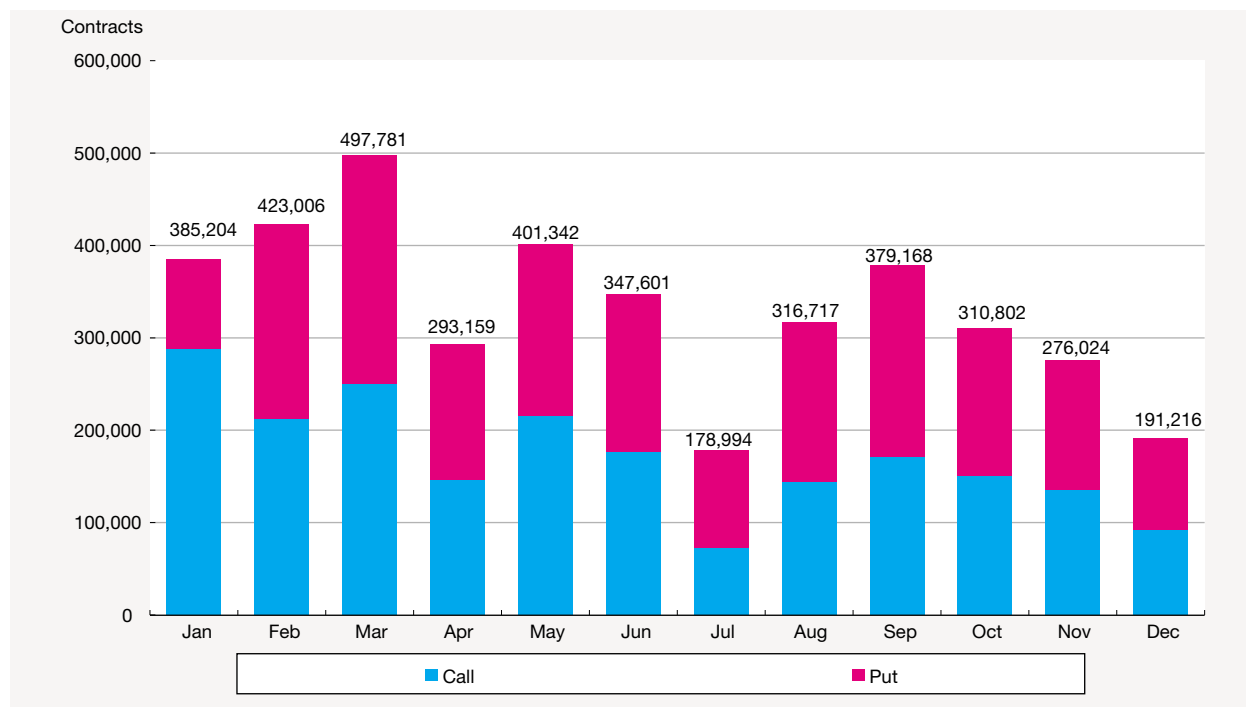
Note: Figures may not add up to total due to rounding.

Stock options contract value, volume and open interest by class (2001)

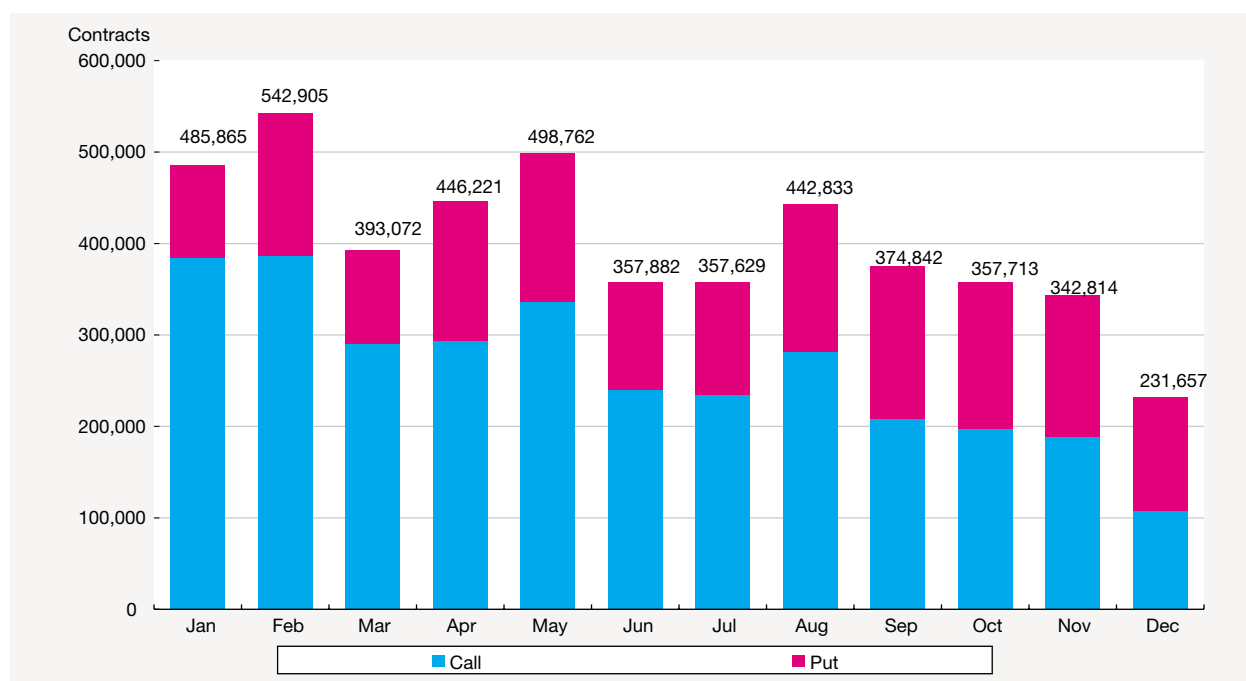
Class	Contract value (HK\$mil)				Contract volume (contracts)				Year-end open interest (contracts)			
	Call	Put	Total	% of total	Call	Put	Total	% of total	Call	Put	Total	% of total
BANK OF E ASIA	37.26	10.56	47.82	0.61	139,915	64,424	204,339	5.11	3,555	5,726	9,281	4.01
CATHAY PAC AIR	1.56	3.55	5.10	0.06	2,471	5,314	7,785	0.19	813	2,624	3,437	1.48
CHEUNG KONG	637.26	365.33	1,002.59	12.70	108,192	73,026	181,218	4.53	2,177	1,745	3,922	1.69
CKI HOLDINGS	1.17	2.95	4.12	0.05	1,961	4,431	6,392	0.16	490	830	1,320	0.57
CHINA MER HOLD	1.50	2.49	3.99	0.05	2,852	3,137	5,989	0.15	125	797	922	0.40
CHINA MOBILE	456.33	958.39	1,414.72	17.92	330,168	404,669	734,837	18.37	17,449	11,596	29,045	12.54
CHINA RESOURCES	115.75	34.18	149.93	1.90	37,557	20,512	58,069	1.45	1,678	3,029	4,707	2.03
CHINA UNICOM	22.22	12.25	34.47	0.44	14,250	9,284	23,534	0.59	1,316	2,010	3,326	1.44
CITIC PACIFIC	118.71	39.20	157.91	2.00	64,549	20,386	84,935	2.12	761	1,474	2,235	0.96
CLP HOLDINGS	26.19	27.09	53.29	0.67	41,528	45,372	86,900	2.17	3,926	3,839	7,765	3.35
COSCO PACIFIC	0.58	1.06	1.63	0.02	713	1,301	2,014	0.05	197	775	972	0.42
HANG SENG BANK	34.10	130.25	164.36	2.08	108,587	203,403	311,990	7.80	4,272	5,979	10,251	4.43
HENDERSON LAND	85.98	114.22	200.20	2.54	41,143	32,090	73,233	1.83	2,114	2,399	4,513	1.95
HK & CHINA GAS	0.90	1.71	2.61	0.03	2,196	6,257	8,453	0.21	1,346	2,603	3,949	1.70
HKEX	2.96	3.65	6.61	0.08	2,666	2,383	5,049	0.13	1,088	940	2,028	0.88
HK ELECTRIC	21.10	22.58	43.68	0.55	37,611	46,220	83,831	2.10	4,427	7,995	12,422	5.36
HOPEWELL HOLD	0.03	0.04	0.07	0.00	83	195	278	0.01	-	-	-	-
HSBC HOLDINGS	743.56	1,026.96	1,770.52	22.42	407,691	456,699	864,390	21.60	19,654	21,318	40,972	17.69
HUTCHISON	598.98	698.29	1,297.27	16.43	123,449	106,267	229,716	5.74	2,234	3,487	5,721	2.47
JOHNSON ELEC H	0.30	0.70	1.00	0.01	1,016	2,427	3,443	0.09	270	653	923	0.40
LEGEND HOLD	9.88	10.56	20.44	0.26	10,472	10,122	20,594	0.51	2,189	1,203	3,392	1.46
LI & FUNG	2.74	3.80	6.54	0.08	1,794	1,355	3,149	0.08	445	348	793	0.34
MTR CORPORATION	0.89	2.03	2.93	0.04	3,615	11,726	15,341	0.38	1,556	5,911	7,467	3.22
NEW WORLD DEV	108.71	33.72	142.42	1.80	100,219	37,208	137,427	3.43	14,626	7,274	21,900	9.45
PCCW	54.06	53.60	107.65	1.36	274,587	191,689	466,276	11.65	13,153	18,003	31,156	13.45
PETROCHINA - H SHARES	0.52	0.95	1.47	0.02	3,570	5,454	9,024	0.23	901	2,737	3,638	1.57
SHANGHAI IND H	21.02	24.76	45.78	0.58	30,289	27,247	57,536	1.44	1,036	2,074	3,110	1.34
SHK PPT	556.49	450.29	1,006.78	12.75	76,512	68,951	145,463	3.64	1,788	2,467	4,255	1.84
SWIRE PACIFIC 'A'	20.83	25.05	45.88	0.58	18,520	23,496	42,016	1.05	961	2,726	3,687	1.59
WHARF HOLDINGS	69.86	34.79	104.65	1.33	39,767	19,910	59,677	1.49	2,042	1,267	3,309	1.43
TRACKER FUND	16.50	33.77	50.27	0.64	30,149	37,967	68,116	1.70	723	516	1,239	0.53
Total	3,767.93	4,128.77	7,896.70	100.00	2,058,092	1,942,922	4,001,014	100.00	107,312	124,345	231,657	100.00

