



SECURITIES AND  
DERIVATIVES MARKETS  
QUARTERLY REPORT

Third Quarter 2010

# Market Highlights

## Main Board

Highlights	3rd Quarter 2010	3rd Quarter 2009
No. of listed companies	1,194	1,114
No. of listed securities	6,780	6,341
Equities		
Ordinary shares	1,195	1,115
Preference shares	2	2
Warrants	4,373	3,119
Equity	25	26
Derivative	4,348	3,093
Equity linked instrument	0	0
Callable bull/bear contracts	974	1893
Unit trusts/mutual funds	76	46
Debt securities	160	166
No. of trading only stocks		
Nasdaq stocks	7	7
iShares	2	2
No. of trading days	64	65
Total turnover in value (HK\$ mil.)	3,928,482.29	4,312,121.38
Total turnover in shares (Mil. shs)	8,890,661.52	6,726,021.06
Total number of trades (deals)	43,414,122	48,043,290
Total market capitalisation (HK\$ mil.)	19,557,277.66	15,872,855.00
Average P/E ratio (times)	15.95	16.10
Average dividend yield (%)	2.41	2.66
Number of exchange participants		
– Trading	478	463
– Non-trading	30	34
Turnover in value (HK\$ mil.)		
Highest	118,809.60 (08/09)	101,781.69 (29/07)
Lowest	39,934.89 (05/07)	49,017.83 (30/09)
Turnover in shares (Mil. shs)		
Highest	187,943.48 (16/09)	139,492.76 (06/08)
Lowest	109,464.46 (27/08)	83,866.02 (28/08)
Total number of trades (deals)		
Highest	925,386 (29/09)	1,125,715 (29/07)
Lowest	456,298 (05/07)	533,957 (22/09)

# Market Highlights

## Growth Enterprise Market (GEM)

Highlights	3rd Quarter 2010	3rd Quarter 2009
No. of listed companies	171	172
No. of listed securities	172	173
Equities	171	172
Warrants	1	1
No. of trading days	64	65
Total turnover in value (HK\$ mil.)	24,306.35	26,394.64
Total turnover in shares (Mil. shs)	88,818.93	84,236.31
Total number of trades (deals)	647,817	677,648
Total market capitalisation (HK\$ mil.)	129,859.18	86,891.48
Average P/E ratio (times)	33.11	33.32
Average dividend yield (%)	0.54	1.15
Turnover in value (HK\$ mil.)		
Highest	700.07 (22/09)	1,156.65 (16/09)
Lowest	192.75 (31/08)	163.74 (29/09)
Turnover in shares (Mil. shs)		
Highest	3,139.12 (29/07)	3,687.78 (02/07)
Lowest	686.07 (20/07)	406.74 (29/09)
Total number of trades (deals)		
Highest	15,859 (29/09)	28,569 (16/09)
Lowest	5,731 (20/07)	4,924 (02/09)

# Market Highlights

## Derivatives Market Highlights

Highlights	3rd Quarter 2010	3rd Quarter 2009
<b>All futures and options products (excluding stock options)</b>		
No. of trading days	64.0	64.5
Total contract volume	13,062,012	13,199,110
Equity index products	13,014,864	13,120,049
Equity products	45,941	77,610
Interest rates products	113	327
Gold Futures	1,094	1,124
Average daily contract volume	204,094	204,637
Total open interest as at the quarter end (contracts)	636,141	588,500
Equity index products	629,024	583,892
Equity products	6,887	4,389
Interest rates products	138	189
Gold Futures	92	30
<b>Stock options</b>		
No. of newly introduced stock options classes	3	3
No. of stock options as at the quarter end		
Class	54	51
Series	10,348	6,020
Total premium (HK\$ mil.)	11,967.70	14,205.33
Call	4,463.54	7,976.55
Put	7,504.16	6,228.78
Average daily premium (HK\$ mil.)	187.00	220.24
Total contract volume	13,877,094	10,892,511
Call	6,052,065	5,317,132
Put	7,825,029	5,575,379
Average daily contract volume	216,830	168,876
Total open interest as at the quarter end (contracts)	5,090,926	3,663,846
Call	1,850,571	1,397,007
Put	3,240,355	2,266,839
Total number of trades	539,850	374,728
Average daily number of trades	8,435	5,810
Total contracts exercised	1,295,322	1,284,878
Average premium per contract (HK\$)	862	1,304
Average contract per trade (contracts)	26	29
Put volume/call volume	1.29	1.05
Average daily contract volume/open interest	4.3%	4.6%
<b>All futures and options products</b>		
Total contract volume	26,939,106	24,091,621
Total open interest as at the quarter end (contracts)	5,727,067	4,252,346

# Market Highlights

## Clearing and Settlement Statistics

Securities Market	3rd Quarter 2010	3rd Quarter 2009
<b>Average Daily Exchange Trades Handled by CCASS</b>		
– Number of trades	688,441	749,546
– Value of trades (HK\$bil.)	61.8	66.7
– Share quantity Involved (bil shares)	140.3	104.8
<b>Average Daily Settlement Instructions (“SIs”) Settled by CCASS</b>		
– Number of SIs	74,032	71,468
– Value of SIs (HK\$bil.)	169.5	167.9
– Share quantity involved (bil. Shares)	46.8	40.4
<b>Average Daily Investor SIs (“ISIs”) Settled by CCASS</b>		
– Number of ISIs	499	568
– Value of ISIs (HK\$mil.)	177.5	318.2
– Share quantity involved (mil. shares)	115.9	132.5
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.90	99.86
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.99	99.99
<b>Average Daily Buy-ins Executed on T+3</b>		
– Number of brokers involved	7	8
– Number of buy-ins	7	9
– Value of buy-ins (HK\$mil)	1.4	2.4
<b>Shares Deposited in the CCASS Depository</b>		
– Number of shares (bil shares)	3,538.2	3,282.1
– Percentage of total issued shares of the admitted securities	71.67	71.26
– Value of shares (HK\$bil)	10,442.1	8,194.1
– Percentage of the total market capitalisation of the admitted securities	50.28	47.96
<b>Derivatives Market</b>		
<b>Open Interest</b>		
– Equity Index Futures	235,577	168,674
– Stock Futures	6,887	4,389
– Gold Futures	92	30
– Interest Rates Futures	138	189
– Equity Index Options	393,447	415,218
– Stock Options	5,090,926	3,663,846
<b>Cumulative Stock Options Exercised</b>		
– Share quantity involved (mil. shs)	860	1,061
– Value of shares (HK\$bil.)	18	24

# Main Board Equities

## Equity Turnover

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2009	Q3	927,952.04	3,213,533.31	40,896,652
	Q4	893,162.02	3,100,334.47	40,162,798
2010	Q1	828,347.87	2,922,136.26	39,132,197
	Q2	817,338.52	2,748,750.08	37,828,922
	Q3	794,114.98	2,759,690.18	35,997,455

## Equity Trading Statistics

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2009	Q3	65	49,438.97	78,577
	Q4	63	49,211.66	77,194
2010	Q1	61	47,903.87	74,673
	Q2	60	45,812.50	72,663
	Q3	64	43,120.16	76,663

# Main Board Equities

## 20 Most Advanced Stocks

for 3rd quarter 2010

Rank	Code	Stock	Closing price		% up
			End of Sep 2010	End of Jun 2010	
1	02362	MACAU INV HOLD	1.240	0.325	281.54
2	00207	HK PARKVIEW	1.850	0.590	213.56
3	00130	MOISELLE INT'L	2.870	0.970	195.88
4	00104	ASIA COMM HOLD	1.850	0.640	189.06
5	00377	NEW ISLAND	2.210	0.810	172.84
6	00873	INT'L TAIFENG	3.400	1.250	172.00
7	00307	TIDETIME SUN	0.310	0.116	167.24
8	01058	GUANGDONG TANN	0.890	0.350	154.29
9	00499	HYCOMM WIRELESS	5.650	2.350	140.43
10	01049	CELESTIAL ASIA	4.110	1.725	A 138.26
11	01126	DREAM INT'L	1.280	0.540	137.04
12	00526	LISI GP HOLD	0.740	0.320	131.25
13	00678	GENTING HK	3.370	1.460	130.82
14	00673	CHINA HEALTH	0.850	0.370	129.73
15	01114	BRILLIANCE CHI	5.780	2.550	126.67
16	00766	SINO PROSPER SG	0.380	0.170	123.53
17	01683	IMM	7.330	3.340	119.46
18	00448	HANG TEN GROUP	1.360	0.640	112.50
19	00280	KING FOOK HOLD	2.090	0.990	111.11
20	00483	BAUHAUS INT'L	3.370	1.620	108.02

A Adjusted

## 20 Most Declined Stocks

for 3rd quarter 2010

Rank	Code	Stock	Closing price		% down
			End of Sep 2010	End of Jun 2010	
1	00736	CHINA PPT INV	0.041	0.165	A -75.15
2	00692	CHING HING-NEW	0.325	1.050	A -69.05
3	00274	GLOBAL GREEN	0.152	0.295	-48.47
4	01166	SOLARTECH INT'L	0.028	0.054	-48.15
5	00235	CHINA STRATEGIC	0.290	0.520	-44.23
6	00901	RADFORD CAPITAL	0.065	0.116	A -43.97
7	00149	CH AGRI-PROD EX	0.051	0.090	-43.33
8	00263	CH YUNNAN TIN	0.082	0.144	-43.06
9	03989	NEW ENV ENERGY	0.490	0.830	-40.96
10	01003	21 HOLDINGS	0.047	0.079	-40.51
11	01163	BRIGHT INT'L GP	0.400	0.660	-39.39
12	01338	BAWANG GROUP	3.440	5.570	-38.24
13	00630	JACKIN INT'L	0.340	0.540	-37.04
14	00329	DRAGONITE INT'L	0.067	0.106	-36.79
15	00412	HERITAGE INT'L	0.119	0.187	-36.36
16	00370	CHINA BEST	0.129	0.200	-35.50
17	00764	ETERNITY INV	0.310	0.478	A -35.15
18	00547	SUN INNOVATION	0.201	0.305	-34.10
19	01141	BJ YUSHENGTANG	0.315	0.470	-32.98
20	00544	DAIDO GROUP	0.270	0.395	-31.65

A Adjusted

# Main Board Equities

## 20 Most Active Stocks by Value

for 3rd quarter 2010

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	00941	CHINA MOBILE	168,303.24	6.10
2	00005	HSBC HOLDINGS	96,677.55	3.50
3	02628	CHINA LIFE	91,020.11	3.30
4	00939	CCB	90,546.56	3.28
5	01398	ICBC	83,131.15	3.01
6	01288	ABC	67,520.73	2.45
7	03988	BANK OF CHINA	57,004.70	2.07
8	00013	HUTCHISON	53,606.82	1.94
9	00883	CNOOC	52,007.83	1.88
10	00388	HKEX	47,704.79	1.73
11	00857	PETROCHINA	42,642.52	1.55
12	00700	TENCENT	41,288.32	1.50
13	00016	SHK PPT	40,691.26	1.47
14	01088	CHINA SHENHUA	35,328.28	1.28
15	02318	PING AN	33,907.46	1.23
16	00386	SINOPEC CORP	31,851.51	1.15
17	00001	CHEUNG KONG	30,830.79	1.12
18	03968	CM BANK	28,545.74	1.03
19	00688	CHINA OVERSEAS	27,121.74	0.98
20	02388	BOC HONG KONG	24,720.45	0.90
<b>Total</b>			<b>1,144,451.54</b>	<b>41.47</b>

## 20 Most Active Stock by Shares

for 3rd quarter 2010

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	01166	SOLARTECH INT'L	47,676.23	6.00
2	00692	CHING HING-NEW	40,590.58	5.11
3	01288	ABC	19,227.65	2.42
4	00985	CST MINING	17,118.38	2.16
5	01398	ICBC	14,322.51	1.80
6	00329	DRAGONITE INT'L	14,028.64	1.77
7	03988	BANK OF CHINA	14,019.84	1.77
8	00939	CCB	13,781.62	1.74
9	00689	EPI (HOLDINGS)	12,033.94	1.52
10	00493	GOME	9,851.57	1.24
11	00149	CH AGRI-PROD EX	9,390.43	1.18
12	01041	FULBOND HOLDING	8,286.63	1.04
13	00981	SMIC	7,280.54	0.92
14	00585	IMAGI INT'L	7,147.44	0.90
15	00566	APOLLO SOLAR EN	6,850.92	0.86
16	00885	FOREFRONT GROUP	6,744.44	0.85
17	00959	AMAX HLDGS	6,461.04	0.81
18	00488	LAI SUN DEV	6,397.58	0.81
19	00897	WAI YUEN TONG	6,388.59	0.80
20	00091	NEWSMART ENERGY	5,147.22	0.65
<b>Total</b>			<b>272,745.79</b>	<b>34.35</b>

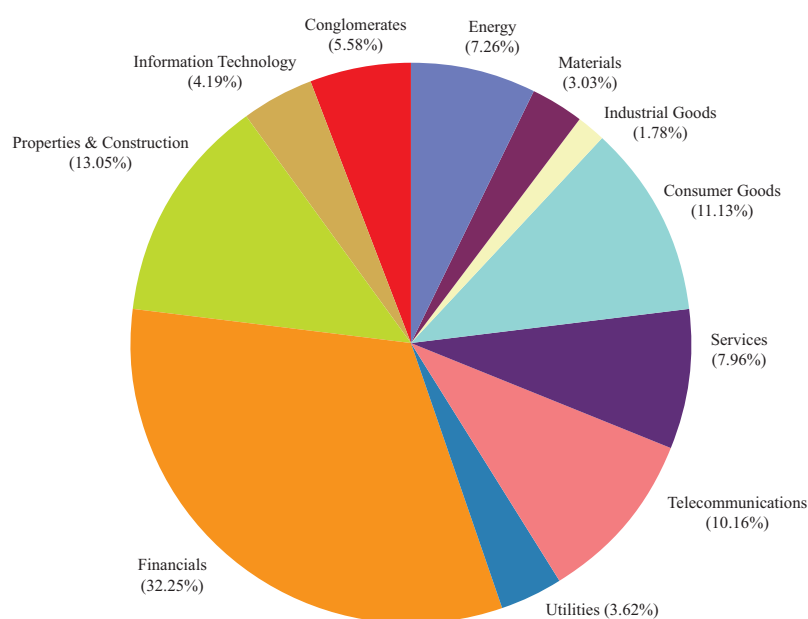


# Main Board Equities

## Market Capitalisation of listed companies for Main Board

		HK\$ mil.
2009	Q3	15,872,855.00
	Q4	17,769,271.41
2010	Q1	17,920,896.03
	Q2	17,000,166.93
	Q3	19,557,277.66

## Market Capitalisation by Hang Seng Industry Classification System\* – Main Board Quarter-end figures



\* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

# Main Board Equities

## 50 Leading Companies by Market Capitalisation

as at the 3rd quarter end 2010

Rank	Code	Company	Market capitalisation (HK\$ mil.)	% of market total	
1	00941	China Mobile Ltd.	1,594,094.47	8.15	
2	00939	China Construction Bank Corporation – H Shares	1,527,885.77	7.81	
3	00005	HSBC Holdings plc	1,403,424.08	7.18	
4	00883	CNOOC Ltd.	671,824.77	3.44	
5	01398	Industrial and Commercial Bank of China Ltd. – H Shares	480,066.58	2.45	
6	02888	Standard Chartered PLC	476,058.07	2.43	
7	00016	Sun Hung Kai Properties Ltd.	344,385.25	1.76	
8	00700	Tencent Holdings Ltd.	310,035.94	1.59	
9	03988	Bank of China Ltd. – H Shares	309,402.42	1.58	
10	00013	Hutchison Whampoa Ltd.	308,668.04	1.58	
11	00001	Cheung Kong (Holdings) Ltd.	272,380.93	1.39	
12	00762	China Unicom (Hong Kong) Ltd.	267,665.37	1.37	
13	02388	BOC Hong Kong (Holdings) Ltd.	260,090.39	1.33	
14	02628	China Life Insurance Co. Ltd. – H Shares	228,072.01	1.17	
15	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	226,332.41	1.16	
16	03328	Bank of Communications Co., Ltd. – H Shares	222,537.52	1.14	
17	00011	Hang Seng Bank Ltd.	218,141.26	1.12	
18	02378	Prudential plc *	–	198,173.98	N.A.
19	00857	PetroChina Co. Ltd. – H Shares	190,523.07	0.97	
20	00945	Manulife Financial Corporation *	–	172,437.91	N.A.
21	00066	MTR Corporation Ltd.	169,293.95	0.87	
22	00494	Li & Fung Ltd.	166,611.37	0.85	
23	00388	Hong Kong Exchanges and Clearing Ltd.	164,728.00	0.84	
24	00101	Hang Lung Properties Ltd.	158,004.41	0.81	
25	00019	Swire Pacific Ltd.	156,710.74	0.80	
26	00002	CLP Holdings Ltd.	149,060.58	0.76	
27	00003	Hong Kong and China Gas Co. Ltd., The	141,060.80	0.72	
28	00486	United Company RUSAL Plc *	–	139,016.09	N.A.
29	00004	Wharf (Holdings) Ltd., The	137,417.67	0.70	
30	00688	China Overseas Land & Investment Ltd.	134,188.45	0.69	
31	01880	Belle International Holdings Ltd.	131,405.35	0.67	
32	01288	Agricultural Bank of China Ltd. – H Shares	123,570.07	0.63	
33	00012	Henderson Land Development Co. Ltd.	119,635.73	0.61	
34	00322	Tingyi (Cayman Islands) Holdings Corp.	119,557.38	0.61	
35	00386	China Petroleum & Chemical Corporation – H Shares	115,449.76	0.59	
36	01928	Sands China Ltd.	112,670.11	0.58	
37	01088	China Shenhua Energy Co. Ltd. – H Shares	109,094.50	0.56	
38	00006	Hongkong Electric Holdings Ltd.	100,630.44	0.51	
39	00151	Want Want China Holdings Ltd.	95,124.02	0.49	
40	01044	Hengan International Group Co. Ltd.	94,692.54	0.48	
41	00291	China Resources Enterprise, Ltd.	84,271.15	0.43	
42	00293	Cathay Pacific Airways Ltd.	82,807.43	0.42	
43	01688	Alibaba.com Ltd.	81,527.85	0.42	
44	01109	China Resources Land Ltd.	79,490.78	0.41	
45	00083	Sino Land Co. Ltd.	78,709.91	0.40	
46	00836	China Resources Power Holdings Co. Ltd.	78,520.29	0.40	
47	03968	China Merchants Bank Co., Ltd. – H Shares	78,209.56	0.40	
48	01128	Wynn Macau, Ltd.	69,616.25	0.36	
49	01038	Cheung Kong Infrastructure Holdings Ltd.	69,429.67	0.36	
50	00144	China Merchants Holdings (International) Co. Ltd.	68,804.71	0.35	
<b>Total</b>			<b>12,581,881.82</b>	<b>64.34</b>	
<b>Market Total</b>			<b>19,557,277.66</b>	<b>100.00</b>	

Notes:

Foreign company included since July 2010

\* foreign companies not solely listed in Hong Kong

# Main Board Equities

## Short Selling (value)

		Short selling turnover (HK\$ mil.)	Equity turnover (HK\$ mil.) *	% of equity total
2009	Q3	234,578.14	3,239,927.90	7.24
	Q4	216,473.14	3,125,947.48	6.93
2010	Q1	254,445.78	2,953,639.11	8.61
	Q2	278,492.48	2,788,065.18	9.99
	Q3	231,187.06	2,783,988.10	8.30

\* include Main Board and GEM figures

## Short Selling (share)

		Short selling turnover (Mil. shs)	Equity turnover (Mil. shs) *	% of equity total
2009	Q3	20,969.48	1,012,187.21	2.07
	Q4	19,660.11	965,635.67	2.04
2010	Q1	21,677.84	926,224.94	2.34
	Q2	24,633.79	956,653.63	2.57
	Q3	20,554.19	882,787.31	2.33

\* include Main Board and GEM figures

## New Listing Companies Statistics

		No. of newly listed companies	No. of companies transferred from GEM *	Funds raised (HK\$ mil.)#
2009	Q3	13	0	45,370.96
	Q4	37	0	184,852.28
2010	Q1	13	0	33,962.31
	Q2	13	2	16,304.58
	Q3	21	5	109,845.45

\* Included only the transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

# All funds raised are attributed to the initial public offers of newly listed companies

# Main Board Equities

## Newly Listed Companies

for 3rd quarter 2010

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
00951	Chaowei Power Holdings Ltd.	2010/07/07	1,005,290,000	556.53
01020	Sinoref Holdings Ltd.	2010/07/07	1,200,000,000	296.40
01788	Guotai Junan International Holdings Ltd.	2010/07/08	1,640,000,000	1,763.00
01666	Tong Ren Tang Technologies Co. Ltd. – H Shares *	2010/07/09	87,320,000	–
02308	EVOC Intelligent Technology Co. Ltd. – H Shares *	2010/07/12	308,352,000	–
00976	Chiho-Tiande Group Ltd.	2010/07/12	1,000,000,000	607.50
01019	Convoy Financial Services Holdings Ltd.	2010/07/13	400,000,000	120.00
01900	China ITS (Holdings) Co., Ltd.	2010/07/15	1,569,047,334	892.01
02118	Tian Shan Development (Holding) Ltd.	2010/07/15	1,000,000,000	350.00
01288	Agricultural Bank of China Ltd. – H Shares	2010/07/16	30,738,823,096	93,515.29
00936	Manta Holdings Co. Ltd.	2010/07/19	200,000,000	50.00
01066	Shandong Weigao Group Medical Polymer Co. Ltd. – H Shares *	2010/07/29	856,242,162	–
00640	Infinity Chemical Holdings Co. Ltd.	2010/08/12	500,000,000	75.00
02233	West China Cement Ltd.	2010/08/23	4,250,499,850	1,599.73
01428	Bright Smart Securities & Commodities Group Ltd.	2010/08/25	679,682,000	287.49
02238	Guangzhou Automobile Group Co., Ltd. – H Shares	2010/08/30	2,213,300,218	–
02198	China Sanjiang Fine Chemicals Co. Ltd.	2010/09/16	1,009,303,000	897.05
01039	Changfeng Axle (China) Co. Ltd.	2010/09/24	800,000,000	920.00
01633	Magic Holdings International Ltd.	2010/09/24	830,000,000	759.00
00853	MicroPort Scientific Corporation	2010/09/24	1,442,023,340	1,772.97
01009	International Entertainment Corporation *	2010/09/27	1,179,157,235	–
00895	Shenzhen Dongjiang Environmental Co. Ltd. – H Shares *	2010/09/28	355,800,000	–
00867	China Medical System Holdings Ltd.	2010/09/28	1,123,691,440	1,012.00
00926	Besunyen Holdings Co. Ltd.	2010/09/29	1,681,091,320	1,507.96
01698	Boshiwa International Holding Ltd.	2010/09/29	2,075,000,000	2,863.50
00967	Sound Global Ltd.	2010/09/30	1,290,000,000	–
<b>Total</b>				<b>109,845.45</b>

\* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

# Main Board Equities

## Withdrawal of Listed Companies

for 3rd quarter 2010

Code	Company name	Last trading date	Delisted date
00049	Wheelock Properties Ltd.	2010/07/12	2010/07/22
00203	Denway Motors Ltd.	2010/08/16	2010/08/26

## Company Name Changes

for 3rd quarter 2010

Code	Old name	New name	Effective date	Adoption date
00729	Jia Sheng Holdings Ltd.	Thunder Sky Battery Ltd.	2010/05/27	2010/07/08
01164	Vital Pharmaceutical Holdings Ltd.	Vital Group Holdings Ltd.	2010/06/02	2010/07/14
00702	Genesis Energy Holdings Ltd.	Sino Oil and Gas Holdings Ltd.	2010/07/08	2010/08/04
00329	Ruyan Group (Holdings) Ltd.	Dragonite International Ltd.	2010/07/06	2010/08/06
00121	Chia Tai Enterprises International Ltd.	C.P. Lotus Corporation	2010/06/28	2010/08/09
00764	China Star Investment Holdings Ltd.	Eternity Investment Ltd.	2010/06/29	2010/08/09
01166	Solartech International Holdings Ltd. #	Solartech International Holdings Ltd.	2010/08/03	2010/08/10
01878	SouthGobi Resources Ltd. #	SouthGobi Resources Ltd.	2010/08/13	2010/08/18
01149	Broad Intelligence Int'l Pharmaceutical Holdings Ltd.	Anxin-China Holdings Ltd.	2010/08/03	2010/08/30
01766	China South Locomotive & Rolling Stock Corporation Ltd.-H sh *	CSR Corporation Ltd. - H Shares	2010/08/04	2010/09/10
00221	LeRoi Holdings Ltd.	PNG Resources Holdings Ltd.	2010/08/26	2010/09/16
02688	XinAo Gas Holdings Ltd.	ENN Energy Holdings Ltd.	2010/08/13	2010/09/22
01131	Kwong Hing International Holdings (Bermuda) Ltd.	Agritrade Resources Ltd.	2010/08/25	2010/09/24
00526	Magician Industries (Holdings) Ltd.	Lisi Group (Holdings) Ltd.	2010/08/23	2010/09/30
00811	Sichuan Xinhua Winshare Chainstore Co., Ltd. – H Shares	Xinhua Winshare Publishing and Media Co., Ltd. – H Shares	2010/08/27	2010/09/30

\* Change of English name only

# Change of Chinese name only

# Main Board Equities

## Bonus Issues / Bonus Warrants

for 3rd quarter 2010

Code	Company	Particulars	Ex-date
00860	Ming Fung Jewellery Group Ltd.	4 for 10	2010/07/02
00136	Mascotte Holdings Ltd.	3 for 2	2010/07/21
00869	Playmates Toys Ltd.	130 2010 wts for 1,000	2010/07/22
00300	Shenji Group Kunming Machine Tool Co. Ltd. – H Shares	2.5 for 10	2010/07/27
00896	Hanison Construction Holdings Ltd.	1 for 10	2010/08/04
00651	China Ocean Shipbuilding Industry Group Ltd.	2 for 1 offer shs	2010/08/09
00912	Suga International Holdings Ltd.	1 for 10	2010/08/19
00711	Chun Wo Development Holdings Ltd.	3 2013 wts for 16	2010/08/30
00599	E. Bon Holdings Ltd.	3 for 10	2010/09/01
01066	Shandong Weigao Group Medical Polymer Co. Ltd. – H Shares	1 for 1	2010/09/07
00653	Bonjour Holdings Ltd.	1 for 1	2010/09/13
00733	Hopefluent Group Holdings Ltd.	1 for 10	2010/09/14
00211	Styland Holdings Ltd.	1 for 10	2010/09/16
00477	AUPU Group Holding Co. Ltd.	1 for 2	2010/09/17
01049	Celestial Asia Securities Holdings Ltd.	1 for 5	2010/09/20
02342	Comba Telecom Systems Holdings Ltd.	1 for 10	2010/09/24
00897	Wai Yuen Tong Medicine Holdings Ltd.	1 for 5 rts shares	2010/09/28

## Share Split / Consolidation

for 3rd quarter 2010

Code	Company	Particulars	Effective date
00736	China Properties Investment Holdings Ltd.	Consolidation 5 into 1	2010/07/12
00120	Cosmopolitan International Holdings Ltd.	Split 1 into 5	2010/08/30
00692	Ching Hing (Holdings) Ltd.	Consolidation 50 into 1	2010/09/02
01227	National Investments Fund Ltd.	Consolidation 5 into 1	2010/09/14
00897	Wai Yuen Tong Medicine Holdings Ltd.	Consolidation 25 into 1	2010/09/22

# Main Board Equities

## Rights Issues & Open Offers

for 3rd quarter 2010

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Jul	03328	Bank of Communications Co., Ltd. – H Shares	Rts 1.5 H shares for 10 H shares @\$5.14	17,782.70
	00809	Global Bio-chem Technology Group Co. Ltd.	Rts 2 for 5 @\$0.75	695.65
	00485	Starlight International Holdings Ltd.	Open offer 4 for 5 @\$0.12	75.37
	00869	Playmates Toys Ltd.	Rts 1 for 2 @\$0.30	104.33
	00455	Yunnan Enterprises Holdings Ltd.	Open offer 1 for 1 @\$0.21	196.36
Aug	00326	China Star Entertainment Ltd.	Rts 1 for 2 @\$0.10	144.46
	02389	Genvon Group Ltd.	Rts 3 for 2 @\$0.15	380.23
	00901	Radford Capital Investment Ltd.	Rts 4 for 1 @\$0.10	110.47
Sep	00651	China Ocean Shipbuilding Industry Group Ltd.	Open offer 1 for 2 @\$0.50 with bonus 2 for 1 offer shs	225.52
	00764	Eternity Investment Ltd.	Rts 3 for 1 @\$0.40	330.63
	00009	China Mandarin Holdings Ltd.	Open offer 7 for 1 @\$0.0109	199.41
<b>Total</b>				<b>20,245.13</b>

# Main Board Equities

## Placing\*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2010					
Jun **	00789	ARTINI	83,543,000	0.530	44.28
	00563	NEO-CHINA LAND	683,692,000	2.320	1,586.17
	01140	OP FIN INV	156,900,000	1.900	298.11
	01177	SINO BIOPHARM	185,000,000	3.350	619.75
	02888	STANCHART	24,000,000	173.264	4,158.34
	00159	WAH NAM INT'L	185,000,000	1.110	205.35
Jul	00764	ETERNITY INV	45,920,000	0.550	25.26
	00838	EVA HOLDINGS	80,000,000	3.600	288.00
	00885	FOREFRONT GROUP	1,250,000,000	0.100	125.00
	01226	GARRON INT'L	14,130,000	0.368	5.20
	00802	RCG	5,000,000	5.000	25.00
	00246	REALGOLD MINING	100,000,000	11.860	1,186.00
	00981	SMIC	1,500,000,000	0.520	780.00
	00609	TIANDE CHEMICAL	20,000,000	1.050	21.00
	01192	TITAN PETROCHEM	1,000,000,000	0.370	370.00
	02088	XIWANG SUGAR	22,296,000	1.740	38.80
Aug	00039	BIO-DYNAMIC	90,000,000	0.480	43.20
	00933	BRIGHTOIL	300,000,000	3.450	1,035.00
	01049	CELESTIAL ASIA	20,000,000	2.500	50.00
	00149	CH AGRI-PROD EX	820,000,000	0.050	41.00
	00263	CH YUNNAN TIN	600,000,000	0.100	60.00
	00682	CHAODA MODERN	154,838,000	7.530	1,165.93
	00606	CHINA AGRI	178,000,000	8.750	1,557.50
	00736	CHINA PPT INV	280,000,000	0.076	21.28
	00692	CHING HING-NEW	2,057,767,649	0.010	20.58
	00668	DOXEN ENERGY	508,600,000	1.040	528.94
	00668	DOXEN ENERGY	120,000,000	1.000	120.00
	00279	FREEMAN CORP	99,125,239	0.275	27.26
	00412	HERITAGE INT'L	182,006,498	0.112	20.38
	00988	LOUDONG GN RES	229,143,000	1.200	274.97
	01227	NATIONALINV-NEW	788,824,000	0.041	32.34
	00094	OMNICORP	230,000,000	1.820	418.60
	00008	PCCW	500,000,000	2.600	1,300.00
	00119	POLY HK INV	400,000,000	8.800	3,520.00
	00310	PROSP INV HOLD	119,600,000	0.750	89.70
	00901	RADFORD CAPITAL	50,234,378	0.100	5.02
	01129	WATER INDUSTRY	265,476,000	0.108	28.67
	00273	WILLIE INT'L	205,724,971	0.165	33.94
Sep	00030	ABC COM (HOLD)	16,000,000	1.380	22.08
	00658	C TRANSMISSION	130,000,000	17.380	2,259.40
	00910	CH GRAND FOREST	1,000,000,000	0.210	210.00
	00736	CHINA PPT INV	360,000,000	0.056	20.16
	01193	CHINA RES GAS	230,000,000	10.750	2,472.50
	03323	CNBM	238,947,729	16.000	3,823.16
	03389	HENGDELI	300,000,000	3.480	1,044.00
	00209	SEWCO INT'L	238,000,000	1.000	238.00



# Main Board Equities

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
	03933	UNITED LAB	51,500,000	15.220	783.83
	01173	VEEKO INT'L	150,000,000	0.268	40.20
	01899	XINGDA INT'L	138,600,000	5.500	762.30
<b>Total</b>					<b>31,846.20</b>

\* Due to the reporting time-lag, placing figures for the quarter are provisional.

\*\* Supplementary information update for previous quarters.

# Main Board Warrants

## Warrant Trading Statistics

		Equity wts (HK\$ mil.)	Derivative wts (HK\$ mil.)	Total (HK\$ mil.)
2009	Q3	126.39	484,790.00	484,916.39
	Q4	220.45	442,719.26	442,939.71
2010	Q1	228.05	588,420.02	588,648.07
	Q2	302.00	538,302.09	538,604.09
	Q3	375.85	669,145.29	669,521.14

## Warrant Market Value

		Number	Equity wts (HK\$ mil.)	Number	Derivative wts (HK\$ mil.)	Total no.	Total (HK\$ mil.)
2009	Q3	26	835.09	3,093	190,077.28	3,119	190,912.38
	Q4	25	1,103.37	3,367	136,441.34	3,392	137,544.71
2010	Q1	20	1,201.13	3,974	106,176.30	3,994	107,377.43
	Q2	25	1,697.57	4,114	86,643.45	4,139	88,341.02
	Q3	25	2,204.10	4,348	131,501.94	4,373	133,706.04

## New Listing Statistics – Warrants

		No. of newly listed equity wts	No. of newly listed derivative wts	Amount raised (HK\$ mil.)
2009	Q3	–	1,154	67,523.38
	Q4	3	1,502	79,409.13
2010	Q1	1	1,649	75,616.53
	Q2	8	1,536	64,484.98
	Q3	2	1,938	72,490.02

## Newly Listed Equity Warrants

for 3rd Quarter 2010

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
00965	Playmates Toys Ltd. Warrants 2012	61,030,125	2010/08/04	2012/08/04	
01032	Chun Wo Development Holdings Ltd. Warrants 2013	85,874,156	2010/09/13	2013/09/12	
<b>Total</b>					<b>–</b>

# Main Board Warrants

## Newly Listed Derivative Warrants

for 3rd Quarter 2010

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
23349	Deutsche Bank AG – China Mobile European Warrants Dec 2010 A	200,000,000	2010/07/02	2010/12/28	78.00
23348	Deutsche Bank AG – China Life European Warrants Jan 2011 A	300,000,000	2010/07/02	2011/01/03	84.00
23351	Deutsche Bank AG – A50 China European Warrants Aug 2011	300,000,000	2010/07/02	2011/08/29	45.00
23350	Deutsche Bank AG – HSBC European Warrants Nov 2010 D	300,000,000	2010/07/02	2010/11/22	99.00
23334	HK Bank – Minsheng Bank European Warrants Jan 2011	100,000,000	2010/07/02	2011/01/03	25.00
23339	J P Morgan SP BV – Lee & Man Paper European Warrants Dec2011	150,000,000	2010/07/02	2011/12/29	37.50
23340	KBC Fin Products Int'l Ltd. – CMB European Warrants Jan 2011	108,000,000	2010/07/02	2011/01/03	27.00
23341	KBC Fin Products Int'l Ltd. – HSBC European Wts Nov 2010 C	128,000,000	2010/07/02	2010/11/08	39.68
23344	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Jan 2011 C	100,000,000	2010/07/02	2011/01/03	57.00
23342	KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2011 A	128,000,000	2010/07/02	2011/01/03	38.40
23343	KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2011 B	128,000,000	2010/07/02	2011/01/03	32.00
23347	KBC Fin Products Int'l Ltd. – HSI European Wts Nov 2010 H	200,000,000	2010/07/02	2010/11/29	30.00
23345	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jun 2011	108,000,000	2010/07/02	2011/06/01	27.00
23346	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Aug 2011	108,000,000	2010/07/02	2011/08/01	16.20
23335	Macquarie Bank Ltd. – CHALCO European Warrants Jan 2011	200,000,000	2010/07/02	2011/01/04	74.40
23337	Macquarie Bank Ltd. – COSCO Pacific European Warrants May 11	200,000,000	2010/07/02	2011/05/04	50.40
23336	Macquarie Bank Ltd. – Ch Ship Cont European Warrants Jan 11	200,000,000	2010/07/02	2011/01/04	68.80
23338	Macquarie Bank Ltd. – Ping An European Warrants Jan 2011	200,000,000	2010/07/02	2011/01/04	51.80
23333	UBS AG – China Life European Warrants Jan 2011 A	100,000,000	2010/07/02	2011/01/10	25.00
23332	UBS AG – Tencent Holdings European Put Warrants Jun 2011	200,000,000	2010/07/02	2011/06/20	30.00
23355	Deutsche Bank AG – A50 China European Warrants Jun 2011 A	300,000,000	2010/07/05	2011/06/07	54.00
23361	Macquarie Bank Ltd. – CC Bank European Put Warrants Jan 2011	180,000,000	2010/07/05	2011/01/05	64.44
23356	Macquarie Bank Ltd. – CC Bank European Warrants Jan 2011 A	180,000,000	2010/07/05	2011/01/05	77.22
23357	Macquarie Bank Ltd. – CC Bank European Warrants Jan 2011 B	180,000,000	2010/07/05	2011/01/05	46.26
23354	UBS AG – A50 China European Warrants Aug 2011	200,000,000	2010/07/05	2011/08/29	30.00
23352	UBS AG – HKEx European Put Warrants Mar 2011	100,000,000	2010/07/05	2011/03/07	15.00
23353	UBS AG – SHK Ppt European Warrants Aug 2011 A	100,000,000	2010/07/05	2011/08/01	15.00
22719#	Credit Suisse AG – HSI European Warrants Sep 2010 A	300,000,000	2010/07/05	2010/09/29	35.10
23369	BOCI Asia Ltd. – China Coal European Warrants Jan 2011	100,000,000	2010/07/06	2011/01/24	15.00
23370	BOCI Asia Ltd. – CNBM European Warrants Mar 2011	100,000,000	2010/07/06	2011/03/25	25.00
23371	BOCI Asia Ltd. – Geely Auto European Warrants Feb 2011	100,000,000	2010/07/06	2011/02/25	40.00
23372	BOCI Asia Ltd. – Mongolia Energy European Warrants Jan 2011	100,000,000	2010/07/06	2011/01/14	40.00
23389	Credit Suisse AG – A50 China European Warrants Oct 2010 B	300,000,000	2010/07/06	2010/10/11	45.00
23375	J P Morgan SP BV – A50 China European Warrants Apr 2011	300,000,000	2010/07/06	2011/04/28	75.00
23384	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts Feb 2011	80,000,000	2010/07/06	2011/02/08	44.80
23388	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Feb 2011 C	158,000,000	2010/07/06	2011/02/15	39.50
23378	KBC Fin Products Int'l Ltd. – China Tel Eur Put Wts Mar 2011	80,000,000	2010/07/06	2011/03/01	20.00
23379	KBC Fin Products Int'l Ltd. – China Tel Eur Put Wts Apr 2011	68,000,000	2010/07/06	2011/04/01	36.72
23380	KBC Fin Products Int'l Ltd. – China Unicom Eur Put Wts Apr11	80,000,000	2010/07/06	2011/04/15	20.00
23365	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jan 2011 C	200,000,000	2010/07/06	2011/01/06	50.00
23385	KBC Fin Products Int'l Ltd. – Ch COSCO European Warrants Sep11	128,000,000	2010/07/06	2011/09/22	19.20
23376	KBC Fin Products Int'l Ltd. – China Life Euro Wts Feb 2011 D	268,000,000	2010/07/06	2011/02/01	67.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
23386	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Feb 11A	80,000,000	2010/07/06	2011/02/08	32.80
23387	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Feb 11B	80,000,000	2010/07/06	2011/02/18	55.20
23377	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Jan 2011 B	100,000,000	2010/07/06	2011/01/06	25.00
23381	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Jan 2011 D	108,000,000	2010/07/06	2011/01/06	33.48
23382	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Mar 2011	50,000,000	2010/07/06	2011/03/01	55.50
23366	KBC Fin Products Int'l Ltd. – ICBC European Wts Jan 2011 C	158,000,000	2010/07/06	2011/01/06	39.50
23383	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Apr 11	188,000,000	2010/07/06	2011/04/06	47.00
23358	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Oct10G	200,000,000	2010/07/06	2010/10/28	30.40
23359	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Nov10B	200,000,000	2010/07/06	2010/11/29	44.20
23360	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Nov10C	200,000,000	2010/07/06	2010/11/29	34.00
23362	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Nov10D	200,000,000	2010/07/06	2010/11/29	30.00
23367	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Nov10E	200,000,000	2010/07/06	2010/11/29	43.80
23363	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Dec10A	200,000,000	2010/07/06	2010/12/30	38.80
23364	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Dec10B	200,000,000	2010/07/06	2010/12/30	30.00
23368	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Dec10C	200,000,000	2010/07/06	2010/12/30	43.40
23373	SGA Societe Generale Acceptance N.V. – CITBK Euro Wt Jan 11	100,000,000	2010/07/06	2011/01/26	45.00
23374	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Nov 2010 C	80,000,000	2010/07/06	2010/11/29	39.20
22740#	Citigroup Global Mkt H Inc. – A50 China Euro Wts Oct 2010	150,000,000	2010/07/06	2010/10/04	13.20
17661#	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Oct 2010	350,000,000	2010/07/06	2010/10/25	10.85
20751#	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Aug10C	300,000,000	2010/07/06	2010/08/30	11.70
17664#	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Oct 2010	180,000,000	2010/07/06	2010/10/18	11.52
23406	Credit Suisse AG – China Coal European Warrants Nov 2010 B	300,000,000	2010/07/07	2010/11/15	45.00
23407	Credit Suisse – Yanzhou Coal European Warrants Dec 2010	300,000,000	2010/07/07	2010/12/22	45.00
23400	Citigroup Global Mkt H Inc. – CNOOC European Wts Jan 2011	150,000,000	2010/07/07	2011/01/31	37.50
23402	Citigroup Global Mkt H Inc. – A50 China Euro Wts Nov 2011	180,000,000	2010/07/07	2011/11/28	45.00
23401	Citigroup Global Mkt H Inc. – R&F Ppt European Wts Jun 2011	100,000,000	2010/07/07	2011/06/27	25.00
23403	Citigroup Global Mkt H Inc. – Yanzhou Coal European Wt Jun11	100,000,000	2010/07/07	2011/06/27	25.00
23395	J P Morgan SP BV – Yanzhou Coal European Warrants Jan 2011	150,000,000	2010/07/07	2011/01/07	37.50
23408	KBC Fin Products Int'l Ltd. – BYD European Put Warrants May11	80,000,000	2010/07/07	2011/05/12	12.00
23409	KBC Fin Products Int'l Ltd. – CMB European Put Warrants Jan11	80,000,000	2010/07/07	2011/01/31	20.00
23410	KBC Fin Products Int'l Ltd. – CPIC European Warrants Jan 11	108,000,000	2010/07/07	2011/01/18	28.08
23411	KBC Fin Products Int'l Ltd. – CPIC European Warrants Feb 11	108,000,000	2010/07/07	2011/02/18	27.00
23412	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Put Wt Feb11	80,000,000	2010/07/07	2011/02/15	20.00
23413	KBC Fin Products Int'l Ltd. – Zijin Mining Eu Put Wt Oct11	80,000,000	2010/07/07	2011/10/18	20.00
23404	Macquarie Bank Ltd. – China Coal European Warrants Jul 2011	200,000,000	2010/07/07	2011/07/05	50.00
23405	Macquarie Bank Ltd. – Fushan Energy European Warrants Jan 11	88,000,000	2010/07/07	2011/01/10	33.79
23396	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Oct 2010 B	150,000,000	2010/07/07	2010/10/11	22.50
23397	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2011 A	150,000,000	2010/07/07	2011/06/07	26.10
23398	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jan 2012	150,000,000	2010/07/07	2012/01/30	39.00
23399	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Apr 2012 A	150,000,000	2010/07/07	2012/04/30	24.30
23390	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Nov 10F	200,000,000	2010/07/07	2010/11/29	30.60
23391	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Nov 10G	200,000,000	2010/07/07	2010/11/29	30.00
23392	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Nov 10H	200,000,000	2010/07/07	2010/11/29	37.20
23393	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Dec 10D	200,000,000	2010/07/07	2010/12/30	31.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
23394	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Dec 10E	200,000,000	2010/07/07	2010/12/30	51.00
10980	RB of Scotland N.V. – DJIA European Warrants Mar 2011 A	100,000,000	2010/07/07	2011/03/18	25.20
10984	RB of Scotland N.V. – DJIA European Put Warrants Mar 2011 B	100,000,000	2010/07/07	2011/03/18	25.20
23426	Citigroup Global Mkt H Inc. – China Coal European Wts Jun 11	100,000,000	2010/07/08	2011/06/09	25.00
23427	Citigroup Global Mkt H Inc. – A50 China Euro Wts Feb 2011	180,000,000	2010/07/08	2011/02/08	27.00
23415	J P Morgan SP BV – A50 China European Warrants Mar 2011	300,000,000	2010/07/08	2011/03/15	75.00
23429	KBC Fin Products Int'l Ltd. – BEA European Warrants Mar 2011	58,000,000	2010/07/08	2011/03/01	19.72
23430	KBC Fin Products Int'l Ltd. – BOC HK European Wts Mar 2011	58,000,000	2010/07/08	2011/03/01	14.50
23414	Macquarie Bank Ltd. – BOCL European Put Warrants Jan 2011	100,000,000	2010/07/08	2011/01/10	25.30
23422	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Jul 2011	150,000,000	2010/07/08	2011/07/11	84.00
23421	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Jan 2011	150,000,000	2010/07/08	2011/01/31	56.25
23416	Merrill Lynch Int'l & Co. C.V. – Tencent European Wts Dec10A	150,000,000	2010/07/08	2010/12/20	23.25
23417	Merrill Lynch Int'l & Co. C.V. – Tencent European Wts Dec10B	150,000,000	2010/07/08	2010/12/28	29.10
23418	Merrill Lynch Int'l & Co. C.V. – Tencent European Wts Jan 11	150,000,000	2010/07/08	2011/01/11	22.80
23419	Merrill Lynch Int'l & Co. C.V. – Tencent European Wts Mar 11	150,000,000	2010/07/08	2011/03/31	39.00
23420	Merrill Lynch Int'l & Co. C.V. – Tencent European Wts Apr 11	150,000,000	2010/07/08	2011/04/26	38.25
23423	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts Feb11	150,000,000	2010/07/08	2011/02/07	24.30
23424	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts Mar11	150,000,000	2010/07/08	2011/03/28	39.00
23425	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts Jul11	150,000,000	2010/07/08	2011/07/04	23.70
23431	RB of Scotland N.V. – Tencent European Put Warrants Jan 2011	250,000,000	2010/07/08	2011/01/25	37.50
23428	UBS AG – Yanzhou Coal European Wts Dec 2010	100,000,000	2010/07/08	2010/12/22	15.00
18033#	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2010	700,000,000	2010/07/08	2010/09/17	10.50
20322#	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Dec 2010C	700,000,000	2010/07/08	2010/12/14	14.70
23432	Barclays Bank plc – China Life European Warrants Mar 2011 A	100,000,000	2010/07/09	2011/03/07	25.00
23433	Barclays Bank plc – R&F Ppt European Warrants Jan 2011	100,000,000	2010/07/09	2011/01/31	16.00
23439	Barclays Bank plc – HKEx European Put Warrants May 2011	150,000,000	2010/07/09	2011/05/16	22.50
23434	Barclays Bank plc – HSBC European Warrants Dec 2010	150,000,000	2010/07/09	2010/12/20	40.50
23440	Barclays Bank plc – HSBC European Put Warrants Dec 2011	150,000,000	2010/07/09	2011/12/12	24.00
23435	Barclays Bank plc – Jiangxi Copper European Warrants Mar 11A	100,000,000	2010/07/09	2011/03/07	25.00
23436	Barclays Bank plc – PetroChina European Warrants Nov 2011	150,000,000	2010/07/09	2011/11/14	22.50
23437	Barclays Bank plc – Tencent Holdings European Warrants Jan 11	150,000,000	2010/07/09	2011/01/20	25.50
23441	Barclays Bank plc – Tencent Holdings European Put Wts Feb 11	150,000,000	2010/07/09	2011/02/01	22.50
23438	Barclays Bank plc – Yanzhou Coal European Warrants Mar 2011	100,000,000	2010/07/09	2011/03/21	25.00
23442	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2010 E	100,000,000	2010/07/09	2010/12/30	18.00
23443	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Nov 2010 D	100,000,000	2010/07/09	2010/11/29	18.00
23444	HK Bank – Angang Steel European Warrants Jun 2011 A	100,000,000	2010/07/09	2011/06/29	25.00
23445	HK Bank – China Life European Warrants Jan 2011	100,000,000	2010/07/09	2011/01/10	25.00
23446	HK Bank – Jiangxi Copper European Warrants Jan 2011	100,000,000	2010/07/09	2011/01/10	25.00
23447	HK Bank – Yanzhou Coal European Warrants Feb 2011	100,000,000	2010/07/09	2011/02/18	25.00
23448	CC Rabobank B.A. – Jiangxi Copper European Warrants Jan 2011	120,000,000	2010/07/09	2011/01/10	30.00
23449	CC Rabobank B.A. – Lenovo European Warrants Dec 2010	150,000,000	2010/07/09	2010/12/13	57.00
21350#	Deutsche Bank AG – HSBC European Warrants Jun 2011	300,000,000	2010/07/09	2011/06/27	18.00
21891#	UBS AG – HSI European Warrants Sep 2010 D	200,000,000	2010/07/09	2010/09/29	11.80
10981	Deutsche Bank AG – GBP/USD European Warrants Jan 2011	50,000,000	2010/07/12	2011/01/24	16.00