Note: Figures may not add up to total due to rounding.

Monthly stock options contract volume (2001)



Month-end stock options open interest (2001)



Record high of futures and options products (up to 31 December 2001)

Products	Daily Volume		Open Interest	
	Contracts	Date	Contracts	Date
Hang Seng Index Futures	83,445	23 Oct 1997	150,935	28 Aug 1998
Mini-Hang Seng Index Futures	6,426	21 Dec 2001	3,190	27 Sep 2001
Hang Seng Index Options	10,078	27 Nov 1996	127,263	27 Nov 1996
MSCI China Free Index Futures	198	28 Dec 2001	263	28 Dec 2001
Hang Seng 100 Futures	1,189	05 Jan 2000	914	28 Oct 1999
Hang Seng 100 Options	1,355	11 May 1999	7,626	27 May 1999
Hang Seng Properties Sub-Index Futures	35	09 Jun 1999	30	22 Jun 1999
Hang Seng Properties Sub-Index Options	132	23 Jul 1999	624	28 Jul 1999
Red-Chip Futures	6,345	25 Nov 1997	13,269	30 Oct 1997
Red-Chip Options	204	27 Nov 1997	678	24 Dec 1997
Stock Futures	1,112	19 Jan 2000	1,389	29 Dec 1999
Stock Options	112,718	23 Dec 1997	606,025	21 Feb 2001
International Stock Futures	100	26 Nov 2001	111	29 Nov 2001
International Stock Options	1,169	08 Nov 2001	1,440	25 Dec 2001
One-Month HIBOR Futures	800	09 Jan 2001	3,250	12 Apr 2001
Three-Month HIBOR Futures	10,050	24 Jul 2001	80,964	15 Nov 2001
Three-Year Exchange Fund Note Futures	175	30 Nov 2001	375	31 Dec 2001
Rolling Forex	11,448	17 Apr 1997	8,179	16 May 1997

Average daily stock exchange trades processed in CCASS (including CNS trades, isolated trades and cross trades)

Year \ month	No. of CCASS trades	% of Exchange trades	No. of shares (Million)	% of market turnover	Value of trades (HK\$ Million)	% of market turnover
HKD						
1997	132,986	99.94	7,213	99.92	15,460	99.61
1998	69,351	99.97	3,309	99.92	6,915	99.62
1999	93,370	99.98	5,642	99.98	7,786	99.88
2000	149,260	99.99	9,532	99.99	12,679	99.85
2001	99,270	100.00	6,306	99.97	8,187	99.78
2001						
Jan	99,815	100.00	5,162	99.98	9,521	99.72
Feb	104,613	100.00	5,914	99.98	9,204	99.79
Mar	97,447	100.00	4,868	99.99	8,492	99.87
Apr	111,074	100.00	6,144	100.00	8,815	100.00
May	127,673	99.99	8,877	99.87	9,986	99.28
Jun	147,007	100.00	12,057	99.99	11,049	99.93
Jul	81,453	100.00	5,924	99.97	6,996	99.75
Aug	75,856	100.00	5,160	99.99	6,328	99.83
Sep	82,797	100.00	4,414	99.98	6,897	99.79
Oct	89,970	99.99	5,488	99.95	7,034	99.62
Nov	92,241	100.00	5,674	99.96	7,411	99.86
Dec	82,943	100.00	5,993	99.99	6,685	99.95
			(Thousand)	(US\$ Thousand)		
USD						
2001	2	87.06	7	87.75	80	88.74
2001						
Jan	0	0	0	0	0	0
Feb	0	0	0	0	0	0
Mar	0	0	0	0	0	0
Apr	0	0	0	0	0	0
May	4	98.28	22	98.29	269	97.89
Jun	1	94.79	4	93.92	44	94.03
Jul	1	80.77	9	80.77	76	79.10
Aug	1	88.89	5	91.04	55	92.43
Sep	1	88.89	2	88.89	19	88.89
Oct	0	71.43	1	71.43	7	71.43
Nov	1	70.83	8	74.90	74	78.21
Dec	2	71.11	7	67.78	80	70.72

Average daily settlement instructions (SIs) settled in CCASS (both delivering and receiving SIs are counted)

Year \ month	Number of SIs	SIs by shares (Million)	SIs by value (HK\$ Million)
HKD			
1997	30,476	8,090	32,284
1998	20,054	4,718	22,379
1999	22,109	4,796	19,003
2000	33,234	8,883	30,960
2001	21,257	8,452	23,225
2001			
Jan	22,407	7,155	26,085
Feb	22,503	9,045	25,475
Mar	22,677	6,991	26,048
Apr	23,224	7,546	23,516
May	25,336	8,912	23,846
Jun	28,512	12,061	27,390
Jul	21,165	7,824	23,027
Aug	18,541	7,214	19,386
Sep	18,807	7,617	19,270
Oct	17,819	12,671	24,124
Nov	16,296	6,856	19,155
Dec	18,082	7,544	22,175
		(Thousand)	(US\$ Thousand)
USD			
2001	2	13	135
2001			
Jan	0	0	0
Feb	0	0	0
Mar	0	0	0
Apr	0	0	0
May	4	28	334
Jun	2	8	88
Jul	2	11	103
Aug	2	17	167
Sep	1	6	51
Oct	1	3	28
Nov	2	15	139
Dec	4	11	144

Average daily investor settlement instructions (ISIs) settled in CCASS (one sided ISIs are counted)

Year \ month	Number of ISIs	ISIs by shares (Million)	ISIs by Value (HK\$ Million)
HKD			
1997	–	–	–
1998	135	31	264
1999	168	47	401
2000	382	100	435
2001	302	71	341
2001 Jan	341	55	726
Feb	309	81	321
Mar	317	64	330
Apr	327	81	852
May	314	69	71
Jun	369	113	553
Jul	300	70	351
Aug	237	42	530
Sep	318	107	121
Oct	262	57	157
Nov	255	65	61
Dec	291	45	136

* No ISI in US dollars settled in CCASS in 2001

Average daily settlement efficiency of CNS stock positions

Year \ month	Settlement Efficiency (%)	
	S day	S + 1 day
HKD		
1997	95.25	99.81
1998	96.12	99.85
1999	99.42	99.96
2000	99.39	99.96
2001	99.59	99.96
2001 Jan	99.49	99.95
Feb	99.44	99.94
Mar	99.44	99.95
Apr	99.63	99.97
May	99.70	99.97
Jun	99.63	99.97
Jul	99.70	99.94
Aug	99.67	99.97
Sep	99.57	99.97
Oct	99.68	99.97
Nov	99.67	99.97
Dec	99.42	99.95
USD		
2001	100.00	100.00
2001 Jan	–	–
Feb	–	–
Mar	–	–
Apr	–	–
May	100.00	100.00
Jun	100.00	100.00
Jul	100.00	100.00
Aug	100.00	100.00
Sep	100.00	100.00
Oct	100.00	100.00
Nov	100.00	100.00
Dec	100.00	100.00

Average daily netting efficiency of CNS trades – in stock positions and share quantity

Year \ month	No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (Million)	Netting efficiency (%)
HKD				
1997	43,069	82.34	8,526	34.79
1998	22,592	82.41	3,858	36.32
1999	28,755	83.32	6,218	39.42
2000	39,298	85.98	10,146	39.93
2001	28,889	84.86	7,339	36.80
2001 Jan	28,655	84.90	5,501	38.52
Feb	31,401	84.33	6,764	36.01
Mar	28,064	85.10	5,534	37.13
Apr	31,841	85.22	6,851	38.62
May	38,400	84.50	10,303	36.73
Jun	40,553	85.68	13,940	38.47
Jul	26,631	83.21	7,293	34.22
Aug	23,187	84.34	5,999	38.01
Sep	22,055	86.24	4,947	39.07
Oct	24,379	86.05	6,416	38.20
Nov	26,953	84.97	7,153	33.72
Dec	24,844	83.71	7,298	33.03
		(%)	(Thousand)	(%)
USD				
2001	2	15.87	9	6.16
2001 Jan	0	0	0	0
Feb	0	0	0	0
Mar	0	0	0	0
Apr	0	0	0	0
May	4	27.99	19	12.37
Jun	2	25.83	8	1.91
Jul	2	12.44	10	2.24
Aug	1	5.31	3	3.65
Sep	1	17.59	4	11.11
Oct	0	7.14	2	7.14
Nov	2	15.10	15	2.74
Dec	3	8.94	10	6.16

Average daily netting efficiency of CNS trades – in money obligations

Year \ month	Money obligations arising from novated contracts (HK\$Million)	Money obligations after netting (HK\$Million)	Funds netting efficiency (%)
HKD			
1997	29,267	5,930	78.01
1998	13,039	4,425	68.37
1999	14,522	3,469	74.65
2000	23,177	4,955	78.99
2001	15,147	3,490	76.96
2001 Jan	18,144	4,689	74.70
Feb	16,640	3,827	77.40
Mar	15,358	4,088	73.67
Apr	16,124	3,586	78.25
May	18,226	3,577	84.86
Jun	20,367	4,239	78.50
Jul	12,575	3,448	73.09
Aug	11,717	2,763	76.35
Sep	12,770	2,742	78.27
Oct	12,858	2,837	77.86
Nov	13,696	2,966	78.21
Dec	13,719	3,316	75.67
	(US\$ Thousand)	(US\$ Thousand)	(%)
USD			
2001	117	87	26.03
2001 Jan	0	0	0
Feb	0	0	0
Mar	0	0	0
Apr	0	0	0
May	334	165	21.36
Jun	89	84	6.53
Jul	105	89	3.15
Aug	38	37	19.23
Sep	39	36	1.90
Oct	11	11	0.34
Nov	148	146	1.04
Dec	168	124	12.79

Average daily transactions handled by CCASS depository (both deposit and withdrawal transactions are counted)

Year \ month HKD	No. of transactions	No. of shares (Million)	Value of transactions (HK\$Million)
1997	2,155	453	1,753
1998	2,901	367	981
1999	1,302	483	1,075
2000	1,770	1,340	2,396
2001	1,125	1,014	1,072
2001 Jan	1,673	782	1,437
Feb	1,172	1,000	1,819
Mar	1,258	472	843
Apr	1,170	842	2,311
May	1,282	1,544	885
Jun	1,225	1,625	797
Jul	1,046	1,257	964
Aug	847	933	1,066
Sep	1,092	960	561
Oct	935	750	787
Nov	931	663	787
Dec	915	1,388	866