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
10985	Deutsche Bank AG – GBP/USD European Put Warrants Jan 2011	50,000,000	2010/07/12	2011/01/24	15.50
23462	Macquarie Bank Ltd. – China COSCO European Warrants Sep 2011	200,000,000	2010/07/12	2011/09/29	30.00
23451	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Mar 2011	200,000,000	2010/07/12	2011/03/28	61.00
23452	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Oct 2011	150,000,000	2010/07/12	2011/10/17	28.80
23453	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Dec 2011	150,000,000	2010/07/12	2011/12/12	25.65
23450	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jan 2011 B	200,000,000	2010/07/12	2011/01/28	70.00
23454	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Aug 2011	150,000,000	2010/07/12	2011/08/01	22.65
23455	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Dec 2011	150,000,000	2010/07/12	2011/12/12	37.50
23456	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Sep 2012	150,000,000	2010/07/12	2012/09/11	37.50
23457	CC Rabobank B.A. – China Tel European Warrants Nov 2010	100,000,000	2010/07/12	2010/11/15	22.00
23461	CC Rabobank B.A. – ChiUnicom European Warrants Oct 2011	150,000,000	2010/07/12	2011/10/28	37.50
23459	CC Rabobank B.A. – China Shenhua European Warrants Jan 2011	100,000,000	2010/07/12	2011/01/28	25.00
23460	CC Rabobank B.A. – R&F Ppt European Warrants May 2011	120,000,000	2010/07/12	2011/05/19	18.00
23458	CC Rabobank B.A. – Sh Petrochem European Warrants Jan 2011	100,000,000	2010/07/12	2011/01/21	35.00
23465	RB of Scotland N.V. – A50 China European Warrants Feb 2011	250,000,000	2010/07/12	2011/02/14	37.50
23463	RB of Scotland N.V. – HSI European Warrants Nov 2010 E	250,000,000	2010/07/12	2010/11/29	38.00
23464	RB of Scotland N.V. – HSI European Put Warrants Oct 2010 B	250,000,000	2010/07/12	2010/10/28	44.50
22296#	UBS AG – HSI European Warrants Sep 2010 G	300,000,000	2010/07/12	2010/09/29	17.10
23492	BOCI Asia Ltd. – CITBK European Warrants Jan 2011	100,000,000	2010/07/13	2011/01/28	35.00
23493	BOCI Asia Ltd. – R&F Ppt European Warrants May 2011	100,000,000	2010/07/13	2011/05/19	15.00
23494	BOCI Asia Ltd. – Tencent Holdings European Put Warrants Jul11	100,000,000	2010/07/13	2011/07/04	15.00
23502	BNP Paribas Arbit Issu B.V. – BOCL European Wts Mar 2011	100,000,000	2010/07/13	2011/03/07	26.00
23503	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Feb 2011 B	100,000,000	2010/07/13	2011/02/14	27.00
23466	Citigroup Global Mkt H Inc. – Angang Steel European Wt Jul11	120,000,000	2010/07/13	2011/07/04	30.00
23467	Citigroup Global Mkt H Inc. – Nine Dragons Euro Wts Jul 2011	120,000,000	2010/07/13	2011/07/25	30.00
23484	HK Bank – Sinopec Corp European Warrants Jan 2011	120,000,000	2010/07/13	2011/01/13	30.00
23486	HK Bank – Esprit European Warrants Jan 2011	120,000,000	2010/07/13	2011/01/13	30.00
23487	HK Bank – ICBC European Warrants Dec 2010	120,000,000	2010/07/13	2010/12/16	20.40
23485	HK Bank – PetroChina European Warrants Nov 2010 B	120,000,000	2010/07/13	2010/11/24	50.40
23488	J P Morgan SP BV – CM Bank European Warrants Jan 2011	150,000,000	2010/07/13	2011/01/13	37.50
23489	J P Morgan SP BV – ICBC European Warrants Jan 2011 A	100,000,000	2010/07/13	2011/01/13	28.00
23490	J P Morgan SP BV – ICBC European Warrants Jan 2011 B	100,000,000	2010/07/13	2011/01/13	25.00
23491	J P Morgan SP BV – Lenovo European Warrants Jan 2011	100,000,000	2010/07/13	2011/01/13	33.00
23471	Macquarie Bank Ltd. – China Tel European Warrants Feb 2011	200,000,000	2010/07/13	2011/02/02	50.60
23472	Macquarie Bank Ltd. – Dongfeng Motor European Wts Jun 2011	150,000,000	2010/07/13	2011/06/29	37.50
23470	Macquarie Bank Ltd. – A50 China European Warrants Jun 2011 A	300,000,000	2010/07/13	2011/06/03	45.00
23469	Macquarie Bank Ltd. – HSBC European Warrants Feb 2011 A	200,000,000	2010/07/13	2011/02/02	78.80
23473	Macquarie Bank Ltd. – HSBC European Put Warrants Feb 2011	200,000,000	2010/07/13	2011/02/02	70.60
23474	Macquarie Bank Ltd. – HSBC European Put Warrants Dec 2011	200,000,000	2010/07/13	2011/12/12	32.40
23468	Macquarie Bank Ltd. – HSCEI European Warrants Jan 2011	200,000,000	2010/07/13	2011/01/28	50.00
23475	Merrill Lynch Int'l & Co. C.V. – Sinopec Eu Put Wts Feb2011D	150,000,000	2010/07/13	2011/02/18	69.75
23477	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jul 2011	150,000,000	2010/07/13	2011/07/19	39.00
23478	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Oct 2011	150,000,000	2010/07/13	2011/10/31	37.50
23479	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2011	150,000,000	2010/07/13	2011/12/12	37.50

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23480	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts May 2011 A	150,000,000	2010/07/13	2011/05/09	25.50
23481	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Oct 2011	150,000,000	2010/07/13	2011/10/24	37.50
23476	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jun 2011 B	200,000,000	2010/07/13	2011/06/28	39.40
23482	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt May 2011	150,000,000	2010/07/13	2011/05/09	22.80
23483	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt May 2012	150,000,000	2010/07/13	2012/05/04	38.25
23498	CC Rabobank B.A. – China Coal European Warrants Feb 2011	100,000,000	2010/07/13	2011/02/28	15.00
23497	CC Rabobank B.A. – WISE CSI European Warrants Jan 2011	100,000,000	2010/07/13	2011/01/28	25.00
23499	CC Rabobank B.A. – Geely Auto European Warrants Jan 2011	100,000,000	2010/07/13	2011/01/17	36.00
23501	CC Rabobank B.A. – Skyworth Digital European Warrants Jan 12	300,000,000	2010/07/13	2012/01/30	75.00
23500	CC Rabobank B.A. – Yanzhou Coal European Warrants Dec 2010	150,000,000	2010/07/13	2010/12/23	22.50
23495	UBS AG – China Tel European Warrants Jan 2011	100,000,000	2010/07/13	2011/01/24	25.00
23496	UBS AG – China Unicom European Warrants Aug 2011	100,000,000	2010/07/13	2011/08/16	17.50
23524	BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Mar 2011	80,000,000	2010/07/14	2011/03/07	22.40
23518	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Jul 2011	100,000,000	2010/07/14	2011/07/04	25.00
23525	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Euro Wts Apr 2011	100,000,000	2010/07/14	2011/04/04	25.00
23526	BNP Paribas Arbit Issu B.V. – Zijin Mining Euro Wts Jun 2012	100,000,000	2010/07/14	2012/06/04	15.00
23531	Credit Suisse AG – China COSCO European Warrants Oct 2011	300,000,000	2010/07/14	2011/10/24	45.00
23527	Credit Suisse AG – HSI European Warrants Nov 2010 C	315,000,000	2010/07/14	2010/11/29	47.25
23528	Credit Suisse AG – HSI European Warrants Nov 2010 D	315,000,000	2010/07/14	2010/11/29	47.25
23529	Credit Suisse AG – HSI European Warrants Nov 2010 E	320,000,000	2010/07/14	2010/11/29	48.00
23530	Credit Suisse AG – Sinopharm European Warrants Dec 2010	300,000,000	2010/07/14	2010/12/20	45.00
23519	Citigroup Global Mkt H Inc. – A50 China Euro Wts Apr 2011	180,000,000	2010/07/14	2011/04/28	27.00
23522	Macquarie Bank Ltd. – BYD European Warrants Jun 2011 B	200,000,000	2010/07/14	2011/06/03	30.00
23520	Macquarie Bank Ltd. – HSI European Warrants Dec 2010 A	200,000,000	2010/07/14	2010/12/30	48.00
23521	Macquarie Bank Ltd. – HSI European Warrants Dec 2010 B	200,000,000	2010/07/14	2010/12/30	30.00
23523	Macquarie Bank Ltd. – HSI European Put Warrants Nov 2010 B	200,000,000	2010/07/14	2010/11/29	43.40
23504	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2010	200,000,000	2010/07/14	2010/12/30	57.00
23505	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2011 A	200,000,000	2010/07/14	2011/01/31	90.00
23506	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2011	200,000,000	2010/07/14	2011/12/05	30.60
23510	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 10	150,000,000	2010/07/14	2010/12/13	38.25
23511	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 11A	150,000,000	2010/07/14	2011/12/21	24.60
23507	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jun 11A	150,000,000	2010/07/14	2011/06/27	30.30
23508	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jun 11B	150,000,000	2010/07/14	2011/06/28	24.45
23512	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Jun 2011	150,000,000	2010/07/14	2011/06/13	38.25
23513	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Oct 2011	150,000,000	2010/07/14	2011/10/10	38.25
23514	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Dec 10G	200,000,000	2010/07/14	2010/12/30	30.00
23509	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Put Wt Jun 11	150,000,000	2010/07/14	2011/06/13	22.80
23515	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Nov 2010 D	80,000,000	2010/07/14	2010/11/08	29.60
23516	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Dec 2010 A	80,000,000	2010/07/14	2010/12/20	17.60
23517	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Dec 2010 B	80,000,000	2010/07/14	2010/12/29	29.60
23534	Deutsche Bank AG – A50 China European Warrants Jan 2011	300,000,000	2010/07/15	2011/01/31	51.00
23535	Deutsche Bank AG – PetroChina European Put Wts Jun 2011	150,000,000	2010/07/15	2011/06/20	22.50
23532	Goldman Sachs SP (Asia) – HSI European Warrants Dec 2010 B	200,000,000	2010/07/15	2010/12/30	30.80
23533	Goldman Sachs SP (Asia) – HSI European Warrants Dec 2010 C	200,000,000	2010/07/15	2010/12/30	30.20

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23537	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2010 D	200,000,000	2010/07/15	2010/12/30	32.60
23536	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jan 2011	98,000,000	2010/07/15	2011/01/18	48.02
23549	Barclays Bank plc – Agricultural Bank European Wts Feb 2011	100,000,000	2010/07/16	2011/02/28	26.00
23550	Barclays Bank plc – Agricultural Bank European Wts Jul 2011	100,000,000	2010/07/16	2011/07/11	31.00
23552	Barclays Bank plc – China Overseas European Wts Feb 2011	100,000,000	2010/07/16	2011/02/21	17.00
23553	Barclays Bank plc – China Telecom European Warrants Jan 2011	100,000,000	2010/07/16	2011/01/31	33.00
23551	Barclays Bank plc – China Coal European Warrants Jul 2011	100,000,000	2010/07/16	2011/07/11	16.00
23539	BOCI Asia Ltd. – China Mobile European Warrants Jan 2011	100,000,000	2010/07/16	2011/01/28	25.00
23540	BOCI Asia Ltd. – China Railway European Warrants Feb 2011	100,000,000	2010/07/16	2011/02/25	33.50
23541	BOCI Asia Ltd. – HSBC European Warrants Jan 2011	100,000,000	2010/07/16	2011/01/06	16.50
23572	Credit Suisse AG – Agricultural Bank European Wts Feb 2011 A	300,000,000	2010/07/16	2011/02/14	75.00
23576	Credit Suisse AG – Agricultural Bank European Wts Jul 2015	300,000,000	2010/07/16	2015/07/15	75.00
23570	Credit Suisse AG – Agricultural Bank European Wts Jan 2011 A	300,000,000	2010/07/16	2011/01/17	75.00
23571	Credit Suisse AG – Agricultural Bank European Wts Jan 2011 B	300,000,000	2010/07/16	2011/01/24	75.00
23560	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Jan 11 A	100,000,000	2010/07/16	2011/01/18	25.00
23561	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Jan 11 B	100,000,000	2010/07/16	2011/01/18	25.00
23548	Citigroup Global Mkt H Inc. – BYD European Warrants Dec 2011	180,000,000	2010/07/16	2011/12/05	45.00
23542	Citigroup Global Mkt H Inc. – CITIC Bank Euro Wts Mar 2011	100,000,000	2010/07/16	2011/03/29	25.00
23544	Citigroup Global Mkt H Inc. – Fushan Energy Euro Wt Jan 2011	80,000,000	2010/07/16	2011/01/18	20.00
23545	Citigroup Global Mkt H Inc. – Tracker Fund Euro Wts Mar 2011	80,000,000	2010/07/16	2011/03/28	20.00
23543	Citigroup Global Mkt H Inc. – Yurun Food Euro Wts Jan 2011	80,000,000	2010/07/16	2011/01/18	20.00
23559	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Mar 11A	188,000,000	2010/07/16	2011/03/01	47.00
23554	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 A	128,000,000	2010/07/16	2011/01/17	78.08
23555	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 B	188,000,000	2010/07/16	2011/01/17	88.36
23556	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 C	208,000,000	2010/07/16	2011/01/17	81.12
23557	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 D	208,000,000	2010/07/16	2011/01/17	52.00
23558	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 E	208,000,000	2010/07/16	2011/01/17	52.00
23562	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Jan 11F	158,000,000	2010/07/16	2011/01/17	61.62
23563	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Jan 11G	158,000,000	2010/07/16	2011/01/17	96.38
23564	Macquarie Bank Ltd. – Tencent European Warrants Mar 2011	300,000,000	2010/07/16	2011/03/02	75.30
23546	CC Rabobank B.A. – Evergrande European Warrants Jan 2011	100,000,000	2010/07/16	2011/01/28	25.00
23547	CC Rabobank B.A. – Nine Dragons European Warrants Jun 2011	150,000,000	2010/07/16	2011/06/29	37.50
23565	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 A	200,000,000	2010/07/16	2011/01/17	116.00
23566	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 B	200,000,000	2010/07/16	2011/01/17	96.00
23567	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 C	200,000,000	2010/07/16	2011/01/17	78.00
23568	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 D	200,000,000	2010/07/16	2011/01/17	62.00
23569	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 E	200,000,000	2010/07/16	2011/01/17	50.00
23538	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Nov 2010 D	100,000,000	2010/07/16	2010/11/22	19.00
23575	UBS AG – Agricultural Bank European Warrants Jul 2015 A	200,000,000	2010/07/16	2015/07/15	50.00
23573	UBS AG – Agricultural Bank European Warrants Jan 2011 A	80,000,000	2010/07/16	2011/01/24	30.40
23574	UBS AG – Agricultural Bank European Warrants Jan 2011 B	80,000,000	2010/07/16	2011/01/17	20.00
22875#	Deutsche Bank AG – China Life European Warrants Oct 2010 B	500,000,000	2010/07/16	2010/10/11	61.50
23586	Credit Suisse AG – CPIC European Warrants Nov 2010 B	300,000,000	2010/07/19	2010/11/15	45.00
23579	Credit Suisse AG – A50 China European Warrants Apr 2011	400,000,000	2010/07/19	2011/04/26	60.00



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23585	Credit Suisse AG – HKEx European Warrants Jul 2011	300,000,000	2010/07/19	2011/07/18	45.00
23589	Credit Suisse AG – HKEx European Put Warrants Jul 2011	300,000,000	2010/07/19	2011/07/18	69.00
23587	Deutsche Bank AG – CNOOC European Warrants Apr 2011 A	200,000,000	2010/07/19	2011/04/04	50.00
23588	Deutsche Bank AG – ICBC European Warrants Dec 2010 B	150,000,000	2010/07/19	2010/12/28	67.50
23590	Deutsche Bank AG – Tencent Holdings European Put Wt Apr 2011	200,000,000	2010/07/19	2011/04/04	50.00
23580	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 F	200,000,000	2010/07/19	2011/01/19	50.00
23581	UBS AG – Agricultural Bank European Warrants Jan 2011 C	100,000,000	2010/07/19	2011/01/19	27.00
23582	UBS AG – Agricultural Bank European Warrants Jan 2011 D	100,000,000	2010/07/19	2011/01/31	25.00
23583	UBS AG – Agricultural Bank European Warrants Jul 2015 B	300,000,000	2010/07/19	2015/07/15	75.00
23577	UBS AG – CC Bank European Warrants Nov 2010 B	100,000,000	2010/07/19	2010/11/29	19.50
23584	UBS AG – CPIC European Warrants Nov 2010	100,000,000	2010/07/19	2010/11/15	15.00
23578	UBS AG – ICBC European Warrants Nov 2010 B	100,000,000	2010/07/19	2010/11/22	18.50
23609	Credit Suisse AG – HSI European Put Warrants Nov 2010 F	320,000,000	2010/07/20	2010/11/29	48.00
23606	Deutsche Bank AG – CC Bank European Warrants Jan 2011	200,000,000	2010/07/20	2011/01/03	52.00
23605	Deutsche Bank AG – CITIC Bank European Warrants Dec 2010	150,000,000	2010/07/20	2010/12/28	64.50
23607	Deutsche Bank AG – CM Bank European Warrants Dec 2010	200,000,000	2010/07/20	2010/12/28	30.00
23608	Deutsche Bank AG – HSI European Put Warrants Oct 2010 D	300,000,000	2010/07/20	2010/10/28	45.00
23591	HK Bank – China Overseas European Warrants Mar 2011	80,000,000	2010/07/20	2011/03/22	20.00
23592	HK Bank – R&F Ppt European Warrants Jun 2011	80,000,000	2010/07/20	2011/06/22	20.00
23593	HK Bank – Shimao Ppt European Warrants Mar 2011	80,000,000	2010/07/20	2011/03/22	20.00
23594	Macquarie Bank Ltd. – BOCL European Warrants Nov 2010	128,000,000	2010/07/20	2010/11/29	23.42
23595	CC Rabobank B.A. – Ch Mobile European Warrants Jan 2011 A	100,000,000	2010/07/20	2011/01/28	40.00
23600	CC Rabobank B.A. – A50 China European Warrants Feb 2011	180,000,000	2010/07/20	2011/02/07	32.40
23601	CC Rabobank B.A. – A50 China European Warrants Nov 2011 A	180,000,000	2010/07/20	2011/11/21	28.80
23602	CC Rabobank B.A. – A50 China European Put Warrants Jan 2011B	100,000,000	2010/07/20	2011/01/28	65.00
23603	CC Rabobank B.A. – A50 China European Put Warrants Jan 2012	150,000,000	2010/07/20	2012/01/30	37.50
23596	CC Rabobank B.A. – HSBC European Warrants Jan 2011 A	100,000,000	2010/07/20	2011/01/28	63.00
23597	CC Rabobank B.A. – HSBC European Warrants Feb 2011	100,000,000	2010/07/20	2011/02/25	52.00
23598	CC Rabobank B.A. – ICBC European Warrants Dec 2010 D	150,000,000	2010/07/20	2010/12/16	54.00
23599	CC Rabobank B.A. – Poly HK Inv European Warrants Apr 2011 B	180,000,000	2010/07/20	2011/04/28	45.00
23604	UBS AG – HKEx European Put Warrants Jun 2011	200,000,000	2010/07/20	2011/06/20	50.00
23656	BNP Paribas Arbit Issu B.V. – HSI European Wts Jan 2011	100,000,000	2010/07/21	2011/01/28	25.00
23660	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jan 2011	100,000,000	2010/07/21	2011/01/28	25.00
23657	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Feb 2011 A	100,000,000	2010/07/21	2011/02/25	26.00
23650	Deutsche Bank AG – BOCL European Warrants Mar 2011	150,000,000	2010/07/21	2011/03/21	67.50
23651	Deutsche Bank AG – BOCL European Warrants Apr 2011	150,000,000	2010/07/21	2011/04/04	42.00
23652	Deutsche Bank AG – Sinopec Corp European Warrants Nov 2010	150,000,000	2010/07/21	2010/11/22	70.50
23653	Deutsche Bank AG – Sinopec Corp European Warrants Dec 2010 B	150,000,000	2010/07/21	2010/12/20	49.50
23654	Deutsche Bank AG – A50 China European Warrants Apr 2011	200,000,000	2010/07/21	2011/04/26	34.00
23655	Deutsche Bank AG – A50 China European Warrants Jun 2011 B	200,000,000	2010/07/21	2011/06/27	32.00
23647	Macquarie Bank Ltd. – A50 China European Warrants Apr 2011	250,000,000	2010/07/21	2011/04/26	37.50
23648	Macquarie Bank Ltd. – A50 China European Warrants Jun 2011 B	250,000,000	2010/07/21	2011/06/29	37.50
23610	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2011 A	150,000,000	2010/07/21	2011/02/14	44.25
23611	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2011 B	150,000,000	2010/07/21	2011/02/28	30.60

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
23617	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Feb 2011	150,000,000	2010/07/21	2011/02/15	39.00
23612	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Mar 2011	150,000,000	2010/07/21	2011/03/14	60.75
23613	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Sep 2011	150,000,000	2010/07/21	2011/09/12	53.25
23616	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Dec 2012	150,000,000	2010/07/21	2012/12/10	23.55
23618	Merrill Lynch Int'l & Co. C.V. – ICBC European Put Wts Jan11	150,000,000	2010/07/21	2011/01/24	59.25
23619	Merrill Lynch Int'l & Co. C.V. – ICBC European Put Wts Oct11	150,000,000	2010/07/21	2011/10/31	22.65
23614	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Jan 2011A	150,000,000	2010/07/21	2011/01/31	58.50
23615	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Jan 2011B	150,000,000	2010/07/21	2011/01/10	32.10
23626	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar 2011A	80,000,000	2010/07/21	2011/03/21	20.00
23627	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 2011	80,000,000	2010/07/21	2011/04/18	36.00
23630	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Nov 2010 A	60,000,000	2010/07/21	2010/11/22	20.40
23620	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2011 C	120,000,000	2010/07/21	2011/01/05	27.60
23621	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2011A	200,000,000	2010/07/21	2011/01/26	58.00
23622	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2011B	200,000,000	2010/07/21	2011/01/26	50.00
23623	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2011A	200,000,000	2010/07/21	2011/02/23	80.00
23624	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2011B	200,000,000	2010/07/21	2011/02/23	50.00
23625	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Nov 10B	80,000,000	2010/07/21	2010/11/29	15.20
23628	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Nov 10B	100,000,000	2010/07/21	2010/11/08	15.00
23629	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Mar 2011A	100,000,000	2010/07/21	2011/03/21	55.00
23631	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Jan 2011 A	60,000,000	2010/07/21	2011/01/17	21.60
23632	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Jan 2011 B	60,000,000	2010/07/21	2011/01/24	30.60
23637	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Mar2011B	250,000,000	2010/07/21	2011/03/09	47.50
23638	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jun 11A	250,000,000	2010/07/21	2011/06/08	50.00
23639	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Aug 2011	250,000,000	2010/07/21	2011/08/29	37.50
23640	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Nov 2011	250,000,000	2010/07/21	2011/11/21	52.50
23641	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Mar 2012	250,000,000	2010/07/21	2012/03/05	62.50
23642	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Apr2012A	250,000,000	2010/07/21	2012/04/25	45.00
23634	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2011 A	80,000,000	2010/07/21	2011/01/24	43.20
23633	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2010 D	80,000,000	2010/07/21	2010/12/29	28.80
23635	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2011 B	80,000,000	2010/07/21	2011/02/07	24.80
23636	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2011 B	500,000,000	2010/07/21	2011/09/26	75.00
23643	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Nov 2010 C	120,000,000	2010/07/21	2010/11/15	25.20
23644	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Mar 11	150,000,000	2010/07/21	2011/03/28	31.50
23645	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Apr 11A	150,000,000	2010/07/21	2011/04/04	37.50
23646	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Apr 11B	150,000,000	2010/07/21	2011/04/20	28.50
23649	UBS AG – Agricultural Bank European Put Warrants Jan 2011 E	80,000,000	2010/07/21	2011/01/24	20.00
21870#	KBC Fin Products Int'l Ltd. – Zijin Mining European Wt Jan11	280,000,000	2010/07/21	2011/01/03	10.64
23661	CC Rabobank B.A. – Ch Mobile European Put Wts Nov 2010	100,000,000	2010/07/22	2010/11/29	20.00
23658	CC Rabobank B.A. – China Life European Warrants Dec 2010 B	200,000,000	2010/07/22	2010/12/21	30.00
23659	CC Rabobank B.A. – China Life European Warrants Jan 2011 A	200,000,000	2010/07/22	2011/01/13	30.00
23662	CC Rabobank B.A. – KECL European Warrants Jun 2011	150,000,000	2010/07/22	2011/06/29	37.50
23663	CC Rabobank B.A. – Sinofert European Warrants Jan 2011	100,000,000	2010/07/22	2011/01/28	48.00
23664	RB of Scotland N.V. – China Life European Wts Jan 2011 A	200,000,000	2010/07/22	2011/01/04	33.20
19360#	CC Rabobank B.A. – CNOOC European Warrants Oct 2010 B	350,000,000	2010/07/22	2010/10/25	11.55

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
23691	Credit Suisse AG – Agricultural Bank European Wts Jan 2011 C	300,000,000	2010/07/23	2011/01/10	45.00
23682	Macquarie Bank Ltd. – China Unicom European Wts Aug 2011 A	200,000,000	2010/07/23	2011/08/16	33.20
23681	Macquarie Bank Ltd. – Sinopec Corp European Wts Feb 2011	200,000,000	2010/07/23	2011/02/11	52.00
23683	Macquarie Bank Ltd. – Foxconn European Warrants Jul 2011	100,000,000	2010/07/23	2011/07/28	15.00
23678	Macquarie Bank Ltd. – A50 China European Warrants Mar 2011 B	250,000,000	2010/07/23	2011/03/09	48.25
23679	Macquarie Bank Ltd. – A50 China European Warrants Aug 2011	250,000,000	2010/07/23	2011/08/30	37.50
23680	Macquarie Bank Ltd. – A50 China European Warrants Apr 2012	250,000,000	2010/07/23	2012/04/27	43.75
23684	Macquarie Bank Ltd. – Geely Auto European Warrants Jan 2011	200,000,000	2010/07/23	2011/01/24	51.40
23685	Macquarie Bank Ltd. – HK Gas European Warrants Nov 2011	200,000,000	2010/07/23	2011/11/03	50.20
23686	Macquarie Bank Ltd. – New World Dev European Wts Apr 2011	100,000,000	2010/07/23	2011/04/28	25.00
23687	Macquarie Bank Ltd. – SHK Ppt European Warrants Feb 2011	200,000,000	2010/07/23	2011/02/25	30.20
23688	Macquarie Bank Ltd. – SHK Ppt European Warrants Oct 2011	200,000,000	2010/07/23	2011/10/28	37.20
23665	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Feb 2011A	150,000,000	2010/07/23	2011/02/14	47.25
23669	Merrill Lynch Int'l & Co. C.V. – BOCL European Put Wts Jan 11	100,000,000	2010/07/23	2011/01/03	20.20
23668	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Mar 2011	150,000,000	2010/07/23	2011/03/07	46.50
23670	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Put Wts Jan 11	150,000,000	2010/07/23	2011/01/24	22.65
23666	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Dec 2010 A	150,000,000	2010/07/23	2010/12/03	30.45
23667	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Dec 2010 B	150,000,000	2010/07/23	2010/12/28	22.65
23671	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Jan 11	150,000,000	2010/07/23	2011/01/24	26.10
23672	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Mar 11	150,000,000	2010/07/23	2011/03/23	25.80
23673	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Apr 11A	150,000,000	2010/07/23	2011/04/11	24.00
23674	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Apr 11B	150,000,000	2010/07/23	2011/04/18	34.35
23675	CC Rabobank B.A. – HSBC European Warrants Dec 2010 I	100,000,000	2010/07/23	2010/12/08	24.00
23676	CC Rabobank B.A. – HSBC European Warrants Jan 2011 B	100,000,000	2010/07/23	2011/01/28	25.00
23677	CC Rabobank B.A. – HSBC European Warrants Mar 2011 B	100,000,000	2010/07/23	2011/03/30	25.00
23690	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 G	200,000,000	2010/07/23	2011/01/24	50.00
23693	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Feb 11B	300,000,000	2010/07/23	2011/02/07	51.00
23692	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2011 B	300,000,000	2010/07/23	2011/01/28	84.00
23696	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 11C	300,000,000	2010/07/23	2011/01/28	75.00
23697	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 11D	300,000,000	2010/07/23	2011/01/28	93.00
23689	UBS AG – BYD European Warrants Nov 2011	100,000,000	2010/07/23	2011/11/28	15.00
17757#	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Dec 10 A	400,000,000	2010/07/23	2010/12/22	11.20
23709	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Feb 2011 A	200,000,000	2010/07/26	2011/02/01	60.00
23707	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Mar 2011	200,000,000	2010/07/26	2011/03/01	64.00
23710	BNP Paribas Arbit Issu B.V. – HSBC European Wts Feb 2011 B	200,000,000	2010/07/26	2011/02/07	82.00
23708	BNP Paribas Arbit Issu B.V. – ICBC European Wts Mar 2011	200,000,000	2010/07/26	2011/03/01	54.00
23711	Credit Suisse AG – BYD European Warrants Nov 2011	300,000,000	2010/07/26	2011/11/28	45.00
23703	HK Bank – BOCL European Warrants Nov 2010	100,000,000	2010/07/26	2010/11/08	15.00
23704	HK Bank – BOCL European Warrants Jan 2011	100,000,000	2010/07/26	2011/01/12	15.00
23705	HK Bank – BYD European Warrants Nov 2011	80,000,000	2010/07/26	2011/11/28	12.00
23706	HK Bank – Zijin Mining European Warrants Jan 2011	80,000,000	2010/07/26	2011/01/26	20.00
23714	Macquarie Bank Ltd. – Ch Southern Air European Wts Jan 2011	88,000,000	2010/07/26	2011/01/28	22.00
23701	Merrill Lynch Int'l & Co. CV – Agricultural Bk Eu Put Wt Jan11	150,000,000	2010/07/26	2011/01/12	38.25
23694	Merrill Lynch Int'l & Co. C.V. – Agricultural Bk Eu Wt Jan11A	150,000,000	2010/07/26	2011/01/10	52.50