* No transaction in US dollars handled in 2001

Securities under CCASS custody (balances at the end of each month)

Year \ month HKD	No. of shares (Million)	% of issued shares of admitted securities	Market value (HK\$Million)	% of market capitalisation of admitted securities
1997	457,835	56.62	982,485	29.70
1998	357,774	50.71	805,237	29.57
1999	452,372	55.24	1,482,454	31.05
2000	837,281	56.91	1,455,208	28.78
2001	897,307	55.84	1,220,295	28.61
2001 Jan	844,362	57.79	1,533,251	28.72
Feb	849,282	57.45	1,484,937	29.36
Mar	805,676	54.50	1,301,917	29.60
Apr	814,012	56.60	1,387,191	29.86
May	842,031	57.87	1,431,371	29.31
Jun	865,012	56.88	1,418,537	29.23
Jul	879,135	56.62	1,312,138	28.51
Aug	895,926	56.19	1,177,601	27.99
Sep	895,567	55.24	1,052,236	28.13
Oct	885,793	55.40	1,095,791	28.56
Nov	879,200	54.89	1,191,200	28.26
Dec	897,307	55.84	1,220,295	28.61

* No securities in US dollars are in custody

Distribution of market participants' market shares* (1997 – 2001)

Year/Month	Category A (Position 1 to 14)		Category B (Position 15 to 65)		Category C (Position > 65)		Total turnover (HK\$bil)	Average daily turnover (HK\$mil)	
	Range (%)	Total (%)	Range (%)	Total (%)	Range (%)	Total (%)			
1997	3.74 - 1.36	31.79	1.36 - 0.36	31.98	0.35 - 0.00	36.23	3,793.72	15,484.57	
1998	4.47 - 1.76	39.27	1.65 - 0.30	30.84	0.30 - 0.00	29.89	1,711.16	6,927.77	
1999	4.30 - 1.40	27.94	1.29 - 0.35	32.29	0.34 - 0.00	39.77	1,928.77	7,808.79	
2000	4.55 - 1.73	41.53	1.62 - 0.24	31.60	0.24 - 0.00	26.87	3,148.57	12,747.25	
2001	6.30 - 1.41	47.04	1.37 - 0.25	30.04	0.25 - 0.00	22.92	2,003.54	8,245.02	
2001	Jan	5.79 - 2.04	46.74	1.90 - 0.22	31.39	0.22 - 0.00	21.87	182.16	9,587.14
	Feb	5.58 - 1.92	45.57	1.88 - 0.23	30.18	0.23 - 0.00	24.25	184.96	9,248.18
	Mar	6.54 - 1.94	46.91	1.90 - 0.26	30.08	0.26 - 0.00	23.01	188.80	8,581.70
	Apr	4.84 - 1.84	43.45	1.73 - 0.29	31.40	0.29 - 0.00	25.15	150.60	8,858.93
	May	4.53 - 1.58	42.41	1.50 - 0.28	31.72	0.28 - 0.00	25.87	221.02	10,046.42
	Jun	4.18 - 1.48	39.41	1.35 - 0.28	33.07	0.28 - 0.00	27.52	222.16	11,107.95
	Jul	6.59 - 1.74	48.76	1.63 - 0.22	30.66	0.22 - 0.00	20.58	133.94	7,049.58
	Aug	5.86 - 1.99	47.20	1.88 - 0.24	31.12	0.24 - 0.00	21.68	146.56	6,372.03
	Sep	5.36 - 1.94	48.41	1.75 - 0.23	29.44	0.23 - 0.00	22.15	139.53	6,976.29
	Oct	5.90 - 1.75	49.01	1.54 - 0.22	28.99	0.22 - 0.00	22.00	141.82	7,090.76
	Nov	5.06 - 1.56	46.28	1.52 - 0.25	31.11	0.24 - 0.00	22.61	163.93	7,451.15
	Dec	6.30 - 1.41	47.04	1.37 - 0.25	30.04	0.25 - 0.00	22.92	128.06	6,740.18

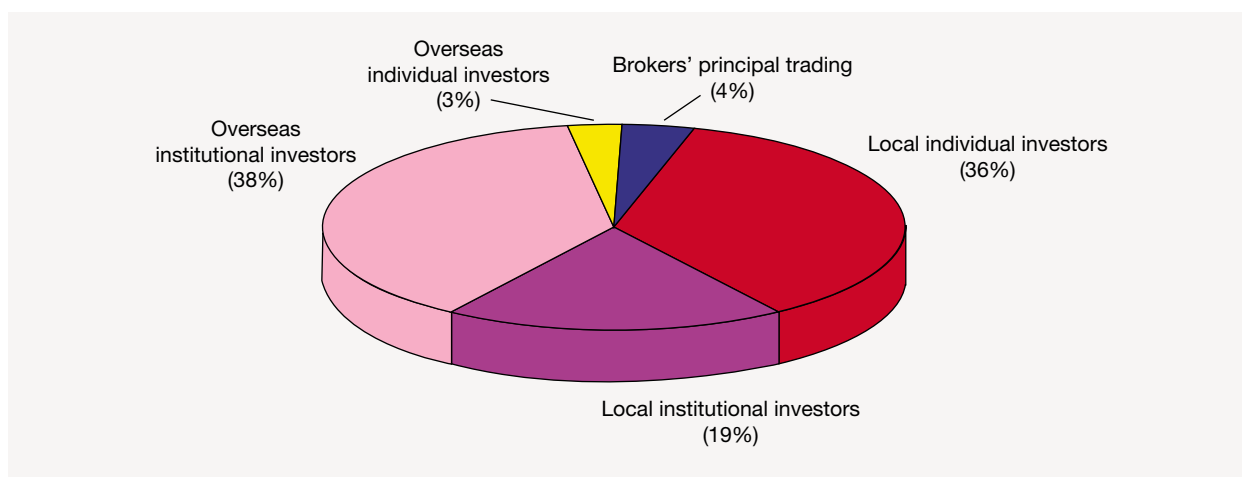
* Month-end or year-end figures

Note: The table figures includes all exchange participant firms who had paid transaction levy and trading fee to the Exchange but subject to adjustment

Cash market – distribution of market trading by investor type

According to the Cash Market Transaction Survey 2000/01 which covered market trading during the period from October 2000 to September 2001, local investors were the major participants in the Hong Kong stock market. Local individuals and institutions contributed 56% of total market trading in value terms, down from 67% in the previous year. Overseas investors, predominantly institutions, contributed 40% of the market turnover, up from 30% in the previous year. The distribution of market trading by investor type is shown below.