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
23695	Merrill Lynch Int'l & Co. C.V. – Agricultural Bk Eu Wt Jan11B	150,000,000	2010/07/26	2011/01/11	38.25
23700	Merrill Lynch Int'l & Co. C.V. – BYD European Warrants Nov11	150,000,000	2010/07/26	2011/11/28	24.90
23716	RB of Scotland N.V. – BOCL European Warrants Jan 2011	100,000,000	2010/07/26	2011/01/31	26.00
23717	RB of Scotland N.V. – BYD European Warrants Nov 2011	200,000,000	2010/07/26	2011/11/28	30.00
23718	RB of Scotland N.V. – China Life European Wts Jan 2011 B	200,000,000	2010/07/26	2011/01/31	56.20
23719	RB of Scotland N.V. – China Life European Wts Jan 2011 C	200,000,000	2010/07/26	2011/01/31	50.00
23720	RB of Scotland N.V. – China Shenhua European Wts Jan 2011	100,000,000	2010/07/26	2011/01/31	25.00
23715	RB of Scotland N.V. – A50 China European Warrants Jun 2011	250,000,000	2010/07/26	2011/06/13	38.75
23712	SGA Societe Generale Acceptance N.V. – ChiUnicom Eu Wts Sep 11	150,000,000	2010/07/26	2011/09/26	37.50
23713	SGA Societe Generale Acceptance N.V. – ChiUnicom Eu Wts Oct 11	150,000,000	2010/07/26	2011/10/24	34.50
23699	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Nov 2010	200,000,000	2010/07/26	2010/11/29	30.00
23698	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jan 2011	200,000,000	2010/07/26	2011/01/28	50.00
23702	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Jan 11	200,000,000	2010/07/26	2011/01/28	50.00
23724	BOCI Asia Ltd. – Chi Res Land European Warrants Mar 2011	100,000,000	2010/07/27	2011/03/22	25.00
23725	BOCI Asia Ltd. – HS Bank European Warrants Dec 2011	100,000,000	2010/07/27	2011/12/29	15.00
23726	BOCI Asia Ltd. – Tracker Fund European Warrants May 2011	100,000,000	2010/07/27	2011/05/31	25.00
23727	BOCI Asia Ltd. – Tracker Fund European Put Warrants Mar 2011	100,000,000	2010/07/27	2011/03/31	25.00
23730	Deutsche Bank AG – CNOOC European Warrants Mar 2011	200,000,000	2010/07/27	2011/03/21	36.00
23722	J P Morgan SP BV – China Life European Warrants Jan 2011 A	150,000,000	2010/07/27	2011/01/28	37.50
23723	J P Morgan SP BV – CM Bank European Warrants Dec 2010	150,000,000	2010/07/27	2010/12/22	22.50
23729	CC Rabobank B.A. – BYD European Warrants Nov 2011	300,000,000	2010/07/27	2011/11/29	45.00
23721	RB of Scotland N.V. – A50 China European Warrants Apr 2011	250,000,000	2010/07/27	2011/04/28	38.00
23728	UBS AG – BOCL European Warrants Nov 2010 C	100,000,000	2010/07/27	2010/11/22	22.00
23731	UBS AG – China Mobile European Warrants Dec 2010	100,000,000	2010/07/27	2010/12/22	16.50
23573#	UBS AG – Agricultural Bank European Warrants Jan 2011 A	120,000,000	2010/07/27	2011/01/24	27.36
23736	Barclays Bank plc – Angang Steel European Warrants Jun 2011	100,000,000	2010/07/28	2011/06/20	25.00
23737	Barclays Bank plc – BYD European Warrants Nov 2011	150,000,000	2010/07/28	2011/11/28	22.50
23738	Barclays Bank plc – CC Bank European Put Warrants Feb 2011	100,000,000	2010/07/28	2011/02/21	35.00
23739	Barclays Bank plc – ICBC European Warrants Feb 2011	100,000,000	2010/07/28	2011/02/14	37.00
23733	Barclays Bank plc – ICBC European Put Warrants Feb 2011	100,000,000	2010/07/28	2011/02/14	34.00
23747	Credit Suisse AG – HSBC European Put Warrants Nov 2010 D	300,000,000	2010/07/28	2010/11/15	66.00
23746	Credit Suisse AG – HSI European Warrants Nov 2010 G	320,000,000	2010/07/28	2010/11/29	48.00
23750	Deutsche Bank AG – HSI European Warrants Jan 2011 A	300,000,000	2010/07/28	2011/01/28	75.00
23751	Deutsche Bank AG – HSI European Warrants Jan 2011 B	300,000,000	2010/07/28	2011/01/28	75.00
23754	Deutsche Bank AG – HSI European Put Warrants Jan 2011 C	300,000,000	2010/07/28	2011/01/28	75.00
23755	Deutsche Bank AG – HSI European Put Warrants Jan 2011 D	300,000,000	2010/07/28	2011/01/28	81.00
23756	Deutsche Bank AG – HSI European Put Warrants Jan 2011 E	300,000,000	2010/07/28	2011/01/28	93.00
23735	Macquarie Bank Ltd. – BYD European Warrants Nov 2011	200,000,000	2010/07/28	2011/11/28	30.20
23740	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Dec10	150,000,000	2010/07/28	2010/12/30	27.15
23742	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Jan11A	150,000,000	2010/07/28	2011/01/28	31.50
23743	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Jan11B	150,000,000	2010/07/28	2011/01/28	38.25
23741	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Nov10	150,000,000	2010/07/28	2010/11/29	23.10
23744	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Dec10	150,000,000	2010/07/28	2010/12/30	22.65
23732	SGA Societe Generale Acceptance N.V. – CHALCO Eu Wt Nov 2011	200,000,000	2010/07/28	2011/11/28	30.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
23745	SGA Societe Generale Acceptance NV – Minsheng Bk Eu Wt Jan 11	100,000,000	2010/07/28	2011/01/03	41.00
23734	UBS AG – CNOOC European Warrants Jun 2011	100,000,000	2010/07/28	2011/06/22	15.00
23748	UBS AG – A50 China European Warrants Apr 2011	100,000,000	2010/07/28	2011/04/11	25.00
23749	UBS AG – HSI European Put Warrants Jan 2011 A	200,000,000	2010/07/28	2011/01/28	50.00
22650#	HK Bank – HSI European Warrants Dec 2010 E	200,000,000	2010/07/28	2010/12/30	26.80
23768	Barclays Bank plc – HSI European Put Warrants Feb 2011 C	150,000,000	2010/07/29	2011/02/25	37.50
23763	Barclays Bank plc – HSI European Warrants Dec 2010 G	150,000,000	2010/07/29	2010/12/30	22.50
23764	Barclays Bank plc – HSI European Warrants Feb 2011 A	150,000,000	2010/07/29	2011/02/25	37.50
23765	Barclays Bank plc – HSI European Warrants Feb 2011 B	150,000,000	2010/07/29	2011/02/25	37.50
23767	Barclays Bank plc – HSI European Put Warrants Dec 2010 H	150,000,000	2010/07/29	2010/12/30	25.50
23781	Credit Suisse AG – HSI European Put Warrants Nov 2010 H	340,000,000	2010/07/29	2010/11/29	51.00
23772	Citigroup Global Mkt H Inc. – ChiUnicom European Wts Aug 11	120,000,000	2010/07/29	2011/08/30	30.00
23758	Citigroup Global Mkt H Inc. – Li & Fung European Wts May 11	80,000,000	2010/07/29	2011/05/30	20.00
23759	Citigroup Global Mkt H Inc. – Swire Pacific A Euro Wts Dec11	80,000,000	2010/07/29	2011/12/19	20.00
23773	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Jan 2011	128,000,000	2010/07/29	2011/01/10	39.68
23774	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Feb 2011 A	108,000,000	2010/07/29	2011/02/01	38.88
23775	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Feb 2011 B	128,000,000	2010/07/29	2011/02/01	32.00
23780	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt Feb 11C	80,000,000	2010/07/29	2011/02/01	36.80
23776	KBC Fin Products Int'l Ltd. – HSBC European Wts Feb 2011 A	128,000,000	2010/07/29	2011/02/18	32.00
23778	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2011 F	200,000,000	2010/07/29	2011/02/25	50.00
23779	KBC Fin Products Int'l Ltd. – HSI European Put Wts Dec 2010K	200,000,000	2010/07/29	2010/12/30	36.40
23782	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2011 A	200,000,000	2010/07/29	2011/02/25	50.00
23783	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2011 B	200,000,000	2010/07/29	2011/02/25	58.00
23777	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Oct 2011	108,000,000	2010/07/29	2011/10/21	16.20
23760	Macquarie Bank Ltd. – Ch Oilfield European Warrants Apr 2011	200,000,000	2010/07/29	2011/04/04	50.40
23761	Macquarie Bank Ltd. – Chi Res Power European Warrants Feb 11	200,000,000	2010/07/29	2011/02/02	51.00
23762	Macquarie Bank Ltd. – Hang Lung Ppt European Wts Feb 2011	200,000,000	2010/07/29	2011/02/02	50.80
23770	Macquarie Bank Ltd. – HSCEI European Put Warrants Feb 2011	150,000,000	2010/07/29	2011/02/25	37.50
23766	Macquarie Bank Ltd. – HSI European Warrants Nov 2010 C	200,000,000	2010/07/29	2010/11/29	41.40
23769	Macquarie Bank Ltd. – HSI European Put Warrants Dec 2010	200,000,000	2010/07/29	2010/12/30	37.40
23771	Macquarie Bank Ltd. – SHK Ppt European Put Warrants Aug 2011	150,000,000	2010/07/29	2011/08/02	22.50
23752	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Apr 2011	150,000,000	2010/07/29	2011/04/21	23.55
23753	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2011 B	150,000,000	2010/07/29	2011/06/23	23.40
23784	CC Rabobank B.A. – BOCL European Warrants Mar 2011	100,000,000	2010/07/29	2011/03/30	30.00
23785	CC Rabobank B.A. – China EB Ltd European Warrants Feb 2011	100,000,000	2010/07/29	2011/02/25	25.00
23786	CC Rabobank B.A. – CSR European Warrants Dec 2011	180,000,000	2010/07/29	2011/12/29	45.00
23757	UBS AG – HSCEI European Warrants Nov 2010	80,000,000	2010/07/29	2010/11/29	12.00
21686#	HK Bank – HSI European Put Warrants Sep 2010 E	220,000,000	2010/07/29	2010/09/29	10.34
21718#	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2010 B	300,000,000	2010/07/29	2010/12/13	21.60
23796	Credit Suisse AG – Agricultural Bank European Wts Jan 2011 D	300,000,000	2010/07/30	2011/01/10	45.00
23795	Deutsche Bank AG – CPIC European Warrants Nov 2010	100,000,000	2010/07/30	2010/11/08	15.70
23791	HK Bank – Henganintl European Warrants Jun 2011	80,000,000	2010/07/30	2011/06/13	20.00
23789	J P Morgan SP BV – BOCL European Warrants Jan 2011	128,000,000	2010/07/30	2011/01/31	32.64
23790	J P Morgan SP BV – BOCL European Warrants Feb 2011	128,000,000	2010/07/30	2011/02/25	32.64

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
23787	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11H	188,000,000	2010/07/30	2011/01/12	28.20
23788	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11I	188,000,000	2010/07/30	2011/01/17	28.20
23803	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Dec 2011	158,000,000	2010/07/30	2011/12/21	23.70
23799	KBC Fin Products Int'l Ltd. – Ch Overseas Euro Wts Feb 2011	108,000,000	2010/07/30	2011/02/01	27.00
23804	KBC Fin Products Int'l Ltd. – China Overseas Eu Put Wt Feb11	108,000,000	2010/07/30	2011/02/01	27.00
23798	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Feb 2011	158,000,000	2010/07/30	2011/02/01	39.50
23802	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts Jan 2011	108,000,000	2010/07/30	2011/01/03	28.08
23797	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jan 2011 D	188,000,000	2010/07/30	2011/01/03	35.72
23805	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Jun 2011	108,000,000	2010/07/30	2011/06/01	27.00
23806	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Feb 2011 A	108,000,000	2010/07/30	2011/02/01	27.00
23800	KBC Fin Products Int'l Ltd. – ICBC European Wts Dec 2010 B	158,000,000	2010/07/30	2010/12/10	23.70
23801	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Mar 11	128,000,000	2010/07/30	2011/03/24	19.20
23793	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Apr 2011	300,000,000	2010/07/30	2011/04/27	48.00
23794	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jun 11B	300,000,000	2010/07/30	2011/06/27	45.00
23792	UBS AG – Agricultural Bank European Put Warrants Jan 2011 F	100,000,000	2010/07/30	2011/01/31	25.00
23557#	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 D	200,000,000	2010/07/30	2011/01/17	21.60
23574#	UBS AG – Agricultural Bank European Warrants Jan 2011 B	120,000,000	2010/07/30	2011/01/17	13.92
23582#	UBS AG – Agricultural Bank European Warrants Jan 2011 D	200,000,000	2010/07/30	2011/01/31	44.60
23833	BNP Paribas Arbit Issu B.V. – Hutchison European Wts Mar 11A	80,000,000	2010/08/02	2011/03/07	21.60
10982	BNP Paribas Arbit Issu B.V. – Oil Futures European Wts Mar11	100,000,000	2010/08/02	2011/03/18	27.00
10986	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Put Wt Mar11	100,000,000	2010/08/02	2011/03/18	29.00
23807	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Mar 2011	100,000,000	2010/08/02	2011/03/28	25.00
23808	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Put Wt Feb11	100,000,000	2010/08/02	2011/02/14	25.00
23840	Deutsche Bank AG – CITIC Pacific European Warrants Mar 2011A	100,000,000	2010/08/02	2011/03/28	25.00
23841	Deutsche Bank AG – Foxconn European Warrants Jul 2011	100,000,000	2010/08/02	2011/07/25	15.00
23842	Deutsche Bank AG – Lenovo European Warrants Mar 2011	100,000,000	2010/08/02	2011/03/07	60.00
23809	HK Bank – HKEx European Warrants Jun 2011	100,000,000	2010/08/02	2011/06/29	25.00
23810	HK Bank – HSI European Put Warrants Dec 2010 J	120,000,000	2010/08/02	2010/12/30	18.00
23836	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Mar 2011 A	128,000,000	2010/08/02	2011/03/01	33.28
23837	KBC Fin Products Int'l Ltd. – China Unicom Euro Wts Oct 2011	80,000,000	2010/08/02	2011/10/21	12.00
23834	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Feb 2011 A	128,000,000	2010/08/02	2011/02/08	32.00
23835	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Feb 2011 B	128,000,000	2010/08/02	2011/02/01	49.92
23838	KBC Fin Products Int'l Ltd. – CNOOC European Wts Feb 2011	108,000,000	2010/08/02	2011/02/01	16.20
23839	KBC Fin Products Int'l Ltd. – CNOOC European Wts May 2011	108,000,000	2010/08/02	2011/05/03	19.44
23814	Nomura Int'l plc – Anhui Conch European Warrants Apr 2011	100,000,000	2010/08/02	2011/04/29	33.90
23826	Nomura Int'l plc – Agile Ppt European Warrants Mar 2011	100,000,000	2010/08/02	2011/03/21	15.00
23823	Nomura Int'l plc – BOC HK European Warrants Mar 2011	200,000,000	2010/08/02	2011/03/31	50.60
23829	Nomura Int'l plc – BOCL European Warrants Mar 2011	200,000,000	2010/08/02	2011/03/31	57.80
23820	Nomura Int'l plc – BYD European Warrants Dec 2013	200,000,000	2010/08/02	2013/12/31	50.00
23828	Nomura Int'l plc – China Dongxiang European Warrants Apr 11	100,000,000	2010/08/02	2011/04/29	59.30
23815	Nomura Int'l plc – Ch LongYuan European Warrants Dec 2011	150,000,000	2010/08/02	2011/12/30	37.50
23822	Nomura Int'l plc – China Coal European Warrants Jan 2011	100,000,000	2010/08/02	2011/01/17	15.00
23811	Nomura Int'l plc – Cheung Kong European Warrants Sep 2012	100,000,000	2010/08/02	2012/09/28	25.00
23813	Nomura Int'l plc – CNOOC European Warrants Sep 2011	100,000,000	2010/08/02	2011/09/30	25.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
23824	Nomura Int'l plc – CPC European Warrants Mar 2011	200,000,000	2010/08/02	2011/03/31	50.00
23819	Nomura Int'l plc – Chi Res Land European Warrants Feb 2011	100,000,000	2010/08/02	2011/02/02	25.00
23817	Nomura Int'l plc – China Shenhua European Warrants Feb 2011	100,000,000	2010/08/02	2011/02/28	26.70
23825	Nomura Int'l plc – Shineway Pharm European Warrants Mar 2011	100,000,000	2010/08/02	2011/03/31	31.80
23827	Nomura Int'l plc – GCL – Poly Energy European Warrants Apr 11	100,000,000	2010/08/02	2011/04/29	34.00
23821	Nomura Int'l plc – ICBC European Warrants Mar 2011	200,000,000	2010/08/02	2011/03/31	106.20
23812	Nomura Int'l plc – JIANC European Warrants Mar 2011 A	100,000,000	2010/08/02	2011/03/31	25.50
23816	Nomura Int'l plc – Lenovo European Warrants Feb 2011	50,000,000	2010/08/02	2011/02/02	12.50
23818	Nomura Int'l plc – Sinopharm European Warrants Feb 2011	100,000,000	2010/08/02	2011/02/28	37.50
23831	SGA Societe Generale Acceptance N.V. – Foxconn Eu Wts Jul 11	150,000,000	2010/08/02	2011/07/25	24.00
23832	UBS AG – Agricultural Bank European Warrants Jan 2011 G	200,000,000	2010/08/02	2011/01/17	30.00
21225#	Macquarie Bank Ltd. – Tencent European Put Warrants Nov 2010	250,000,000	2010/08/02	2010/11/03	10.25
22343#	Credit Suisse AG – A50 China European Warrants Nov 2010 A	300,000,000	2010/08/02	2010/11/22	26.70
23649#	UBS AG – Agricultural Bank European Put Warrants Jan 2011 E	170,000,000	2010/08/02	2011/01/24	10.20
23844	Citigroup Global Mkt H Inc. – HS Bank European Wts Dec 2011	100,000,000	2010/08/03	2011/12/28	15.00
23845	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Dec 2010	80,000,000	2010/08/03	2010/12/06	12.00
23860	Deutsche Bank AG – China Coal European Warrants Jan 2011	100,000,000	2010/08/03	2011/01/24	15.00
23861	Deutsche Bank AG – Sinopharm European Warrants Dec 2010	100,000,000	2010/08/03	2010/12/28	25.00
23862	Deutsche Bank AG – SHK Ppt European Warrants Feb 2011	150,000,000	2010/08/03	2011/02/21	22.50
23847	KBC Fin Products Int'l Ltd. – Ch Overseas Euro Wts Mar 2011	108,000,000	2010/08/03	2011/03/08	27.00
23848	KBC Fin Products Int'l Ltd. – China Shenhua Eur Wt Mar 2011A	80,000,000	2010/08/03	2011/03/01	20.00
23850	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2011 A	108,000,000	2010/08/03	2011/02/08	27.00
23849	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Dec 2010	108,000,000	2010/08/03	2010/12/23	19.44
23830	Merrill Lynch Int'l & Co. C.V. – Agricultural Bk Eu Wt Jan11C	150,000,000	2010/08/03	2011/01/24	29.85
23843	Merrill Lynch Int'l & Co. C.V. – Agricultural Bk Eu Wt Jan11D	150,000,000	2010/08/03	2011/01/17	22.50
23857	CC Rabobank B.A. – Angang Steel European Warrants Jun 2011	150,000,000	2010/08/03	2011/06/29	37.50
23858	CC Rabobank B.A. – Shineway Pharm European Warrants Feb 2011	100,000,000	2010/08/03	2011/02/25	25.00
23859	CC Rabobank B.A. – GCL – Poly Energy European Warrants Mar 11A	120,000,000	2010/08/03	2011/03/30	30.00
23846	UBS AG – Agricultural Bank European Warrants Jan 2011 H	200,000,000	2010/08/03	2011/01/10	30.00
23853	UBS AG – HSI European Warrants Jan 2011	200,000,000	2010/08/03	2011/01/28	40.00
23851	UBS AG – HSI European Warrants Nov 2010 H	200,000,000	2010/08/03	2010/11/29	40.00
23852	UBS AG – HSI European Warrants Dec 2010 H	200,000,000	2010/08/03	2010/12/30	30.00
23854	UBS AG – HSI European Put Warrants Nov 2010 I	200,000,000	2010/08/03	2010/11/29	30.00
23855	UBS AG – HSI European Put Warrants Dec 2010 I	200,000,000	2010/08/03	2010/12/30	30.00
23856	UBS AG – HSI European Put Warrants Jan 2011 B	200,000,000	2010/08/03	2011/01/28	33.00
15547#	UBS AG – HSBC European Warrants Dec 2010 A	500,000,000	2010/08/03	2010/12/13	20.50
23892	Credit Suisse AG – China Mobile European Warrants Dec 2010	300,000,000	2010/08/04	2010/12/23	60.00
23896	Credit Suisse AG – China Mobile European Put Warrants Dec 11	300,000,000	2010/08/04	2011/12/23	45.00
23897	Credit Suisse AG – Ch Overseas European Put Warrants Nov 10B	300,000,000	2010/08/04	2010/11/29	45.00
23893	Credit Suisse AG – HSBC European Wts Nov 2010 E	300,000,000	2010/08/04	2010/11/08	45.00
23894	Credit Suisse AG – SHK Ppt European Warrants Feb 2011	300,000,000	2010/08/04	2011/02/28	45.00
23898	Credit Suisse AG – SHK Ppt European Put Warrants Dec 2010	300,000,000	2010/08/04	2010/12/20	45.00
23895	Credit Suisse AG – Tencent Holdings European Wts Dec 2010 B	300,000,000	2010/08/04	2010/12/06	45.00
23899	Credit Suisse AG – Tencent Holdings European Put Wts Dec 10	300,000,000	2010/08/04	2010/12/23	45.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
23877	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wts Jan 2012	100,000,000	2010/08/04	2012/01/30	25.00
23871	HK Bank – SHK Ppt European Warrants Oct 2011	100,000,000	2010/08/04	2011/10/21	15.00
23872	HK Bank – Tencent European Warrants Apr 2011 A	150,000,000	2010/08/04	2011/04/19	22.50
23880	Macquarie Bank Ltd. – ICBC European Warrants Feb 2011	150,000,000	2010/08/04	2011/02/07	37.95
23884	Macquarie Bank Ltd. – ICBC European Put Warrants Feb 2011	88,000,000	2010/08/04	2011/02/07	55.88
23864	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wt Dec 2012A	200,000,000	2010/08/04	2012/12/24	38.00
23867	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Feb 2011 A	150,000,000	2010/08/04	2011/02/07	48.75
23863	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wt Feb 2011A	150,000,000	2010/08/04	2011/02/07	45.75
23865	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Put Wts Feb11	150,000,000	2010/08/04	2011/02/14	52.50
23876	CC Rabobank B.A. – HSI European Warrants Jan 2011	200,000,000	2010/08/04	2011/01/28	30.00
23875	CC Rabobank B.A. – HSI European Warrants Nov 2010 C	200,000,000	2010/08/04	2010/11/29	42.00
23874	CC Rabobank B.A. – HSI European Warrants Dec 2010 G	200,000,000	2010/08/04	2010/12/30	36.00
23878	CC Rabobank B.A. – HSI European Put Warrants Dec 2010 H	200,000,000	2010/08/04	2010/12/30	42.00
23879	CC Rabobank B.A. – HSI European Put Warrants Dec 2010 I	200,000,000	2010/08/04	2010/12/30	48.00
23885	RB of Scotland N.V. – HSI European Warrants Dec 2010 A	250,000,000	2010/08/04	2010/12/30	37.50
23886	RB of Scotland N.V. – HSI European Warrants Dec 2010 B	250,000,000	2010/08/04	2010/12/30	37.75
23883	RB of Scotland N.V. – HSI European Put Warrants Nov 2010 F	250,000,000	2010/08/04	2010/11/29	49.25
23866	RB of Scotland N.V. – Tencent Holdings European Wts Feb 11A	250,000,000	2010/08/04	2011/02/14	62.50
23868	RB of Scotland N.V. – Tencent Holdings European Wts Feb 11B	250,000,000	2010/08/04	2011/02/23	37.50
23869	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Nov 2010 C	120,000,000	2010/08/04	2010/11/22	26.40
23870	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Dec 2010 B	100,000,000	2010/08/04	2010/12/29	29.00
23889	UBS AG – Agricultural Bank European Warrants Mar 2011 A	200,000,000	2010/08/04	2011/03/14	50.00
23890	UBS AG – Agricultural Bank European Warrants Mar 2011 B	200,000,000	2010/08/04	2011/03/28	50.00
23887	UBS AG – China Mobile European Warrants Feb 2011 A	100,000,000	2010/08/04	2011/02/14	25.00
23891	UBS AG – HSBC European Warrants Feb 2011	100,000,000	2010/08/04	2011/02/14	25.00
23882	UBS AG – HSCEI European Put Warrants Nov 2010	100,000,000	2010/08/04	2010/11/29	15.00
23888	UBS AG – HSI European Warrants Feb 2011	200,000,000	2010/08/04	2011/02/25	50.00
23903	UBS AG – HSI European Put Warrants Feb 2011 A	200,000,000	2010/08/04	2011/02/25	50.00
23873	UBS AG – Jiangxi Copper European Warrants Jan 2011	100,000,000	2010/08/04	2011/01/03	15.00
23881	UBS AG – SHK Ppt European Put Warrants Dec 2010	100,000,000	2010/08/04	2010/12/06	15.00
23905	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Apr 2011	100,000,000	2010/08/05	2011/04/28	26.00
23909	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Apr 2011	100,000,000	2010/08/05	2011/04/28	27.00
23902	Citigroup Global Mkt H Inc. – Jiangxi Copper Euro Wts Feb 11	100,000,000	2010/08/05	2011/02/21	25.00
23906	Deutsche Bank AG – China Mobile European Warrants Dec 2010 B	200,000,000	2010/08/05	2010/12/06	90.00
23908	Deutsche Bank AG – HSBC European Warrants Jan 2011	200,000,000	2010/08/05	2011/01/03	138.00
23907	Deutsche Bank AG – HSBC European Warrants Dec 2010 C	200,000,000	2010/08/05	2010/12/06	44.00
23904	Macquarie Bank Ltd. – Tencent European Put Warrants Dec 2010	250,000,000	2010/08/05	2010/12/29	37.75
23900	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Nov 2010	200,000,000	2010/08/05	2010/11/29	31.00
23901	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Jan 2011	200,000,000	2010/08/05	2011/01/28	34.00
22610 <sup>#</sup>	Credit Suisse AG – HKEx European Warrants Sep 2010	300,000,000	2010/08/05	2010/09/27	31.20
22243 <sup>#</sup>	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Oct10B	300,000,000	2010/08/05	2010/10/28	30.30
21895 <sup>#</sup>	UBS AG – HSI European Put Warrants Sep 2010 E	300,000,000	2010/08/05	2010/09/29	10.80
23930	Barclays Bank plc – Agricultural Bk European Put Wts Feb11	100,000,000	2010/08/06	2011/02/28	25.00
23931	Barclays Bank plc – CHALCO European Warrants Jun 2011	100,000,000	2010/08/06	2011/06/27	15.00



# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
23932	Barclays Bank plc – Bank of EA European Warrants Apr 2011	100,000,000	2010/08/06	2011/04/18	25.00
23933	Barclays Bank plc – HSBC European Warrants Dec 2011	150,000,000	2010/08/06	2011/12/12	24.00
23934	Barclays Bank plc – Sinopharm European Warrants Mar 2011	100,000,000	2010/08/06	2011/03/07	30.00
23935	Credit Suisse AG – HSI European Put Warrants Nov 2010 I	400,000,000	2010/08/06	2010/11/29	60.00
23937	Citigroup Global Mkt H Inc. – Anhui Conch European Wts Apr11	80,000,000	2010/08/06	2011/04/21	20.00
23936	Citigroup Global Mkt H Inc. – Agile Ppt European Wts Mar 11	100,000,000	2010/08/06	2011/03/21	15.00
23938	Citigroup Global Mkt H Inc. – BOC HK European Wts May 2011	100,000,000	2010/08/06	2011/05/30	25.00
23939	Citigroup Global Mkt H Inc. – CPIC European Warrants May 11	100,000,000	2010/08/06	2011/05/23	25.00
23944	Deutsche Bank AG – Alibaba European Warrants Dec 2010	100,000,000	2010/08/06	2010/12/28	18.00
23940	KBC Fin Products Int'l Ltd. – HSBC European Wts Nov 2010 D	108,000,000	2010/08/06	2010/11/22	29.16
23941	KBC Fin Products Int'l Ltd – Tencent Holdings Eu Put Wt Jan 11	128,000,000	2010/08/06	2011/01/03	19.20
23925	Macquarie Bank Ltd. – China Mobile European Wts Feb 2011 A	200,000,000	2010/08/06	2011/02/07	57.00
23926	Macquarie Bank Ltd. – China Mobile European Wts Oct 2011	300,000,000	2010/08/06	2011/10/11	45.00
23927	Macquarie Bank Ltd. – Cathay Pacific European Wts Jul 2011	150,000,000	2010/08/06	2011/07/06	37.50
23928	Macquarie Bank Ltd. – GCL – Poly Energy European Warrants Feb11	88,000,000	2010/08/06	2011/02/09	22.00
23929	Macquarie Bank Ltd. – PetroChina European Wts Feb 2013	200,000,000	2010/08/06	2013/02/04	50.20
23910	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt Jan 2011	150,000,000	2010/08/06	2011/01/17	46.50
23914	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Put Wts Feb 11	150,000,000	2010/08/06	2011/02/22	57.00
23911	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jan 2011	150,000,000	2010/08/06	2011/01/24	22.50
23912	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Apr 2011	150,000,000	2010/08/06	2011/04/28	27.60
23915	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Dec 2010	150,000,000	2010/08/06	2010/12/21	23.25
23916	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Feb 2011	150,000,000	2010/08/06	2011/02/14	23.25
23913	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2010 F	150,000,000	2010/08/06	2010/12/28	42.00
23917	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Put Wt Apr 11	150,000,000	2010/08/06	2011/04/11	24.75
23918	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Put Wts Aug 11	150,000,000	2010/08/06	2011/08/02	22.80
23942	RB of Scotland N.V. – Tencent Holdings European Wts Feb 11C	250,000,000	2010/08/06	2011/02/28	62.50
23943	RB of Scotland N.V. – Tencent European Put Warrants Apr 2011	250,000,000	2010/08/06	2011/04/04	47.75
23920	SGA Societe Generale Acceptance N.V – Ch Ship Cont Eu Wt Mar11	80,000,000	2010/08/06	2011/03/28	54.40
23919	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Jan 11	100,000,000	2010/08/06	2011/01/31	33.00
23921	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Nov 2010 B	150,000,000	2010/08/06	2010/11/24	34.50
23922	SGA Societe Generale Acceptance N.V. – JIANC Eu Wts Jan 2011	100,000,000	2010/08/06	2011/01/10	16.00
23923	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Aug 2011	300,000,000	2010/08/06	2011/08/01	45.00
23924	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Oct 2011	300,000,000	2010/08/06	2011/10/24	45.00
21806#	Deutsche Bank AG – ICBC European Warrants Oct 2010 A	200,000,000	2010/08/06	2010/10/04	38.00
22651#	HK Bank – HSI European Warrants Dec 2010 F	200,000,000	2010/08/06	2010/12/30	30.60
23953	BOCI Asia Ltd. – CC Bank European Warrants Feb 2011	100,000,000	2010/08/09	2011/02/25	40.00
23958	BOCI Asia Ltd. – CC Bank European Put Warrants Mar 2011	100,000,000	2010/08/09	2011/03/04	40.00
23955	BOCI Asia Ltd. – Ch Comm Cons European Warrants Feb 2011	100,000,000	2010/08/09	2011/02/28	50.00
23954	BOCI Asia Ltd. – CM Bank European Warrants Apr 2011	100,000,000	2010/08/09	2011/04/15	25.00
23959	BOCI Asia Ltd. – CM Bank European Put Warrants Apr 2011	100,000,000	2010/08/09	2011/04/01	25.00
23957	BOCI Asia Ltd. – MCC European Warrants Feb 2011	100,000,000	2010/08/09	2011/02/28	35.50
23952	BNP Paribas Arbit Issu B.V. – HSI European Wts Feb 2011	100,000,000	2010/08/09	2011/02/25	25.00
23956	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Feb 2011 B	100,000,000	2010/08/09	2011/02/25	25.00
23973	Credit Suisse AG – HSI European Put Warrants Feb 2011 A	400,000,000	2010/08/09	2011/02/25	100.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
23968	Credit Suisse AG – HSI European Warrants Dec 2010 C	400,000,000	2010/08/09	2010/12/30	60.00
23965	Credit Suisse AG – HSI European Put Warrants Nov 2010 J	425,000,000	2010/08/09	2010/11/29	63.75
23972	Credit Suisse AG – HSI European Put Warrants Dec 2010 D	400,000,000	2010/08/09	2010/12/30	60.00
23963	Citigroup Global Mkt H Inc. – China COSCO European Wts Sep11	120,000,000	2010/08/09	2011/09/26	30.00
23960	Citigroup Global Mkt H Inc. – Ch Railway Cons Eu Wts Aug 11	80,000,000	2010/08/09	2011/08/22	20.00
23964	Citigroup Global Mkt H Inc. – New World European Wts May 11	80,000,000	2010/08/09	2011/05/27	20.00
23967	Citigroup Global Mkt H Inc. – SHK Ppt European Wts May 2011	80,000,000	2010/08/09	2011/05/30	20.00
23962	Citigroup Global Mkt H Inc. – SHK Ppt Euro Put Wts Jun 2011	80,000,000	2010/08/09	2011/06/01	12.00
23961	Citigroup Global Mkt H Inc. – Shimao Ppt Euro Wts Jul 2011	80,000,000	2010/08/09	2011/07/25	20.00
23969	Deutsche Bank AG – BOC HK European Warrants Apr 2011	150,000,000	2010/08/09	2011/04/04	37.50
23970	Deutsche Bank AG – Tencent Holdings European Warrants Feb11A	300,000,000	2010/08/09	2011/02/28	45.00
23974	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Mar 11	108,000,000	2010/08/09	2011/03/18	48.60
23971	KBC Fin Products Int'l Ltd. – BOC HK European Wts Feb 2011	68,000,000	2010/08/09	2011/02/09	17.00
23975	KBC Fin Products Int'l Ltd. – BOC HK Euro Put Wts May 2011	68,000,000	2010/08/09	2011/05/18	17.00
23979	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt May 2011	80,000,000	2010/08/09	2011/05/05	89.60
23976	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts Apr 2011B	68,000,000	2010/08/09	2011/04/08	69.36
23977	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Feb 11C	108,000,000	2010/08/09	2011/02/08	19.44
23978	KBC Fin Products Int'l Ltd. – CMB European Put Warrants Mar11	80,000,000	2010/08/09	2011/03/01	20.00
23980	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Oct 2011	80,000,000	2010/08/09	2011/10/03	12.00
23987	KBC Fin Products Int'l Ltd. – A50 Ch European Put Wts May 11	80,000,000	2010/08/09	2011/05/03	20.00
23981	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Jan 2011	108,000,000	2010/08/09	2011/01/18	25.92
23982	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Feb 2011 B	80,000,000	2010/08/09	2011/02/09	36.80
23983	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Put Wt Mar11	80,000,000	2010/08/09	2011/03/01	20.00
23984	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Apr 11A	88,000,000	2010/08/09	2011/04/15	13.20
23985	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Apr 11B	88,000,000	2010/08/09	2011/04/08	22.00
23986	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Jun 2011	88,000,000	2010/08/09	2011/06/15	13.20
23966	Macquarie Bank Ltd. – BOCHK European Warrants Jun 2011 A	250,000,000	2010/08/09	2011/06/02	64.00
23947	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Jul 11	200,000,000	2010/08/09	2011/07/28	30.20
23950	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Jan 11E	200,000,000	2010/08/09	2011/01/28	32.00
23951	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Jun 11	200,000,000	2010/08/09	2011/06/29	51.00
23945	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Dec10H	200,000,000	2010/08/09	2010/12/30	37.00
23946	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Dec10I	200,000,000	2010/08/09	2010/12/30	30.40
23948	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Nov10I	200,000,000	2010/08/09	2010/11/29	30.80
23949	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Dec10J	200,000,000	2010/08/09	2010/12/30	46.80
21784 <sup>#</sup>	Credit Suisse AG – HKEx European Warrants Oct 2010 B	300,000,000	2010/08/09	2010/10/04	18.90
22186 <sup>#</sup>	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Sep10B	200,000,000	2010/08/09	2010/09/29	13.20
24017	Credit Suisse AG – CC Bank European Warrants Dec 2010 B	300,000,000	2010/08/10	2010/12/23	45.00
24001	Credit Suisse AG – Cheung Kong European Warrants Mar 2011	300,000,000	2010/08/10	2011/03/07	45.00
24000	Credit Suisse AG – Tencent Holdings European Wts Feb 2011 A	300,000,000	2010/08/10	2011/02/14	45.00
10987	Deutsche Bank AG – AUD/USD European Put Warrants Feb 2011	50,000,000	2010/08/10	2011/02/14	13.00
24020	Deutsche Bank AG – Fushan Energy European Warrants Dec 2010	100,000,000	2010/08/10	2010/12/28	42.00
24013	Deutsche Bank AG – HSI European Warrants Dec 2010 I	300,000,000	2010/08/10	2010/12/30	45.00
24014	Deutsche Bank AG – HSI European Warrants Dec 2010 J	300,000,000	2010/08/10	2010/12/30	45.00
24021	Deutsche Bank AG – ICBC European Warrants Jan 2011	200,000,000	2010/08/10	2011/01/04	59.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
24038	Goldman Sachs SP (Asia) – HSI European Put Wts Jan 2011	200,000,000	2010/08/10	2011/01/28	30.00
24030	Goldman Sachs SP (Asia) – HSI European Warrants Dec 2010 E	200,000,000	2010/08/10	2010/12/30	30.00
24031	Goldman Sachs SP (Asia) – HSI European Warrants Jan 2011 A	200,000,000	2010/08/10	2011/01/28	38.00
24032	Goldman Sachs SP (Asia) – HSI European Warrants Jan 2011 B	200,000,000	2010/08/10	2011/01/28	32.00
24033	Goldman Sachs SP (Asia) – HSI European Warrants Feb 2011 A	200,000,000	2010/08/10	2011/02/25	50.00
24034	Goldman Sachs SP (Asia) – HSI European Warrants Feb 2011 B	200,000,000	2010/08/10	2011/02/25	50.00
24035	Goldman Sachs SP (Asia) – HSI European Warrants Feb 2011 C	200,000,000	2010/08/10	2011/02/25	50.00
24036	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2010 F	200,000,000	2010/08/10	2010/12/30	30.00
24037	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2010 G	200,000,000	2010/08/10	2010/12/30	36.00
24039	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2011 D	200,000,000	2010/08/10	2011/02/25	50.00
24040	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2011 E	200,000,000	2010/08/10	2011/02/25	50.00
23991	HK Bank – Cheung Kong European Warrants Feb 2011	100,000,000	2010/08/10	2011/02/10	25.00
23992	HK Bank – CNOOC European Warrants Apr 2011	120,000,000	2010/08/10	2011/04/18	30.00
23993	HK Bank – Tencent European Put Warrants May 2011	160,000,000	2010/08/10	2011/05/26	40.00
23997	J P Morgan SP BV – BOC HK European Warrants Mar 2011	150,000,000	2010/08/10	2011/03/30	37.50
23998	J P Morgan SP BV – China COSCO European Warrants Nov 2011	200,000,000	2010/08/10	2011/11/11	50.00
23999	J P Morgan SP BV – ICBC European Warrants Feb 2011	100,000,000	2010/08/10	2011/02/10	25.00
24025	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt Feb 11D	80,000,000	2010/08/10	2011/02/10	20.00
24022	KBC Fin Products Int'l Ltd. – HSBC European Wts Mar 2011	108,000,000	2010/08/10	2011/03/01	18.36
24023	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2011	108,000,000	2010/08/10	2011/09/01	16.20
24026	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts May 2011	108,000,000	2010/08/10	2011/05/03	16.20
24027	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Jul 2011	108,000,000	2010/08/10	2011/07/18	27.00
24028	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Oct 2011	108,000,000	2010/08/10	2011/10/03	19.44
24024	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Jun 2011	88,000,000	2010/08/10	2011/06/24	19.36
24029	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts Jul 2011	80,000,000	2010/08/10	2011/07/04	20.00
24016	Macquarie Bank Ltd. – CM Bank European Warrants Mar 2011	250,000,000	2010/08/10	2011/03/02	63.50
24002	Macquarie Bank Ltd. – CM Bank European Put Warrants Mar 2011	200,000,000	2010/08/10	2011/03/02	50.20
23988	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Jan11B	200,000,000	2010/08/10	2011/01/28	51.00
23989	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Jan11C	200,000,000	2010/08/10	2011/01/28	40.80
23990	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Jan11D	200,000,000	2010/08/10	2011/01/28	35.20
23994	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Jan 11F	200,000,000	2010/08/10	2011/01/28	30.40
23995	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Jan 11G	200,000,000	2010/08/10	2011/01/28	33.60
23996	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Jan 11H	200,000,000	2010/08/10	2011/01/28	32.40
24003	CC Rabobank B.A. – BOC HK European Warrants Aug 2011	120,000,000	2010/08/10	2011/08/30	30.00
24005	CC Rabobank B.A. – Ch Mobile European Warrants Feb 2011 A	75,000,000	2010/08/10	2011/02/25	29.25
24004	CC Rabobank B.A. – CC Bank European Warrants Feb 2011 A	75,000,000	2010/08/10	2011/02/25	22.50
24006	CC Rabobank B.A. – CNOOC European Warrants Jul 2011	150,000,000	2010/08/10	2011/07/28	37.50
24008	CC Rabobank B.A. – Dongfang Elec European Warrants Feb 2011	60,000,000	2010/08/10	2011/02/25	39.60
24007	CC Rabobank B.A. – Digital China European Warrants Feb 2011	120,000,000	2010/08/10	2011/02/25	30.00
24015	CC Rabobank B.A. – A50 China European Warrants Nov 2011 B	120,000,000	2010/08/10	2011/11/11	30.00
24009	CC Rabobank B.A. – Hutchison European Warrants Feb 2011 A	75,000,000	2010/08/10	2011/02/25	20.25
24010	CC Rabobank B.A. – ICBC European Warrants Feb 2011 A	75,000,000	2010/08/10	2011/02/25	24.75
24011	CC Rabobank B.A. – Shanghai Ind European Warrants Feb 2011	60,000,000	2010/08/10	2011/02/25	15.00
24012	CC Rabobank B.A. – Tencent Holdings European Wts Feb 2011	150,000,000	2010/08/10	2011/02/14	22.50

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
24018	RB of Scotland N.V. – China Mobile European Wts Dec 2011	300,000,000	2010/08/10	2011/12/05	45.00
24019	RB of Scotland N.V. – HSI European Put Warrants Dec 2010 D	250,000,000	2010/08/10	2010/12/30	49.50
23430#	KBC Fin Products Int'l Ltd. – BOC HK European Wts Mar 2011	222,000,000	2010/08/10	2011/03/01	49.33
24076	BNP Paribas Arbit Issu B.V. – Agile Ppt European Wts Oct 11	100,000,000	2010/08/11	2011/10/03	25.00
24077	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Apr 2011	200,000,000	2010/08/11	2011/04/04	50.00
24078	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Dec 2010 B	200,000,000	2010/08/11	2010/12/06	44.00
24068	Credit Suisse AG – BOCL European Warrants Dec 2010	300,000,000	2010/08/11	2010/12/21	45.00
24071	Credit Suisse AG – China Mobile European Warrants Oct 2012	300,000,000	2010/08/11	2012/10/29	45.00
24070	Credit Suisse AG – CC Bank European Warrants Jan 2011	300,000,000	2010/08/11	2011/01/17	45.00
24067	Credit Suisse AG – HKEx European Warrants Jun 2011	300,000,000	2010/08/11	2011/06/27	45.00
24073	Credit Suisse AG – HSCEI European Warrants Nov 2010	310,000,000	2010/08/11	2010/11/29	46.50
24075	Credit Suisse AG – HSCEI European Warrants Apr 2011	310,000,000	2010/08/11	2011/04/28	77.50
24074	Credit Suisse AG – HSCEI European Put Warrants Jan 2011	325,000,000	2010/08/11	2011/01/28	48.75
24072	Credit Suisse AG – HSI European Warrants Nov 2010 K	375,000,000	2010/08/11	2010/11/29	56.25
24069	Credit Suisse AG – ICBC European Warrants Jan 2011 A	300,000,000	2010/08/11	2011/01/17	45.00
24052	HK Bank – HSI European Warrants Dec 2010 K	160,000,000	2010/08/11	2010/12/30	24.00
24053	HK Bank – HSI European Warrants Feb 2011 A	160,000,000	2010/08/11	2011/02/25	40.00
24054	HK Bank – HSI European Warrants Feb 2011 B	160,000,000	2010/08/11	2011/02/25	40.00
24055	HK Bank – HSI European Warrants Feb 2011 C	160,000,000	2010/08/11	2011/02/25	40.00
24059	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jan 2011	100,000,000	2010/08/11	2011/01/28	15.00
24060	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Feb 2011 A	100,000,000	2010/08/11	2011/02/25	25.00
24061	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Feb 2011 B	100,000,000	2010/08/11	2011/02/25	25.00
24062	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2011 C	200,000,000	2010/08/11	2011/02/25	50.00
24063	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2011 D	200,000,000	2010/08/11	2011/02/25	50.00
24064	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2011 E	200,000,000	2010/08/11	2011/02/25	50.00
24065	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2011 A	200,000,000	2010/08/11	2011/03/30	52.00
24066	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2011 B	200,000,000	2010/08/11	2011/03/30	56.00
24042	Macquarie Bank Ltd. – China Life European Wts Feb 2011	300,000,000	2010/08/11	2011/02/11	75.00
24047	Macquarie Bank Ltd. – China Life European Put Wts Feb 2011	300,000,000	2010/08/11	2011/02/09	45.30
24043	Macquarie Bank Ltd. – Dongfeng Motor European Wts Jul 2011 A	150,000,000	2010/08/11	2011/07/05	37.50
24044	Macquarie Bank Ltd. – HSI European Warrants Nov 2010 D	300,000,000	2010/08/11	2010/11/29	45.90
24048	Macquarie Bank Ltd. – HSI European Put Warrants Nov 2010 E	300,000,000	2010/08/11	2010/11/29	51.60
24045	Macquarie Bank Ltd. – Hutchison European Warrants Jun 2011	150,000,000	2010/08/11	2011/06/02	37.80
24046	Macquarie Bank Ltd. – Sands China European Warrants Feb 2011	300,000,000	2010/08/11	2011/02/11	75.30
24041	RB of Scotland N.V. – BYD European Warrants May 2011	250,000,000	2010/08/11	2011/05/23	37.50
24058	RB of Scotland N.V. – China Mobile European Wts Dec 2010	100,000,000	2010/08/11	2010/12/22	33.40
24056	RB of Scotland N.V. – CC Bank European Warrants Dec 2010 A	100,000,000	2010/08/11	2010/12/13	42.00
24057	RB of Scotland N.V. – CC Bank European Warrants Dec 2010 B	100,000,000	2010/08/11	2010/12/30	24.00
24049	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Sep 2011	300,000,000	2010/08/11	2011/09/28	54.00
24050	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2011 B	100,000,000	2010/08/11	2011/01/05	26.00
24051	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2011 C	500,000,000	2010/08/11	2011/09/12	80.00
19896#	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Oct 2010 B	600,000,000	2010/08/11	2010/10/25	10.20
24083	BNP Paribas Arbit Issu B.V. – BOCHK European Warrants Mar 11	200,000,000	2010/08/12	2011/03/24	30.00
24082	BNP Paribas Arbit Issu B.V. – BOCL European Wts Aug 2012	200,000,000	2010/08/12	2012/08/31	100.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
24085	BNP Paribas Arbit Issu B.V. – China Coal Euro Wts Nov 2011	200,000,000	2010/08/12	2011/11/29	52.00
24084	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Oct 2012	200,000,000	2010/08/12	2012/10/05	30.00
24088	BNP Paribas Arbit Issu B.V. – HKEx European Put Wts May 2011	100,000,000	2010/08/12	2011/05/25	21.00
24086	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts Nov 2011	200,000,000	2010/08/12	2011/11/04	82.00
24087	BNP Paribas Arbit Issu B.V. – SHK Ppt European Warrants Dec12	200,000,000	2010/08/12	2012/12/03	50.00
24091	Credit Suisse AG – China Life European Warrants Nov 10A	300,000,000	2010/08/12	2010/11/12	45.00
24092	Credit Suisse AG – China Life European Warrants Nov 2010 B	300,000,000	2010/08/12	2010/11/29	45.00
24095	Credit Suisse AG – China Life European Put Warrants Nov 2010	300,000,000	2010/08/12	2010/11/29	45.00
24096	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jun 2012	150,000,000	2010/08/12	2012/06/29	22.50
24097	Citigroup Global Mkt H Inc. – ICBC European Wts Jan 2011	80,000,000	2010/08/12	2011/01/17	20.00
24099	Deutsche Bank AG – China Mobile European Warrants Feb 2011 A	200,000,000	2010/08/12	2011/02/21	54.00
24100	Deutsche Bank AG – Wynn Macau European Warrants Mar 2011	100,000,000	2010/08/12	2011/03/14	25.00
24093	HK Bank – China Mobile European Put Warrants Feb 2011	100,000,000	2010/08/12	2011/02/14	25.00
24094	HK Bank – China Mobile European Put Warrants Dec 2011	100,000,000	2010/08/12	2011/12/29	25.00
24089	J P Morgan SP BV – CNOOC European Warrants Jan 2011	200,000,000	2010/08/12	2011/01/24	30.00
24090	J P Morgan SP BV – A50 China European Warrants Jun 2011	200,000,000	2010/08/12	2011/06/23	30.00
24101	KBC Fin Products Int'l Ltd. – CITIC Bank Euro Wts Mar 2011	80,000,000	2010/08/12	2011/03/08	24.80
24109	KBC Fin Products Int'l Ltd. – CITIC Bank Eur Put Wt Mar 2011	80,000,000	2010/08/12	2011/03/10	39.20
24102	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Feb 2011 A	108,000,000	2010/08/12	2011/02/14	29.16
24110	KBC Fin Products Int'l Ltd. – CK(H) Euro Put Wts Jul 2011	108,000,000	2010/08/12	2011/07/08	27.00
24103	KBC Fin Products Int'l Ltd. – CRCC European Wts Aug 2011	100,000,000	2010/08/12	2011/08/08	25.00
24111	KBC Fin Products Int'l Ltd. – CRCC European Put Wts Aug11	100,000,000	2010/08/12	2011/08/08	25.00
24104	KBC Fin Products Int'l Ltd. – Esprit European Wts May 2011	80,000,000	2010/08/12	2011/05/18	20.00
24105	KBC Fin Products Int'l Ltd. – Huaneng Power Eur Wts Jul 2011	58,000,000	2010/08/12	2011/07/04	40.02
24112	KBC Fin Products Int'l Ltd. – HuanengPower Euro Put Wt Jun11	58,000,000	2010/08/12	2011/06/10	35.38
24107	KBC Fin Products Int'l Ltd. – Li & Fung European Wts Jun11	58,000,000	2010/08/12	2011/06/08	14.50
24113	KBC Fin Products Int'l Ltd. – Li & Fung Euro Put Wt Jun11	58,000,000	2010/08/12	2011/06/03	24.94
24106	KBC Fin Products Int'l Ltd. – New World European Wts Jun11	80,000,000	2010/08/12	2011/06/01	20.00
24114	KBC Fin Products Int'l Ltd. – New World Euro Put Wt May11	80,000,000	2010/08/12	2011/05/09	20.00
24108	KBC Fin Products Int'l Ltd. – Wharf European Warrants Jun 11	80,000,000	2010/08/12	2011/06/10	29.60
24098	Macquarie Bank Ltd. – Henganintl European Warrants Feb 2011	180,000,000	2010/08/12	2011/02/14	64.80
24079	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2012	200,000,000	2010/08/12	2012/10/26	30.00
24080	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Dec 2012	200,000,000	2010/08/12	2012/12/03	50.00
24117	CC Rabobank B.A. – Ch Mobile European Put Wts Dec 2011	200,000,000	2010/08/12	2011/12/23	30.00
24118	CC Rabobank B.A. – China Life European Put Warrants Jan 2011	200,000,000	2010/08/12	2011/01/07	40.00
24115	CC Rabobank B.A. – Ch Mobile European Warrants Jan 2011 B	100,000,000	2010/08/12	2011/01/21	37.00
24116	CC Rabobank B.A. – Ch Mobile European Warrants Feb 2011 B	100,000,000	2010/08/12	2011/02/22	29.00
24081	UBS AG – China Mobile European Warrants Feb 2011 B	100,000,000	2010/08/12	2011/02/28	25.00
19230#	Credit Suisse – China Mobile European Put Warrants Oct 2010	500,000,000	2010/08/12	2010/10/25	10.50
24146	Credit Suisse AG – Hutchison European Put Warrants Feb 2011	300,000,000	2010/08/13	2011/02/14	75.00
24142	Credit Suisse AG – Hutchison European Warrants Feb 2011 A	300,000,000	2010/08/13	2011/02/14	75.00
24159	Credit Suisse AG – Hutchison European Warrants Feb 2011 B	300,000,000	2010/08/13	2011/02/21	75.00
24120	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wts Jun 2011	80,000,000	2010/08/13	2011/06/27	20.00
24119	Citigroup Global Mkt H Inc. – C Transmission Euro Wt Jun11	80,000,000	2010/08/13	2011/06/27	20.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
24121	Citigroup Global Mkt H Inc. – Hang Lung Ppt Euro Wts May2011	50,000,000	2010/08/13	2011/05/11	12.50
24124	Citigroup Global Mkt H Inc. – HSI European Warrants Feb2011A	80,000,000	2010/08/13	2011/02/25	12.00
24128	Citigroup Global Mkt H Inc. – HSI European Put Wts Jan 2011	80,000,000	2010/08/13	2011/01/28	12.00
24122	Citigroup Global Mkt H Inc. – MTRC European Wts May 2011	50,000,000	2010/08/13	2011/05/23	12.50
24123	Citigroup Global Mkt H Inc. – Skyworth Digital Euro Wt Mar11	80,000,000	2010/08/13	2011/03/22	12.00
24144	Deutsche Bank AG – Agricultural Bk European Warrants Jan 11	150,000,000	2010/08/13	2011/01/12	22.50
24145	Deutsche Bank AG – Agricultural Bk European Warrants Mar 11	150,000,000	2010/08/13	2011/03/21	22.50
24149	Deutsche Bank AG – Hutchison European Warrants Feb 2011 A	150,000,000	2010/08/13	2011/02/14	52.50
24162	Deutsche Bank AG – Hutchison European Warrants Mar 2011 A	150,000,000	2010/08/13	2011/03/15	46.50
24166	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2011	200,000,000	2010/08/13	2011/02/21	50.00
24164	Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2011 A	200,000,000	2010/08/13	2011/03/21	50.00
24167	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Feb11A	200,000,000	2010/08/13	2011/02/14	50.00
24168	Goldman Sachs SP (Asia) – HKEx European Warrants Feb 2011	200,000,000	2010/08/13	2011/02/14	50.00
24169	Goldman Sachs SP (Asia) – HSBC European Warrants Feb 2011	200,000,000	2010/08/13	2011/02/14	50.00
24137	J P Morgan SP BV – Agricultural Bk European Warrants Jan 11	150,000,000	2010/08/13	2011/01/10	38.10
24143	J P Morgan SP BV – Agricultural Bk European Warrants Feb 11	150,000,000	2010/08/13	2011/02/25	37.50
24138	J P Morgan SP BV – Cathay Pacific European Warrants May 2011	120,000,000	2010/08/13	2011/05/30	30.72
24163	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Dec 2010 C	128,000,000	2010/08/13	2010/12/01	26.24
24165	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Feb 11D	70,000,000	2010/08/13	2011/02/14	95.20
24160	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2011 B	108,000,000	2010/08/13	2011/02/14	27.00
24161	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2011 C	108,000,000	2010/08/13	2011/02/14	27.00
24154	Macquarie Bank Ltd. – Agricultural Bk European Warrants Mar11	168,000,000	2010/08/13	2011/03/21	25.37
24158	Macquarie Bank Ltd. – Agricultural Bk European Put Wt Jan11	128,000,000	2010/08/13	2011/01/24	27.39
24155	Macquarie Bank Ltd. – Cheung Kong European Warrants Mar 2011	200,000,000	2010/08/13	2011/03/03	50.80
24156	Macquarie Bank Ltd. – Hutchison European Warrants Feb 2011 A	200,000,000	2010/08/13	2011/02/14	50.00
24157	Macquarie Bank Ltd. – Li & Fung European Warrants Feb 2011	200,000,000	2010/08/13	2011/02/14	50.20
24139	CC Rabobank B.A. – Agricultural Bk European Warrants Jan 11	75,000,000	2010/08/13	2011/01/12	12.53
24140	CC Rabobank B.A. – Agricultural Bk European Warrants Mar 11	75,000,000	2010/08/13	2011/03/28	12.75
24141	CC Rabobank B.A. – Agricultural Bk European Put Wts Feb11	75,000,000	2010/08/13	2011/02/22	20.25
24148	Standard Chartered Bank – China Life European Warrants Apr11	200,000,000	2010/08/13	2011/04/28	50.00
24147	Standard Chartered Bank – HSBC European Warrants Mar 2011	100,000,000	2010/08/13	2011/03/16	41.00
24129	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Apr 2011	120,000,000	2010/08/13	2011/04/18	39.60
24127	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jan 2011 B	120,000,000	2010/08/13	2011/01/31	24.00
24130	SGA Societe Generale Acceptance N.V. – BOCom Eur Wt Feb 2011	100,000,000	2010/08/13	2011/02/14	61.00
24131	SGA Societe Generale Acceptance N.V. – CCoal Eur Wt Jun 2011	100,000,000	2010/08/13	2011/06/08	25.00
24132	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2011A	200,000,000	2010/08/13	2011/04/04	34.00
24133	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2011 A	300,000,000	2010/08/13	2011/07/18	45.00
24134	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2011	300,000,000	2010/08/13	2011/12/12	60.00
24126	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 11A	300,000,000	2010/08/13	2011/02/25	90.00
24125	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Dec10K	300,000,000	2010/08/13	2010/12/30	63.00
24135	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jan 2011	100,000,000	2010/08/13	2011/01/03	19.00
24150	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Nov10C	200,000,000	2010/08/13	2010/11/15	30.00
24151	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Feb11C	200,000,000	2010/08/13	2011/02/23	36.00
24136	SGA Societe Generale Acceptance NV – Yanzhou Coal Eu Wt May11	100,000,000	2010/08/13	2011/05/18	28.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
24152	UBS AG – BOC HK European Warrants Mar 2011	100,000,000	2010/08/13	2011/03/24	15.00
24153	UBS AG – CNOOC European Warrants Jan 2011	100,000,000	2010/08/13	2011/01/24	15.00
24174	Barclays Bank plc – China Mobile European Wts Feb 2011	100,000,000	2010/08/16	2011/02/21	28.00
24175	Barclays Bank plc – China Mobile European Wts Jun 2012	150,000,000	2010/08/16	2012/06/18	24.00
24178	Barclays Bank plc – China Mobile European Put Wts Feb 2011	100,000,000	2010/08/16	2011/02/21	28.00
24176	Barclays Bank plc – CC Bank European Warrants Mar 2011	100,000,000	2010/08/16	2011/03/07	43.00
24177	Barclays Bank plc – CM Bank European Put Warrants Mar 2011	100,000,000	2010/08/16	2011/03/21	25.00
24194	Credit Suisse AG – China Mobile European Warrants Feb 2011	300,000,000	2010/08/16	2011/02/16	75.00
24170	Citigroup Global Mkt H Inc. – BOCL European Wts Mar 2011	80,000,000	2010/08/16	2011/03/28	20.00
24171	Citigroup Global Mkt H Inc. – Hutchison Euro Put Wt Feb 2011	80,000,000	2010/08/16	2011/02/25	20.00
24172	Citigroup Global Mkt H Inc. – Sands China European Wts Apr11	80,000,000	2010/08/16	2011/04/18	20.00
24173	Citigroup Global Mkt H Inc. – Wynn Macau European Wts May11	80,000,000	2010/08/16	2011/05/30	20.00
24184	Deutsche Bank AG – Minsheng Bank European Warrants Feb 2011	150,000,000	2010/08/16	2011/02/28	70.50
24185	Deutsche Bank AG – Hutchison European Put Warrants Feb 2011	100,000,000	2010/08/16	2011/02/28	33.00
24188	J P Morgan SP BV – HKEx European Warrants Aug 2011 A	300,000,000	2010/08/16	2011/08/08	45.00
24187	J P Morgan SP BV – Hutchison European Warrants Feb 2011 A	100,000,000	2010/08/16	2011/02/16	28.30
24191	J P Morgan SP BV – Hutchison European Put Warrants Feb 2011	100,000,000	2010/08/16	2011/02/16	28.40
24189	J P Morgan SP BV – Tencent European Warrants Feb 2011	200,000,000	2010/08/16	2011/02/14	30.00
24196	KBC Fin Products Int'l Ltd. – BOC HK European Wts May 2011	128,000,000	2010/08/16	2011/05/23	19.20
24195	KBC Fin Products Int'l Ltd. – BOCL European Wts Jan 2011 D	128,000,000	2010/08/16	2011/01/26	19.20
24197	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2011 A	208,000,000	2010/08/16	2011/03/01	35.36
24199	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Feb 2011 C	100,000,000	2010/08/16	2011/02/25	25.00
24200	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Feb 2011 D	100,000,000	2010/08/16	2011/02/25	25.00
24201	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Feb 11E	138,000,000	2010/08/16	2011/02/16	34.50
24198	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Apr 11	128,000,000	2010/08/16	2011/04/18	19.20
24202	KBC Fin Products Int'l Ltd – Tencent Holdings Eu Put Wt Jun 11	128,000,000	2010/08/16	2011/06/01	32.00
24179	Macquarie Bank Ltd. – China EB Ltd European Warrants Feb 11	168,000,000	2010/08/16	2011/02/28	42.17
24181	Macquarie Bank Ltd. – Ch Oilfield European Warrants Mar 2011	200,000,000	2010/08/16	2011/03/02	50.00
24180	Macquarie Bank Ltd. – Minsheng Bank European Warrants Feb 11	88,000,000	2010/08/16	2011/02/16	22.00
24206	CC Rabobank B.A. – ChiUnicom European Warrants Jul 2011	200,000,000	2010/08/16	2011/07/29	50.00
24203	CC Rabobank B.A. – CC Bank European Warrants Feb 2011 B	75,000,000	2010/08/16	2011/02/21	18.75
24207	CC Rabobank B.A. – CITIC Pacific European Warrants Mar 2011	150,000,000	2010/08/16	2011/03/30	37.50
24204	CC Rabobank B.A. – China Life European Warrants Feb 2011 A	50,000,000	2010/08/16	2011/02/25	12.50
24205	CC Rabobank B.A. – Sinopec Corp European Warrants Feb 2011	75,000,000	2010/08/16	2011/02/16	18.75
24208	CC Rabobank B.A. – Hutchison European Warrants Feb 2011 B	75,000,000	2010/08/16	2011/02/16	18.75
24209	CC Rabobank B.A. – ICBC European Warrants Feb 2011 B	75,000,000	2010/08/16	2011/02/21	18.75
24210	CC Rabobank B.A. – MTRC European Warrants Feb 2011	75,000,000	2010/08/16	2011/02/25	18.75
24211	CC Rabobank B.A. – Tencent Holdings European Put Wts Feb 11	150,000,000	2010/08/16	2011/02/25	37.50
24212	CC Rabobank B.A. – Zijin Mining European Warrants Feb 2011	75,000,000	2010/08/16	2011/02/18	48.75
24192	RB of Scotland N.V. – HSI European Warrants Nov 2010 G	250,000,000	2010/08/16	2010/11/29	37.50
24186	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Dec 10B	100,000,000	2010/08/16	2010/12/29	21.00
24190	UBS AG – BOCL European Warrants Jan 2011 A	100,000,000	2010/08/16	2011/01/26	15.00
24193	UBS AG – HSI European Put Warrants Dec 2010 J	200,000,000	2010/08/16	2010/12/30	30.00
24182	UBS AG – Hutchison European Warrants Feb 2011 A	100,000,000	2010/08/16	2011/02/16	25.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
24183	UBS AG – Hutchison European Warrants Feb 2011 B	100,000,000	2010/08/16	2011/02/21	25.00
23319#	UBS AG – CC Bank European Warrants Nov 2010 A	100,000,000	2010/08/16	2010/11/18	10.20
24243	Barclays Bank plc – HSI European Warrants Mar 2011 A	150,000,000	2010/08/17	2011/03/30	37.50
24244	Barclays Bank plc – HSI European Warrants Mar 2011 B	150,000,000	2010/08/17	2011/03/30	37.50
24245	Barclays Bank plc – HSI European Put Warrants Feb 2011 D	150,000,000	2010/08/17	2011/02/25	37.50
24246	Barclays Bank plc – HSI European Put Warrants Feb 2011 E	150,000,000	2010/08/17	2011/02/25	37.50
24247	Barclays Bank plc – HSI European Put Warrants Feb 2011 F	150,000,000	2010/08/17	2011/02/25	37.50
24236	BOCI Asia Ltd. – BankComm European Warrants Nov 2012	100,000,000	2010/08/17	2012/11/29	25.00
24237	BOCI Asia Ltd. – Hutchison European Warrants Jun 2011	100,000,000	2010/08/17	2011/06/13	35.00
24241	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jan 2011	100,000,000	2010/08/17	2011/01/24	15.00
24242	BNP Paribas Arbit Issu B.V. – R&F Ppt European Wts Jul 2011	100,000,000	2010/08/17	2011/07/29	25.00
24254	Deutsche Bank AG – China Overseas European Warrants Apr 2011	100,000,000	2010/08/17	2011/04/04	25.00
24255	Deutsche Bank AG – WISE CSI European Warrants Mar 2011	100,000,000	2010/08/17	2011/03/21	34.00
24259	Deutsche Bank AG – WISE CSI European Put Warrants Mar 2011	100,000,000	2010/08/17	2011/03/21	30.00
24234	HK Bank – Agricultural Bank European Warrants Apr 2011	100,000,000	2010/08/17	2011/04/26	25.00
24238	HK Bank – Agricultural Bank European Put Warrants Apr 2011	100,000,000	2010/08/17	2011/04/26	25.00
24235	HK Bank – HWL European Warrants Feb 2011	120,000,000	2010/08/17	2011/02/18	31.20
24250	J P Morgan SP BV – Alibaba European Warrants Jan 2011	150,000,000	2010/08/17	2011/01/24	22.50
24251	J P Morgan SP BV – China Agri European Warrants Dec 2011	120,000,000	2010/08/17	2011/12/29	30.00
24252	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Feb 2011 A	100,000,000	2010/08/17	2011/02/17	31.50
24223	Macquarie Bank Ltd. – Anhui Conch European Warrants Jun 2011	200,000,000	2010/08/17	2011/06/21	50.20
24222	Macquarie Bank Ltd. – Alibaba European Warrants May 2011	168,800,000	2010/08/17	2011/05/30	42.20
24224	Macquarie Bank Ltd. – CC Bank European Warrants Jan 2011 C	288,000,000	2010/08/17	2011/01/12	43.49
24225	Macquarie Bank Ltd. – China Mobile European Wts Feb 2011 B	300,000,000	2010/08/17	2011/02/17	75.00
24228	Macquarie Bank Ltd. – CNBM European Warrants Jun 2011	200,000,000	2010/08/17	2011/06/17	50.00
24226	Macquarie Bank Ltd. – Sinopec Corp European Wts Nov 2010	188,000,000	2010/08/17	2010/11/23	31.96
24248	Macquarie Bank Ltd. – Chi Res Land European Wts Feb 2011	100,000,000	2010/08/17	2011/02/25	25.00
24229	Macquarie Bank Ltd. – China Unicom European Wts Aug 2011 B	200,000,000	2010/08/17	2011/08/26	30.00
24230	Macquarie Bank Ltd. – R&F Ppt European Warrants Jul 2011	150,000,000	2010/08/17	2011/07/04	37.50
24240	Macquarie Bank Ltd. – HSBC European Put Warrants Jan 2011	200,000,000	2010/08/17	2011/01/04	67.00
24239	Macquarie Bank Ltd. – HSBC European Warrants Feb 2011 B	200,000,000	2010/08/17	2011/02/17	50.40
24227	Macquarie Bank Ltd. – KECL European Warrants Sep 2011	200,000,000	2010/08/17	2011/09/30	50.00
24231	Macquarie Bank Ltd. – Lee & Man Paper European Wts Dec 2011	231,400,000	2010/08/17	2011/12/22	34.71
24232	Macquarie Bank Ltd. – Maanshan Iron European Wts Feb 2011	100,000,000	2010/08/17	2011/02/17	25.10
24233	Macquarie Bank Ltd. – MTRC European Warrants May 2011	200,000,000	2010/08/17	2011/05/04	50.00
24249	Macquarie Bank Ltd. – Yurun Food European Warrants Feb 2011	100,000,000	2010/08/17	2011/02/25	25.00
24213	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Jan 2011	100,000,000	2010/08/17	2011/01/24	28.50
24214	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Apr 2011	100,000,000	2010/08/17	2011/04/18	25.50
24215	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Jun 2011	150,000,000	2010/08/17	2011/06/13	48.75
24216	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Sep 2011	150,000,000	2010/08/17	2011/09/12	44.25
24220	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Apr 2011	100,000,000	2010/08/17	2011/04/11	49.50
24217	Merrill Lynch Int'l & Co. C.V. – JX Copper Euro Wts Dec 2010	100,000,000	2010/08/17	2010/12/28	15.10
24221	Merrill Lynch Int'l & Co. C.V. – JX Copper Eu Put Wt Apr 2011	100,000,000	2010/08/17	2011/04/18	26.50
24218	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Feb 2011 A	150,000,000	2010/08/17	2011/02/14	22.50



# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
24219	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Feb 2011 B	150,000,000	2010/08/17	2011/02/15	24.00
15415 <sup>#</sup>	Macquarie Bank Ltd. – Hutchison European Warrants Jul 2011	400,000,000	2010/08/17	2011/07/25	28.40
18775 <sup>#</sup>	Deutsche Bank AG – Cheung Kong European Warrants Oct 2010	300,000,000	2010/08/17	2010/10/25	23.40
22379 <sup>#</sup>	Deutsche Bank AG – A50 China European Warrants Nov 2010	300,000,000	2010/08/17	2010/11/22	13.50
22142 <sup>#</sup>	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Nov 2010	120,000,000	2010/08/17	2010/11/01	29.16
23225 <sup>#</sup>	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Dec 2010	138,000,000	2010/08/17	2010/12/28	10.49
19855 <sup>#</sup>	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Put Wts Nov10	70,000,000	2010/08/17	2010/11/08	13.09
22477 <sup>#</sup>	UBS AG – A50 China European Warrants Nov 2010 B	300,000,000	2010/08/17	2010/11/22	13.20
21834 <sup>#</sup>	UBS AG – HKEx European Warrants Dec 2010 C	300,000,000	2010/08/17	2010/12/06	14.70
15225 <sup>#</sup>	UBS AG – Hutchison European Warrants Jul 2011	500,000,000	2010/08/17	2011/07/25	37.50
14631 <sup>#</sup>	UBS AG – Hutchison European Warrants Dec 2010 A	500,000,000	2010/08/17	2010/12/06	11.50
24262	Citigroup Global Mkt H Inc. – Belle Int'l European Wts Jun11	80,000,000	2010/08/18	2011/06/27	20.00
24269	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Feb 2011	80,000,000	2010/08/18	2011/02/28	20.00
24263	Citigroup Global Mkt H Inc. – KECL European Warrants Aug 2011	100,000,000	2010/08/18	2011/08/18	25.00
24276	Deutsche Bank AG – China Life European Warrants Nov 2010 C	300,000,000	2010/08/18	2010/11/22	45.00
24277	Deutsche Bank AG – ICBC European Warrants Nov 2010 B	200,000,000	2010/08/18	2010/11/22	50.00
24278	Deutsche Bank AG – ICBC European Warrants Nov 2010 C	200,000,000	2010/08/18	2010/11/22	34.00
24270	Deutsche Bank AG – Jiangxi Copper European Warrants Mar 2011	100,000,000	2010/08/18	2011/03/28	25.00
24271	Deutsche Bank AG – Yanzhou Coal European Warrants Mar 2011	100,000,000	2010/08/18	2011/03/21	25.00
24273	J P Morgan SP BV – BEA European Warrants Feb 2011	80,000,000	2010/08/18	2011/02/18	20.00
24272	J P Morgan SP BV – BankComm European Warrants Apr 2012	300,000,000	2010/08/18	2012/04/27	75.00
24274	J P Morgan SP BV – Henderson Land Dev European Wts Feb 2011	80,000,000	2010/08/18	2011/02/18	20.00
24268	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2011 F	120,000,000	2010/08/18	2011/02/18	30.00
24264	Macquarie Bank Ltd. – Hang Seng Bank European Wts Mar 2011	200,000,000	2010/08/18	2011/03/02	50.00
24267	Macquarie Bank Ltd. – Hutchison European Put Wts Mar 2011	200,000,000	2010/08/18	2011/03/02	58.00
24275	Macquarie Bank Ltd. – Hutchison European Warrants Feb 2011 B	200,000,000	2010/08/18	2011/02/18	50.20
24266	Macquarie Bank Ltd. – Tencent European Warrants Jul 2011	250,000,000	2010/08/18	2011/07/04	62.75
24265	CC Rabobank B.A. – CC Bank European Warrants Dec 2010 C	200,000,000	2010/08/18	2010/12/23	30.00
24256	SGA Societe Generale Acceptance N.V. – BBMG Euro Wts Apr11	200,000,000	2010/08/18	2011/04/04	50.00
24257	SGA Societe Generale Acceptance N.V. – BBMG Euro Wts Sep11	200,000,000	2010/08/18	2011/09/05	50.00
24258	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2011 E	300,000,000	2010/08/18	2011/01/28	66.00
24260	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2011 F	300,000,000	2010/08/18	2011/01/28	60.00
24261	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jan 2011 C	120,000,000	2010/08/18	2011/01/10	21.60
24279	UBS AG – A50 China European Warrants Nov 2011	200,000,000	2010/08/18	2011/11/21	30.00
21089 <sup>#</sup>	Macquarie Bank Ltd. – HSCEI European Warrants Oct 2010	200,000,000	2010/08/18	2010/10/28	10.20
22187 <sup>#</sup>	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Sep10 C	300,000,000	2010/08/18	2010/09/29	10.50
24301	Deutsche Bank AG – BOCL European Warrants Dec 2010 C	200,000,000	2010/08/19	2010/12/28	30.00
24302	Deutsche Bank AG – Cheung Kong European Warrants Jun 2011	200,000,000	2010/08/19	2011/06/28	30.00
24303	Deutsche Bank AG – Cheung Kong European Warrants Oct 2011	200,000,000	2010/08/19	2011/10/24	30.00
24304	Deutsche Bank AG – China Life European Warrants Feb 2011	300,000,000	2010/08/19	2011/02/21	81.00
24305	Deutsche Bank AG – HSBC European Warrants Feb 2011	200,000,000	2010/08/19	2011/02/21	76.00
24293	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Feb 2011 B	100,000,000	2010/08/19	2011/02/21	25.00
24297	J P Morgan SP BV – C Transmission European Warrants Feb 2011	100,000,000	2010/08/19	2011/02/21	25.00
24294	J P Morgan SP BV – CNBM European Warrants Feb 2011	100,000,000	2010/08/19	2011/02/21	25.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
24298	J P Morgan SP BV – Fushan Energy European Warrants Feb 2011	80,000,000	2010/08/19	2011/02/21	20.00
24295	J P Morgan SP BV – HSBC European Put Warrants Dec 2011	388,000,000	2010/08/19	2011/12/12	58.20
24299	J P Morgan SP BV – JX Copper European Warrants Feb 2011	200,000,000	2010/08/19	2011/02/21	50.00
24300	J P Morgan SP BV – KECL European Warrants Jun 2011	188,000,000	2010/08/19	2011/06/22	28.20
24296	J P Morgan SP BV – SHK Ppt European Warrants Aug 2011	200,000,000	2010/08/19	2011/08/29	30.00
24290	Macquarie Bank Ltd. – CITIC Bank European Warrants Mar 2011	100,000,000	2010/08/19	2011/03/02	25.60
24289	Macquarie Bank Ltd. – HSI European Warrants Feb 2011	200,000,000	2010/08/19	2011/02/25	30.00
24288	Macquarie Bank Ltd. – HSI European Warrants Dec 2010 C	200,000,000	2010/08/19	2010/12/30	30.00
24291	Macquarie Bank Ltd. – Huaneng Power European Wts Mar 2011	100,000,000	2010/08/19	2011/03/02	25.20
24292	Macquarie Bank Ltd. – ZTE European Warrants Mar 2011	200,000,000	2010/08/19	2011/03/02	51.60
24280	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Mar 2011	100,000,000	2010/08/19	2011/03/28	20.30
24281	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts May 2011 A	100,000,000	2010/08/19	2011/05/23	15.20
24284	Merrill Lynch Int'l & Co. C.V. – Ch Overseas Eur Wt Feb 2011	100,000,000	2010/08/19	2011/02/28	15.10
24287	Merrill Lynch Int'l & Co. C.V. – Ch Overseas Eur Put Wts Jan11	100,000,000	2010/08/19	2011/01/25	18.00
24282	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jan 2011 B	150,000,000	2010/08/19	2011/01/24	30.75
24283	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jan 2011 C	150,000,000	2010/08/19	2011/01/13	22.65
24285	Merrill Lynch Int'l & Co. C.V. – Ch Overseas Eur Wt Mar 11A	100,000,000	2010/08/19	2011/03/14	25.50
24286	Merrill Lynch Int'l & Co. C.V. – Ch Overseas Eur Wt Mar 11B	100,000,000	2010/08/19	2011/03/15	17.80
24306	RB of Scotland N.V. – China Mobile European Wts Feb 2011	100,000,000	2010/08/19	2011/02/21	35.00
24307	RB of Scotland N.V. – China Mobile European Wts Jun 2012	300,000,000	2010/08/19	2012/06/18	45.00
24308	RB of Scotland N.V. – China Mobile European Put Wts Dec11	300,000,000	2010/08/19	2011/12/21	45.00
24309	RB of Scotland N.V. – HKEx European Put Warrants Mar 2011	200,000,000	2010/08/19	2011/03/11	30.00
14560#	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Dec 2010	600,000,000	2010/08/19	2010/12/24	13.80
24311	Citigroup Global Mkt H Inc. – Bank of EA European Wts Apr 11	90,000,000	2010/08/20	2011/04/11	22.50
24318	Deutsche Bank AG – Air China European Warrants Dec 2010	100,000,000	2010/08/20	2010/12/28	15.00
24319	Deutsche Bank AG – Cathay Pacific European Warrants Apr 2011	100,000,000	2010/08/20	2011/04/18	25.00
24320	Deutsche Bank AG – Dongfeng Motor European Warrants Mar 11	100,000,000	2010/08/20	2011/03/28	15.00
24317	Deutsche Bank AG – HSI European Warrants Feb 2011 A	300,000,000	2010/08/20	2011/02/25	75.00
24321	Deutsche Bank AG – HSI European Put Warrants Feb 2011 E	300,000,000	2010/08/20	2011/02/25	75.00
24322	Deutsche Bank AG – Hutchison European Warrants Feb 2011 B	200,000,000	2010/08/20	2011/02/21	58.00
24323	Deutsche Bank AG – Hutchison European Warrants Mar 2011 B	200,000,000	2010/08/20	2011/03/29	50.00
24328	Goldman Sachs SP (Asia) – Agricultural Bk European Wts Feb11	200,000,000	2010/08/20	2011/02/28	50.00
24329	Goldman Sachs SP (Asia) – Agricultural Bk European Wts Jun11	200,000,000	2010/08/20	2011/06/27	50.00
24330	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Feb11B	200,000,000	2010/08/20	2011/02/21	62.00
24312	HK Bank – China Mobile European Warrants Feb 2011	120,000,000	2010/08/20	2011/02/21	30.00
24313	HK Bank – China Mobile European Warrants Apr 2011	120,000,000	2010/08/20	2011/04/27	30.00
24314	HK Bank – China Mobile European Warrants Jun 2012	160,000,000	2010/08/20	2012/06/18	24.00
24315	J P Morgan SP BV – China Mob European Wts Feb 2011	100,000,000	2010/08/20	2011/02/22	27.90
24316	J P Morgan SP BV – China Mob European Wts Jun 2012	388,000,000	2010/08/20	2012/06/18	59.36
24327	CC Rabobank B.A. – A50 China European Warrants Jun 2011	200,000,000	2010/08/20	2011/06/03	30.00
24310	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Feb 2011	120,000,000	2010/08/20	2011/02/21	31.20
24324	UBS AG – BOCL European Warrants Jan 2011 B	100,000,000	2010/08/20	2011/01/03	15.00
24325	UBS AG – CC Bank European Warrants Dec 2010 C	200,000,000	2010/08/20	2010/12/30	30.00
24326	UBS AG – SPDR Gold Trust European Warrants Feb 2011	100,000,000	2010/08/20	2011/02/21	25.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
22166#	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Nov 2010 B	500,000,000	2010/08/20	2010/11/01	10.50
24350	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Apr 2011	100,000,000	2010/08/23	2011/04/04	30.00
24345	Credit Suisse AG – Cheung Kong European Warrants Oct 2011	300,000,000	2010/08/23	2011/10/17	45.00
24346	HK Bank – HSI European Put Warrants Jan 2011 A	160,000,000	2010/08/23	2011/01/28	24.00
24344	J P Morgan SP BV – Cheung Kong European Warrants Oct 2011	200,000,000	2010/08/23	2011/10/17	30.00
24347	J P Morgan SP BV – Hutchison European Warrants Feb 2011 B	100,000,000	2010/08/23	2011/02/14	22.00
24348	J P Morgan SP BV – New World Dev European Warrants May 2011	100,000,000	2010/08/23	2011/05/20	15.00
24349	J P Morgan SP BV – SHK Ppt European Warrants May 2011	200,000,000	2010/08/23	2011/05/23	30.20
24339	Macquarie Bank Ltd. – Gome European Warrants Mar 2011	88,000,000	2010/08/23	2011/03/16	22.53
24340	Macquarie Bank Ltd – Skyworth Digital European Warrants Sep 12	80,000,000	2010/08/23	2012/09/26	20.00
24341	Macquarie Bank Ltd. – Soho China European Warrants Apr 2012	200,000,000	2010/08/23	2012/04/30	50.00
24342	Macquarie Bank Ltd. – Zijin Mining European Wts Feb 2011	80,000,000	2010/08/23	2011/02/28	21.76
24338	Merrill Lynch Int'l & Co. C.V. – BOCL European Put Wts Feb 11	100,000,000	2010/08/23	2011/02/28	30.50
24331	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Jan 2011A	100,000,000	2010/08/23	2011/01/12	15.30
24332	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Jan 2011B	100,000,000	2010/08/23	2011/01/26	15.00
24333	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Feb 2011B	100,000,000	2010/08/23	2011/02/23	37.50
24336	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2010 G	100,000,000	2010/08/23	2010/12/24	30.00
24335	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Mar 2011	100,000,000	2010/08/23	2011/03/01	49.00
24334	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Feb 11B	100,000,000	2010/08/23	2011/02/28	30.50
24337	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Mar 2011	100,000,000	2010/08/23	2011/03/07	30.00
24351	RB of Scotland N.V. – Agricultural Bk European Wts Feb11 A	100,000,000	2010/08/23	2011/02/28	25.20
24353	RB of Scotland N.V. – China Mobile European Wts Jan 2011	100,000,000	2010/08/23	2011/01/24	39.40
24352	RB of Scotland N.V. – Cheung Kong European Warrants Jun 2011	250,000,000	2010/08/23	2011/06/28	37.50
24354	RB of Scotland N.V. – HKEx European Warrants Jul 2011 A	250,000,000	2010/08/23	2011/07/18	37.50
24355	RB of Scotland N.V. – HSBC European Warrants Feb 2011 A	100,000,000	2010/08/23	2011/02/23	48.60
24356	RB of Scotland N.V. – HWL European Warrants Feb 2011 A	100,000,000	2010/08/23	2011/02/23	26.30
24343	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2010C	200,000,000	2010/08/23	2010/12/29	46.00
24367	BOCI Asia Ltd. – Cheung Kong European Warrants Oct 2011	100,000,000	2010/08/24	2011/10/31	15.00
24371	BNP Paribas Arbit Issu B.V. – Hutchison European Wts Mar 11B	100,000,000	2010/08/24	2011/03/01	44.00
24379	Credit Suisse AG – BOCL European Warrants Jan 2011	300,000,000	2010/08/24	2011/01/10	45.00
24374	Credit Suisse AG – CC Bank European Warrants Feb 2011	300,000,000	2010/08/24	2011/02/28	75.00
24373	Credit Suisse AG – CC Bank European Warrants Dec 2010 C	200,000,000	2010/08/24	2010/12/28	30.00
24378	Credit Suisse AG – CM Bank European Warrants Dec 2010 B	200,000,000	2010/08/24	2010/12/28	30.00
24372	Credit Suisse AG – Sinopec Corp European Warrants Nov 2010	200,000,000	2010/08/24	2010/11/24	30.00
24377	Credit Suisse AG – ICBC European Warrants Jul 2012	300,000,000	2010/08/24	2012/07/03	45.00
24375	Credit Suisse AG – ICBC European Warrants Dec 2010 D	200,000,000	2010/08/24	2010/12/20	30.00
24376	Credit Suisse AG – ICBC European Warrants Jan 2011 B	300,000,000	2010/08/24	2011/01/24	45.00
24361	Citigroup Global Mkt H Inc. – Anta Sports European Wts May11	100,000,000	2010/08/24	2011/05/30	25.00
24362	Citigroup Global Mkt H Inc. – CM Bank European Wts Jul 2011	100,000,000	2010/08/24	2011/07/11	25.00
24368	Citigroup Global Mkt H Inc. – A50 China Euro Wts Jun 2011	120,000,000	2010/08/24	2011/06/03	18.00
24369	Citigroup Global Mkt H Inc. – A50 China Euro Wts Jan 2012	120,000,000	2010/08/24	2012/01/16	30.00
24370	Citigroup Global Mkt H Inc. – Henganintl European Wts Jun 12	100,000,000	2010/08/24	2012/06/25	25.00
24388	Deutsche Bank AG – China Cosco European Warrants Nov 2011	100,000,000	2010/08/24	2011/11/07	18.00
24392	Deutsche Bank AG – Henganintl European Warrants Feb 2011	100,000,000	2010/08/24	2011/02/28	52.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
24389	Deutsche Bank AG – HSI European Warrants Feb 2011 B	300,000,000	2010/08/24	2011/02/25	105.00
24390	Deutsche Bank AG – HSI European Warrants Feb 2011 C	300,000,000	2010/08/24	2011/02/25	87.00
24391	Deutsche Bank AG – HSI European Warrants Feb 2011 D	300,000,000	2010/08/24	2011/02/25	75.00
24393	Deutsche Bank AG – HSI European Put Warrants Feb 2011 F	300,000,000	2010/08/24	2011/02/25	75.00
24394	Deutsche Bank AG – HSI European Put Warrants Feb 2011 G	300,000,000	2010/08/24	2011/02/25	84.00
24395	Deutsche Bank AG – HSI European Put Warrants Feb 2011 H	300,000,000	2010/08/24	2011/02/25	96.00
24403	Deutsche Bank AG – SHK Ppt European Warrants Oct 2011	200,000,000	2010/08/24	2011/10/24	30.00
24380	J P Morgan SP BV – Agile Ppt European Warrants Jul 2011	150,000,000	2010/08/24	2011/07/25	37.50
24381	J P Morgan SP BV – Belle Int'l European Warrants Mar 2011	180,000,000	2010/08/24	2011/03/21	45.00
24382	J P Morgan SP BV – China Shenhua European Warrants Feb 2011A	100,000,000	2010/08/24	2011/02/24	25.00
24383	J P Morgan SP BV – China Shenhua European Warrants Feb 2011B	100,000,000	2010/08/24	2011/02/24	25.00
24384	J P Morgan SP BV – Ch Zhongwang European Warrants Oct 2012	100,000,000	2010/08/24	2012/10/29	25.00
24385	J P Morgan SP BV – R&F Ppt European Warrants Jun 2011	100,000,000	2010/08/24	2011/06/20	25.00
24386	J P Morgan SP BV – HSBC European Warrants Dec 2011	388,000,000	2010/08/24	2011/12/12	59.75
24387	Macquarie Bank Ltd. – Digital China European Warrants Mar 11	200,000,000	2010/08/24	2011/03/30	50.00
24357	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Feb11A	200,000,000	2010/08/24	2011/02/25	38.20
24358	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Feb11B	200,000,000	2010/08/24	2011/02/25	31.60
24359	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Feb11C	200,000,000	2010/08/24	2011/02/25	31.80
24360	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Nov 10J	200,000,000	2010/08/24	2010/11/29	32.40
24363	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Feb 11D	200,000,000	2010/08/24	2011/02/25	31.40
24364	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Feb 11E	200,000,000	2010/08/24	2011/02/25	31.20
24365	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Feb 11F	200,000,000	2010/08/24	2011/02/25	43.00
24366	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Feb 11G	200,000,000	2010/08/24	2011/02/25	30.80
24396	RB of Scotland N.V. – HKEx European Warrants Jul 2011 B	250,000,000	2010/08/24	2011/07/04	40.25
24398	Standard Chartered Bank – China Mobile European Wts Apr11	100,000,000	2010/08/24	2011/04/28	47.50
24400	Standard Chartered Bank – A50 China European Warrants Jun 11	250,000,000	2010/08/24	2011/06/08	38.25
24397	Standard Chartered Bank – Hutchison European Euro Wts Mar11	100,000,000	2010/08/24	2011/03/08	25.50
24399	Standard Chartered Bank – ICBC European Warrants Mar 2011	150,000,000	2010/08/24	2011/03/08	37.50
24402	UBS AG – China Coal European Warrants Jan 2011	100,000,000	2010/08/24	2011/01/17	15.00
24401	UBS AG – Cheung Kong European Warrants Oct 2011	100,000,000	2010/08/24	2011/10/17	15.00
22443#	UBS AG – China Life European Warrants Nov 2010 A	200,000,000	2010/08/24	2010/11/08	24.40
24453	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jan 2011	100,000,000	2010/08/25	2011/01/07	19.00
24452	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Apr 2011 A	100,000,000	2010/08/25	2011/04/29	27.00
24454	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Feb 2011 B	100,000,000	2010/08/25	2011/02/28	38.00
24428	Credit Suisse AG – BBMG European Warrants Mar 2011	300,000,000	2010/08/25	2011/03/28	45.00
24429	Credit Suisse AG – BBMG European Warrants Aug 2011	300,000,000	2010/08/25	2011/08/29	45.00
24430	Credit Suisse AG – BankComm European Warrants Apr 2012	300,000,000	2010/08/25	2012/04/23	45.00
24431	Credit Suisse AG – BankComm European Warrants Nov 2012	300,000,000	2010/08/25	2012/11/26	45.00
24426	Credit Suisse AG – China Shenhua European Warrants Jan 2011	300,000,000	2010/08/25	2011/01/31	45.00
24427	Credit Suisse AG – A50 China European Warrants Jun 2011 A	300,000,000	2010/08/25	2011/06/07	45.00
24425	Credit Suisse AG – Jiangxi Copper European Put Wts Apr11	300,000,000	2010/08/25	2011/04/11	45.00
24413	Citigroup Global Mkt H Inc. – Anta Sports European Wts Mar11	80,000,000	2010/08/25	2011/03/28	20.00
24447	Citigroup Global Mkt H Inc. – Chaoda Modern European Wt Aug11	80,000,000	2010/08/25	2011/08/30	20.00
24414	Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts Feb 2011	80,000,000	2010/08/25	2011/02/25	20.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
24415	Citigroup Global Mkt H Inc. – Chi Taiping European Wts Feb11	80,000,000	2010/08/25	2011/02/25	20.00
24416	Citigroup Global Mkt H Inc. – Sh Ind H European Wts Jun 2011	80,000,000	2010/08/25	2011/06/27	20.00
24450	Deutsche Bank AG – CITIC Pacific European Warrants Mar 2011B	100,000,000	2010/08/25	2011/03/28	21.00
24448	Deutsche Bank AG – Dongfeng Motor European Warrants Jun 11	100,000,000	2010/08/25	2011/06/07	25.00
24449	Deutsche Bank AG – PICC P&C European Warrants Jul 2011	150,000,000	2010/08/25	2011/07/11	37.50
24411	J P Morgan SP BV – Shineway Pharm European Warrants Feb 2011	100,000,000	2010/08/25	2011/02/25	25.00
24412	J P Morgan SP BV – Evergrande European Warrants Feb 2011	100,000,000	2010/08/25	2011/02/25	25.00
24451	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jan 2011 B	128,000,000	2010/08/25	2011/01/21	32.00
24404	Macquarie Bank Ltd. – ASM Pacific European Warrants Oct 2011	200,000,000	2010/08/25	2011/10/04	50.00
24405	Macquarie Bank Ltd. – BBMG European Warrants Aug 2011	200,000,000	2010/08/25	2011/08/01	50.20
24406	Macquarie Bank Ltd. – Belle Int'l European Warrants Jun 2011	200,000,000	2010/08/25	2011/06/07	50.20
24407	Macquarie Bank Ltd. – China Travel European Wts Feb 2011	88,000,000	2010/08/25	2011/02/28	22.00
24408	Macquarie Bank Ltd. – Li Ning European Warrants Mar 2011	200,000,000	2010/08/25	2011/03/31	51.40
24433	Macquarie Bank Ltd. – PICC P&C European Warrants Jul 2011	200,000,000	2010/08/25	2011/07/05	50.00
24434	Macquarie Bank Ltd. – PICC P&C European Warrants Oct 2011	200,000,000	2010/08/25	2011/10/04	50.40
24435	Macquarie Bank Ltd. – Sh Ind H European Warrants Feb 2011	100,000,000	2010/08/25	2011/02/25	25.10
24432	Macquarie Bank Ltd. – Sinoma European Warrants May 2011	100,000,000	2010/08/25	2011/05/27	15.10
24409	Macquarie Bank Ltd. – SJM Holdings European Warrants Feb 11	200,000,000	2010/08/25	2011/02/28	50.20
24443	CC Rabobank B.A. – Belle Int'l European Warrants Mar 2011	120,000,000	2010/08/25	2011/03/02	30.00
24444	CC Rabobank B.A. – Gome European Warrants Feb 2011	100,000,000	2010/08/25	2011/02/28	25.00
24445	CC Rabobank B.A. – Henganintl European Warrants Feb 2011	80,000,000	2010/08/25	2011/02/28	28.80
24446	CC Rabobank B.A. – Mongolia Energy European Wts Mar 2011	100,000,000	2010/08/25	2011/03/01	49.00
24417	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Feb 2011 A	200,000,000	2010/08/25	2011/02/28	50.00
24410	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Feb 2011	120,000,000	2010/08/25	2011/02/28	33.60
24418	SGA Societe Generale Acceptance N.V. – BYD Euro Wts Feb 2011	80,000,000	2010/08/25	2011/02/28	65.60
24419	SGA Societe Generale Acceptance N.V. – BYD Euro Wts Apr 2011	80,000,000	2010/08/25	2011/04/27	57.60
24438	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 11A	80,000,000	2010/08/25	2011/02/07	32.80
24420	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Feb 2011B	80,000,000	2010/08/25	2011/02/07	36.80
24436	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Dec 10D	80,000,000	2010/08/25	2010/12/29	33.60
24437	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 11C	80,000,000	2010/08/25	2011/01/31	52.00
24421	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar 11B	100,000,000	2010/08/25	2011/03/02	61.00
24439	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Feb 11B	80,000,000	2010/08/25	2011/02/28	20.80
24422	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2011 B	200,000,000	2010/08/25	2011/07/04	34.00
24440	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 11B	300,000,000	2010/08/25	2011/02/25	72.00
24423	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Dec 2010	300,000,000	2010/08/25	2010/12/13	51.00
24424	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jun 2011	300,000,000	2010/08/25	2011/06/01	48.00
24442	UBS AG – Hutchison European Put Warrants Feb 2011	100,000,000	2010/08/25	2011/02/07	15.00
24441	UBS AG – SHK Ppt European Warrants Aug 2011 B	100,000,000	2010/08/25	2011/08/29	15.00
24476	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Apr 2011 B	150,000,000	2010/08/26	2011/04/21	31.50
24479	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Jan 2012	150,000,000	2010/08/26	2012/01/20	24.00
24477	BNP Paribas Arbit Issu B.V. – ICBC European Wts Apr 2011	150,000,000	2010/08/26	2011/04/29	61.50
24478	BNP Paribas Arbit Issu B.V. – ICBC European Wts Jun 2012	150,000,000	2010/08/26	2012/06/21	22.50
24468	Credit Suisse AG – Cheung Kong European Warrants Jul 2011	300,000,000	2010/08/26	2011/07/04	45.00
24470	Credit Suisse AG – A50 China European Warrants Jun 2011 B	300,000,000	2010/08/26	2011/06/07	45.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
24469	Credit Suisse AG – HKEx European Put Warrants Mar 2011	300,000,000	2010/08/26	2011/03/14	45.00
24462	Citigroup Global Mkt H Inc. – CITIC Pacific Eu Wt May 2011	80,000,000	2010/08/26	2011/05/23	20.00
24463	Citigroup Global Mkt H Inc. – Dongfeng European Wts Jun 2011	80,000,000	2010/08/26	2011/06/20	20.00
24472	Deutsche Bank AG – CNBM European Warrants Feb 2011	150,000,000	2010/08/26	2011/02/28	37.50
24473	Deutsche Bank AG – Geely Auto European Warrants Dec 2010	100,000,000	2010/08/26	2010/12/06	18.00
24459	HK Bank – HSI European Put Warrants Jan 2011 B	160,000,000	2010/08/26	2011/01/28	24.00
24467	J P Morgan SP BV – PICC European Warrants Aug 2011	200,000,000	2010/08/26	2011/08/11	50.00
24475	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Feb 2011 B	100,000,000	2010/08/26	2011/02/21	15.00
24474	KBC Fin Products Int'l Ltd. – HS Bank European Wts Feb 2011	108,000,000	2010/08/26	2011/02/28	44.28
24471	Macquarie Bank Ltd. – Bank of EA European Warrants May 2011	200,000,000	2010/08/26	2011/05/03	50.20
24455	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Jun 2011	100,000,000	2010/08/26	2011/06/28	15.00
24456	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Oct 2011	100,000,000	2010/08/26	2011/10/17	15.10
24457	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Mar 2011	100,000,000	2010/08/26	2011/03/04	15.00
24480	RB of Scotland N.V. – Sinopec Corp European Warrants Jan 11A	100,000,000	2010/08/26	2011/01/25	42.00
24481	RB of Scotland N.V. – Sinopec Corp European Warrants Jan 11B	100,000,000	2010/08/26	2011/01/10	28.80
24482	RB of Scotland N.V. – SHK P European Warrants Aug 2011	250,000,000	2010/08/26	2011/08/29	37.50
24461	Standard Chartered Bank – China Life European Warrants Feb11	120,000,000	2010/08/26	2011/02/28	30.00
24460	Standard Chartered Bank – HKEx European Warrants Mar 2011	100,000,000	2010/08/26	2011/03/28	48.00
24466	UBS AG – CC Bank European Warrants Dec 2010 D	100,000,000	2010/08/26	2010/12/28	15.00
24464	UBS AG – China Life European Warrants Dec 2010 D	200,000,000	2010/08/26	2010/12/23	30.00
24465	UBS AG – R&F Ppt European Warrants Jun 2011	100,000,000	2010/08/26	2011/06/27	15.00
24458	UBS AG – HKEx European Warrants Oct 2011 A	300,000,000	2010/08/26	2011/10/24	45.00
17810#	Macquarie Bank Ltd. – BYD European Warrants Jun 2011 A	200,000,000	2010/08/26	2011/06/29	10.60
19323#	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Dec 2010 B	600,000,000	2010/08/26	2010/12/28	11.40
24522	BNP Paribas Arbit Issu B.V. – Gome European Warrants Mar2011	100,000,000	2010/08/27	2011/03/01	33.00
24523	BNP Paribas Arbit Issu B.V. – Kerry Ppt European Wts Jul11	45,000,000	2010/08/27	2011/07/04	22.95
24520	BNP Paribas Arbit Issu B.V. – Lenovo European Warrants Oct13	85,000,000	2010/08/27	2013/10/03	21.25
24524	BNP Paribas Arbit Issu B.V. – MTRC European Warrants Jul 2011	45,000,000	2010/08/27	2011/07/04	11.25
24521	BNP Paribas Arbit Issu B.V. – Nine Dragons European Wt Oct11	100,000,000	2010/08/27	2011/10/03	25.00
24516	Deutsche Bank AG – Cheung Kong European Put Wts Oct 2011	100,000,000	2010/08/27	2011/10/17	15.00
24517	Deutsche Bank AG – SHK Ppt European Put Warrants May 2011	100,000,000	2010/08/27	2011/05/30	15.00
10988	Deutsche Bank AG – USD/YEN European Warrants Mar 2011	50,000,000	2010/08/27	2011/03/28	12.50
24519	J P Morgan SP BV – China Mob European Put Warrants Feb 2011A	100,000,000	2010/08/27	2011/02/28	25.00
24528	J P Morgan SP BV – China Mob European Put Warrants Feb 2011B	100,000,000	2010/08/27	2011/02/28	35.00
24511	J P Morgan SP BV – Dongfeng Motor European Warrants Jun2011A	180,000,000	2010/08/27	2011/06/29	45.00
24525	KBC Fin Products Int'l Ltd. – ICBC European Wts Feb 2011	128,000,000	2010/08/27	2011/02/28	53.76
24526	Macquarie Bank Ltd. – Sinofert European Warrants Feb 2011	88,000,000	2010/08/27	2011/02/28	25.34
24494	Nomura Int'l plc – China Telecom European Warrants Mar 2011	100,000,000	2010/08/27	2011/03/31	56.70
24495	Nomura Int'l plc – CC Bank European Put Warrants Dec 2010	100,000,000	2010/08/27	2010/12/31	23.40
24497	Nomura Int'l plc – China Life European Put Warrants Mar 2011	100,000,000	2010/08/27	2011/03/31	25.00
24493	Nomura Int'l plc – Dongfeng European Warrants Mar 2011	100,000,000	2010/08/27	2011/03/31	25.00
24492	Nomura Int'l plc – HKEx European Warrants Jul 2011	100,000,000	2010/08/27	2011/07/29	15.00
24489	Nomura Int'l plc – HSBC European Put Warrants Dec 2011	200,000,000	2010/08/27	2011/12/12	30.00
24490	Nomura Int'l plc – Hutchison European Warrants Feb 2011 A	100,000,000	2010/08/27	2011/02/07	24.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
24491	Nomura Int'l plc – Hutchison European Warrants Feb 2011 B	100,000,000	2010/08/27	2011/02/25	15.00
24496	Nomura Int'l plc – China Mengniu European Warrants Jan 2011	150,000,000	2010/08/27	2011/01/24	30.15
24498	Nomura Int'l plc – Nine Dragons European Warrants Jul 2011	100,000,000	2010/08/27	2011/07/18	15.00
24527	RB of Scotland N.V. – HSI European Warrants Dec 2010 E	250,000,000	2010/08/27	2010/12/30	44.25
24531	RB of Scotland N.V. – HSI European Put Warrants Dec 2010 F	250,000,000	2010/08/27	2010/12/30	38.25
24513	Standard Chartered Bank – Cheung Kong European Wts Feb11	150,000,000	2010/08/27	2011/02/21	22.50
24515	Standard Chartered Bank – China Shenhua European Wts Apr11	100,000,000	2010/08/27	2011/04/28	25.00
24514	Standard Chartered Bank – Tencent Hldg European Wts Apr 11	150,000,000	2010/08/27	2011/04/28	37.50
24499	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Feb 2011 B	120,000,000	2010/08/27	2011/02/28	30.00
24501	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Feb 2011	120,000,000	2010/08/27	2011/02/28	40.80
24500	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Oct 2012	300,000,000	2010/08/27	2012/10/03	57.00
24502	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Feb 11C	80,000,000	2010/08/27	2011/02/16	26.40
24509	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Put Wt Jan11C	250,000,000	2010/08/27	2011/01/26	37.50
24510	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Put Wt Jan11D	250,000,000	2010/08/27	2011/01/05	52.50
24518	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2010 E	100,000,000	2010/08/27	2010/12/06	24.00
24505	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2011 C	100,000,000	2010/08/27	2011/02/09	39.00
24506	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2011 C	300,000,000	2010/08/27	2011/02/25	45.00
24507	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2011 D	300,000,000	2010/08/27	2011/02/25	45.00
24508	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2011 E	300,000,000	2010/08/27	2011/02/25	45.00
24512	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 11F	300,000,000	2010/08/27	2011/02/25	63.00
24503	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2011 A	100,000,000	2010/08/27	2011/03/02	50.00
24504	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2011 B	100,000,000	2010/08/27	2011/03/02	26.00
24483	UBS AG – China Mobile European Put Warrants Feb 2011	100,000,000	2010/08/27	2011/02/14	25.00
24486	UBS AG – CM Bank European Warrants Apr 2011	100,000,000	2010/08/27	2011/04/11	15.00
24484	UBS AG – HKEx European Warrants Dec 2011	300,000,000	2010/08/27	2011/12/05	45.00
24488	UBS AG – HSBC European Warrants Jan 2011	200,000,000	2010/08/27	2011/01/31	30.00
24487	UBS AG – ICBC European Warrants Dec 2010 E	100,000,000	2010/08/27	2010/12/23	15.00
24485	UBS AG – Tencent Holdings European Warrants Feb 2011 B	200,000,000	2010/08/27	2011/02/23	30.00
22302#	Macquarie Bank Ltd. – A50 China European Warrants Nov 2010	250,000,000	2010/08/27	2010/11/29	10.25
24314#	HK Bank – China Mobile European Warrants Jun 2012	300,000,000	2010/08/27	2012/06/18	27.60
22165#	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Nov 2010 A	350,000,000	2010/08/27	2010/11/15	21.35
24529	BOCI Asia Ltd. – China Life European Put Warrants Mar 2011	100,000,000	2010/08/30	2011/03/07	25.00
24582	Credit Suisse AG – China Life European Warrants Nov 2010 C	200,000,000	2010/08/30	2010/11/30	30.00
24583	Credit Suisse AG – China Life European Warrants Nov 2010 D	200,000,000	2010/08/30	2010/11/30	30.00
24530	HK Bank – CM Bank European Warrants Apr 2011	120,000,000	2010/08/30	2011/04/27	30.00
24532	HK Bank – PICC European Warrants Sep 2011	120,000,000	2010/08/30	2011/09/22	30.00
24542	J P Morgan SP BV – China Mob European Wts Mar 2011	100,000,000	2010/08/30	2011/03/01	25.00
24533	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Dec 2010 C	100,000,000	2010/08/30	2010/12/23	25.00
24540	J P Morgan SP BV – China Life European Warrants Mar 2011 A	200,000,000	2010/08/30	2011/03/01	50.00
24541	J P Morgan SP BV – China Life European Warrants Mar 2011 B	200,000,000	2010/08/30	2011/03/01	50.00
24543	J P Morgan SP BV – HS Bank European Warrants Mar 2011	88,000,000	2010/08/30	2011/03/01	22.00
24534	J P Morgan SP BV – HSBC European Warrants Jan 2013	388,000,000	2010/08/30	2013/01/30	58.20
24544	J P Morgan SP BV – Maanshan Iron European Warrants Mar 2011	100,000,000	2010/08/30	2011/03/01	25.00
24568	KBC Fin Products Int'l Ltd. – ALUCO European Wts Jun 2011	128,000,000	2010/08/30	2011/06/22	19.20