Distribution of market trading volume by investor type (Oct 2000 - Sep 2001)

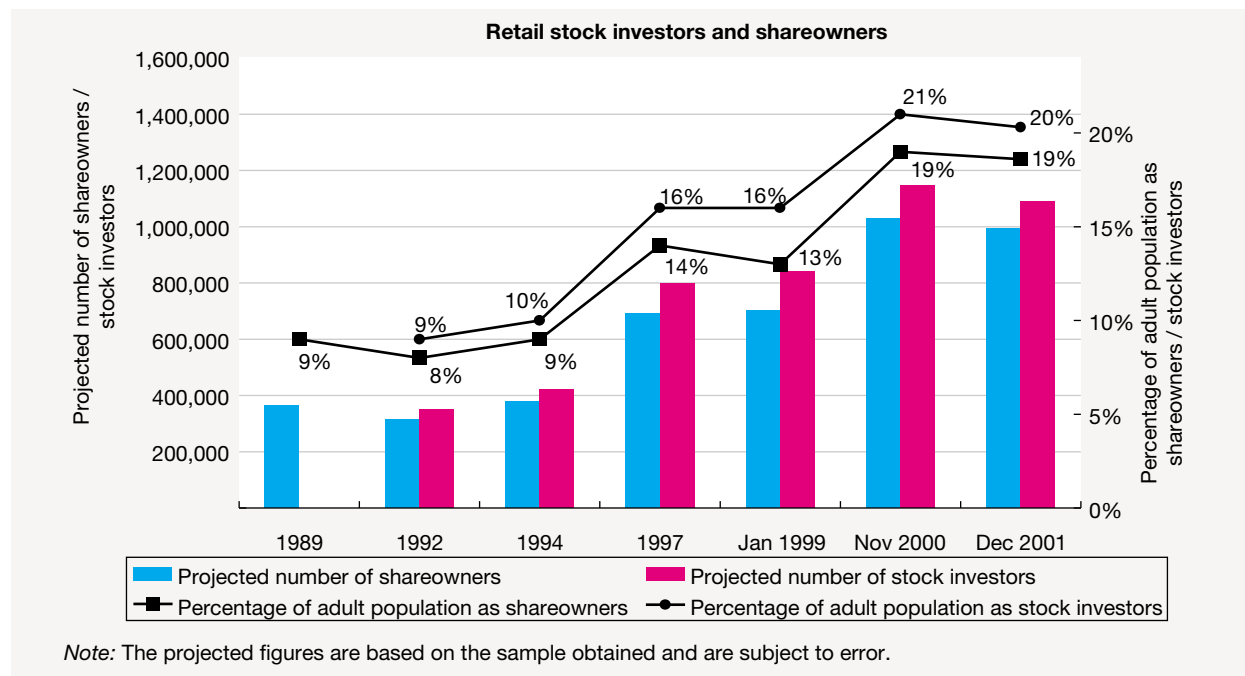


	2000/01	1999/2000	1998/99
Brokers' principal trading	4%	3%	5%
Local individual investors	36%	49%	45%
Local institutional investors	19%	18%	18%
Overseas individual investors	3%	2%	1%
Overseas institutional investors	38%	28%	30%
Total	100%	100%	100%

Note: Numbers may not add up to 100% due to rounding.

Retail investors

The Exchange conducted a survey on retail investor participation in December 2001. The survey found that, of the Hong Kong adult population, 18.6% or 995,000 individuals were shareowners¹; 20.3% or 1,089,000 individuals were stock investors²; 5.2% or 279,000 individuals were derivatives investors³. The survey also found that about 18% of stock investors were online traders, i.e. investors who had traded stocks on HKEx through electronic media such as the Internet.



A typical Hong Kong retail stock investor is a 42 year-old professional or manager, with upper-secondary or above education, and with a monthly personal income of about HK\$18,750.

Including shareowners who did not trade in the past 12 months, stock investors made a median number of 3 transactions in the past 12 months, the same as the findings in the 1999 and 2000 surveys. The median value of each transaction was HK\$30,000, compared with HK\$50,000 in the 1997 survey.

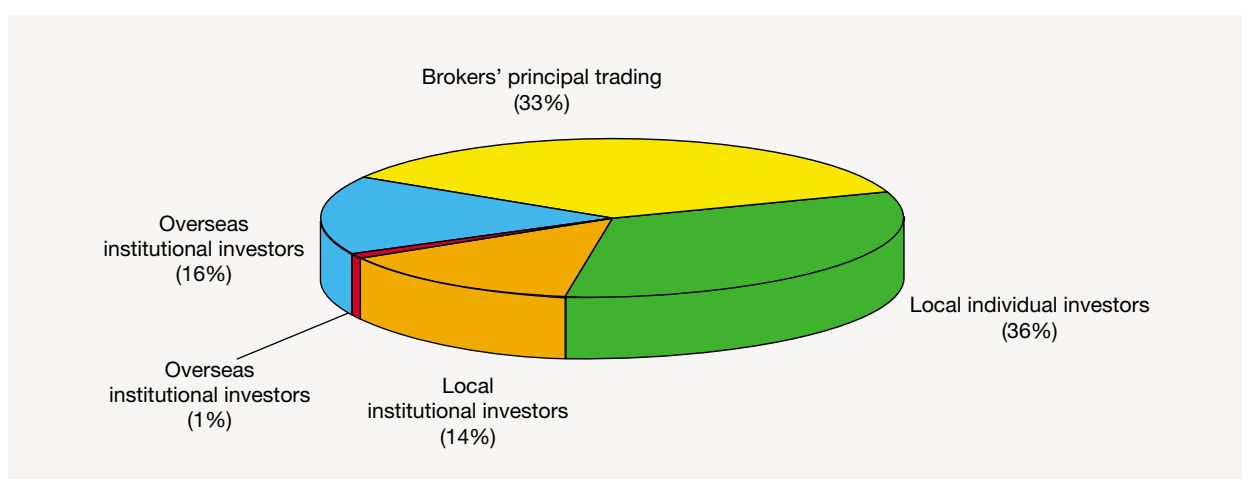
Notes:

1. Shareowner: An individual who is currently holding HKEx-listed or -traded stock at the time of the interview — including equities, warrants and Exchange Traded Funds (ETFs) such as Tracker Fund.
2. Stock investor: An individual who is currently holding or has traded HKEx-listed or -traded stocks in the past 12 months — including equities, warrants and Exchange Traded Funds (ETFs) such as Tracker Fund.
3. Derivatives investor: An individual who is currently holding or has traded derivative products (including futures and options) on HKEx in the past 12 months.

Derivatives market – distribution of market trading by investor type

According to the Derivatives Market Transaction Survey 2000/01 which covered market trading of the major derivative products during the period from July 2000 to June 2001, local investors contributed 50% and overseas investors (predominantly institutions) contributed 17% of total contract volume. About one-third of contract volume was principal trading. For the transactions in HSI futures and mini-HSI futures, local individual investors were the major contributors, sharing 56% and 88% of the respective totals.