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
24569	KBC Fin Products Int'l Ltd. – ALUCO European Wts Oct 2011	128,000,000	2010/08/30	2011/10/13	32.00
24570	KBC Fin Products Int'l Ltd. – BEA European Warrants May 2011	58,000,000	2010/08/30	2011/05/27	14.50
24545	KBC Fin Products Int'l Ltd. – BOCL European Wts Mar 2011 A	188,000,000	2010/08/30	2011/03/18	63.92
24546	KBC Fin Products Int'l Ltd. – BOCL European Wts Mar 2011 B	238,000,000	2010/08/30	2011/03/30	59.50
24547	KBC Fin Products Int'l Ltd. – BYD European Warrants Mar 2011	108,000,000	2010/08/30	2011/03/01	63.72
24548	KBC Fin Products Int'l Ltd. – BYD European Warrants Apr 2011	108,000,000	2010/08/30	2011/04/27	46.44
24562	KBC Fin Products Int'l Ltd. – BYD European Put Wts Mar 2011	80,000,000	2010/08/30	2011/03/01	38.40
24551	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Mar 2011 A	158,000,000	2010/08/30	2011/03/01	97.96
24552	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Mar 2011 B	208,000,000	2010/08/30	2011/03/15	95.68
24553	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Mar 2011 C	238,000,000	2010/08/30	2011/03/21	95.20
24550	KBC Fin Products Int'l Ltd. – Ch Comm Cons Euro Wts Oct 2011	78,000,000	2010/08/30	2011/10/26	11.70
24572	KBC Fin Products Int'l Ltd. – China Coal Euro Wts Jun 2011	80,000,000	2010/08/30	2011/06/01	13.60
24549	KBC Fin Products Int'l Ltd. – CITIC Bank Euro Wts Apr 2011	80,000,000	2010/08/30	2011/04/15	50.40
24571	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Jul 2011	108,000,000	2010/08/30	2011/07/05	16.20
24555	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2011 B	238,000,000	2010/08/30	2011/03/01	59.50
24556	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2011 C	238,000,000	2010/08/30	2011/03/08	59.50
24557	KBC Fin Products Int'l Ltd. – CMB European Warrants Mar 2011	108,000,000	2010/08/30	2011/03/28	27.00
24558	KBC Fin Products Int'l Ltd. – CMB European Warrants Apr 2011	108,000,000	2010/08/30	2011/04/29	27.00
24554	KBC Fin Products Int'l Ltd. – Minsheng Bank Euro Wt Mar 2011	68,000,000	2010/08/30	2011/03/01	17.00
24559	KBC Fin Products Int'l Ltd. – CPIC European Wts Mar 2011	108,000,000	2010/08/30	2011/03/01	28.08
24573	KBC Fin Products Int'l Ltd. – China Shenhua Eu Wt Mar 2011 B	80,000,000	2010/08/30	2011/03/11	20.00
24563	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Mar 2011	208,000,000	2010/08/30	2011/03/16	60.32
24564	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Nov 2011	258,000,000	2010/08/30	2011/11/21	38.70
24574	KBC Fin Products Int'l Ltd. – R&F Ppt European Wts May 2011	88,000,000	2010/08/30	2011/05/25	22.00
24575	KBC Fin Products Int'l Ltd. – R&F Ppt European Wts Jun 2011	88,000,000	2010/08/30	2011/06/20	15.84
24576	KBC Fin Products Int'l Ltd. – HS Bank European Wts Dec 2011	108,000,000	2010/08/30	2011/12/23	16.20
24577	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Mar 2011	138,000,000	2010/08/30	2011/03/01	52.44
24560	KBC Fin Products Int'l Ltd. – ICBC European Wts Mar 2011 A	238,000,000	2010/08/30	2011/03/31	59.50
24578	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Mar 2011	118,000,000	2010/08/30	2011/03/01	29.50
24579	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Apr 2011	118,000,000	2010/08/30	2011/04/15	29.50
24561	KBC Fin Products Int'l Ltd. – Li & Fung Euro Wts Mar 2011	48,000,000	2010/08/30	2011/03/01	20.40
24580	KBC Fin Products Int'l Ltd. – MTRC European Wts May 2011	68,000,000	2010/08/30	2011/05/16	10.20
24566	RB of Scotland N.V. – CNOOC European Warrants Mar 2011	200,000,000	2010/08/30	2011/03/28	30.20
24567	RB of Scotland N.V. – CNOOC European Warrants Jun 2011	200,000,000	2010/08/30	2011/06/22	30.00
24536	Standard Chartered Bank – Sinopec Corp European Wts Mar 2011	100,000,000	2010/08/30	2011/03/02	25.00
24535	Standard Chartered Bank – HSBC European Warrants Jan 2011	100,000,000	2010/08/30	2011/01/21	40.00
24537	UBS AG – Cheung Kong European Warrants Jun 2011	100,000,000	2010/08/30	2011/06/27	15.00
24538	UBS AG – China Shenhua European Warrants Jan 2011	100,000,000	2010/08/30	2011/01/24	15.00
24565	UBS AG – PICC European Warrants Jul 2011	100,000,000	2010/08/30	2011/07/11	25.00
24539	UBS AG – Zijin Mining European Warrants May 2012	100,000,000	2010/08/30	2012/05/28	15.00
24584	Credit Suisse AG – HSBC European Put Warrants Dec 2011	400,000,000	2010/08/31	2011/12/12	60.00
24587	Macquarie Bank Ltd. – Agile Ppt European Warrants Jul 2011	200,000,000	2010/08/31	2011/07/22	36.80
24592	Macquarie Bank Ltd. – China Unicom European Put Wts Dec 2011	200,000,000	2010/08/31	2011/12/02	50.00
24588	Macquarie Bank Ltd. – R&F Ppt European Warrants Mar 2011	200,000,000	2010/08/31	2011/03/02	50.20



# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
24589	Macquarie Bank Ltd. – New World Dev European Wts May 2011	200,000,000	2010/08/31	2011/05/23	30.00
24590	Macquarie Bank Ltd. – Yanzhou Coal European Wts Mar 2011	200,000,000	2010/08/31	2011/03/02	61.60
24591	Macquarie Bank Ltd. – Yanzhou Coal European Wts May 2011	200,000,000	2010/08/31	2011/05/13	42.20
24593	CC Rabobank B.A. – BBMG European Warrants Mar 2011	200,000,000	2010/08/31	2011/03/30	50.00
24595	CC Rabobank B.A. – China Coal European Warrants Jun 2011	200,000,000	2010/08/31	2011/06/10	50.00
24596	CC Rabobank B.A. – COSCO Pacific European Warrants Mar 2011	150,000,000	2010/08/31	2011/03/30	37.50
24594	CC Rabobank B.A. – Cathay Pacific European Warrants Mar 2011	100,000,000	2010/08/31	2011/03/30	25.00
24597	CC Rabobank B.A. – Fushan Energy European Warrants Mar 2011	75,000,000	2010/08/31	2011/03/14	32.25
24598	CC Rabobank B.A. – Sands China European Warrants Jun 2011	150,000,000	2010/08/31	2011/06/29	37.50
24599	CC Rabobank B.A. – Shanghai Electric European Warrants Mar11	75,000,000	2010/08/31	2011/03/03	24.75
24600	CC Rabobank B.A. – SJM Holdings European Warrants Mar 2011	200,000,000	2010/08/31	2011/03/01	56.00
24601	CC Rabobank B.A. – Wynn Macau European Warrants Mar 2011	100,000,000	2010/08/31	2011/03/08	25.00
24602	CC Rabobank B.A. – ZTE European Warrants Mar 2011	75,000,000	2010/08/31	2011/03/30	22.50
24585	UBS AG – China Life European Warrants Dec 2010 E	200,000,000	2010/08/31	2010/12/31	30.00
24586	UBS AG – China Life European Put Warrants Jan 2011	100,000,000	2010/08/31	2011/01/24	15.00
23277#	Credit Suisse AG – Hutchison European Warrants Nov 2010	500,000,000	2010/08/31	2010/11/08	240.00
22701#	J P Morgan SP BV – China Life European Warrants Nov 2010	340,000,000	2010/08/31	2010/11/18	10.20
22248#	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Oct 10D	150,000,000	2010/08/31	2010/10/28	10.80
24623	BNP Paribas Arbit Issu B.V. – Alibaba European Warrants Sep11	100,000,000	2010/09/01	2011/09/02	25.00
24625	BNP Paribas Arbit Issu B.V. – China EB Ltd European Wt May11	70,000,000	2010/09/01	2011/05/03	17.50
24626	BNP Paribas Arbit Issu B.V. – CMOE European Warrants Aug 12	95,000,000	2010/09/01	2012/08/31	23.75
24624	BNP Paribas Arbit Issu B.V. – Esprit European Wts Apr 2011	80,000,000	2010/09/01	2011/04/29	43.20
24627	BNP Paribas Arbit Issu B.V. – Henganintl European Wts Apr 13	100,000,000	2010/09/01	2013/04/02	25.00
24620	BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2011	200,000,000	2010/09/01	2011/09/29	52.00
24622	BNP Paribas Arbit Issu B.V. – HSI European Wts Jun 2012	200,000,000	2010/09/01	2012/06/28	50.00
24630	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Sep 2011	100,000,000	2010/09/01	2011/09/29	25.00
24631	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jun 2012	200,000,000	2010/09/01	2012/06/28	50.00
10989	BNP Paribas Arbit Issu B.V. – Oil Futures European Wts Nov 11	100,000,000	2010/09/01	2011/11/16	39.00
24628	BNP Paribas Arbit Issu B.V. – Sands China European Wts Sep 11	95,000,000	2010/09/01	2011/09/01	23.75
24629	BNP Paribas Arbit Issu B.V. – Sinopharm European Wts Apr 11	95,000,000	2010/09/01	2011/04/01	39.90
24610	Credit Suisse AG – CC Bank European Warrants Dec 2010 E	300,000,000	2010/09/01	2010/12/13	45.00
24618	HK Bank – HSI European Warrants May 2011	300,000,000	2010/09/01	2011/05/30	75.00
24619	HK Bank – HSI European Put Warrants Feb 2011	300,000,000	2010/09/01	2011/02/25	45.00
24621	HK Bank – HSI European Put Warrants Mar 2011 F	300,000,000	2010/09/01	2011/03/30	75.00
24615	HK Bank – HSI European Warrants Mar 2011 A	300,000,000	2010/09/01	2011/03/30	75.00
24616	HK Bank – HSI European Warrants Mar 2011 B	300,000,000	2010/09/01	2011/03/30	75.00
24617	HK Bank – HSI European Warrants Mar 2011 C	300,000,000	2010/09/01	2011/03/30	75.00
24608	Macquarie Bank Ltd. – HSI European Warrants Mar 2011 A	300,000,000	2010/09/01	2011/03/30	75.00
24609	Macquarie Bank Ltd. – HSI European Warrants Mar 2011 B	300,000,000	2010/09/01	2011/03/30	75.00
24607	Macquarie Bank Ltd. – ICBC European Warrants Mar 2011	128,000,000	2010/09/01	2011/03/02	32.13
24611	Macquarie Bank Ltd. – ICBC European Put Warrants Mar 2011	88,000,000	2010/09/01	2011/03/02	35.99
24634	Macquarie Bank Ltd. – Tencent European Put Warrants Mar 2011	250,000,000	2010/09/01	2011/03/02	69.00
24633	Macquarie Bank Ltd. – Tencent European Put Warrants Jun 2011	250,000,000	2010/09/01	2011/06/02	62.75
24614	Standard Chartered Bank – BOC HK European Warrants Aug 2011	100,000,000	2010/09/01	2011/08/23	15.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
24613	Standard Chartered Bank – China Mobile European Wts Dec 2010	100,000,000	2010/09/01	2010/12/22	25.00
24612	Standard Chartered Bank – PetroChina European Warrants Mar 11	100,000,000	2010/09/01	2011/03/03	40.50
24632	SGA Societe Generale Acceptance N.V. – CNBM Euro Wts May 11	100,000,000	2010/09/01	2011/05/16	25.00
24603	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2011	100,000,000	2010/09/01	2011/03/02	44.00
24604	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Oct 11	500,000,000	2010/09/01	2011/10/17	75.00
24605	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Jul 12	500,000,000	2010/09/01	2012/07/16	75.00
24606	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Dec 2010 D	120,000,000	2010/09/01	2010/12/20	21.60
22757#	RB of Scotland N.V. – China Life European Wts Nov 2010 A	158,000,000	2010/09/01	2010/11/30	10.43
24642	Credit Suisse AG – China Life European Warrants Jan 2011	300,000,000	2010/09/02	2011/01/31	69.00
24641	Credit Suisse AG – HSI European Warrants Dec 2010 E	320,000,000	2010/09/02	2010/12/30	48.00
24651	Deutsche Bank AG – BOC HK European Warrants Feb 2011	150,000,000	2010/09/02	2011/02/14	25.50
24652	Deutsche Bank AG – BYD European Warrants Mar 2011	150,000,000	2010/09/02	2011/03/07	78.00
24653	Deutsche Bank AG – China Life European Warrants Jan 2011 B	300,000,000	2010/09/02	2011/01/31	93.00
24655	Deutsche Bank AG – HSBC European Warrants Mar 2011	200,000,000	2010/09/02	2011/03/14	50.00
24656	Deutsche Bank AG – ICBC European Warrants Mar 2011 A	200,000,000	2010/09/02	2011/03/14	50.00
24657	Deutsche Bank AG – ICBC European Warrants Apr 2011	200,000,000	2010/09/02	2011/04/11	50.00
24658	Deutsche Bank AG – Tencent Holdings European Warrants Feb11B	200,000,000	2010/09/02	2011/02/14	36.00
24659	Deutsche Bank AG – Tencent Holdings European Warrants Feb11C	200,000,000	2010/09/02	2011/02/28	30.00
24636	Goldman Sachs SP (Asia) – A50 China European Wts Mar 2011 B	200,000,000	2010/09/02	2011/03/07	76.00
24637	Goldman Sachs SP (Asia) – Hutchison European Wts Feb 2011	200,000,000	2010/09/02	2011/02/14	46.00
24638	Goldman Sachs SP (Asia) – Tencent Holdings European Wt Mar 11	200,000,000	2010/09/02	2011/03/28	30.00
24654	J P Morgan SP BV – China Life European Warrants Mar 2011 C	200,000,000	2010/09/02	2011/03/02	50.00
24639	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jan 2011 C	208,000,000	2010/09/02	2011/01/03	47.84
24646	KBC Fin Products Int'l Ltd. – China Life Euro Wts Feb 2011 E	188,000,000	2010/09/02	2011/02/01	67.68
24648	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2011 D	168,000,000	2010/09/02	2011/03/02	84.00
24644	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Mar 2011	128,000,000	2010/09/02	2011/03/02	32.00
24635	Macquarie Bank Ltd. – China Life European Wts Dec 2010 B	250,000,000	2010/09/02	2010/12/24	37.50
24645	Macquarie Bank Ltd. – China Life European Put Wts Mar 2011	300,000,000	2010/09/02	2011/03/03	75.00
24640	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Dec 2010	150,000,000	2010/09/02	2010/12/22	24.75
24647	RB of Scotland N.V. – China Life European Wts Jan 2011 D	150,000,000	2010/09/02	2011/01/26	44.25
24649	RB of Scotland N.V. – China Life European Wts Jan 2011 E	150,000,000	2010/09/02	2011/01/03	29.85
24650	RB of Scotland N.V. – China Life European Wts Mar 2011	150,000,000	2010/09/02	2011/03/02	37.50
24643	UBS AG – China Life European Warrants Dec 2010 F	200,000,000	2010/09/02	2010/12/20	35.00
24665	Citigroup Global Mkt H Inc. – Cheung Kong Eu Put Wt Oct 2011	80,000,000	2010/09/03	2011/10/17	12.00
10990	Deutsche Bank AG – DJIA European Warrants Mar 2011	100,000,000	2010/09/03	2011/03/21	25.00
10994	Deutsche Bank AG – DJIA European Put Warrants Mar 2011	100,000,000	2010/09/03	2011/03/21	25.00
10991	Deutsche Bank AG – Nikkei 225 Index European Wts Mar 2011	100,000,000	2010/09/03	2011/03/11	25.00
10995	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Mar 2011	100,000,000	2010/09/03	2011/03/11	25.00
24668	Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2011 B	200,000,000	2010/09/03	2011/03/07	50.00
24669	Goldman Sachs SP (Asia) – China Life Euro Wts May 2011	200,000,000	2010/09/03	2011/05/23	50.00
24676	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt May 2011	158,000,000	2010/09/03	2011/05/12	39.50
24666	Macquarie Bank Ltd. – BankComm European Put Wts Mar 2011	200,000,000	2010/09/03	2011/03/03	57.60
24667	Macquarie Bank Ltd. – CNOOC European Warrants Apr 2011 B	200,000,000	2010/09/03	2011/04/21	30.00
24660	Standard Chartered Bank – Agricultural Bk European Wts Feb11	100,000,000	2010/09/03	2011/02/18	15.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
24661	Standard Chartered Bank – A50 China European Warrants Jul 11	200,000,000	2010/09/03	2011/07/28	50.00
24671	SGA Societe Generale Acceptance N.V – Anhui Conch Eu Wts Mar11	80,000,000	2010/09/03	2011/03/07	29.60
24670	SGA Societe Generale Acceptance N.V. – Air China Eu Wt Oct11	200,000,000	2010/09/03	2011/10/03	50.00
24672	SGA Societe Generale Acceptance N.V. – Belle Int'l Eu Wt Mar11	150,000,000	2010/09/03	2011/03/02	27.00
24662	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2011A	200,000,000	2010/09/03	2011/03/07	72.00
24663	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2011B	200,000,000	2010/09/03	2011/03/07	54.00
24673	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Jun 11	250,000,000	2010/09/03	2011/06/13	42.50
24664	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 11G	300,000,000	2010/09/03	2011/02/25	54.00
24674	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Jul 11	150,000,000	2010/09/03	2011/07/04	25.50
24675	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Aug 11	150,000,000	2010/09/03	2011/08/08	24.00
24677	UBS AG – China Life European Warrants Jan 2011 B	200,000,000	2010/09/03	2011/01/26	33.00
24681	UBS AG – China Life European Put Warrants Mar 2011	200,000,000	2010/09/03	2011/03/14	50.00
23093#	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Feb2011A	300,000,000	2010/09/03	2011/02/23	54.90
24680	Barclays Bank plc – BOCL European Warrants Aug 2011	100,000,000	2010/09/06	2011/08/29	17.00
24682	Barclays Bank plc – BankComm European Warrants Nov 2012	150,000,000	2010/09/06	2012/11/26	27.00
24678	Barclays Bank plc – China Life European Warrants Mar 2011 B	100,000,000	2010/09/06	2011/03/14	25.00
24683	Barclays Bank plc – China Life European Put Wts Mar 2011	100,000,000	2010/09/06	2011/03/14	25.00
24679	Barclays Bank plc – ICBC European Warrants Jun 2012	150,000,000	2010/09/06	2012/06/25	22.50
24684	BOCI Asia Ltd. – BOC HK European Warrants May 2011	100,000,000	2010/09/06	2011/05/27	15.00
24685	BOCI Asia Ltd. – BYD European Warrants Apr 2011	100,000,000	2010/09/06	2011/04/04	50.00
24687	BOCI Asia Ltd. – China Mobile European Put Warrants Mar 2011	100,000,000	2010/09/06	2011/03/25	25.00
24686	BOCI Asia Ltd. – China Life European Warrants Jun 2011	100,000,000	2010/09/06	2011/06/27	25.00
24688	BOCI Asia Ltd. – A50 China European Warrants Jun 2011	100,000,000	2010/09/06	2011/06/13	15.00
24704	Deutsche Bank AG – China Life European Warrants Mar 2011 A	300,000,000	2010/09/06	2011/03/07	117.00
24703	Macquarie Bank Ltd. – China Agri European Warrants Dec 2011	150,000,000	2010/09/06	2011/12/20	24.60
24692	Macquarie Bank Ltd. – China Mobile Euro Put Wts Mar 2011	100,000,000	2010/09/06	2011/03/08	25.50
24691	Macquarie Bank Ltd. – Golden Eagle European Warrants Jun 11	150,000,000	2010/09/06	2011/06/07	37.50
24689	Macquarie Bank Ltd. – China Mengniu European Wts Jun 2011	150,000,000	2010/09/06	2011/06/30	37.50
24690	Macquarie Bank Ltd. – Parkson Group European Warrants Nov 11	150,000,000	2010/09/06	2011/11/07	38.25
24693	CC Rabobank B.A. – CHALCO European Warrants Mar 2011	75,000,000	2010/09/06	2011/03/30	30.00
24694	CC Rabobank B.A. – China Dongxiang European Warrants Mar 11	75,000,000	2010/09/06	2011/03/08	25.50
24695	CC Rabobank B.A. – China EB Int'l European Warrants Mar 2011	100,000,000	2010/09/06	2011/03/07	62.00
24697	CC Rabobank B.A. – Ch LongYuan European Warrants Oct 2011	250,000,000	2010/09/06	2011/10/21	62.50
24699	CC Rabobank B.A. – Chi Resources European Warrants Mar 2011	75,000,000	2010/09/06	2011/03/08	27.75
24696	CC Rabobank B.A. – China Gas Hold European Warrants Mar 2011	75,000,000	2010/09/06	2011/03/18	41.25
24698	CC Rabobank B.A. – Minsheng Bank European Warrants Jun 2011	75,000,000	2010/09/06	2011/06/29	33.00
24700	CC Rabobank B.A. – Chi Res Power European Warrants Mar 2011	100,000,000	2010/09/06	2011/03/08	25.00
24701	CC Rabobank B.A. – Li & Fung European Warrants Mar 2011	75,000,000	2010/09/06	2011/03/07	21.75
24702	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2010 D	200,000,000	2010/09/06	2010/12/29	34.00
24712	BOCI Asia Ltd. – Belle Int'l European Warrants May 2011	100,000,000	2010/09/07	2011/05/03	25.00
24713	BOCI Asia Ltd. – Minsheng Bank European Warrants Mar 2011	100,000,000	2010/09/07	2011/03/25	40.00
24714	BOCI Asia Ltd. – HKEx European Warrants Dec 2011	100,000,000	2010/09/07	2011/12/12	15.00
24715	BOCI Asia Ltd. – KECL European Warrants Dec 2011	100,000,000	2010/09/07	2011/12/23	25.00
24716	BOCI Asia Ltd. – PetroChina European Warrants Apr 2011	100,000,000	2010/09/07	2011/04/04	35.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
10992	Deutsche Bank AG – EUR/USD European Put Warrants Mar 2011 A	50,000,000	2010/09/07	2011/03/14	12.50
24718	HK Bank – BOCL European Warrants Mar 2011	100,000,000	2010/09/07	2011/03/07	25.00
24719	HK Bank – CC Bank European Warrants Mar 2011	100,000,000	2010/09/07	2011/03/07	25.00
24720	HK Bank – China Life European Warrants Mar 2011	250,000,000	2010/09/07	2011/03/07	62.50
24725	HK Bank – China Life European Put Warrants Mar 2011	250,000,000	2010/09/07	2011/03/07	62.50
24721	HK Bank – CNOOC European Warrants Jul 2011	200,000,000	2010/09/07	2011/07/21	30.00
24722	HK Bank – Esprit European Warrants Mar 2011	120,000,000	2010/09/07	2011/03/07	30.00
24723	HK Bank – ICBC European Warrants Mar 2011	100,000,000	2010/09/07	2011/03/07	25.00
24724	HK Bank – Maanshan Iron European Warrants Mar 2011	80,000,000	2010/09/07	2011/03/07	20.00
24728	J P Morgan SP BV – Ch Comm Cons European Warrants Oct 2011	250,000,000	2010/09/07	2011/10/26	37.50
24729	J P Morgan SP BV – China Life European Warrants Jan 2011 B	200,000,000	2010/09/07	2011/01/26	38.00
24730	J P Morgan SP BV – CPIC European Warrants Mar 2011	100,000,000	2010/09/07	2011/03/07	25.00
24731	J P Morgan SP BV – Tencent European Warrants Mar 2011	100,000,000	2010/09/07	2011/03/28	25.00
24735	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Feb 2011 D	108,000,000	2010/09/07	2011/02/21	27.00
24736	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 C	200,000,000	2010/09/07	2011/03/30	50.00
24737	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 D	200,000,000	2010/09/07	2011/03/30	50.00
24738	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 E	200,000,000	2010/09/07	2011/03/30	50.00
24742	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2011 F	200,000,000	2010/09/07	2011/03/30	50.00
24711	Macquarie Bank Ltd. – China EB Ltd European Warrants Mar 11	150,000,000	2010/09/07	2011/03/30	38.10
24733	Macquarie Bank Ltd. – C Transmission European Warrants Feb 11	150,000,000	2010/09/07	2011/02/14	26.85
24734	Macquarie Bank Ltd. – China Life European Wts Mar 2011	300,000,000	2010/09/07	2011/03/07	75.90
24710	Macquarie Bank Ltd. – CPIC European Warrants Mar 2011	200,000,000	2010/09/07	2011/03/30	50.00
24732	Macquarie Bank Ltd. – Stanchart European Warrants Jun 2011	200,000,000	2010/09/07	2011/06/30	60.40
24706	Nomura Int'l plc – China Life European Warrants Jan 2011	100,000,000	2010/09/07	2011/01/31	15.00
24707	Nomura Int'l plc – China Life European Warrants Apr 2011	100,000,000	2010/09/07	2011/04/20	15.00
24705	Nomura Int'l plc – CNOOC European Warrants Apr 2011	100,000,000	2010/09/07	2011/04/27	15.00
24709	Nomura Int'l plc – A50 China European Warrants Jun 2011 B	100,000,000	2010/09/07	2011/06/03	15.00
24708	Nomura Int'l plc – A50 China European Warrants Nov 2011	100,000,000	2010/09/07	2011/11/04	15.00
24739	RB of Scotland N.V. – China Life European Put Wts Mar 2011	150,000,000	2010/09/07	2011/03/07	37.50
24726	Standard Chartered Bank – CHALCO European Warrants Oct 2011	100,000,000	2010/09/07	2011/10/07	16.50
24727	Standard Chartered Bank – China Life European Warrants Mar 11 A	120,000,000	2010/09/07	2011/03/18	40.20
24717	UBS AG – CPIC European Warrants Feb 2011	100,000,000	2010/09/07	2011/02/22	15.00
24753	BOCI Asia Ltd. – HSI European Warrants Mar 2011	100,000,000	2010/09/08	2011/03/30	25.00
24757	BOCI Asia Ltd. – HSI European Put Warrants Mar 2011	100,000,000	2010/09/08	2011/03/30	25.00
24758	Credit Suisse AG – Tencent Holdings European Wts Jan 2011 A	300,000,000	2010/09/08	2011/01/04	45.00
24751	Goldman Sachs SP (Asia) – China Mobile Euro Put Wts Mar 2011	200,000,000	2010/09/08	2011/03/28	112.00
24750	Goldman Sachs SP (Asia) – China Life Euro Put Wts Apr 2011	200,000,000	2010/09/08	2011/04/29	52.00
24752	Goldman Sachs SP (Asia) – HSBC European Put Wts Mar 2011	200,000,000	2010/09/08	2011/03/28	114.00
24762	HK Bank – Ch LongYuan European Warrants Dec 2011	120,000,000	2010/09/08	2011/12/21	18.00
24760	HK Bank – Ch Comm Cons European Warrants May 2011	80,000,000	2010/09/08	2011/05/12	20.00
24761	HK Bank – China COSCO European Warrants Sep 2011	120,000,000	2010/09/08	2011/09/19	18.00
24759	HK Bank – CITIC Bank European Warrants Mar 2011	80,000,000	2010/09/08	2011/03/08	20.00
24763	HK Bank – CPIC European Warrants Jun 2011	150,000,000	2010/09/08	2011/06/23	37.50
24764	HK Bank – China Railway European Warrants Jun 2011	80,000,000	2010/09/08	2011/06/16	20.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
24767	HK Bank – Ch Ship Cont European Warrants Jun 2011	80,000,000	2010/09/08	2011/06/28	20.00
24765	HK Bank – China Shenhua European Warrants Mar 2011 A	150,000,000	2010/09/08	2011/03/04	22.50
24766	HK Bank – China Shenhua European Warrants Mar 2011 B	150,000,000	2010/09/08	2011/03/22	37.50
24768	HK Bank – Dongfeng Motor European Warrants Jul 2011	100,000,000	2010/09/08	2011/07/22	25.00
24769	HK Bank – Fushan Energy European Warrants May 2011	100,000,000	2010/09/08	2011/05/05	25.00
24770	HK Bank – Geely Auto European Warrants Mar 2011	100,000,000	2010/09/08	2011/03/08	25.00
24771	HK Bank – Jiangxi Copper European Warrants May 2011	150,000,000	2010/09/08	2011/05/18	37.50
24772	HK Bank – Lenovo European Warrants Mar 2011	120,000,000	2010/09/08	2011/03/08	30.00
24773	HK Bank – PICC European Warrants Jul 2011	120,000,000	2010/09/08	2011/07/04	18.00
24774	HK Bank – Sinopharm European Warrants Jun 2011	120,000,000	2010/09/08	2011/06/16	30.00
24754	J P Morgan SP BV – Henganintl European Warrants Jun 2012	200,000,000	2010/09/08	2012/06/29	50.00
24755	J P Morgan SP BV – KECL European Warrants Jul 2011	100,000,000	2010/09/08	2011/07/25	25.00
24756	J P Morgan SP BV – Stanchart European Warrants Mar 2011	100,000,000	2010/09/08	2011/03/08	25.00
24743	Macquarie Bank Ltd. – China Bluechem European Warrants Oct12	100,000,000	2010/09/08	2012/10/18	25.00
24744	Macquarie Bank Ltd. – Ch Molybdenum European Wts Oct 2012	100,000,000	2010/09/08	2012/10/22	25.00
24745	Macquarie Bank Ltd. – Dongfeng Motor European Wts Aug 2011	150,000,000	2010/09/08	2011/08/30	37.50
24741	Macquarie Bank Ltd. – A50 China European Warrants Nov 2011	300,000,000	2010/09/08	2011/11/21	45.00
24746	Macquarie Bank Ltd. – Lenovo European Warrants Mar 2011	100,000,000	2010/09/08	2011/03/08	30.70
24747	Macquarie Bank Ltd. – Nine Dragons European Wts Nov 2011	150,000,000	2010/09/08	2011/11/03	37.50
24748	Macquarie Bank Ltd. – SHK Ppt European Warrants May 2011	300,000,000	2010/09/08	2011/05/23	53.40
24749	Macquarie Bank Ltd. – SHK Ppt European Warrants Aug 2011	300,000,000	2010/09/08	2011/08/05	45.00
24778	CC Rabobank B.A. – C Transmission European Warrants Feb 2011	100,000,000	2010/09/08	2011/02/14	21.30
24775	CC Rabobank B.A. – China Life European Warrants Dec 2010 C	75,000,000	2010/09/08	2010/12/21	11.25
24776	CC Rabobank B.A. – China Life European Warrants Jan 2011 B	75,000,000	2010/09/08	2011/01/24	23.25
24779	CC Rabobank B.A. – China Life European Put Warrants Mar 2011	75,000,000	2010/09/08	2011/03/08	18.75
24777	CC Rabobank B.A. – CPIC European Warrants Mar 2011	75,000,000	2010/09/08	2011/03/08	18.75
24740	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt May 2011	300,000,000	2010/09/08	2011/05/23	75.00
24794	Barclays Bank plc – CM Bank European Warrants Apr 2011	100,000,000	2010/09/09	2011/04/18	15.00
24795	Barclays Bank plc – Sinopec Corp European Put Wts Feb 2011	100,000,000	2010/09/09	2011/02/21	29.00
24793	Barclays Bank plc – China Shenhua European Warrants Mar 2011	100,000,000	2010/09/09	2011/03/21	25.00
24791	Barclays Bank plc – HSBC European Warrants Feb 2011	100,000,000	2010/09/09	2011/02/14	26.00
24792	Barclays Bank plc – Jiangxi Copper European Warrants Mar 11B	100,000,000	2010/09/09	2011/03/28	21.00
24796	Deutsche Bank AG – China Mobile European Warrants Feb 2011 B	300,000,000	2010/09/09	2011/02/01	81.00
24802	Deutsche Bank AG – Ping An European Warrants Mar 2011	200,000,000	2010/09/09	2011/03/14	58.00
24805	Goldman Sachs SP (Asia) – China Mobile Euro Wts Mar 2011	200,000,000	2010/09/09	2011/03/14	56.00
24806	Goldman Sachs SP (Asia) – PetroChina European Wts Mar 2011	200,000,000	2010/09/09	2011/03/14	52.00
24784	HK Bank – Agile Ppt European Warrants Oct 2011	80,000,000	2010/09/09	2011/10/12	20.00
24787	HK Bank – China Unicom European Warrants Sep 2011	150,000,000	2010/09/09	2011/09/27	37.50
24785	HK Bank – CNBM European Warrants May 2011	80,000,000	2010/09/09	2011/05/18	20.00
24788	HK Bank – Foxconn European Warrants Mar 2011	100,000,000	2010/09/09	2011/03/09	25.00
24789	HK Bank – Foxconn European Warrants Apr 2012	120,000,000	2010/09/09	2012/04/20	30.00
24790	HK Bank – KECL European Warrants Nov 2011	150,000,000	2010/09/09	2011/11/18	37.50
24800	KBC Fin Products Int'l Ltd. – BOC HK European Wts Dec 2011	128,000,000	2010/09/09	2011/12/12	32.00
24801	KBC Fin Products Int'l Ltd. – BOC HK Euro Put Wts Jun 2011	108,000,000	2010/09/09	2011/06/01	37.80