Distribution of market trading volume by investor type (Jul 2000 - Jun 2001)



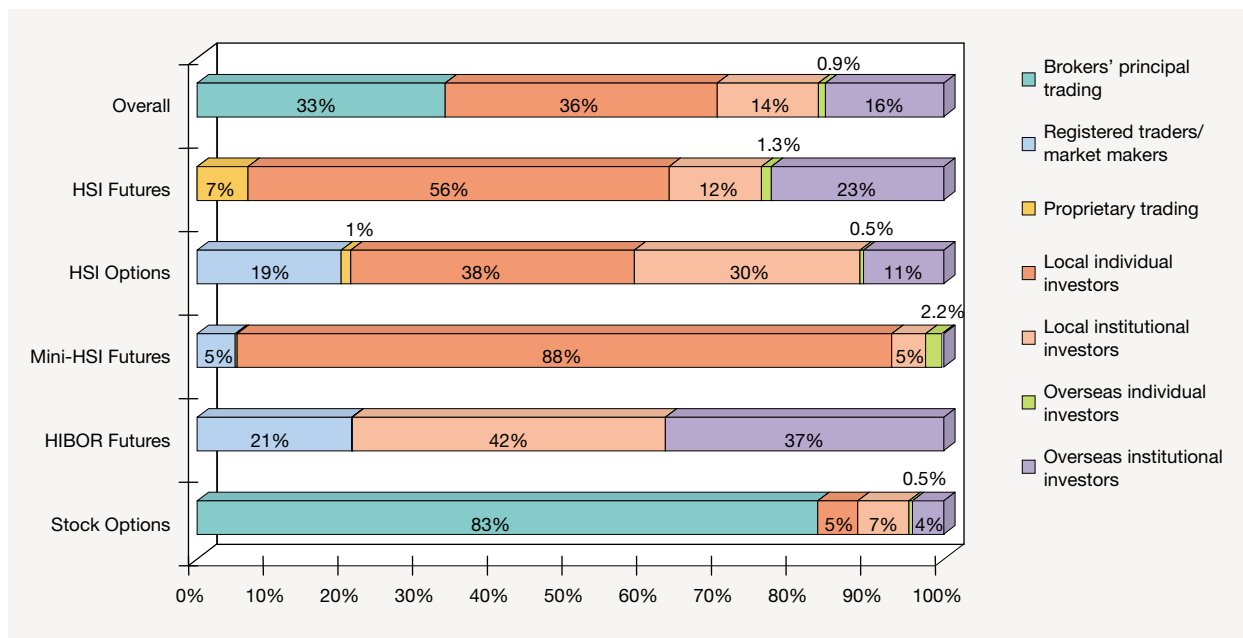
	2000/01 **	1999/2000	1998/99
Brokers' principal trading*	33%	10%	9%
Local individual investors	36%	41%	42%
Local institutional investors	14%	23%	21%
Overseas individual investors	1%	1%	1%
Overseas institutional investors	16%	24%	27%
Total	100%	100%	100%

* Brokers' principal trading comprises trading of registered traders/market makers and proprietary trading.

** Trading of stock options was covered in the 2000/01 survey for the first time. Statistics of stock option trading was unavailable prior to the 2000/01 survey.

Note: Numbers may not add up to 100% due to rounding.

Distribution of trading volume by investor type for each product (July 2000 – June 2001)



Notes:

- (1) Brokers' principal trading comprises trading of registered traders/market makers and proprietary trading.
- (2) Since a major Stock Options Exchange Participant was unable to provide the distribution of trading by market making and proprietary trading, an aggregate figure of principal trading is provided for "Stock Options" and "Overall".
- (3) Numbers may not add up to 100% due to rounding.

HONG KONG SECURITIES MARKET HISTORICAL EVENTS

- 1891 “The Association of Stockbrokers in Hong Kong”, the first formal stock exchange in Hong Kong was formed.
- 1914 The name of the Association of Stockbrokers in Hong Kong was changed to “The Hong Kong Stock Exchange”.
- 1921 A second stock exchange, “The Hong Kong Stockbrokers’ Association”, was incorporated.
- 1947 The Hong Kong Stock Exchange and the Hong Kong Stockbrokers’ Association were merged to form a joint exchange under the name of “The Hong Kong Stock Exchange Ltd”.
- 1969 Dec The Far East Exchange Ltd commenced business on 17 December.
- 1970 Feb The Companies Ordinance was amended to empower the Governor in Council to recognise stock exchanges for certain limited purposes connected with the offering in writing of shares to any member of the public.
- 1971 Mar The Kam Ngan Stock Exchange Ltd commenced business on 15 March.
- 1972 Jan The Kowloon Stock Exchange Ltd commenced business on 5 January.
- 1973 Jan The four stock exchanges, the Far East Exchange, the Hong Kong Stock Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange, agreed to standardise their trading sessions with effect from 8 January.
- A Securities Advisory Council was established and a Commissioner for Securities was appointed, but not given statutory power, to help prepare the way for the statutory provisions to be imposed later.
- Feb The Stock Exchange Control Ordinance 1973 was enacted, which imposed heavy penalties on anyone operating a stock market which was not recognised under the Ordinance. The establishment of stock exchange was effectively controlled.
- The Government announced that it was mandatory for all companies seeking listing to have their prospectuses registered with the Registrar of Companies.
- 1974 Feb The Securities Ordinance and The Protection of Investors Ordinance were enacted and were brought into operation with effect from 1 March.
- Apr The Federation of Share Registrars was established.
- Jul The Hong Kong Federation of Stock Exchange was established.
- Aug Under the Securities Ordinance 1974, a Stock Exchange Compensation Fund was established and contributions to the fund were paid by the four stock exchanges in proportion to their membership.
- Oct Part VI of the Ordinance and the Securities (Dealers, Investment Advisors and Representatives) Regulations 1974 was brought into force, requiring all dealers (whether or not they are members of the stock exchanges), investment advisors and their representatives to register with the Commissioner for Securities.

- 1975 Aug The Securities Commission approved the Code on Takeovers and Mergers.
- Dec The Securities Commission approved a statutory rule prohibiting directors of a listed company incorporated in Hong Kong from issuing shares - other than on a pro-rata basis to existing shareholders - without the prior approval of shareholders.
- 1976 Aug Under the Securities (Stock Exchange Listing) Rule 1976, a listed company should appoint a member of the Federation of Share Registrars to be its registrar.
- 1977 May Considerable progress was made towards the formation of a unified stock exchange in Hong Kong in place of the existing four. A working party on unification was formed.
- Dec An Insider Dealing Tribunal was set up.
- 1978 Mar Stamp duty on securities dealing was reduced from 0.8% to 0.6% (per round trip) with effect from 6 March.
- 1979 Aug A Practice Note was published by the Securities Commission to clarify the rules concerning the suspension of dealings in the shares of offeree companies during takeover bids.
- 1980 Jul The Stock Exchange of Hong Kong Ltd was incorporated.
- Aug The Stock Exchanges Unification Ordinance 1980 was passed by the Legislative Council.
- 1981 Jan The Securities Commission adopted a trigger point of 35% as the maximum percentage shareholding in a listed company that any group or individual could hold without making a general offer for all the remaining shareholders.
- Jul Under the Stamp Duty Ordinance 1981, instruments of transfer of registered warrants were chargeable with fixed duty and contract notes were required to be stamped with effect from 1 July.
- Oct The first committee members of the Exchange were elected.
- 1983 Mar The Code on Takeovers and Mergers was revised to the effect that bids involving less than 35% of a company's voting shares were not subject to control, but other partial bids were subject to the consent of the Takeovers Committee. Market dealings in an offeree company's shares before or during a bid were forbidden.
- 1984 May The Securities Commission released a set of guidelines for the placement of shares as a means of flotation, which had been banned for some years.
- 1985 Aug The Securities (Amendment) Ordinance 1985 was enacted. Its purpose was to strengthen the power of the Commissioner for Securities to monitor the financial viability of dealers.