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
24783	Macquarie Bank Ltd. – China Life European Wts Jan 2011	300,000,000	2010/09/09	2011/01/26	59.40
24803	Macquarie Bank Ltd. – CLP European Warrants May 2011	200,000,000	2010/09/09	2011/05/05	51.00
24797	Macquarie Bank Ltd. – Ping An European Put Warrants Apr 2011	200,000,000	2010/09/09	2011/04/04	50.00
24798	Macquarie Bank Ltd. – Ping An European Put Warrants Nov 2011	200,000,000	2010/09/09	2011/11/17	30.00
24780	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2011 C	100,000,000	2010/09/09	2011/02/01	22.20
24781	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Feb 2011 A	100,000,000	2010/09/09	2011/02/01	26.00
24782	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Feb 2011	150,000,000	2010/09/09	2011/02/25	22.80
24786	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Feb 2011A	150,000,000	2010/09/09	2011/02/25	27.00
24804	RB of Scotland N.V. – CC Bank European Warrants Feb 2011	150,000,000	2010/09/09	2011/02/10	36.00
24799	UBS AG – Ping An European Warrants Mar 2012	100,000,000	2010/09/09	2012/03/29	15.00
23787#	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11H	140,000,000	2010/09/09	2011/01/12	22.96
23390#	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Nov 10F	300,000,000	2010/09/09	2010/11/29	10.80
24816	BOCI Asia Ltd. – Ch Agri-Ind European Warrants Dec 2011	100,000,000	2010/09/10	2011/12/12	25.00
24817	BOCI Asia Ltd. – Fushan Energy European Warrants May 2011	100,000,000	2010/09/10	2011/05/09	37.50
24818	BOCI Asia Ltd. – Li & Fung European Warrants Jul 2011	100,000,000	2010/09/10	2011/07/11	35.00
24819	BOCI Asia Ltd. – Sinofer European Warrants May 2011	100,000,000	2010/09/10	2011/05/09	37.50
24827	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Apr11	100,000,000	2010/09/10	2011/04/04	31.00
24828	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Oct11	100,000,000	2010/09/10	2011/10/03	33.00
24829	Credit Suisse AG – HSI European Warrants Dec 2010 F	320,000,000	2010/09/10	2010/12/30	48.00
24833	Credit Suisse AG – HSI European Put Warrants Dec 2010 G	320,000,000	2010/09/10	2010/12/30	48.00
24834	Credit Suisse AG – HSI European Put Warrants Dec 2010 H	320,000,000	2010/09/10	2010/12/30	48.00
24836	Deutsche Bank AG – Dongfeng Motor European Warrants Apr 11	100,000,000	2010/09/10	2011/04/27	25.00
24837	Deutsche Bank AG – Esprit European Warrants Jan 2011	100,000,000	2010/09/10	2011/01/17	22.00
24830	J P Morgan SP BV – Dongfeng Motor European Warrants Jun 11B	150,000,000	2010/09/10	2011/06/29	37.50
24832	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 G	200,000,000	2010/09/10	2011/03/30	50.00
24835	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 H	200,000,000	2010/09/10	2011/03/30	50.00
24809	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Mar 11B	100,000,000	2010/09/10	2011/03/28	15.00
24810	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Sep 2011	100,000,000	2010/09/10	2011/09/26	15.60
24815	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Jan 2011	100,000,000	2010/09/10	2011/01/03	15.90
24807	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Feb 2011 B	100,000,000	2010/09/10	2011/02/28	21.70
24808	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Feb 2011 C	100,000,000	2010/09/10	2011/02/02	15.90
24814	Merrill Lynch Int'l & Co. C.V. – Sinopec Eu Put Wts Feb 11E	100,000,000	2010/09/10	2011/02/08	15.50
24811	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Feb 2011	100,000,000	2010/09/10	2011/02/28	33.00
24812	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Jan 2013	200,000,000	2010/09/10	2013/01/28	30.80
24813	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Apr 2012	150,000,000	2010/09/10	2012/04/03	22.50
24820	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2011 D	120,000,000	2010/09/10	2011/01/03	22.80
24826	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Apr 12B	300,000,000	2010/09/10	2012/04/23	51.00
24821	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Apr 2011	200,000,000	2010/09/10	2011/04/28	36.00
24825	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Apr 11	200,000,000	2010/09/10	2011/04/28	50.00
24822	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Dec 10	50,000,000	2010/09/10	2010/12/29	11.50
24823	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Mar 11	50,000,000	2010/09/10	2011/03/28	32.50
24824	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Apr 12	500,000,000	2010/09/10	2012/04/02	75.00
24843	Barclays Bank plc – China COSCO European Warrants Sep 2011	100,000,000	2010/09/13	2011/09/26	15.00
24845	Barclays Bank plc – CNBM European Warrants Jun 2011	100,000,000	2010/09/13	2011/06/20	25.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
24842	Barclays Bank plc – ChiUnicom European Warrants Aug 2011 B	100,000,000	2010/09/13	2011/08/29	16.00
24851	Barclays Bank plc – HSI European Put Warrants Mar 2011	150,000,000	2010/09/13	2011/03/30	37.50
24846	Barclays Bank plc – HSI European Warrants Feb 2011 G	150,000,000	2010/09/13	2011/02/25	25.50
24848	Barclays Bank plc – HSI European Warrants Mar 2011 C	150,000,000	2010/09/13	2011/03/30	37.50
24849	Barclays Bank plc – HSI European Warrants Mar 2011 D	150,000,000	2010/09/13	2011/03/30	37.50
24847	Barclays Bank plc – HSI European Put Warrants Feb 2011 H	150,000,000	2010/09/13	2011/02/25	25.50
24844	Barclays Bank plc – Ping An European Warrants Apr 2012	150,000,000	2010/09/13	2012/04/02	24.00
24850	Barclays Bank plc – Ping An European Put Warrants Nov 2011	150,000,000	2010/09/13	2011/11/07	22.50
24831	BOCI Asia Ltd. – Anta Sports European Warrants Jun 2011	100,000,000	2010/09/13	2011/06/13	25.00
24838	BOCI Asia Ltd. – GCL-Poly Energy European Warrants Sep 2011	100,000,000	2010/09/13	2011/09/12	30.00
24839	BOCI Asia Ltd. – Lenovo European Warrants May 2011	100,000,000	2010/09/13	2011/05/09	35.00
24840	BOCI Asia Ltd. – Yanzhou Coal European Warrants Jul 2011	100,000,000	2010/09/13	2011/07/11	25.00
24859	BNP Paribas Arbit Issu B.V. – BOCHK European Warrants Sep 11	100,000,000	2010/09/13	2011/09/02	25.00
24841	Credit Suisse AG – Ping An European Warrants Mar 2012	400,000,000	2010/09/13	2012/03/30	60.00
24862	Deutsche Bank AG – CC Bank European Warrants Feb 2011 A	200,000,000	2010/09/13	2011/02/21	62.00
24863	Deutsche Bank AG – Dongfeng Motor European Warrants May 11	100,000,000	2010/09/13	2011/05/30	25.00
24865	Deutsche Bank AG – SJM Holdings European Warrants Mar 2011	100,000,000	2010/09/13	2011/03/14	25.00
24860	KBC Fin Products Int'l Ltd. – Ping An European Wts Mar 2011	68,000,000	2010/09/13	2011/03/15	19.04
24861	KBC Fin Products Int'l Ltd. – Ping An European Wts May 2011	68,000,000	2010/09/13	2011/05/03	17.68
24864	KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Mar 2011	68,000,000	2010/09/13	2011/03/15	21.76
24857	Macquarie Bank Ltd. – Esprit European Warrants Apr 2011 A	330,000,000	2010/09/13	2011/04/06	114.84
24858	Macquarie Bank Ltd. – Esprit European Warrants Apr 2011 B	330,000,000	2010/09/13	2011/04/06	82.50
24852	CC Rabobank B.A. – BOC HK European Warrants Apr 2011	120,000,000	2010/09/13	2011/04/29	30.00
24853	CC Rabobank B.A. – BOC HK European Warrants Jul 2011	120,000,000	2010/09/13	2011/07/29	30.00
24854	CC Rabobank B.A. – CPIC European Warrants May 2011	75,000,000	2010/09/13	2011/05/09	18.75
24855	CC Rabobank B.A. – Dongfeng Motor European Warrants Apr2011A	150,000,000	2010/09/13	2011/04/15	37.50
24856	CC Rabobank B.A. – Maanshan Iron European Warrants Mar 2011	75,000,000	2010/09/13	2011/03/15	30.00
22841#	BOCI Asia Ltd. – BYD European Warrants Dec 2010	500,000,000	2010/09/13	2010/12/30	10.00
24436#	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Dec 10D	170,000,000	2010/09/13	2010/12/29	29.75
24870	Citigroup Global Mkt H Inc. – Belle Int'l European Wts Oct11	80,000,000	2010/09/14	2011/10/10	20.00
24871	Citigroup Global Mkt H Inc. – CMOC European Warrants Oct 12	80,000,000	2010/09/14	2012/10/02	20.00
24872	Citigroup Global Mkt H Inc. – Dongfeng European Wts Apr 2011	80,000,000	2010/09/14	2011/04/28	20.00
24873	Citigroup Global Mkt H Inc. – KECL European Warrants Sep 11	80,000,000	2010/09/14	2011/09/23	12.00
24879	Citigroup Global Mkt H Inc. – Ping An Euro Wts Mar 2012	80,000,000	2010/09/14	2012/03/05	12.00
24874	Citigroup Global Mkt H Inc. – Tencent European Put Wts Feb11	120,000,000	2010/09/14	2011/02/23	18.00
24887	Deutsche Bank AG – Angang Steel European Warrants Jun 2011 A	100,000,000	2010/09/14	2011/06/07	25.00
24888	Deutsche Bank AG – HKEx European Put Warrants May 2011	200,000,000	2010/09/14	2011/05/30	32.00
24889	Deutsche Bank AG – KECL European Warrants Aug 2011	100,000,000	2010/09/14	2011/08/15	15.00
24890	Deutsche Bank AG – Maanshan Iron European Warrants Mar 2011	100,000,000	2010/09/14	2011/03/14	47.00
24876	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2011 F	200,000,000	2010/09/14	2011/02/25	30.00
24877	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2011 G	200,000,000	2010/09/14	2011/02/25	30.00
24891	Goldman Sachs SP (Asia) – Tencent Holdings European Wt Feb11	200,000,000	2010/09/14	2011/02/28	30.00
24875	HK Bank – HSI European Warrants Dec 2010 L	300,000,000	2010/09/14	2010/12/30	45.00
24883	J P Morgan SP BV – Ping An European Put Warrants Nov 2011	200,000,000	2010/09/14	2011/11/07	30.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
24881	Macquarie Bank Ltd. – HKEx European Warrants Jan 2012	200,000,000	2010/09/14	2012/01/04	50.00
24880	Macquarie Bank Ltd. – HKEx European Warrants Jul 2011 B	200,000,000	2010/09/14	2011/07/18	30.20
24867	Macquarie Bank Ltd. – HSCEI European Warrants Apr 2011	300,000,000	2010/09/14	2011/04/28	49.50
24866	Macquarie Bank Ltd. – HSI European Warrants Jan 2011	300,000,000	2010/09/14	2011/01/28	46.80
24878	Macquarie Bank Ltd. – Sino Land European Warrants Jun 2011	200,000,000	2010/09/14	2011/06/15	50.20
24868	Macquarie Bank Ltd. – SJM Holdings European Warrants Mar 11	200,000,000	2010/09/14	2011/03/15	50.00
24869	Macquarie Bank Ltd. – Wynn Macau European Warrants Jun 2011	150,000,000	2010/09/14	2011/06/08	37.50
24886	RB of Scotland N.V. – HSI European Put Warrants Dec 2010 G	250,000,000	2010/09/14	2010/12/30	45.75
24882	UBS AG – HSI European Put Warrants Dec 2010 K	200,000,000	2010/09/14	2010/12/30	30.00
24884	UBS AG – Ping An European Warrants Mar 2011	100,000,000	2010/09/14	2011/03/14	25.00
24885	UBS AG – Tencent Holdings European Warrants Feb 2011 C	100,000,000	2010/09/14	2011/02/28	15.00
22910#	Deutsche Bank AG – China Life European Warrants Dec 2010 A	300,000,000	2010/09/14	2010/12/06	15.30
24499#	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Feb 2011 B	120,000,000	2010/09/14	2011/02/28	23.76
24003#	CC Rabobank B.A. – BOC HK European Warrants Aug 2011	180,000,000	2010/09/14	2011/08/30	41.76
24908	Barclays Bank plc – Angang Steel European Warrants Aug 2011	100,000,000	2010/09/15	2011/08/08	25.00
24910	Barclays Bank plc – Chi Res Power European Warrants Jul 2011	100,000,000	2010/09/15	2011/07/18	25.00
24914	Barclays Bank plc – A50 China European Warrants Jun 2011	100,000,000	2010/09/15	2011/06/20	16.00
24915	Barclays Bank plc – A50 China European Warrants Nov 2011 A	100,000,000	2010/09/15	2011/11/07	15.00
24916	Barclays Bank plc – A50 China European Warrants Nov 2011 B	100,000,000	2010/09/15	2011/11/21	15.00
24917	Barclays Bank plc – A50 China European Warrants Jan 2012	100,000,000	2010/09/15	2012/01/16	17.00
24918	Barclays Bank plc – A50 China European Warrants Apr 2012	100,000,000	2010/09/15	2012/04/30	15.00
24913	Barclays Bank plc – R&F Ppt European Warrants Jul 2011	100,000,000	2010/09/15	2011/07/11	15.00
24920	Barclays Bank plc – GCL-Poly Energy European Warrants Jul 11	100,000,000	2010/09/15	2011/07/18	26.00
24907	Barclays Bank plc – Geely Auto European Warrants Jun 2011	100,000,000	2010/09/15	2011/06/20	31.00
24906	Barclays Bank plc – KECL European Warrants Aug 2011	100,000,000	2010/09/15	2011/08/15	16.00
24912	Barclays Bank plc – PICC P&C European Warrants Oct 2011	100,000,000	2010/09/15	2011/10/03	21.00
24909	Barclays Bank plc – Shimao Ppt European Warrants Oct 2011	100,000,000	2010/09/15	2011/10/31	25.00
24911	Barclays Bank plc – Yanzhou Coal European Warrants Aug 2011	100,000,000	2010/09/15	2011/08/08	26.00
24919	Barclays Bank plc – Zijin Mining European Warrants May 2012	100,000,000	2010/09/15	2012/05/28	15.00
24903	BOCI Asia Ltd. – Agricultural Bk European Warrants Dec 2011	100,000,000	2010/09/15	2011/12/12	30.00
24904	BOCI Asia Ltd. – Chaoda Modern European Warrants Nov 2012	100,000,000	2010/09/15	2012/11/12	25.00
24942	Credit Suisse AG – China Mobile European Warrants Dec 2011	300,000,000	2010/09/15	2011/12/05	45.00
24939	Credit Suisse AG – China Life European Warrants Feb 2011 A	300,000,000	2010/09/15	2011/02/28	45.00
24936	Credit Suisse AG – Minsheng Bank European Warrants Mar 2011	300,000,000	2010/09/15	2011/03/28	75.00
24938	Credit Suisse AG – CPIC European Warrants Feb 2011	300,000,000	2010/09/15	2011/02/28	45.00
24935	Credit Suisse AG – HSBC European Wts Jan 2011 A	300,000,000	2010/09/15	2011/01/31	45.00
24937	Credit Suisse AG – Ping An European Warrants Mar 2011	300,000,000	2010/09/15	2011/03/28	75.00
24933	Citigroup Global Mkt H Inc. – CNBM European Warrants Jun 11	80,000,000	2010/09/15	2011/06/07	20.00
24934	Citigroup Global Mkt H Inc. – SJM Holdings European Wt Aug11	80,000,000	2010/09/15	2011/08/08	20.00
24943	Deutsche Bank AG – Geely Auto European Warrants Mar 2011 A	100,000,000	2010/09/15	2011/03/21	25.00
24905	Goldman Sachs SP (Asia) – Agricultural Bk European Wts Apr11	200,000,000	2010/09/15	2011/04/18	50.00
24930	Macquarie Bank Ltd. – BOCHK European Warrants Jun 2011 B	200,000,000	2010/09/15	2011/06/29	50.00
24932	Macquarie Bank Ltd. – China Unicom European Wts Dec 2011	200,000,000	2010/09/15	2011/12/02	50.20
24931	Macquarie Bank Ltd. – CM Bank European Warrants Apr 2011	200,000,000	2010/09/15	2011/04/04	50.00



# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
24892	Macquarie Bank Ltd. – Wharf European Warrants May 2011	150,000,000	2010/09/15	2011/05/20	38.85
24895	Merrill Lynch Int'l & Co. C.V. – Agricultural Bk Eu Wt Mar 11	100,000,000	2010/09/15	2011/03/21	15.10
24899	Merrill Lynch Int'l & Co. CV – Agricultural Bk Eu Put Wt Mar11	100,000,000	2010/09/15	2011/03/28	25.50
24896	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Aug 2011	150,000,000	2010/09/15	2011/08/29	38.25
24902	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Mar 2011	100,000,000	2010/09/15	2011/03/08	15.80
24897	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Feb 2012	100,000,000	2010/09/15	2012/02/27	17.40
24898	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Apr 2012 B	100,000,000	2010/09/15	2012/04/23	23.00
24893	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Feb11H	200,000,000	2010/09/15	2011/02/25	30.40
24894	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Feb11I	200,000,000	2010/09/15	2011/02/25	30.80
24900	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Feb 11J	200,000,000	2010/09/15	2011/02/25	37.00
24901	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Feb 11K	200,000,000	2010/09/15	2011/02/25	51.00
24941	Standard Chartered Bank – China Mobile European Wts Dec 2011	150,000,000	2010/09/15	2011/12/05	22.50
24921	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Feb 2011 C	200,000,000	2010/09/15	2011/02/21	34.00
24922	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2011 A	200,000,000	2010/09/15	2011/04/04	62.00
24923	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2011 B	200,000,000	2010/09/15	2011/04/04	50.00
24925	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2011	120,000,000	2010/09/15	2011/03/02	26.40
24924	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Feb 2011C	60,000,000	2010/09/15	2011/02/28	10.80
24926	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Mar 11B	80,000,000	2010/09/15	2011/03/28	20.00
24928	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jul 2011	300,000,000	2010/09/15	2011/07/06	63.00
24927	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Aug 2011	200,000,000	2010/09/15	2011/08/08	30.00
24940	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2011 A	300,000,000	2010/09/15	2011/03/30	45.00
24929	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Mar 2011	120,000,000	2010/09/15	2011/03/16	31.20
24944	BNP Paribas Arbit Issu B.V. – Belle Int'l Euro Wts Aug 2011	100,000,000	2010/09/16	2011/08/02	31.00
24945	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jul 2011	100,000,000	2010/09/16	2011/07/04	28.00
24952	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Apr 2012	100,000,000	2010/09/16	2012/04/27	16.00
24951	Credit Suisse AG – Hutchison European Warrants Mar 2011	300,000,000	2010/09/16	2011/03/28	165.00
24962	Deutsche Bank AG – BankComm European Warrants Feb 2011	150,000,000	2010/09/16	2011/02/14	55.50
24965	Deutsche Bank AG – CC Bank European Put Warrants Mar 2011	150,000,000	2010/09/16	2011/03/21	51.00
24963	Deutsche Bank AG – Cathay Pacific European Warrants Mar 2011	100,000,000	2010/09/16	2011/03/21	25.00
24964	Deutsche Bank AG – CPIC European Warrants Feb 2011	100,000,000	2010/09/16	2011/02/28	21.00
24950	HK Bank – China Mobile European Warrants Jan 2011	250,000,000	2010/09/16	2011/01/17	37.50
24949	J P Morgan SP BV – China Mob European Wts Dec 2010	100,000,000	2010/09/16	2010/12/22	15.00
24955	J P Morgan SP BV – China Mob European Wts Dec 2011	300,000,000	2010/09/16	2011/12/05	45.00
24946	J P Morgan SP BV – Ping An European Warrants Mar 2012	200,000,000	2010/09/16	2012/03/05	30.00
24947	J P Morgan SP BV – Skyworth Digital European Wts Jan 2012	200,000,000	2010/09/16	2012/01/18	30.00
24956	CC Rabobank B.A. – Chaoda Modern European Warrants Jan 2012	250,000,000	2010/09/16	2012/01/30	62.50
24958	CC Rabobank B.A. – Greentown China European Wts Aug 2011	200,000,000	2010/09/16	2011/08/30	58.00
24957	CC Rabobank B.A. – GCL-Poly Energy European Wts Mar 2011 B	100,000,000	2010/09/16	2011/03/28	25.00
24959	CC Rabobank B.A. – PCD Stores European Warrants Mar 2011	100,000,000	2010/09/16	2011/03/28	32.00
24960	CC Rabobank B.A. – Yanzhou Coal European Warrants Mar 2011	100,000,000	2010/09/16	2011/03/18	25.00
24961	RB of Scotland N.V. – Agricultural Bk Euro Wts Feb 2011 B	150,000,000	2010/09/16	2011/02/18	25.80
24953	UBS AG – China Mobile European Warrants Jan 2011	100,000,000	2010/09/16	2011/01/24	25.50
24948	UBS AG – A50 China European Warrants Apr 2012	100,000,000	2010/09/16	2012/04/24	15.00
24954	UBS AG – HSI European Put Warrants Dec 2010 L	200,000,000	2010/09/16	2010/12/30	30.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
23160#	Deutsche Bank AG – China Life European Warrants Dec 2010 B	300,000,000	2010/09/16	2010/12/28	46.50
24613#	Standard Chartered Bank – China Mobile European Wts Dec 2010	100,000,000	2010/09/16	2010/12/22	13.30
24991	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Apr 2011	100,000,000	2010/09/17	2011/04/04	25.00
24990	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Mar 2011	68,000,000	2010/09/17	2011/03/02	14.96
24992	BNP Paribas Arbit Issu B.V. – China Life Eu Put Wts Mar 2011	100,000,000	2010/09/17	2011/03/02	15.00
24993	BNP Paribas Arbit Issu B.V. – Hutchison Euro Put Wt Apr 2011	100,000,000	2010/09/17	2011/04/04	25.00
24984	Credit Suisse AG – Agricultural Bank European Wts Mar 2011	300,000,000	2010/09/17	2011/03/21	45.00
24988	Credit Suisse AG – Agricultural Bank European Put Wts Mar11	300,000,000	2010/09/17	2011/03/17	75.00
24983	Credit Suisse AG – A50 China European Warrants Nov 2011	300,000,000	2010/09/17	2011/11/07	45.00
24980	Citigroup Global Mkt H Inc. – Angang Steel European Wt May11	80,000,000	2010/09/17	2011/05/30	20.00
24981	Citigroup Global Mkt H Inc. – CM Bank European Put Wts Mar11	100,000,000	2010/09/17	2011/03/17	25.00
24982	Citigroup Global Mkt H Inc. – CNOOC Euro Put Wts Jun 2011	100,000,000	2010/09/17	2011/06/07	15.00
24979	Citigroup Global Mkt H Inc. – HSI European Warrants Dec 2010	80,000,000	2010/09/17	2010/12/30	12.00
24986	Deutsche Bank AG – China Mobile European Warrants Jan 2011	200,000,000	2010/09/17	2011/01/24	88.00
24985	Deutsche Bank AG – CNOOC European Warrants Jun 2011	200,000,000	2010/09/17	2011/06/27	30.00
24977	Goldman Sachs SP (Asia) – BOCL European Warrants Mar 2011 B	200,000,000	2010/09/17	2011/03/21	50.00
24978	Goldman Sachs SP (Asia) – CNOOC European Warrants Apr 2011	200,000,000	2010/09/17	2011/04/18	30.00
24996	Goldman Sachs SP (Asia) – Tencent Holdings European Wt Apr11	200,000,000	2010/09/17	2011/04/26	32.00
24995	Goldman Sachs SP (Asia) – Tencent Holdings Euro Put Wt Sep11	200,000,000	2010/09/17	2011/09/19	50.00
24994	KBC Fin Products Int'l Ltd. – BOC HK European Wts Aug 2011	128,000,000	2010/09/17	2011/08/01	32.00
24987	KBC Fin Products Int'l Ltd. – HKEx European Warrants Aug 11	108,000,000	2010/09/17	2011/08/08	16.20
24976	Macquarie Bank Ltd. – GAC European Wts May 2011	200,000,000	2010/09/17	2011/05/04	50.00
24966	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt Apr 2011	100,000,000	2010/09/17	2011/04/18	31.50
24967	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt May 2011	100,000,000	2010/09/17	2011/05/23	46.50
24970	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Put Wts Apr 11	100,000,000	2010/09/17	2011/04/11	15.00
24968	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Euro Wts Aug 11A	100,000,000	2010/09/17	2011/08/16	22.20
24969	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Euro Wts Aug 11B	100,000,000	2010/09/17	2011/08/23	15.10
24971	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Jan 12	100,000,000	2010/09/17	2012/01/18	19.80
24972	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Apr 2011	150,000,000	2010/09/17	2011/04/28	22.80
24973	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Feb 11B	150,000,000	2010/09/17	2011/02/25	24.90
24989	CC Rabobank B.A. – CNOOC European Warrants Apr 2011	120,000,000	2010/09/17	2011/04/11	18.00
10996	RB of Scotland N.V. – DJIA European Warrants Mar 2011 C	100,000,000	2010/09/17	2011/03/18	25.00
10001	RB of Scotland N.V. – DJIA European Put Warrants Mar 2011 D	100,000,000	2010/09/17	2011/03/18	25.30
10993	RB of Scotland N.V. – Gold European Warrants Mar 2011	100,000,000	2010/09/17	2011/03/30	26.10
10997	RB of Scotland N.V. – Gold European Put Warrants Mar 2011	100,000,000	2010/09/17	2011/03/30	25.00
24975	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Mar 11	150,000,000	2010/09/17	2011/03/16	33.00
24974	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jan 2012	300,000,000	2010/09/17	2012/01/30	45.00
23506#	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2011	300,000,000	2010/09/17	2011/12/05	29.10
25017	Credit Suisse AG – Agricultural Bank European Wts Jan 2011 E	300,000,000	2010/09/20	2011/01/10	45.00
25018	Credit Suisse AG – Agricultural Bank European Wts Feb 2011 B	300,000,000	2010/09/20	2011/02/22	45.00
25016	Credit Suisse AG – HKEx European Warrants Nov 2012	400,000,000	2010/09/20	2012/11/26	100.00
25001	Citigroup Global Mkt H Inc. – CNOOC European Wts May 2011	120,000,000	2010/09/20	2011/05/30	30.00
25013	Deutsche Bank AG – China Mobile European Warrants Mar 2011	200,000,000	2010/09/20	2011/03/28	156.00
25011	Deutsche Bank AG – CC Bank European Warrants Mar 2011 A	200,000,000	2010/09/20	2011/03/07	48.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
25012	Deutsche Bank AG – China Life European Warrants Mar 2011 B	300,000,000	2010/09/20	2011/03/28	78.00
25015	Deutsche Bank AG – CNOOC European Warrants Apr 2011 B	200,000,000	2010/09/20	2011/04/04	30.00
25014	Deutsche Bank AG – Sinopec Corp European Warrants Mar 2011	150,000,000	2010/09/20	2011/03/28	54.00
10998	Deutsche Bank AG – Gold European Warrants Apr 2011	50,000,000	2010/09/20	2011/04/19	12.50
25000	HK Bank – BOC HK European Warrants Jun 2011	160,000,000	2010/09/20	2011/06/23	40.00
25009	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2011 G	200,000,000	2010/09/20	2011/02/25	30.00
25008	KBC Fin Products Int'l Ltd. – ICBC European Wts Mar 2011 B	168,000,000	2010/09/20	2011/03/01	28.56
25007	Macquarie Bank Ltd. – China Coal European Warrants Jan 2011	200,000,000	2010/09/20	2011/01/24	30.20
25010	Standard Chartered Bank – HKEx European Warrants Aug 2011	150,000,000	2010/09/20	2011/08/08	22.50
25002	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2011 C	200,000,000	2010/09/20	2011/04/04	64.00
25003	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt May 2011 A	200,000,000	2010/09/20	2011/05/04	60.00
25004	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt May 2011 B	200,000,000	2010/09/20	2011/05/04	50.00
24997	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Dec 11	500,000,000	2010/09/20	2011/12/21	75.00
25005	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Apr 2011	150,000,000	2010/09/20	2011/04/11	25.50
24998	SGA Societe Generale Acceptance N.V. – CP&CC Eu Put Wt Feb11	50,000,000	2010/09/20	2011/02/14	12.00
24999	SGA Societe Generale Acceptance N.V.-Nine Dragons Eu Wt Nov11	150,000,000	2010/09/20	2011/11/02	31.50
25006	UBS AG – HSI European Warrants Dec 2010 M	200,000,000	2010/09/20	2010/12/30	30.00
23343#	KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2011 B	130,000,000	2010/09/20	2011/01/03	22.49
25028	BOCI Asia Ltd. – CNOOC European Warrants Apr 2012	100,000,000	2010/09/21	2012/04/10	25.00
25029	BOCI Asia Ltd. – Ping An European Warrants Apr 2012	300,000,000	2010/09/21	2012/04/10	51.00
25032	Credit Suisse AG – China Mobile European Warrants Jan 2011 A	300,000,000	2010/09/21	2011/01/31	45.00
25049	Credit Suisse AG – China Life European Warrants Feb 2011 B	300,000,000	2010/09/21	2011/02/21	45.00
25033	Credit Suisse AG – CNOOC European Warrants Apr 2011 A	300,000,000	2010/09/21	2011/04/11	45.00
25034	Credit Suisse AG – CNOOC European Warrants Apr 2011 B	300,000,000	2010/09/21	2011/04/11	45.00
25030	Credit Suisse AG – HSI European Put Warrants Dec 2010 I	300,000,000	2010/09/21	2010/12/30	45.00
25031	Credit Suisse AG – HSI European Put Warrants Feb 2011 B	320,000,000	2010/09/21	2011/02/25	48.00
25035	Deutsche Bank AG – Agricultural Bk European Put Wts Feb11	100,000,000	2010/09/21	2011/02/21	15.00
25036	Deutsche Bank AG – CHALCO European Warrants Jun 2011	100,000,000	2010/09/21	2011/06/27	15.00
25037	Deutsche Bank AG – BOC HK European Warrants Mar 2011	150,000,000	2010/09/21	2011/03/21	37.50
25038	Deutsche Bank AG – China Telecom European Wts Mar 2011	100,000,000	2010/09/21	2011/03/21	31.00
25039	Deutsche Bank AG – Fushan Energy European Warrants Mar 2011	100,000,000	2010/09/21	2011/03/21	52.00
25040	Deutsche Bank AG – Gome European Warrants Feb 2011	100,000,000	2010/09/21	2011/02/28	27.00
25041	Deutsche Bank AG – Li & Fung European Warrants Mar 2011	100,000,000	2010/09/21	2011/03/21	25.00
25042	Deutsche Bank AG – PetroChina European Warrants Mar 2011	150,000,000	2010/09/21	2011/03/21	64.50
25043	Deutsche Bank AG – Sinopharm European Warrants Mar 2011	100,000,000	2010/09/21	2011/03/21	34.00
25048	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Apr 2011	158,000,000	2010/09/21	2011/04/01	42.66
25046	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Mar 11 B	198,000,000	2010/09/21	2011/03/21	49.50
25047	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Mar 11 C	198,000,000	2010/09/21	2011/03/21	49.50
25044	Macquarie Bank Ltd. – China Shenhua European Wts Apr 2011	200,000,000	2010/09/21	2011/04/04	50.40
25045	Macquarie Bank Ltd. – Ping An European Warrants Mar 2012	250,000,000	2010/09/21	2012/03/05	38.00
25020	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2011 B	100,000,000	2010/09/21	2011/01/25	32.00
25021	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2011 C	100,000,000	2010/09/21	2011/01/26	20.80
25022	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2011 A	100,000,000	2010/09/21	2011/02/15	16.00
25023	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2011 B	100,000,000	2010/09/21	2011/02/21	16.40