The Legislative Council passed the Stock Exchanges Unification (Amendment) Ordinance 1985. Under the Ordinance, locally incorporated firms, including subsidiaries of banks and deposit-taking companies, became eligible to apply to the Stock Exchange of Hong Kong for corporate membership. And the disqualification of directors or employees of banks and deposit-taking companies and of lawyers or professional accountants from becoming members of the Exchange was removed.

- 1986 Feb The Securities (Stock Exchange Listing) Rules 1986 took effect from 1 February.
- The Securities (Accounts and Audit) (Amendment) Regulations were published in the Government Gazette. Dealers and dealing partnerships were required to fulfil certain requirements.
- The Securities (Recognition of Stock Market) Notice and the Securities (Registration of Dealers) (Approved Examinations) Notice were published in the Government Gazette.
- The Legislative Council approved the amended Securities (Stock Exchange Listing) Rules 1986.
- Mar The Hong Kong Stock Exchange, the Far East Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange ceased trading after the close of business on 27 March.
- Apr The Exchange commenced trading on 2 April.
- Sep The Exchange became a full member of the Federation Internationale des Bourses de Valeurs on 22 September.
- 1987 Apr On 8 April, the Exchange and the Office of the Commissioner for Securities jointly announced that no further B shares would be allowed to be quoted on the Exchange.
- Oct The trading restrictions in connection with bank-related brokers were relaxed with effect from 2 October.
- The General Committee of the Exchange unanimously resolved that trading on the Exchange would be suspended for four days from 20 October to 23 October, both days inclusive. Trading in the Hang Seng Index Futures was also suspended for the same period.
- The Takeovers Committee, announced on 26 October that the Committee had decided that for a period of one month, the trigger point, or creeper provision, as the case may be, under the Hong Kong Code on Takeovers and Mergers, would be waived.
- The Hong Kong Government, together with several leading financial institutions, raised a rescue fund of HK\$4 billion of which HK\$1.8 billion was utilised to enable the Hong Kong Futures Guarantee Corporation Ltd to finance its obligations to members of the Hong Kong Futures Exchange (HKFE). With effect from 29 October, a levy of HK\$30 per HKFE transaction and a special levy of 0.03% on transaction value of securities dealings were introduced to repay the interest and principal of the rescue package.
- Nov The limit of compensation payable out of the Exchange Fidelity Fund or a member's guarantee for the default of a defaulting member was increased from HK\$500,000 to HK\$2,000,000, subject to the availability of funds, with effect from the commencement of trading on 9 November.
- 1988 Jan The new Management Sub-committee of the Exchange was formed on 2 January to take up the duties of the General Committee.
- Jun The Government-appointed Securities Review Committee published the Report on the Operation and Regulation of Hong Kong Securities Industry on 2 June.
- Jul An amendment to the Articles of Association of the Exchange which allowed the Exchange to form a Council of 22 members instead of a committee of 21 members to govern its operations, was endorsed by its members on 20 July.

- Sep The Exchange and the Securities Commission released a joint announcement on 14 September, in which they agreed that as a general rule, the Exchange would not list any new B shares. This general rule would not apply only in exceptional circumstances. The queuing system for new applications for listing was also reviewed and amended.
- Oct The first 22-member Council of the Exchange was formed on 18 October.
- Nov The first Nominating Committee of the Exchange was formed on 7 November.
- 1989 Feb The All Ordinaries Index was launched by the Exchange on 1 February.
- Mar The Exchange announced the formation of Hong Kong Securities Clearing Company Ltd, a company limited by guarantee.
- Apr The Legislative Council passed the Securities and Futures Commission Ordinance 1989.
- May The new Securities and Futures Commission (SFC) was formally established on 1 May.
- Sep The SFC announced on 13 September that it had agreed to authorise warrant funds which had been able to apply for authorisation with effect from 1 November.
- Oct The Council of the Exchange endorsed a rolling T+2 settlement period for the proposed Central Clearing and Settlement System.
- Dec The Securities (Stock Exchange Listing) Rules 1989 were enacted on 1 December.
- 1990 Feb The minimum brokerage for each securities transaction traded on the Exchange was raised from HK\$25 to HK\$50 with effect from 8 February. The minimum commission rate of 0.25% for stock broking was maintained.
- Apr On 2 April, the Council of the Exchange resolved that Council members on the Board of the Clearing Company should be broker members and that the Chairman and the Deputy Chairman of the Board of the Clearing Company must be a broker or banker member of the Board. If the Chairman was a broker member, the Deputy Chairman must be a banker member and the two would rotate every year.
- Jul The Securities (Insider Trading) Draft Bill, was passed by the Legislative Council on 25 July.
- 1991 Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.6% to 0.5% (per round trip).
- On 15 April, the Hong Kong Code on Share Repurchases, which allowed firms listed in Hong Kong to buy back their own shares, was approved by the SFC and became effective immediately.
- Sep The Securities (Disclosure of Interests) Ordinance came into force on 1 September.
- Nov The Council of the Exchange and the SFC on 1 November agreed on a series of measures designed to permit all the substantive elements of the revised voluntary constitutional package, which was unanimously supported at the Extraordinary General Meeting of the Exchange on 30 October, to be brought into effect in time to govern the next Council election. The new Council under the revised voluntary constitutional package would consist of 31 members in total - 18 broker members, 11 lay members and the chief executives of the Exchange and the Clearing Company.

- On 25 November, the SFC and the Exchange announced the signing of a Memorandum of Understanding providing for the devolution of day-to-day administration of all listing matters to the Exchange effective 31 December.
- 1992 Feb On 21 February, the SFC approved the revised Hong Kong Code on Takeovers and Mergers and its integration with the Hong Kong Code on Share Repurchases. The new integrated Code came into effect on 1 April.
- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.5% to 0.4% (per round trip) and the stamp duty was extended to the trading of derivative warrants.
- May On 11 May, changes made to the Exchange Listing Rules on the Exchange and the listing agreement for a secondary listing, particularly where the majority of trading was likely to be on the Exchange, took effect.
- Jun The settlement period for members of the Exchange was changed from T+1 to T+2 on 8 June.
- On 24 June, the Central Clearing and Settlement System (CCASS) commenced operations on the trade-for-trade basis.
- Oct The compulsory Broker's Fidelity Insurance Scheme came into effect on 1 October.
- The Securities (Clearing Houses) Ordinance came into effect on 7 October. The maximum amount payable under the Unified Exchange Compensation Fund to all persons (except stockbrokers) who suffer loss through any default of a stockbroker was increased to HK\$8 million in respect of each stockbroker concerned in or connected with the default. The previous limit was HK\$2 million.
- On 7 October, CCASS commenced the second phase of its operation, starting to admit stocks for clearing and settlement on a continuous net settlement (CNS) basis.
- On 28 October, a memorandum of understanding was signed between the Hong Kong and the British securities regulatory authorities.
- 1993 Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.4% to 0.3% (per round trip).
- Jun On 19 June, the China Securities Regulatory Commission, the Shanghai Securities Exchange, the Shenzhen Stock Exchange, the SFC and the Exchange signed a Memorandum of Regulatory Co-operation in Beijing.
- Jul Dealings in the H shares in Tsingtao Brewery Co Ltd commenced on 15 July. This was the first China-incorporated enterprise to obtain a primary listing in Hong Kong.
- Aug On 16 August, the Special Levy payable on every transaction recorded on the Exchange and the HKFE was suspended.
- Nov On 1 November, the Exchange launched the Automatic Order Matching and Execution System (AMS).
- 1994 Jan On 3 January, the Exchange launched a pilot scheme for regulated short-selling of shares.
- Mar Jardine Matheson Holdings and Jardine Strategic Holdings announced their decision to delist from Hong Kong. Other Jardine group companies made similar announcements in September.

- Jul With effect from 8 July, the Stamp Duty (Amendment) (No.2) Bill 1994 extended relief from stamp duty for stock borrowing and lending activities and raised the maximum borrowing period from 14 days to 12 months.
- 1995 Sep On 8 September, the Exchange launched its Traded Stock Options Market. The first stock option was on HSBC Holdings plc.
- 1996 Jan On 25 January, trading through the AMS off-floor terminals started. These terminals are installed in Exchange members' offices, allowing them to trade from their offices, in addition to trading through terminals in the Trading Hall.
- 1997 Nov On 28 November, the Hong Kong Securities Institute was incorporated.
- 1998 Apr With effect from 1 April, the transaction levy was reduced from 0.013% to 0.011% and the proportion of sharing between the Exchange and the SFC was changed from 7:6 to 7:4. Stamp duty on share transactions was also reduced from 0.3% to 0.25% (per round trip).
- May On 8 May, Hongkong Clearing launched its Investor Account Service which allows investors to open investor accounts directly in CCASS.
- 1999 May On 17 May, Hongkong Clearing launched an Electronic Initial Public Offering (EIPO) service for CCASS participants.
- Nov On 12 November, the Tracker Fund of Hong Kong (TraHK) was listed on the Exchange. TraHK is a collective investment fund issued by the Hong Kong SAR Government, which follows closely the movement of the benchmark Hang Seng Index.
- On 15 November, Growth Enterprise Market (GEM) was formally launched. The first listings were on 25 November 1999.
- 2000 Mar On 6 March, the Stock Exchange of Hong Kong Limited, the Hong Kong Futures Exchange and the Hong Kong Securities Clearing Company became wholly-owned subsidiaries of Hong Kong Exchanges and Clearing Limited (HKEx). Mr Kwong Ki-chi was appointed the Chief Executive of HKEx.
- On 20 March, the Growth Enterprise Index (GEI) was launched. It has a base value of 1000 on the base date of 17 March 2000.
- Apr With effect from 7 April, stamp duty on stock transactions was reduced to 0.225% (per round trip).
- May On 31 May, the Exchange launched the Pilot Programme for Trading US Securities with initially seven Nasdaq stocks admitted to trading.
- Jun On 5 June, HSI Futures and Options migrated from open outcry trading to the HKFE's electronic trading system - HKATS (Hong Kong Futures Automated Trading System). Floor trading in HKFE was totally abolished.
- On 27 June, shares of the HKEx were listed by introduction on the SEHK with a stock code of "388".
- Sep On 15 September, HKEx announced the introduction of an Internet Initial Public Offering (IIPO) service to provide individual investors with an additional electronic mechanism for submitting applications for IPOs.

- Oct On 5 October, MTR Corporation Ltd (MTRC), the first government-owned organization to be privatized, was listed on the SEHK. Also, MTRC was the first listing issuer using HKEx's iIPO.
- On 23 October, the first phase of the Third Generation Automatic Order Matching and Execution System (AMS/3) was launched.
- 2001 Feb On 23 February, HKEx introduced its Order Routing System (ORS) to AMS/3 and Online Trading Service to its Stock Exchange Participants.
- Aug On 6 August, stock options trading was successfully migrated from TOPS, the Traded Options System, to HKATS, the Hong Kong Futures Automated Trading System. Clearing and settlement of stock options trades continues to be performed on TOPS.
- Sep On 1 September, the stamp duty on securities transactions was reduced from 0.225% to 0.2% per transaction (round-trip).
- On 1 September, a Trading Fee of 0.005% per side of the consideration of a transaction was introduced by the Stock Exchange. The transaction levy payable to the SFC increased from 0.005% to 0.007% per side of the consideration of a transaction.

Technical notes to tables

1. Annual turnover velocity

$$\text{Annual turnover velocity} = \frac{\text{Annual market turnover in value}}{\text{Total market capitalisation}} \times 100\%$$

2. Average book value ratio

$$\text{Average book value ratio} = \frac{\text{Total market capitalisation}}{\text{Total net assets of all listed stocks}}$$

3. Average dividend yield

$$\text{Average dividend yield} = \frac{\sum(\text{DPS} \times \text{No. of issued shares})}{\sum(\text{Closing price} \times \text{No. of issued shares})} \times 100\%$$

Dividend per share (DPS) is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

4. Average price earning ratio

$$\text{Average P/E Ratio} = \frac{\sum(\text{Closing price} \times \text{No. of issued shares})}{\sum(\text{EPS} \times \text{No. of issued shares})}$$

Earnings per share (EPS) is derived by dividing the consolidated profit after taxation less minority interests and preference dividends and before extraordinary items by the weighted average of number of issued shares during the corresponding financial year.

EPS is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

5. Contract volume (options)

The number of contracts traded, counted on a one-way basis.

6. H shares

Shares issued by a PRC issuer under PRC law and listed on the Stock Exchange of Hong Kong, the par value of which is denominated in Renminbi, and which are subscribed for and traded in Hong Kong dollars.

7. Foreign company

A company that is incorporated overseas AND has a majority of its business outside Hong Kong and China.

8. Listed company

A company in respect of which all or some of the equity securities are listed on the Exchange.

9. Number of listed securities

The total number of ordinary shares, preferred ordinary/preference shares, debt securities and units in a unit trust/mutual fund listed on the Exchange.

10. Open interest (options)

The total number of options contracts which are outstanding, i.e. not closed or exercised, as at the relevant date.

11. Premium (options)

Total amount payable by holders and payable to writers of options contracts in aspect of the writing of those contracts.

12. Total market capitalisation

The aggregate capitalisation of all listed companies which are regarded as domestic companies except those under suspension for over one year.

13. Trading volume and value

Figures of trading volume and value are counted on a one-way basis.