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
25024	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 11B	100,000,000	2010/09/21	2011/12/20	18.90
25025	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Dec 2010	100,000,000	2010/09/21	2010/12/28	17.20
25027	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Mar 2011 B	100,000,000	2010/09/21	2011/03/21	26.00
25026	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Put Wts Jun 12	100,000,000	2010/09/21	2012/06/11	25.00
25019	RB of Scotland N.V. – Agricultural Bk European Wts Mar 2011	150,000,000	2010/09/21	2011/03/24	42.00
25054	RB of Scotland N.V. – A50 China European Put Wts Jan 2011	250,000,000	2010/09/21	2011/01/24	38.00
25055	RB of Scotland N.V. – A50 China European Put Wts Apr 2011	250,000,000	2010/09/21	2011/04/18	41.00
25050	RB of Scotland N.V. – HKEx European Warrants Jun 2011	250,000,000	2010/09/21	2011/06/27	38.25
25051	RB of Scotland N.V. – HSBC European Warrants Jan 2011 A	100,000,000	2010/09/21	2011/01/31	36.10
25052	RB of Scotland N.V. – HSBC European Warrants Jan 2011 B	100,000,000	2010/09/21	2011/01/31	26.00
25053	RB of Scotland N.V. – HSBC European Warrants Feb 2011 B	100,000,000	2010/09/21	2011/02/11	21.00
25057	Barclays Bank plc – Agricultural Bank European Wts Apr 2011	100,000,000	2010/09/22	2011/04/18	25.00
25056	Barclays Bank plc – CNOOC European Warrants Jun 2011	100,000,000	2010/09/22	2011/06/27	16.00
25060	Barclays Bank plc – CNOOC European Put Warrants Jun 2011	100,000,000	2010/09/22	2011/06/20	15.00
25058	Barclays Bank plc – HKEx European Warrants Jul 2012	150,000,000	2010/09/22	2012/07/30	37.50
25059	Barclays Bank plc – Tencent Holdings European Warrants Jun 11	150,000,000	2010/09/22	2011/06/20	37.50
25061	Citigroup Global Mkt H Inc. – China Life Euro Wts Apr 2011	80,000,000	2010/09/22	2011/04/28	20.00
25070	Deutsche Bank AG – Air China European Warrants May 2011	100,000,000	2010/09/22	2011/05/23	25.00
25071	Deutsche Bank AG – Angang Steel European Warrants Jun 2011 B	100,000,000	2010/09/22	2011/06/27	25.00
25073	Deutsche Bank AG – Ch LongYuan European Warrants Dec 2011	100,000,000	2010/09/22	2011/12/28	15.00
25074	Deutsche Bank AG – China Overseas European Warrants May 2011	100,000,000	2010/09/22	2011/05/11	25.00
25072	Deutsche Bank AG – CC Bank European Warrants Mar 2011 B	200,000,000	2010/09/22	2011/03/28	34.00
25075	Deutsche Bank AG – Geely Auto European Warrants Mar 2011 B	100,000,000	2010/09/22	2011/03/28	40.00
25076	Deutsche Bank AG – Jiangxi Copper European Warrants Apr 2011	100,000,000	2010/09/22	2011/04/18	25.00
25078	Deutsche Bank AG – SHK Ppt European Warrants Aug 2011	200,000,000	2010/09/22	2011/08/01	30.00
25077	Deutsche Bank AG – SJM Holdings European Warrants Jun 2011	100,000,000	2010/09/22	2011/06/27	25.00
25079	Deutsche Bank AG – Tencent Holdings European Warrants Apr11A	200,000,000	2010/09/22	2011/04/18	50.00
25065	HK Bank – HKEx European Warrants Nov 2011 A	160,000,000	2010/09/22	2011/11/08	40.00
25080	J P Morgan SP BV – Angang Steel European Warrants May 2011	200,000,000	2010/09/22	2011/05/03	50.00
25081	J P Morgan SP BV – Anta Sports European Warrants Mar 2011	100,000,000	2010/09/22	2011/03/21	15.00
25082	J P Morgan SP BV – China Unicom European Warrants Aug 2011	200,000,000	2010/09/22	2011/08/29	50.00
25083	J P Morgan SP BV – Hutchison European Warrants Feb 2011 C	100,000,000	2010/09/22	2011/02/14	15.00
25068	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2011 E	208,000,000	2010/09/22	2011/03/14	37.44
25069	KBC Fin Products Int'l Ltd. – China Life Euro Wts Apr 2011	188,000,000	2010/09/22	2011/04/01	47.00
25084	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Mar 2011 B	128,000,000	2010/09/22	2011/03/22	32.00
25066	KBC Fin Products Int'l Ltd. – ICBC European Wts Apr 2011	158,000,000	2010/09/22	2011/04/01	47.40
25087	CC Rabobank B.A. – Anhui Conch European Warrants Mar 2011	75,000,000	2010/09/22	2011/03/29	28.50
25089	CC Rabobank B.A. – BOCL European Warrants Apr 2011	100,000,000	2010/09/22	2011/04/04	15.00
25085	CC Rabobank B.A. – Jiangxi Copper European Warrants Mar 2011	100,000,000	2010/09/22	2011/03/24	25.00
25088	CC Rabobank B.A. – Lenovo European Warrants Mar 2011	100,000,000	2010/09/22	2011/03/01	40.00
25086	CC Rabobank B.A. – PetroChina European Warrants Feb 2011 B	100,000,000	2010/09/22	2011/02/25	38.00
25062	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 11B	200,000,000	2010/09/22	2011/02/14	30.00
25067	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 11C	300,000,000	2010/09/22	2011/03/30	45.00
25064	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 11	300,000,000	2010/09/22	2011/06/29	45.00

# Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
25063	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2011 B	300,000,000	2010/09/22	2011/03/30	45.00
25090	UBS AG – HSI European Warrants Mar 2011 A	200,000,000	2010/09/22	2011/03/30	30.00
25091	UBS AG – HSI European Put Warrants Feb 2011 B	200,000,000	2010/09/22	2011/02/25	30.00
25093	BOCI Asia Ltd. – China Tel European Warrants Aug 2011	100,000,000	2010/09/24	2011/08/29	35.00
25094	BOCI Asia Ltd. – Henganintl European Warrants Jun 2012	200,000,000	2010/09/24	2012/06/29	35.00
25092	BOCI Asia Ltd. – China Mengniu European Warrants Dec 2011	100,000,000	2010/09/24	2011/12/19	30.00
25096	BNP Paribas Arbit Issu B.V. – HKEx European Wts Nov 2012	100,000,000	2010/09/24	2012/11/01	25.00
25097	BNP Paribas Arbit Issu B.V. – Li Ning European Warrants Jun11	80,000,000	2010/09/24	2011/06/01	25.60
25095	BNP Paribas Arbit Issu B.V. – China Mengniu Euro Wts Aug 11	100,000,000	2010/09/24	2011/08/02	28.00
25098	BNP Paribas Arbit Issu B.V. – SJM Holdings Euro Wts Dec 11	100,000,000	2010/09/24	2011/12/02	25.00
25100	Deutsche Bank AG – CITIC Bank European Warrants Mar 2011	100,000,000	2010/09/24	2011/03/28	31.00
25101	Deutsche Bank AG – China Mobile European Warrants Feb 2011 C	200,000,000	2010/09/24	2011/02/28	76.00
10999	Deutsche Bank AG – EUR/USD European Put Warrants Mar 2011 B	50,000,000	2010/09/24	2011/03/28	14.00
25102	Deutsche Bank AG – PICC P&C European Warrants Aug 2011	100,000,000	2010/09/24	2011/08/01	25.00
25103	Deutsche Bank AG – Ping An European Warrants Mar 2012	100,000,000	2010/09/24	2012/03/05	15.00
25104	Deutsche Bank AG – Sands China European Warrants Feb 2011	100,000,000	2010/09/24	2011/02/14	15.00
25106	Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2011 B	200,000,000	2010/09/24	2011/03/28	50.00
25107	Goldman Sachs SP (Asia) – CNOOC European Warrants Jun 2011	200,000,000	2010/09/24	2011/06/27	30.00
25105	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Mar 11D	198,000,000	2010/09/24	2011/03/24	49.50
25099	UBS AG – HKEx European Warrants Oct 2011 B	200,000,000	2010/09/24	2011/10/31	50.00
22232#	BOCI Asia Ltd. – HKEx European Put Warrants Mar 2011	500,000,000	2010/09/24	2011/03/11	34.50
25120	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Jul 15	100,000,000	2010/09/27	2015/07/08	15.00
25121	BNP Paribas Arbit Issu B.V. – KECL European Warrants Sep 12	100,000,000	2010/09/27	2012/09/03	25.00
25122	Credit Suisse AG – Sinopec Corp European Warrants Jul 2011	300,000,000	2010/09/27	2011/07/25	45.00
25123	Deutsche Bank AG – Alibaba European Warrants Apr 2011	100,000,000	2010/09/27	2011/04/04	25.00
10002	Deutsche Bank AG – AUD/USD European Warrants Apr 2011	50,000,000	2010/09/27	2011/04/11	12.50
25124	Deutsche Bank AG – CM Bank European Warrants Mar 2011	100,000,000	2010/09/27	2011/03/28	25.00
25125	Deutsche Bank AG – CNBM European Warrants Mar 2011	80,000,000	2010/09/27	2011/03/28	20.00
25126	Deutsche Bank AG – China Shenhua European Warrants Feb 2011	80,000,000	2010/09/27	2011/02/21	17.60
25109	Goldman Sachs SP (Asia) – Agricultural Bk European Wts Jan11	200,000,000	2010/09/27	2011/01/24	36.00
25110	Goldman Sachs SP (Asia) – Agricultural Bk European Wts May11	200,000,000	2010/09/27	2011/05/16	50.00
25115	HK Bank – CNBM European Warrants Jun 2011	100,000,000	2010/09/27	2011/06/22	25.00
25116	HK Bank – CNOOC European Warrants Aug 2011	160,000,000	2010/09/27	2011/08/30	40.00
25111	HK Bank – HSCEI European Warrants Mar 2011 A	200,000,000	2010/09/27	2011/03/30	50.00
25112	HK Bank – HSCEI European Warrants Mar 2011 B	200,000,000	2010/09/27	2011/03/30	50.00
25113	HK Bank – HSCEI European Warrants Jun 2011	200,000,000	2010/09/27	2011/06/29	50.00
25114	HK Bank – HSCEI European Warrants Dec 2011	200,000,000	2010/09/27	2011/12/29	50.00
25118	HK Bank – HSCEI European Put Warrants Mar 2011	200,000,000	2010/09/27	2011/03/30	50.00
25117	HK Bank – PetroChina European Warrants Mar 2011	150,000,000	2010/09/27	2011/03/28	37.50
25119	J P Morgan SP BV – HSBC European Warrants Jan 2011	100,000,000	2010/09/27	2011/01/31	17.40
25108	Macquarie Bank Ltd. – HSI European Put Warrants Jan 2011	250,000,000	2010/09/27	2011/01/28	37.50
25154	BNP Paribas Arbit Issu B.V. – China Unicom European Wt Oct12	100,000,000	2010/09/28	2012/10/03	27.00
25153	BNP Paribas Arbit Issu B.V. – CNBM European Warrants Oct 11	100,000,000	2010/09/28	2011/10/03	28.00
25139	BNP Paribas Arbit Issu B.V. – HSI European Wts Mar 2011	100,000,000	2010/09/28	2011/03/30	25.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
25143	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Mar 2011	100,000,000	2010/09/28	2011/03/30	26.00
25155	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts Mar 2012	100,000,000	2010/09/28	2012/03/02	31.00
25156	BNP Paribas Arbit Issu B.V. – M I&S European Wts Mar 2011	43,000,000	2010/09/28	2011/03/07	17.20
25157	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wts Jul 12	100,000,000	2010/09/28	2012/07/03	27.00
25158	BNP Paribas Arbit Issu B.V. – Ting Yi European Warrants Jan12	76,000,000	2010/09/28	2012/01/04	28.12
25145	Credit Suisse AG – Hutchison European Warrants Feb 2011 C	300,000,000	2010/09/28	2011/02/07	45.00
25161	Deutsche Bank AG – Minsheng Bank European Warrants Mar 2011	150,000,000	2010/09/28	2011/03/28	52.50
25160	Deutsche Bank AG – China Railway European Warrants Mar 2011	100,000,000	2010/09/28	2011/03/28	41.00
25159	Deutsche Bank AG – CRCC European Warrants Aug 2011	100,000,000	2010/09/28	2011/08/01	15.00
25148	Deutsche Bank AG – HSI European Warrants Feb 2011 I	300,000,000	2010/09/28	2011/02/25	45.00
25149	Deutsche Bank AG – HSI European Warrants Mar 2011 A	300,000,000	2010/09/28	2011/03/30	75.00
25150	Deutsche Bank AG – HSI European Warrants Mar 2011 B	300,000,000	2010/09/28	2011/03/30	45.00
25151	Deutsche Bank AG – HSI European Put Warrants Mar 2011 C	300,000,000	2010/09/28	2011/03/30	75.00
25152	Deutsche Bank AG – HSI European Put Warrants Mar 2011 D	300,000,000	2010/09/28	2011/03/30	84.00
25162	Deutsche Bank AG – ICBC European Warrants Mar 2011 B	200,000,000	2010/09/28	2011/03/28	94.00
25163	Deutsche Bank AG – Zijin Mining European Warrants Feb 2011	100,000,000	2010/09/28	2011/02/21	48.00
25133	HK Bank – HSI European Put Warrants Jun 2011	280,000,000	2010/09/28	2011/06/29	70.00
25131	HK Bank – HSI European Warrants Mar 2011 D	280,000,000	2010/09/28	2011/03/30	70.00
25132	HK Bank – HSI European Warrants Mar 2011 E	280,000,000	2010/09/28	2011/03/30	70.00
25136	HK Bank – HSI European Put Warrants Mar 2011 G	280,000,000	2010/09/28	2011/03/30	70.00
25137	HK Bank – HSI European Put Warrants Mar 2011 H	280,000,000	2010/09/28	2011/03/30	70.00
25138	HK Bank – HSI European Put Warrants Mar 2011 I	280,000,000	2010/09/28	2011/03/30	70.00
25134	Macquarie Bank Ltd. – Belle Int'l European Warrants Mar 2011	200,000,000	2010/09/28	2011/03/30	50.80
25135	Macquarie Bank Ltd. – COSCO Pacific European Warrants Jul 11	200,000,000	2010/09/28	2011/07/18	50.20
25140	Macquarie Bank Ltd. – Chi Res Land European Wts Apr 2011	100,000,000	2010/09/28	2011/04/28	25.00
25141	Macquarie Bank Ltd. – Dongfeng Motor European Wts Jul 2011 B	150,000,000	2010/09/28	2011/07/28	37.65
25142	Macquarie Bank Ltd. – New World Dev European Wts Oct 2011	150,000,000	2010/09/28	2011/10/07	37.50
25144	Macquarie Bank Ltd. – SHK Ppt European Warrants Oct 2012	200,000,000	2010/09/28	2012/10/03	50.00
25127	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2012	300,000,000	2010/09/28	2012/06/25	75.00
25128	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Apr 2012	400,000,000	2010/09/28	2012/04/30	60.00
25129	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jan 2013	400,000,000	2010/09/28	2013/01/28	60.00
25130	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jan 2013	300,000,000	2010/09/28	2013/01/28	75.00
25147	UBS AG – China Unicom European Warrants Sep 2011	100,000,000	2010/09/28	2011/09/20	15.00
25146	UBS AG – ICBC European Warrants Jan 2011	100,000,000	2010/09/28	2011/01/10	15.00
24775#	CC Rabobank B.A. – China Life European Warrants Dec 2010 C	200,000,000	2010/09/28	2010/12/21	30.00
25176	BNP Paribas Arbit Issu B.V. – Hutchison European Wts Nov2011	100,000,000	2010/09/29	2011/11/02	40.00
25182	Credit Suisse AG – China Mobile European Warrants Jan 2011 B	300,000,000	2010/09/29	2011/01/24	45.00
25178	Credit Suisse AG – Sinopec Corp European Warrants Jan 2011 A	300,000,000	2010/09/29	2011/01/10	45.00
25179	Credit Suisse AG – Sinopec Corp European Warrants Oct 2011	300,000,000	2010/09/29	2011/10/10	45.00
25180	Credit Suisse AG – HKEx European Warrants Mar 2011	300,000,000	2010/09/29	2011/03/28	75.00
25177	Credit Suisse AG – HSBC European Wts Jan 2011 B	300,000,000	2010/09/29	2011/01/31	45.00
25181	Credit Suisse AG – Tencent Holdings European Wts Feb 2011 B	300,000,000	2010/09/29	2011/02/14	45.00
25183	Credit Suisse AG – Tencent Holdings European Put Wts Feb2011	300,000,000	2010/09/29	2011/02/21	45.00
25196	Citigroup Global Mkt H Inc. – BYD European Warrants Apr 2011	80,000,000	2010/09/29	2011/04/18	20.00

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
25184	Deutsche Bank AG – Anhui Conch European Warrants Apr 2011	100,000,000	2010/09/29	2011/04/04	38.00
25185	Deutsche Bank AG – China EB Ltd European Warrants Mar 2011	100,000,000	2010/09/29	2011/03/28	20.00
25186	Deutsche Bank AG – China Mobile European Warrants Feb 2011 D	200,000,000	2010/09/29	2011/02/28	30.00
25187	Deutsche Bank AG – Chi Res Land European Warrants Feb 2011	100,000,000	2010/09/29	2011/02/28	16.00
25188	Deutsche Bank AG – ICBC European Warrants Feb 2011	150,000,000	2010/09/29	2011/02/28	33.00
10003	Deutsche Bank AG – Nasdaq – 100 Index European Wts Jun 2011	100,000,000	2010/09/29	2011/06/20	25.00
10007	Deutsche Bank AG – Nasdaq – 100 Index Euro Put Wts Jun 2011	100,000,000	2010/09/29	2011/06/20	25.00
25194	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2011 A	200,000,000	2010/09/29	2011/03/30	50.00
25195	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2011 B	200,000,000	2010/09/29	2011/03/30	30.00
25199	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2011 C	200,000,000	2010/09/29	2011/03/30	50.00
25200	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2011 D	200,000,000	2010/09/29	2011/03/30	50.00
25169	HK Bank – Angang Steel European Warrants Jun 2011 B	120,000,000	2010/09/29	2011/06/22	30.00
25170	HK Bank – Sinopec Corp European Warrants Mar 2011	120,000,000	2010/09/29	2011/03/29	30.00
25171	HK Bank – Dongfeng Motor European Warrants May 2011	100,000,000	2010/09/29	2011/05/12	25.00
25172	HK Bank – HWL European Warrants Mar 2011	150,000,000	2010/09/29	2011/03/29	37.50
25173	HK Bank – Jiangxi Copper European Warrants Apr 2011	120,000,000	2010/09/29	2011/04/28	30.00
25174	HK Bank – Tencent European Warrants Jun 2011	200,000,000	2010/09/29	2011/06/16	50.00
25175	HK Bank – Zhaojin Mining European Warrants Dec 2011	100,000,000	2010/09/29	2011/12/28	30.00
25191	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Apr2011	128,000,000	2010/09/29	2011/04/01	32.00
25192	KBC Fin Products Int'l Ltd. – China Tel Euro Wts May 2011	80,000,000	2010/09/29	2011/05/09	20.00
25193	KBC Fin Products Int'l Ltd. – CNOOC European Wts Jun 2011	108,000,000	2010/09/29	2011/06/01	16.20
25190	Macquarie Bank Ltd. – Agricultural Bk European Put Wt Mar 11	150,000,000	2010/09/29	2011/03/30	37.65
25189	Macquarie Bank Ltd. – Sh Petrochem European Wts Mar 2011	88,000,000	2010/09/29	2011/03/30	22.26
25164	CC Rabobank B.A. – CNBM European Warrants Apr 2011	100,000,000	2010/09/29	2011/04/06	25.00
25165	CC Rabobank B.A. – Dongfeng Motor European Warrants Apr 11B	150,000,000	2010/09/29	2011/04/21	33.00
25166	CC Rabobank B.A. – Geely Auto European Warrants Mar 2011	100,000,000	2010/09/29	2011/03/14	37.00
25167	CC Rabobank B.A. – Hutchison European Warrants Feb 2011 C	100,000,000	2010/09/29	2011/02/11	24.00
25168	CC Rabobank B.A. – Weichai Power European Warrants Oct 2011	200,000,000	2010/09/29	2011/10/26	50.00
23846#	UBS AG – Agricultural Bank European Warrants Jan 2011 H	200,000,000	2010/09/29	2011/01/10	15.20
25211	Barclays Bank plc – China Telecom European Warrants Jun 2011	100,000,000	2010/09/30	2011/06/27	25.00
25210	Barclays Bank plc – Sinopec Corp European Wts Apr 2011	100,000,000	2010/09/30	2011/04/26	30.00
25212	Barclays Bank plc – Hutchison European Warrants Jun 2011	100,000,000	2010/09/30	2011/06/13	27.00
25213	Barclays Bank plc – Hutchison European Put Warrants Jun 2011	100,000,000	2010/09/30	2011/06/20	25.00
25214	Barclays Bank plc – Tencent Holdings European Put Wts Sep 11	120,000,000	2010/09/30	2011/09/12	19.20
25243	Citigroup Global Mkt H Inc. – Esprit European Wts Mar 2011	80,000,000	2010/09/30	2011/03/30	20.00
25228	Citigroup Global Mkt H Inc. – Ping An Euro Wts Mar 2011	80,000,000	2010/09/30	2011/03/30	28.00
25234	Deutsche Bank AG – Agile Ppt European Warrants Oct 2011	100,000,000	2010/09/30	2011/10/10	19.00
25235	Deutsche Bank AG – BBMG European Warrants Jun 2011	100,000,000	2010/09/30	2011/06/27	25.00
25236	Deutsche Bank AG – CC Bank European Warrants Feb 2011 B	200,000,000	2010/09/30	2011/02/28	96.00
25237	Deutsche Bank AG – Sinopec Corp European Warrants Apr 2011	150,000,000	2010/09/30	2011/04/14	45.00
25238	Deutsche Bank AG – R&F Ppt European Warrants May 2011	100,000,000	2010/09/30	2011/05/30	15.00
25248	KBC Fin Products Int'l Ltd. – HKEx European Warrants Apr 11	88,000,000	2010/09/30	2011/04/01	32.56
25216	Macquarie Bank Ltd. – Cheung Kong European Warrants Oct 2011	250,000,000	2010/09/30	2011/10/17	38.00
25215	Macquarie Bank Ltd. – Cathay Pacific European Wts Mar 2011	150,000,000	2010/09/30	2011/03/15	22.80

# Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
25239	Macquarie Bank Ltd. – HSI European Put Warrants Feb 2011	250,000,000	2010/09/30	2011/02/25	37.50
25217	Macquarie Bank Ltd. – MCC European Warrants Mar 2011	150,000,000	2010/09/30	2011/03/30	38.70
25197	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2011 A	100,000,000	2010/09/30	2011/03/03	34.50
25198	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2011 B	100,000,000	2010/09/30	2011/03/04	15.80
25201	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Apr 2011	100,000,000	2010/09/30	2011/04/26	15.30
25202	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Mar 2011	100,000,000	2010/09/30	2011/03/07	25.00
25203	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Feb 2011	100,000,000	2010/09/30	2011/02/07	69.00
25204	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Nov 2011	100,000,000	2010/09/30	2011/11/01	25.00
25205	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jan 2012	100,000,000	2010/09/30	2012/01/26	25.00
25207	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Jun 2011	100,000,000	2010/09/30	2011/06/20	25.00
25206	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts May 2011 B	100,000,000	2010/09/30	2011/05/16	27.00
25225	Nomura Int'l plc – Agricultural Bk European Warrants Jan 11	200,000,000	2010/09/30	2011/01/17	30.40
25226	Nomura Int'l plc – Agricultural Bk European Warrants Mar 11	100,000,000	2010/09/30	2011/03/21	25.80
25224	Nomura Int'l plc – China Mobile European Warrants Mar 2011	50,000,000	2010/09/30	2011/03/31	18.15
25223	Nomura Int'l plc – CC Bank European Warrants Mar 2011	100,000,000	2010/09/30	2011/03/31	30.00
25227	Nomura Int'l plc – China Coal European Warrants Sep 2011	100,000,000	2010/09/30	2011/09/30	25.30
25222	Nomura Int'l plc – CNOOC European Warrants Jun 2011 B	100,000,000	2010/09/30	2011/06/30	15.00
25219	Nomura Int'l plc – HKEx European Warrants Aug 2011	100,000,000	2010/09/30	2011/08/08	15.00
25218	Nomura Int'l plc – JIANC European Warrants Mar 2011 B	100,000,000	2010/09/30	2011/03/31	25.00
25221	Nomura Int'l plc – PetroChina European Warrants Apr 2012	100,000,000	2010/09/30	2012/04/30	15.00
25220	Nomura Int'l plc – PetroChina European Warrants Jan 2013	100,000,000	2010/09/30	2013/01/31	15.00
25229	CC Rabobank B.A. – Agricultural Bk European Warrants Jul 11	200,000,000	2010/09/30	2011/07/28	50.00
25232	CC Rabobank B.A. – Ch Mobile European Warrants Mar 2011	150,000,000	2010/09/30	2011/03/07	48.00
25230	CC Rabobank B.A. – China Life European Warrants Feb 2011 B	150,000,000	2010/09/30	2011/02/28	55.50
25231	CC Rabobank B.A. – China Life European Warrants Feb 2011 C	150,000,000	2010/09/30	2011/02/28	30.00
25233	CC Rabobank B.A. – Tencent Holdings European Wts Mar 2011	200,000,000	2010/09/30	2011/03/30	50.00
25242	RB of Scotland N.V. – HSI European Put Warrants Feb 2011 A	250,000,000	2010/09/30	2011/02/25	49.50
25240	RB of Scotland N.V. – Tencent Holdings European Wts Feb 11D	200,000,000	2010/09/30	2011/02/14	30.00
25241	RB of Scotland N.V. – Tencent Holdings European Wts Jun 2011	200,000,000	2010/09/30	2011/06/24	35.40
25208	SGA Societe Generale Acceptance N.V. – BYD Euro Wts Sep 2011	80,000,000	2010/09/30	2011/09/21	47.20
25209	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2011B	200,000,000	2010/09/30	2011/04/04	32.00
25244	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2011 C	100,000,000	2010/09/30	2011/01/31	32.00
25245	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 11H	300,000,000	2010/09/30	2011/02/25	57.00
25246	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 11D	300,000,000	2010/09/30	2011/03/30	45.00
25247	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 11E	300,000,000	2010/09/30	2011/03/30	84.00
20114 <sup>#</sup>	J P Morgan SP BV – Sinopec Corp European Warrants Jul 2011	300,000,000	2010/09/30	2011/07/25	31.50
19851 <sup>#</sup>	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants Jan11	300,000,000	2010/09/30	2011/01/31	10.50
<b>Total</b>					<b>72,490.02</b>

<sup>#</sup> Further issue



# Main Board Debt Securities

## Debt Securities Trading Statistics

		Turnover value	
		Exchange fund notes (HK\$ mil)	Market total (HK\$ mil)
2009	Q3	–	0.36
	Q4	–	0.16
2010	Q1	–	0.21
	Q2	–	1.22
	Q3	–	0.05

## Debt Securities Nominal Value

		No. of issues	Nominal value (HK\$ mil.)
2009	Q3	166	398,047.03
	Q4	157	391,908.38
2010	Q1	160	410,829.55
	Q2	158	426,338.89
	Q3	160	440,113.92

## New Listing Statistics – Debt Securities

		No. of newly listed debt securities	Amount raised (HK\$ mil.)
2009	Q3	8	19,782.43
	Q4	6	9,996.64
2010	Q1	9	30,996.63
	Q2	6	28,133.65
	Q3	7	21,025.02

# Main Board Debt Securities

## Newly Listed Debt Securities

for 3rd quarter 2010

Code	Debt securities	Principal		Listing date	Maturity	Amount raised (HK\$ mil.)
04322	China Resources Power Holdings Co. Ltd. 3.75% Notes 2015	USD	500,000,000	2010/08/04	2015/08/03	3,896.84
04005	Hong Kong Monetary Authority 0.50% Exchange Fund Notes 2012	HKD	1,200,000,000	2010/08/17	2012/08/16	1,203.00
04006	Hong Kong Monetary Authority 2.39% Exchange Fund Notes 2025	HKD	600,000,000	2010/08/23	2025/08/20	617.58
04205	The Govt of the HKSAR of the PRC 0.48% Bonds 2012	HKD	3,500,000,000	2010/09/03	2012/09/03	3,501.75
04323	HBAP Floating Rate Notes 2025	SGD	300,000,000	2010/09/10	2025/09/09	1,741.95
04182 #	Hong Kong Monetary Authority 2.54% Exchange Fund Notes 2013	HKD	1,200,000,000	2010/09/20	2013/09/25	1,266.00
04007	Hong Kong Monetary Authority 1.18% Exchange Fund Notes 2015	HKD	1,000,000,000	2010/09/21	2015/09/21	997.90
04325	PHBS Ltd. 6.625% Guaranteed Perpetual Capital Securities	USD	1,000,000,000	2010/09/30	Perpetual	7,800.00
<b>Total</b>						<b>21,025.02</b>

# Main Board Unit Trusts and Mutual Funds

## Unit Trusts and Mutual Funds Trading Statistics

		Exchange Traded	Real Estate	Others	Total	% of market total
		Fund (ETF)	Investment			
		(HK\$ mil.)	Trusts (REITs)	(HK\$ mil.)	(HK\$ mil.)	(HK\$ mil.)
2009	Q3	167,985.99	11,199.87	233.84	179,419.70	4.16
	Q4	121,814.83	10,126.33	339.11	132,280.28	3.23
2010	Q1	108,553.03	9,219.80	147.40	117,920.23	3.01
	Q2	136,150.74	10,809.77	176.89	147,137.40	3.94
	Q3	137,121.96	10,860.01	182.56	148,164.53	3.77

Note: 1. Include turnover values in HKD only.

## Unit Trusts and Mutual Funds Market Value

		Exchange Traded Funds		Real Estate Investment Trusts		Others	No. of issues	Total	
		No. of issues	(HK\$ mil.)	No. of issues	(HK\$ mil.)				
2009	Q3	37	435,197.50	7	65,764.70	2	2,603.37	46	503,565.57
	Q4	43	496,812.50	7	73,818.20	2	3,038.50	52	573,669.20
2010	Q1	61	501,813.45	7	76,649.30	2	2,915.77	70	581,378.53
	Q2	62	584,399.19	8	82,806.33	2	2,617.20	72	669,822.73
	Q3	66	647,219.69	8	95,248.94	2	2,972.33	76	745,440.96

## New Listing Unit Trust and Mutual Funds Statistics

		No. of newly listed unit trust and mutual funds	Funds raised (HK\$ mil.)
2009	Q3	7	–
	Q4	6	–
2010	Q1	18	–
	Q2	2	–
	Q3	4	–

# Main Board Unit Trusts and Mutual Funds

## Newly Listed Unit Trust and Mutual Funds

for 3rd quarter 2010

Code	Unit trust and Mutual funds	Fund manager	Issue price (HK\$)	Amount raised (HK\$ mil)	Listing date
03071	Da Cheng CSI China Mainland Consumer Tracker ETF	Da Cheng International Asset Management Company Limited	n.a.	n.a.	2010/07/15
02841	iShares CSI A-Share Consumer Staples Index ETF	BlackRock Asset Management North Asia Ltd.	n.a.	n.a.	2010/07/20
03001	iShares CSI A-Share Consumer Discretionary Index ETF	BlackRock Asset Management North Asia Ltd.	n.a.	n.a.	2010/07/20
03073	SPDR FTSE Greater China ETF	State Street Global Advisors Asia Ltd.	n.a.	n.a.	2010/09/20

# Main Board Callable Bull/Bear Contracts

## Callable Bull / Bear Contracts Trading Statistics

		Number	Market value (HK\$ mil.)	Turnover value (HK\$ mil.)
2009	Q3	1,893	145,788.56	434,251.62
	Q4	1,692	91,190.57	419,558.61
2010	Q1	1,331	60,652.40	290,147.62
	Q2	1,020	43,060.09	299,639.52
	Q3	974	40,872.16	351,106.38

## New Listings Callable Bull / Bear Contracts Statistics

		No. of newly listed CBBC	Amount raised (HK\$ mil.)
2009	Q3	2,333	133,733.23
	Q4	2,054	115,888.51
2010	Q1	1,886	103,866.53
	Q2	1,473	74,636.30
	Q3	1,369	73,903.10

# Main Board Callable Bull/Bear Contracts

## Newly Listed Callable Bull / Bear Contracts

for 3rd quarter 2010

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62787	Credit Suisse AG – A50 China R Bull CBBC Nov 2010 B	100,000,000	2010/07/02	2010/11/22	25.00
62786	Credit Suisse AG – HKEx R Bear CBBC Nov 2010 A	100,000,000	2010/07/02	2010/11/29	25.00
62780	Credit Suisse AG – HSI R Bull CBBC Dec 2010 O	325,000,000	2010/07/02	2010/12/30	81.25
62781	Credit Suisse AG – HSI R Bull CBBC Dec 2010 P	325,000,000	2010/07/02	2010/12/30	81.25
62782	Credit Suisse AG – HSI R Bull CBBC Dec 2010 Q	325,000,000	2010/07/02	2010/12/30	81.25
62788	Credit Suisse AG – HSI R Bull CBBC Dec 2010 R	325,000,000	2010/07/02	2010/12/30	81.25
62783	Credit Suisse AG – HSI R Bear CBBC Oct 2010 F	325,000,000	2010/07/02	2010/10/28	81.25
62784	Credit Suisse AG – HSI R Bear CBBC Oct 2010 N	325,000,000	2010/07/02	2010/10/28	81.25
62785	Credit Suisse AG – HSI R Bear CBBC Oct 2010 P	325,000,000	2010/07/02	2010/10/28	81.25
62789	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 X	300,000,000	2010/07/02	2010/11/29	75.00
62790	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 O	300,000,000	2010/07/02	2010/12/30	81.00
62791	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 P	300,000,000	2010/07/02	2010/12/30	75.00
62795	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2010 F	200,000,000	2010/07/02	2010/11/29	50.00
62796	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2010 D	200,000,000	2010/07/02	2010/12/30	50.00
62797	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2010 E	200,000,000	2010/07/02	2010/12/30	50.00
62798	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2010 G	200,000,000	2010/07/02	2010/11/29	50.00
62799	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 F	200,000,000	2010/07/02	2010/12/30	50.00
62800	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 G	200,000,000	2010/07/02	2010/12/30	50.00
62792	UBS AG – HSI R Bull CBBC Nov 2010 F	200,000,000	2010/07/02	2010/11/29	50.00
62794	UBS AG – HSI R Bull CBBC Dec 2010 L	200,000,000	2010/07/02	2010/12/30	50.00
62805	Credit Suisse AG – HSI R Bear CBBC Oct 2010 V	390,000,000	2010/07/05	2010/10/28	97.50
62802	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Q	300,000,000	2010/07/05	2010/12/30	75.00
62803	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 R	300,000,000	2010/07/05	2010/12/30	87.00
62804	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 S	300,000,000	2010/07/05	2010/12/30	99.00
62801	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10H	300,000,000	2010/07/05	2010/11/29	75.00
62806	UBS AG – HSI R Bear CBBC Nov 2010 D	200,000,000	2010/07/05	2010/11/29	50.00
62810	Credit Suisse AG – HSI R Bear CBBC Oct 2010 Z	390,000,000	2010/07/06	2010/10/28	97.50
62807	Deutsche Bank AG – HSI R Bear CBBC Oct 2010 V	300,000,000	2010/07/06	2010/10/28	75.00
62808	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10I	300,000,000	2010/07/06	2010/11/29	75.00
62809	UBS AG – HSI R Bear CBBC Feb 2011 E	300,000,000	2010/07/06	2011/02/25	75.00
62824	Credit Suisse AG – A50 China R Bear CBBC Nov 2010 C	100,000,000	2010/07/07	2010/11/22	25.00
62814	Credit Suisse AG – HSI R Bull CBBC Dec 2010 S	390,000,000	2010/07/07	2010/12/30	97.50
62843	Credit Suisse AG – HSI R Bull CBBC Dec 2010 T	390,000,000	2010/07/07	2010/12/30	97.50
62825	Credit Suisse AG – HSI R Bear CBBC Oct 2010 A	390,000,000	2010/07/07	2010/10/28	97.50
62826	Credit Suisse AG – HSI R Bear CBBC Nov 2010 B	390,000,000	2010/07/07	2010/11/29	97.50
62811	Deutsche Bank AG – HSI R Bull CBBC Oct 2010 G	300,000,000	2010/07/07	2010/10/28	75.00
62815	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 T	300,000,000	2010/07/07	2010/12/30	75.00
62816	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 C	300,000,000	2010/07/07	2011/01/28	90.00
62818	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 D	300,000,000	2010/07/07	2011/01/28	75.00
62827	Deutsche Bank AG – HSI R Bear CBBC Nov 2010 C	300,000,000	2010/07/07	2010/11/29	75.00
62817	Deutsche Bank AG – HSI R Bear CBBC Nov 2010 V	300,000,000	2010/07/07	2010/11/29	75.00
62828	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 U	300,000,000	2010/07/07	2010/12/30	81.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62841	J P Morgan SP BV – HSI R Bear CBBC Oct 2010 W	200,000,000	2010/07/07	2010/10/28	50.00
62842	J P Morgan SP BV – HSI R Bear CBBC Oct 2010 X	200,000,000	2010/07/07	2010/10/28	50.00
62820	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2010 R	128,000,000	2010/07/07	2010/10/28	32.00
62823	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 L	128,000,000	2010/07/07	2010/11/29	32.00
62839	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2010 T	128,000,000	2010/07/07	2010/10/28	32.00
62838	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2010 W	128,000,000	2010/07/07	2010/10/28	32.00
62840	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 M	128,000,000	2010/07/07	2010/11/29	37.12
62829	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 H	200,000,000	2010/07/07	2010/12/30	50.00
62830	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 I	200,000,000	2010/07/07	2010/12/30	50.00
62831	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 J	200,000,000	2010/07/07	2010/12/30	50.00
62832	UBS AG – CC Bank R Bull CBBC Nov 2010 A	100,000,000	2010/07/07	2010/11/29	25.00
62833	UBS AG – China Life R Bear CBBC Nov 2010 F	100,000,000	2010/07/07	2010/11/08	25.00
62834	UBS AG – A50 China R Bull CBBC Dec 2010 B	100,000,000	2010/07/07	2010/12/28	25.00
62835	UBS AG – A50 China R Bear CBBC Oct 2010 B	100,000,000	2010/07/07	2010/10/28	25.00
62819	UBS AG – HSI R Bull CBBC Nov 2010 Y	200,000,000	2010/07/07	2010/11/29	50.00
62813	UBS AG – HSI R Bull CBBC Dec 2010 N	200,000,000	2010/07/07	2010/12/30	50.00
62836	UBS AG – HSI R Bear CBBC Oct 2010 Y	200,000,000	2010/07/07	2010/10/28	50.00
62837	UBS AG – HSI R Bear CBBC Dec 2010 P	200,000,000	2010/07/07	2010/12/30	50.00
62873	Credit Suisse AG – A50 China R Bear CBBC Nov 2010 D	100,000,000	2010/07/08	2010/11/22	25.00
62876	Credit Suisse AG – HSI R Bull CBBC Dec 2010 U	390,000,000	2010/07/08	2010/12/30	97.50
62874	Credit Suisse AG – HSI R Bear CBBC Oct 2010 B	390,000,000	2010/07/08	2010/10/28	97.50
62875	Credit Suisse AG – HSI R Bear CBBC Nov 2010 E	440,000,000	2010/07/08	2010/11/29	110.00
62864	Deutsche Bank AG – HSI R Bull CBBC Oct 2010 X	300,000,000	2010/07/08	2010/10/28	78.00
62865	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 E	300,000,000	2010/07/08	2010/11/29	75.00
62880	Deutsche Bank AG – HSI R Bear CBBC Oct 2010 Y	300,000,000	2010/07/08	2010/10/28	75.00
62862	HK Bank – HSI R Bear CBBC Dec 2010 K	100,000,000	2010/07/08	2010/12/30	25.00
62863	HK Bank – HSI R Bear CBBC Dec 2010 L	100,000,000	2010/07/08	2010/12/30	25.00
62886	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 K	200,000,000	2010/07/08	2010/12/30	50.00
62891	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 L	200,000,000	2010/07/08	2010/12/30	50.00
62892	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 M	200,000,000	2010/07/08	2010/12/30	50.00
62878	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 A	40,000,000	2010/07/08	2010/12/17	10.00
62877	RB of Scotland N.V. – HSI R Bear CBBC Oct 2010 X	250,000,000	2010/07/08	2010/10/28	62.50
62879	RB of Scotland N.V. – Nikkei 225 R Bear CBBC Dec 2010 A	40,000,000	2010/07/08	2010/12/10	10.00
62846	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Oct10A	100,000,000	2010/07/08	2010/10/27	47.00
62847	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Oct10B	100,000,000	2010/07/08	2010/10/27	36.00
62849	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Oct10A	150,000,000	2010/07/08	2010/10/27	37.50
62853	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Oct10B	150,000,000	2010/07/08	2010/10/27	37.50
62848	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Oct10A	300,000,000	2010/07/08	2010/10/27	75.00
62854	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Oct10A	200,000,000	2010/07/08	2010/10/28	50.00
62855	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Oct10B	200,000,000	2010/07/08	2010/10/28	50.00
62856	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Oct10C	200,000,000	2010/07/08	2010/10/28	50.00
62871	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10F	300,000,000	2010/07/08	2010/10/28	75.00
62870	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10G	300,000,000	2010/07/08	2010/10/28	75.00
62857	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10M	300,000,000	2010/07/08	2010/10/28	75.00
62858	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10J	300,000,000	2010/07/08	2010/11/29	75.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62859	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10K	300,000,000	2010/07/08	2010/11/29	75.00
62860	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10L	300,000,000	2010/07/08	2010/11/29	75.00
62861	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10M	300,000,000	2010/07/08	2010/11/29	75.00
62872	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10N	300,000,000	2010/07/08	2010/11/29	75.00
62867	UBS AG – HKEr R Bear CBBC Nov 2010 G	100,000,000	2010/07/08	2010/11/15	25.00
62866	UBS AG – HSBC R Bear CBBC Nov 2010 G	100,000,000	2010/07/08	2010/11/22	25.00
62881	UBS AG – HSCEI R Bull CBBC Dec 2010 D	100,000,000	2010/07/08	2010/12/30	25.00
62882	UBS AG – HSCEI R Bear CBBC Dec 2010 E	100,000,000	2010/07/08	2010/12/30	25.00
62868	UBS AG – HSI R Bull CBBC Nov 2010 W	200,000,000	2010/07/08	2010/11/29	50.00
62869	UBS AG – HSI R Bull CBBC Jan 2011 M	200,000,000	2010/07/08	2011/01/28	50.00
62883	UBS AG – HSI R Bear CBBC Oct 2010 O	200,000,000	2010/07/08	2010/10/28	50.00
62884	UBS AG – HSI R Bear CBBC Dec 2010 R	200,000,000	2010/07/08	2010/12/30	50.00
62885	UBS AG – HSI R Bear CBBC Jan 2011 N	300,000,000	2010/07/08	2011/01/28	75.00
62578#	HK Bank – HSI R Bull CBBC Nov 2010 F	150,000,000	2010/07/08	2010/11/29	20.40
62890	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2011 B	100,000,000	2010/07/09	2011/01/28	25.00
62893	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2010 L	100,000,000	2010/07/09	2010/12/30	25.00
62894	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2011 C	100,000,000	2010/07/09	2011/01/28	25.00
62905	Credit Suisse AG – HSI R Bull CBBC Dec 2010 V	390,000,000	2010/07/09	2010/12/30	97.50
62902	Credit Suisse AG – HSI R Bear CBBC Nov 2010 I	390,000,000	2010/07/09	2010/11/29	97.50
62904	Credit Suisse AG – HSI R Bear CBBC Nov 2010 M	440,000,000	2010/07/09	2010/11/29	110.00
62903	Credit Suisse AG – HSI R Bear CBBC Nov 2010 O	390,000,000	2010/07/09	2010/11/29	97.50
62900	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 E	300,000,000	2010/07/09	2011/01/28	75.00
62901	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 F	300,000,000	2010/07/09	2011/01/28	78.00
62911	Deutsche Bank AG – HSI R Bear CBBC Nov 2010 W	300,000,000	2010/07/09	2010/11/29	75.00
62912	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 V	300,000,000	2010/07/09	2010/12/30	81.00
62898	HK Bank – China Mobile R Bull CBBC Jan 2011 A	100,000,000	2010/07/09	2011/01/18	25.00
62895	HK Bank – CC Bank R Bull CBBC Jan 2011 A	100,000,000	2010/07/09	2011/01/18	25.00
62896	HK Bank – China Life R Bull CBBC Jan 2011 A	100,000,000	2010/07/09	2011/01/18	25.00
62897	HK Bank – China Life R Bear CBBC Jan 2011 B	100,000,000	2010/07/09	2011/01/18	25.00
62899	HK Bank – ICBC R Bull CBBC Jan 2011 A	100,000,000	2010/07/09	2011/01/18	25.00
62909	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 E	200,000,000	2010/07/09	2010/11/29	50.00
62918	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 N	200,000,000	2010/07/09	2010/12/30	50.00
62919	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 O	200,000,000	2010/07/09	2010/12/30	50.00
62921	RB of Scotland N.V. – BYD Company R Bull CBBC Dec 2010 A	80,000,000	2010/07/09	2010/12/13	20.08
62925	RB of Scotland N.V. – BYD Company R Bear CBBC Dec 2010 B	80,000,000	2010/07/09	2010/12/13	20.08
62910	RB of Scotland N.V. – DJIA R Bull CBBC Dec 2010 B	40,000,000	2010/07/09	2010/12/17	10.00
62914	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 C	40,000,000	2010/07/09	2010/12/17	10.16
62920	RB of Scotland N.V. – A50 China R Bear CBBC Nov 2010 A	80,000,000	2010/07/09	2010/11/15	20.08
62922	RB of Scotland N.V. – HSCEI R Bear CBBC Nov 2010 A	250,000,000	2010/07/09	2010/11/29	62.75
62923	RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 B	250,000,000	2010/07/09	2010/11/29	62.75
62924	RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 C	250,000,000	2010/07/09	2010/11/29	62.75
62906	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10O	300,000,000	2010/07/09	2010/11/29	75.00
62907	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10P	300,000,000	2010/07/09	2010/11/29	75.00
62908	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10Q	300,000,000	2010/07/09	2010/11/29	75.00
62887	UBS AG – HSI R Bull CBBC Oct 2010 Q	200,000,000	2010/07/09	2010/10/28	50.00



# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62889	UBS AG – HSI R Bull CBBC Dec 2010 Q	200,000,000	2010/07/09	2010/12/30	50.00
62913	UBS AG – HSI R Bear CBBC Oct 2010 J	200,000,000	2010/07/09	2010/10/28	50.00
62915	UBS AG – HSI R Bear CBBC Dec 2010 S	200,000,000	2010/07/09	2010/12/30	50.00
62916	UBS AG – HSI R Bear CBBC Dec 2010 U	200,000,000	2010/07/09	2010/12/30	50.00
62917	UBS AG – HSI R Bear CBBC Jan 2011 O	200,000,000	2010/07/09	2011/01/28	50.00
62935	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2011 D	100,000,000	2010/07/12	2011/01/28	25.00
62936	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2011 A	100,000,000	2010/07/12	2011/02/25	25.00
62939	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2011 E	100,000,000	2010/07/12	2011/01/28	25.00
62940	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2011 B	100,000,000	2010/07/12	2011/02/25	25.00
62928	Credit Suisse AG – HSI R Bull CBBC Dec 2010 W	420,000,000	2010/07/12	2010/12/30	105.00
62932	Credit Suisse AG – HSI R Bull CBBC Dec 2010 X	390,000,000	2010/07/12	2010/12/30	97.50
62929	Credit Suisse AG – HSI R Bear CBBC Oct 2010 Y	390,000,000	2010/07/12	2010/10/28	97.50
62930	Credit Suisse AG – HSI R Bear CBBC Nov 2010 Y	420,000,000	2010/07/12	2010/11/29	105.00
62933	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 W	300,000,000	2010/07/12	2010/12/30	75.00
62927	HK Bank – HSI R Bull CBBC Dec 2010 M	120,000,000	2010/07/12	2010/12/30	30.00
62931	HK Bank – HSI R Bear CBBC Dec 2010 N	120,000,000	2010/07/12	2010/12/30	30.00
62944	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 F	200,000,000	2010/07/12	2010/11/29	50.00
62948	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 G	200,000,000	2010/07/12	2010/11/29	50.00
62943	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 N	128,000,000	2010/07/12	2010/11/29	32.00
62937	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10C	300,000,000	2010/07/12	2010/10/28	75.00
62926	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10I	300,000,000	2010/07/12	2010/10/28	75.00
62938	UBS AG – HSCEI R Bear CBBC Nov 2010 G	100,000,000	2010/07/12	2010/11/29	25.00
62934	UBS AG – HSI R Bull CBBC Nov 2010 K	200,000,000	2010/07/12	2010/11/29	50.00
62941	UBS AG – HSI R Bear CBBC Nov 2010 U	200,000,000	2010/07/12	2010/11/29	50.00
62942	UBS AG – HSI R Bear CBBC Feb 2011 F	300,000,000	2010/07/12	2011/02/25	75.00
62949	Credit Suisse AG – A50 China R Bull CBBC Jan 2011 B	100,000,000	2010/07/13	2011/01/17	25.00
62946	Credit Suisse AG – HSI R Bull CBBC Dec 2010 Y	390,000,000	2010/07/13	2010/12/30	97.50
62947	Credit Suisse AG – HSI R Bull CBBC Dec 2010 Z	390,000,000	2010/07/13	2010/12/30	97.50
62945	Credit Suisse AG – HSI R Bear CBBC Nov 2010 X	440,000,000	2010/07/13	2010/11/29	110.00
62956	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 I	300,000,000	2010/07/13	2010/11/29	84.00
62957	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 T	300,000,000	2010/07/13	2010/11/29	75.00
62955	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 P	200,000,000	2010/07/13	2010/12/30	50.00
62959	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 Q	200,000,000	2010/07/13	2010/12/30	50.00
62951	UBS AG – A50 China R Bear CBBC Jan 2011 A	100,000,000	2010/07/13	2011/01/03	25.00
62952	UBS AG – A50 China R Bear CBBC Jan 2011 B	100,000,000	2010/07/13	2011/01/17	25.00
62953	UBS AG – HSI R Bull CBBC Nov 2010 V	200,000,000	2010/07/13	2010/11/29	50.00
62954	UBS AG – HSI R Bear CBBC Dec 2010 T	200,000,000	2010/07/13	2010/12/30	50.00
62950	UBS AG – TCH R Bull CBBC Nov 2010 O	100,000,000	2010/07/13	2010/11/22	25.00
62963	Deutsche Bank AG – HSI R Bear CBBC Nov 2010 L	300,000,000	2010/07/14	2010/11/29	75.00
62964	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 G	300,000,000	2010/07/14	2011/01/28	75.00
62958	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 H	200,000,000	2010/07/14	2010/11/29	50.00
62960	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 I	200,000,000	2010/07/14	2010/11/29	50.00
62962	RB of Scotland N.V. – Nikkei 225 R Bull CBBC Dec 2010 B	40,000,000	2010/07/14	2010/12/10	10.04
62961	UBS AG – HSI R Bull CBBC Feb 2011 G	300,000,000	2010/07/14	2011/02/25	75.00
61664#	UBS AG – HSI R Bull CBBC Oct 2009 E	160,000,000	2010/07/14	2009/10/29	22.24

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61730#	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2010 G	160,000,000	2010/07/14	2010/08/30	18.56
62225#	RB of Scotland N.V. – DJIA R Bear CBBC Sep 2010 N	60,000,000	2010/07/14	2010/09/17	18.60
62965	Credit Suisse AG – HSI R Bull CBBC Nov 2010 V	390,000,000	2010/07/15	2010/11/29	97.50
62966	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 H	300,000,000	2010/07/15	2011/01/28	75.00
62972	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 R	200,000,000	2010/07/15	2010/12/30	50.00
62967	UBS AG – HSI R Bull CBBC Jan 2011 P	200,000,000	2010/07/15	2011/01/28	50.00
62968	UBS AG – HSI R Bull CBBC Feb 2011 H	200,000,000	2010/07/15	2011/02/25	50.00
62970	UBS AG – HSI R Bear CBBC Dec 2010 K	200,000,000	2010/07/15	2010/12/30	50.00
62969	UBS AG – HSI R Bear CBBC Dec 2010 Z	200,000,000	2010/07/15	2010/12/30	50.00
62971	UBS AG – HSI R Bear CBBC Jan 2011 Q	200,000,000	2010/07/15	2011/01/28	50.00
60972#	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2010 H	150,000,000	2010/07/15	2010/08/30	27.60
62986	Credit Suisse AG – HSI R Bull CBBC Dec 2010 C	390,000,000	2010/07/16	2010/12/30	97.50
62974	Credit Suisse AG – HSI R Bear CBBC Oct 2010 X	390,000,000	2010/07/16	2010/10/28	97.50
62987	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 I	300,000,000	2010/07/16	2011/01/28	75.00
62975	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 X	300,000,000	2010/07/16	2010/12/30	75.00
62977	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 J	200,000,000	2010/07/16	2010/11/29	50.00
62978	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 K	200,000,000	2010/07/16	2010/11/29	50.00
62979	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 L	200,000,000	2010/07/16	2010/11/29	50.00
62980	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 M	200,000,000	2010/07/16	2010/11/29	50.00
62988	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2010 Y	128,000,000	2010/07/16	2010/10/28	32.00
62989	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 O	128,000,000	2010/07/16	2010/11/29	32.00
62982	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10F	300,000,000	2010/07/16	2010/10/28	75.00
62981	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10M	300,000,000	2010/07/16	2010/10/28	75.00
62984	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10S	300,000,000	2010/07/16	2010/11/29	75.00
62973	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10R	300,000,000	2010/07/16	2010/11/29	75.00
62985	UBS AG – HSI R Bull CBBC Nov 2010 F	200,000,000	2010/07/16	2010/11/29	50.00
62976	UBS AG – HSI R Bear CBBC Dec 2010 J	200,000,000	2010/07/16	2010/12/30	50.00
63015	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2011 F	100,000,000	2010/07/19	2011/01/28	25.00
62995	Credit Suisse AG – A50 China R Bull CBBC Jan 2011 C	100,000,000	2010/07/19	2011/01/17	25.00
62997	Credit Suisse AG – HSI R Bull CBBC Dec 2010 D	440,000,000	2010/07/19	2010/12/30	110.00
62998	Credit Suisse AG – HSI R Bull CBBC Dec 2010 H	390,000,000	2010/07/19	2010/12/30	97.50
62992	Credit Suisse AG – HSI R Bear CBBC Oct 2010 A	390,000,000	2010/07/19	2010/10/28	97.50
62996	Credit Suisse AG – HSI R Bear CBBC Nov 2010 U	420,000,000	2010/07/19	2010/11/29	105.00
63014	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 Z	300,000,000	2010/07/19	2010/12/30	75.00
63013	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 J	300,000,000	2010/07/19	2011/01/28	114.00
62991	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Y	300,000,000	2010/07/19	2010/12/30	75.00
63017	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 K	300,000,000	2010/07/19	2011/01/28	117.00
63007	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 N	200,000,000	2010/07/19	2010/11/29	50.00
63008	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 O	200,000,000	2010/07/19	2010/11/29	50.00
63016	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 P	128,000,000	2010/07/19	2010/11/29	32.00
63018	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 Q	128,000,000	2010/07/19	2010/11/29	32.00
63019	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 R	128,000,000	2010/07/19	2010/11/29	32.00
63020	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 D	128,000,000	2010/07/19	2010/12/30	32.00
63022	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 E	128,000,000	2010/07/19	2010/12/30	32.00
62999	Macquarie Bank Ltd. – HSI R Bull CBBC Nov 2010 B	200,000,000	2010/07/19	2010/11/29	50.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63009	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 S	200,000,000	2010/07/19	2010/12/30	50.00
63010	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 T	200,000,000	2010/07/19	2010/12/30	50.00
63012	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 U	200,000,000	2010/07/19	2010/12/30	50.00
63005	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10U	300,000,000	2010/07/19	2010/11/29	75.00
63006	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10V	300,000,000	2010/07/19	2010/11/29	75.00
62990	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10T	300,000,000	2010/07/19	2010/11/29	75.00
63003	UBS AG – CC Bank R Bull CBBC Dec 2010 C	100,000,000	2010/07/19	2010/12/20	25.00
63004	UBS AG – A50 China R Bull CBBC Jan 2011 C	100,000,000	2010/07/19	2011/01/03	25.00
63000	UBS AG – HSI R Bull CBBC Oct 2010 K	200,000,000	2010/07/19	2010/10/28	50.00
63001	UBS AG – HSI R Bull CBBC Oct 2010 Y	200,000,000	2010/07/19	2010/10/28	50.00
63002	UBS AG – HSI R Bull CBBC Mar 2011 B	300,000,000	2010/07/19	2011/03/30	75.00
62993	UBS AG – HSI R Bear CBBC Jan 2011 R	200,000,000	2010/07/19	2011/01/28	50.00
62994	UBS AG – HSI R Bear CBBC Jan 2011 S	200,000,000	2010/07/19	2011/01/28	50.00
63025	Credit Suisse AG – HSI R Bull CBBC Dec 2010 F	390,000,000	2010/07/20	2010/12/30	97.50
63030	Deutsche Bank AG – China Life R Bull CBBC Dec 2010 C	150,000,000	2010/07/20	2010/12/20	43.50
63034	Deutsche Bank AG – China Life R Bear CBBC Dec 2010 D	150,000,000	2010/07/20	2010/12/20	45.00
63027	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 K	300,000,000	2010/07/20	2010/12/30	75.00
63035	KBC Fin Products Int'l Ltd. – Ch Mobile R Bull CBBC Jan2011A	80,000,000	2010/07/20	2011/01/20	20.00
63043	KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC Jan2011B	80,000,000	2010/07/20	2011/01/20	20.00
63031	KBC Fin Products Int'l Ltd. – Ch Life R Bull CBBC Jan 2011 A	80,000,000	2010/07/20	2011/01/20	20.00
63032	KBC Fin Products Int'l Ltd. – Ch Life R Bull CBBC Feb 2011 A	80,000,000	2010/07/20	2011/02/18	24.80
63033	KBC Fin Products Int'l Ltd. – Ch Life R Bull CBBC Mar 2011 A	60,000,000	2010/07/20	2011/03/01	25.20
63042	KBC Fin Products Int'l Ltd. – Ch Life R Bear CBBC Jan 2011 B	60,000,000	2010/07/20	2011/01/20	27.00
63036	KBC Fin Products Int'l Ltd. – CP&CC R Bull CBBC Jan 2011 A	80,000,000	2010/07/20	2011/01/20	20.00
63037	KBC Fin Products Int'l Ltd. – CP&CC R Bull CBBC Mar 2011 A	80,000,000	2010/07/20	2011/03/01	20.00
63038	KBC Fin Products Int'l Ltd. – CP&CC R Bull CBBC May 2011 A	80,000,000	2010/07/20	2011/05/05	20.00
63044	KBC Fin Products Int'l Ltd. – CP&CC R Bear CBBC Feb 2011 A	80,000,000	2010/07/20	2011/02/10	20.00
63039	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Jan 2011 A	80,000,000	2010/07/20	2011/01/20	20.00
63040	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Feb 2011 A	80,000,000	2010/07/20	2011/02/18	20.00
63041	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Mar 2011 A	80,000,000	2010/07/20	2011/03/01	20.00
63045	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Jan 2011 B	80,000,000	2010/07/20	2011/01/20	20.00
63047	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Mar 2011 B	80,000,000	2010/07/20	2011/03/01	20.00
63028	RB of Scotland N.V. – TCH R Bull CBBC Dec 2010 A	80,000,000	2010/07/20	2010/12/20	20.00
63029	RB of Scotland N.V. – TCH R Bull CBBC Dec 2010 B	80,000,000	2010/07/20	2010/12/20	20.00
63026	UBS AG – China Life R Bear CBBC Dec 2010 E	100,000,000	2010/07/20	2010/12/06	25.00
63023	UBS AG – HSI R Bull CBBC Dec 2010 B	200,000,000	2010/07/20	2010/12/30	50.00
63024	UBS AG – HSI R Bear CBBC Oct 2010 O	200,000,000	2010/07/20	2010/10/28	50.00
63079	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2011 C	100,000,000	2010/07/21	2011/02/25	25.00
63059	Credit Suisse AG – HSI R Bull CBBC Dec 2010 I	390,000,000	2010/07/21	2010/12/30	97.50
63056	Credit Suisse AG – HSI R Bear CBBC Oct 2010 Y	390,000,000	2010/07/21	2010/10/28	97.50
63060	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 L	300,000,000	2010/07/21	2011/01/28	75.00
63049	Deutsche Bank AG – HSI R Bear CBBC Nov 2010 P	300,000,000	2010/07/21	2010/11/29	75.00
63064	RB of Scotland N.V. – China Mobile R Bull CBBC Nov 2010 A	80,000,000	2010/07/21	2010/11/22	20.00
63067	RB of Scotland N.V. – COVS R Bull CBBC Dec 2010 A	80,000,000	2010/07/21	2010/12/10	21.44
63077	RB of Scotland N.V. – COVS R Bear CBBC Dec 2010 B	80,000,000	2010/07/21	2010/12/10	20.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63069	RB of Scotland N.V. – CNOOC R Bull CBBC Dec 2010 A	80,000,000	2010/07/21	2010/12/09	20.00
63068	RB of Scotland N.V. – Sinopec Corp R Bull CBBC Dec 2010 A	80,000,000	2010/07/21	2010/12/17	20.00
63078	RB of Scotland N.V. – Sinopec Corp R Bear CBBC Dec 2010 B	80,000,000	2010/07/21	2010/12/17	20.00
63074	RB of Scotland N.V. – HKEx R Bull CBBC Dec 2010 A	80,000,000	2010/07/21	2010/12/13	20.00
63075	RB of Scotland N.V. – ICBC R Bull CBBC Nov 2010 A	80,000,000	2010/07/21	2010/11/22	20.00
63076	RB of Scotland N.V. – Jiangxi Copper R Bull CBBC Dec 2010 A	80,000,000	2010/07/21	2010/12/29	20.00
63058	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10X	300,000,000	2010/07/21	2010/11/29	75.00
63048	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10W	300,000,000	2010/07/21	2010/11/29	75.00
63062	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10Y	300,000,000	2010/07/21	2010/11/29	75.00
63051	UBS AG – CCHC R Bull CBBC Dec 2010 A	100,000,000	2010/07/21	2010/12/20	25.00
63052	UBS AG – CCHC R Bull CBBC Jan 2011 A	100,000,000	2010/07/21	2011/01/17	25.00
63050	UBS AG – CCHC R Bull CBBC Feb 2011 A	100,000,000	2010/07/21	2011/02/14	25.50
63054	UBS AG – CCHC R Bear CBBC Feb 2011 B	100,000,000	2010/07/21	2011/02/14	25.50
63053	UBS AG – HSBC R Bull CBBC Nov 2010 H	100,000,000	2010/07/21	2010/11/22	25.00
63061	UBS AG – HSI R Bull CBBC Oct 2010 J	200,000,000	2010/07/21	2010/10/28	50.00
63063	UBS AG – HSI R Bull CBBC Feb 2011 I	200,000,000	2010/07/21	2011/02/25	50.00
63055	UBS AG – HSI R Bear CBBC Dec 2010 D	200,000,000	2010/07/21	2010/12/30	50.00
63093	Credit Suisse AG – HSI R Bear CBBC Oct 2010 B	390,000,000	2010/07/22	2010/10/28	97.50
63095	Credit Suisse AG – HSI R Bear CBBC Oct 2010 V	390,000,000	2010/07/22	2010/10/28	97.50
63080	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 J	300,000,000	2010/07/22	2010/11/29	75.00
63096	Deutsche Bank AG – HSI R Bear CBBC Nov 2010 U	300,000,000	2010/07/22	2010/11/29	75.00
63081	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 P	300,000,000	2010/07/22	2010/12/30	81.00
63097	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 S	128,000,000	2010/07/22	2010/11/29	32.00
63098	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 T	128,000,000	2010/07/22	2010/11/29	32.00
63099	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 V	200,000,000	2010/07/22	2010/12/30	50.00
63100	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 W	200,000,000	2010/07/22	2010/12/30	50.00
63082	UBS AG – CHALCO R Bull CBBC Feb 2011 A	100,000,000	2010/07/22	2011/02/14	25.00
63087	UBS AG – CHALCO R Bear CBBC Feb 2011 B	100,000,000	2010/07/22	2011/02/21	25.00
63083	UBS AG – COVS R Bull CBBC Dec 2010 A	100,000,000	2010/07/22	2010/12/13	25.00
63088	UBS AG – COVS R Bear CBBC Dec 2010 B	100,000,000	2010/07/22	2010/12/20	25.00
63089	UBS AG – Sinopec Corp R Bear CBBC Dec 2010 B	100,000,000	2010/07/22	2010/12/06	25.00
63091	UBS AG – A50 China R Bear CBBC Jan 2011 D	100,000,000	2010/07/22	2011/01/24	25.00
63092	UBS AG – HSI R Bear CBBC Nov 2010 T	200,000,000	2010/07/22	2010/11/29	50.00
63094	UBS AG – HSI R Bear CBBC Nov 2010 Y	200,000,000	2010/07/22	2010/11/29	50.00
63086	UBS AG – Jiangxi Copper R Bull CBBC Dec 2010 B	100,000,000	2010/07/22	2010/12/13	25.00
63090	UBS AG – Jiangxi Copper R Bear CBBC Dec 2010 C	100,000,000	2010/07/22	2010/12/20	26.50
63110	Barclays Bank plc – HSI R Bull CBBC Dec 2010 D	300,000,000	2010/07/23	2010/12/30	49.50
63111	Barclays Bank plc – HSI R Bull CBBC Jan 2011 C	300,000,000	2010/07/23	2011/01/28	75.00
63112	Barclays Bank plc – HSI R Bull CBBC Jan 2011 D	300,000,000	2010/07/23	2011/01/28	75.00
63106	Barclays Bank plc – HSI R Bear CBBC Jan 2011 A	300,000,000	2010/07/23	2011/01/28	75.00
63109	Barclays Bank plc – HSI R Bear CBBC Jan 2011 B	300,000,000	2010/07/23	2011/01/28	75.00
63105	Credit Suisse AG – HSI R Bear CBBC Oct 2010 X	440,000,000	2010/07/23	2010/10/28	110.00
63115	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 M	300,000,000	2010/07/23	2011/01/28	75.00
63117	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 P	200,000,000	2010/07/23	2010/11/29	50.00
63118	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 Q	200,000,000	2010/07/23	2010/11/29	50.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63119	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 R	200,000,000	2010/07/23	2010/11/29	50.00
63120	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 S	200,000,000	2010/07/23	2010/11/29	50.00
63113	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 D	40,000,000	2010/07/23	2010/12/17	10.44
63114	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10F	300,000,000	2010/07/23	2010/10/28	75.00
63103	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10I	300,000,000	2010/07/23	2010/11/29	75.00
63104	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10N	300,000,000	2010/07/23	2010/11/29	76.50
63102	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10Z	300,000,000	2010/07/23	2010/11/29	75.00
63121	UBS AG – BYD Company R Bull CBBC Dec 2010 B	100,000,000	2010/07/23	2010/12/20	25.00
63122	UBS AG – China Shenhua R Bull CBBC Dec 2010 C	100,000,000	2010/07/23	2010/12/13	25.00
63123	UBS AG – China Shenhua R Bear CBBC Jan 2011 A	100,000,000	2010/07/23	2011/01/10	25.00
63124	UBS AG – HSI R Bear CBBC Feb 2011 J	300,000,000	2010/07/23	2011/02/25	75.00
63146	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2011 D	100,000,000	2010/07/26	2011/02/25	25.00
63142	Credit Suisse AG – HSI R Bull CBBC Dec 2010 Q	390,000,000	2010/07/26	2010/12/30	97.50
63145	Credit Suisse AG – HSI R Bear CBBC Nov 2010 Z	390,000,000	2010/07/26	2010/11/29	97.50
63130	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 N	300,000,000	2010/07/26	2011/01/28	75.00
63139	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 O	300,000,000	2010/07/26	2010/12/30	75.00
63140	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 T	200,000,000	2010/07/26	2010/11/29	50.00
63141	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 U	200,000,000	2010/07/26	2010/11/29	50.00
63147	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 U	128,000,000	2010/07/26	2010/11/29	32.00
63148	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 F	158,000,000	2010/07/26	2010/12/30	39.50
63149	RB of Scotland N.V. – BYD Company R Bull CBBC Dec 2010 C	80,000,000	2010/07/26	2010/12/13	20.00
63152	RB of Scotland N.V. – BYD Company R Bear CBBC Dec 2010 D	80,000,000	2010/07/26	2010/12/13	20.00
63150	RB of Scotland N.V. – CPIC R Bull CBBC Dec 2010 A	80,000,000	2010/07/26	2010/12/29	20.00
63154	RB of Scotland N.V. – CPIC R Bear CBBC Dec 2010 B	80,000,000	2010/07/26	2010/12/29	20.00
63151	RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 D	250,000,000	2010/07/26	2010/11/29	62.50
63126	SGA Societe Generale Acceptance N.V. – CCB R Bull CBBC Dec10A	200,000,000	2010/07/26	2010/12/29	50.00
63127	SGA Societe Generale Acceptance N.V. – CCB R Bull CBBC Dec10B	200,000,000	2010/07/26	2010/12/29	50.00
63144	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10P	300,000,000	2010/07/26	2010/11/29	75.00
63143	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10Q	300,000,000	2010/07/26	2010/11/29	75.00
63128	SGA Societe Generale Acceptance N.V. – ICBC R Bull CBBC Dec10A	300,000,000	2010/07/26	2010/12/29	75.00
63129	SGA Societe Generale Acceptance N.V. – ICBC R Bull CBBC Dec10B	300,000,000	2010/07/26	2010/12/29	75.00
63155	UBS AG – BYD Company R Bull CBBC Dec 2010 C	100,000,000	2010/07/26	2010/12/13	25.00
63133	UBS AG – China Tel R Bull CBBC Dec 2010 A	100,000,000	2010/07/26	2010/12/20	25.00
63134	UBS AG – China Tel R Bull CBBC Dec 2010 B	100,000,000	2010/07/26	2010/12/06	25.00
63138	UBS AG – China Tel R Bear CBBC Dec 2010 C	100,000,000	2010/07/26	2010/12/06	25.00
63135	UBS AG – CUni R Bull CBBC Dec 2010 A	100,000,000	2010/07/26	2010/12/13	25.00
63131	UBS AG – CPIC R Bull CBBC Dec 2010 A	50,000,000	2010/07/26	2010/12/20	16.00
63132	UBS AG – CPIC R Bull CBBC Dec 2010 B	50,000,000	2010/07/26	2010/12/06	12.50
63137	UBS AG – CPIC R Bear CBBC Dec 2010 C	50,000,000	2010/07/26	2010/12/20	14.25
63136	UBS AG – HSI R Bull CBBC Oct 2010 Y	200,000,000	2010/07/26	2010/10/28	50.00
63157	UBS AG – HSI R Bear CBBC Oct 2010 K	200,000,000	2010/07/26	2010/10/28	50.00
63168	Credit Suisse AG – A50 China R Bull CBBC Jan 2011 D	100,000,000	2010/07/27	2011/01/17	25.00
63167	Credit Suisse AG – HSI R Bull CBBC Dec 2010 P	390,000,000	2010/07/27	2010/12/30	97.50
63161	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 V	300,000,000	2010/07/27	2010/12/30	75.00
63160	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 O	300,000,000	2010/07/27	2011/01/28	75.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63169	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 V	200,000,000	2010/07/27	2010/11/29	50.00
63163	UBS AG – A50 China R Bull CBBC Jan 2011 E	100,000,000	2010/07/27	2011/01/03	25.00
63162	UBS AG – HSI R Bull CBBC Dec 2010 F	200,000,000	2010/07/27	2010/12/30	50.00
63158	UBS AG – HSI R Bear CBBC Dec 2010 I	200,000,000	2010/07/27	2010/12/30	50.00
63164	UBS AG – SHK Ppt R Bear CBBC Dec 2010 D	100,000,000	2010/07/27	2010/12/13	25.00
63179	Credit Suisse AG – HSI R Bull CBBC Dec 2010 O	390,000,000	2010/07/28	2010/12/30	97.50
63173	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 R	300,000,000	2010/07/28	2010/11/29	75.00
63175	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2010 X	128,000,000	2010/07/28	2010/10/28	32.00
63176	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 V	128,000,000	2010/07/28	2010/11/29	32.00
63170	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct10M	300,000,000	2010/07/28	2010/10/28	75.00
63174	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10K	300,000,000	2010/07/28	2010/11/29	75.00
63171	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov100	300,000,000	2010/07/28	2010/11/29	75.00
63178	UBS AG – HSI R Bull CBBC Nov 2010 U	200,000,000	2010/07/28	2010/11/29	50.00
63177	UBS AG – HSI R Bull CBBC Nov 2010 W	200,000,000	2010/07/28	2010/11/29	50.00
63172	UBS AG – HSI R Bear CBBC Oct 2010 J	200,000,000	2010/07/28	2010/10/28	50.00
62751#	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2010 N	150,000,000	2010/07/28	2010/10/28	12.00
63186	Credit Suisse AG – HSI R Bull CBBC Dec 2010 M	390,000,000	2010/07/29	2010/12/30	97.50
63181	Credit Suisse AG – HSI R Bear CBBC Oct 2010 A	390,000,000	2010/07/29	2010/10/28	97.50
63180	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 X	300,000,000	2010/07/29	2010/11/29	75.00
63205	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 P	300,000,000	2010/07/29	2011/01/28	75.00
63182	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 U	300,000,000	2010/07/29	2010/12/30	75.00
63206	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 W	200,000,000	2010/07/29	2010/11/29	50.00
63207	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 X	200,000,000	2010/07/29	2010/11/29	50.00
63192	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 W	128,000,000	2010/07/29	2010/11/29	32.00
63193	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 X	128,000,000	2010/07/29	2010/11/29	32.00
63195	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 G	128,000,000	2010/07/29	2010/12/30	32.00
63196	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 H	158,000,000	2010/07/29	2010/12/30	39.50
63197	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 I	128,000,000	2010/07/29	2010/12/30	35.84
63198	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 J	158,000,000	2010/07/29	2010/12/30	39.50
63199	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 K	128,000,000	2010/07/29	2010/12/30	34.56
63202	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 L	108,000,000	2010/07/29	2010/12/30	38.88
63203	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 A	128,000,000	2010/07/29	2011/01/28	32.00
63204	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 B	158,000,000	2010/07/29	2011/01/28	39.50
63190	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 X	200,000,000	2010/07/29	2010/12/30	50.00
63191	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 Y	200,000,000	2010/07/29	2010/12/30	50.00
63189	RB of Scotland N.V. – HSI R Bull CBBC Nov 2010 E	250,000,000	2010/07/29	2010/11/29	62.50
63184	UBS AG – A50 China R Bull CBBC Jan 2011 F	100,000,000	2010/07/29	2011/01/10	25.00
63188	UBS AG – HSI R Bull CBBC Nov 2010 D	200,000,000	2010/07/29	2010/11/29	50.00
63183	UBS AG – HSI R Bull CBBC Jan 2011 T	300,000,000	2010/07/29	2011/01/28	75.00
63187	UBS AG – HSI R Bear CBBC Jan 2011 U	200,000,000	2010/07/29	2011/01/28	50.00
63185	UBS AG – SHK Ppt R Bear CBBC Jan 2011 A	100,000,000	2010/07/29	2011/01/03	25.00
61588#	UBS AG – HSI R Bear CBBC Nov 2010 O	300,000,000	2010/07/29	2010/11/29	36.90
63220	Credit Suisse AG – A50 China R Bull CBBC Jan 2011 E	100,000,000	2010/07/30	2011/01/24	25.00
63221	Credit Suisse AG – HSI R Bull CBBC Dec 2010 T	390,000,000	2010/07/30	2010/12/30	97.50
63222	Credit Suisse AG – HSI R Bull CBBC Jan 2011 A	440,000,000	2010/07/30	2011/01/28	110.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63210	Credit Suisse AG – HSI R Bear CBBC Nov 2010 S	390,000,000	2010/07/30	2010/11/29	97.50
63223	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 Q	300,000,000	2010/07/30	2010/12/30	75.00
63237	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 X	300,000,000	2010/07/30	2010/12/30	75.00
63217	Deutsche Bank AG – HSI R Bear CBBC Nov 2010 S	300,000,000	2010/07/30	2010/11/29	75.00
63218	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 Q	300,000,000	2010/07/30	2011/01/28	78.00
63225	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 Y	200,000,000	2010/07/30	2010/11/29	50.00
63226	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 Z	200,000,000	2010/07/30	2010/11/29	50.00
63235	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 Y	128,000,000	2010/07/30	2010/11/29	32.00
63236	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 Z	128,000,000	2010/07/30	2010/11/29	32.00
63241	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 A	200,000,000	2010/07/30	2010/12/30	50.00
63240	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 B	200,000,000	2010/07/30	2010/12/30	50.00
63239	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 C	200,000,000	2010/07/30	2010/12/30	50.00
63238	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 Z	200,000,000	2010/07/30	2010/12/30	50.00
63229	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10H	300,000,000	2010/07/30	2010/11/29	75.00
63208	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10J	300,000,000	2010/07/30	2010/11/29	75.00
63209	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10L	300,000,000	2010/07/30	2010/11/29	75.00
63228	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10M	300,000,000	2010/07/30	2010/11/29	75.00
63230	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10S	300,000,000	2010/07/30	2010/11/29	75.00
63216	UBS AG – A50 China R Bear CBBC Jan 2011 G	100,000,000	2010/07/30	2011/01/03	25.00
63234	UBS AG – HSCEI R Bull CBBC Dec 2010 F	100,000,000	2010/07/30	2010/12/30	25.00
63231	UBS AG – HSI R Bull CBBC Nov 2010 F	200,000,000	2010/07/30	2010/11/29	50.00
63233	UBS AG – HSI R Bull CBBC Dec 2010 U	200,000,000	2010/07/30	2010/12/30	50.00
63213	UBS AG – HSI R Bear CBBC Dec 2010 C	200,000,000	2010/07/30	2010/12/30	50.00
63211	UBS AG – HSI R Bear CBBC Dec 2010 L	200,000,000	2010/07/30	2010/12/30	50.00
63214	UBS AG – HSI R Bear CBBC Jan 2011 V	200,000,000	2010/07/30	2011/01/28	50.00
63215	UBS AG – HSI R Bear CBBC Jan 2011 W	200,000,000	2010/07/30	2011/01/28	50.00
61702#	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2010 C	200,000,000	2010/07/30	2010/11/29	32.20
63255	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2011 E	100,000,000	2010/08/02	2011/02/25	25.00
63261	Credit Suisse AG – HSI R Bull CBBC Jan 2011 B	390,000,000	2010/08/02	2011/01/28	97.50
63258	Credit Suisse AG – HSI R Bear CBBC Nov 2010 T	390,000,000	2010/08/02	2010/11/29	97.50
63260	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 W	300,000,000	2010/08/02	2010/11/29	75.00
63254	Deutsche Bank AG – HSI R Bear CBBC Nov 2010 E	300,000,000	2010/08/02	2010/11/29	75.00
63252	HK Bank – HSI R Bull CBBC Dec 2010 O	120,000,000	2010/08/02	2010/12/30	30.00
63253	HK Bank – HSI R Bull CBBC Dec 2010 P	120,000,000	2010/08/02	2010/12/30	30.00
63257	HK Bank – HSI R Bear CBBC Dec 2010 Q	120,000,000	2010/08/02	2010/12/30	30.00
63248	RB of Scotland N.V. – BOCL R Bull CBBC Nov 2010 A	80,000,000	2010/08/02	2010/11/22	20.00
63246	RB of Scotland N.V. – A50 China R Bull CBBC Nov 2010 B	80,000,000	2010/08/02	2010/11/22	20.00
63247	RB of Scotland N.V. – A50 China R Bull CBBC Nov 2010 C	80,000,000	2010/08/02	2010/11/22	20.00
63249	RB of Scotland N.V. – HSBC R Bull CBBC Nov 2010 A	80,000,000	2010/08/02	2010/11/08	20.00
63245	RB of Scotland N.V. – HSCEI R Bull CBBC Nov 2010 B	250,000,000	2010/08/02	2010/11/29	62.50
63242	RB of Scotland N.V. – HSI R Bull CBBC Nov 2010 F	250,000,000	2010/08/02	2010/11/29	62.50
63243	RB of Scotland N.V. – HSI R Bull CBBC Nov 2010 G	250,000,000	2010/08/02	2010/11/29	62.50
63244	RB of Scotland N.V. – HSI R Bull CBBC Nov 2010 H	250,000,000	2010/08/02	2010/11/29	62.50
63250	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Nov10A	150,000,000	2010/08/02	2010/11/29	37.50
63251	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Nov10B	150,000,000	2010/08/02	2010/11/29	37.50

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63259	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10R	300,000,000	2010/08/02	2010/11/29	75.00
63262	UBS AG – HSBC R Bull CBBC Dec 2010 E	100,000,000	2010/08/02	2010/12/06	25.00
63263	UBS AG – HSI R Bull CBBC Dec 2010 R	200,000,000	2010/08/02	2010/12/30	50.00
63256	UBS AG – HSI R Bear CBBC Dec 2010 S	200,000,000	2010/08/02	2010/12/30	50.00
63273	Credit Suisse AG – HSBC R Bull CBBC Dec 2010 B	100,000,000	2010/08/03	2010/12/20	25.00
63271	Credit Suisse AG – HSI R Bull CBBC Jan 2011 C	440,000,000	2010/08/03	2011/01/28	110.00
63272	Credit Suisse AG – HSI R Bull CBBC Jan 2011 D	390,000,000	2010/08/03	2011/01/28	97.50
63270	Credit Suisse AG – HSI R Bear CBBC Nov 2010 O	390,000,000	2010/08/03	2010/11/29	97.50
63276	Credit Suisse AG – HSI R Bear CBBC Jan 2011 E	390,000,000	2010/08/03	2011/01/28	97.50
63280	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 C	300,000,000	2010/08/03	2010/11/29	75.00
63264	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 R	300,000,000	2010/08/03	2011/01/28	75.00
63278	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 S	300,000,000	2010/08/03	2011/01/28	75.00
63279	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 E	128,000,000	2010/08/03	2010/11/29	32.00
63265	UBS AG – China Life R Bull CBBC Dec 2010 F	100,000,000	2010/08/03	2010/12/06	25.00
63267	UBS AG – HSBC R Bull CBBC Dec 2010 F	100,000,000	2010/08/03	2010/12/13	25.00
63275	UBS AG – HSI R Bull CBBC Nov 2010 T	200,000,000	2010/08/03	2010/11/29	50.00
63274	UBS AG – HSI R Bull CBBC Dec 2010 T	200,000,000	2010/08/03	2010/12/30	50.00
63269	UBS AG – HSI R Bear CBBC Nov 2010 Y	200,000,000	2010/08/03	2010/11/29	50.00
63268	UBS AG – TCH R Bull CBBC Dec 2010 E	100,000,000	2010/08/03	2010/12/06	25.00
63286	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2011 G	100,000,000	2010/08/04	2011/01/28	25.00
63304	Credit Suisse AG – HSI R Bull CBBC Dec 2010 S	390,000,000	2010/08/04	2010/12/30	97.50
63302	Credit Suisse AG – HSI R Bear CBBC Nov 2010 M	390,000,000	2010/08/04	2010/11/29	97.50
63320	Deutsche Bank AG – HSBC R Bull CBBC Feb 2011 A	150,000,000	2010/08/04	2011/02/01	37.50
63321	Deutsche Bank AG – HSBC R Bear CBBC Feb 2011 B	150,000,000	2010/08/04	2011/02/08	37.50
63316	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 V	300,000,000	2010/08/04	2010/11/29	75.00
63317	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 K	300,000,000	2010/08/04	2010/12/30	78.00
63318	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 Y	300,000,000	2010/08/04	2010/12/30	75.00
63319	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 T	300,000,000	2010/08/04	2011/01/28	75.00
63285	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Z	300,000,000	2010/08/04	2010/12/30	75.00
63322	Deutsche Bank AG – TCH R Bull CBBC Jan 2011 A	150,000,000	2010/08/04	2011/01/25	51.00
63325	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 C	200,000,000	2010/08/04	2010/11/29	50.00
63327	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 E	200,000,000	2010/08/04	2010/11/29	50.00
63324	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 M	128,000,000	2010/08/04	2010/12/30	32.00
63305	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 H	200,000,000	2010/08/04	2010/12/30	50.00
63306	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 L	200,000,000	2010/08/04	2010/12/30	50.00
63323	RB of Scotland N.V. – HSI R Bull CBBC Nov 2010 I	250,000,000	2010/08/04	2010/11/29	62.50
63287	RB of Scotland N.V. – NASDAQ100 Index R Bull CBBC Dec 2010 A	40,000,000	2010/08/04	2010/12/16	10.04
63288	RB of Scotland N.V. – NASDAQ100 Index R Bull CBBC Dec 2010 B	40,000,000	2010/08/04	2010/12/16	10.04
63297	RB of Scotland N.V. – NASDAQ100 Index R Bear CBBC Dec 2010 C	40,000,000	2010/08/04	2010/12/16	10.04
63298	RB of Scotland N.V. – NASDAQ100 Index R Bear CBBC Dec 2010 D	40,000,000	2010/08/04	2010/12/16	10.20
63281	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Dec10A	300,000,000	2010/08/04	2010/12/29	75.00
63282	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Dec10B	300,000,000	2010/08/04	2010/12/29	75.00
63303	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10T	300,000,000	2010/08/04	2010/11/29	75.00
63283	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10V	300,000,000	2010/08/04	2010/11/29	75.00
63284	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10U	300,000,000	2010/08/04	2010/11/29	75.00



# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63307	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10X	300,000,000	2010/08/04	2010/11/29	75.00
63308	UBS AG – CPIC R Bull CBBC Dec 2010 D	50,000,000	2010/08/04	2010/12/13	12.50
63312	UBS AG – CPIC R Bear CBBC Dec 2010 E	50,000,000	2010/08/04	2010/12/13	18.50
63313	UBS AG – A50 China R Bull CBBC Jan 2011 H	100,000,000	2010/08/04	2011/01/03	25.00
63309	UBS AG – HKEx R Bull CBBC Dec 2010 C	100,000,000	2010/08/04	2010/12/20	25.00
63310	UBS AG – HSBC R Bull CBBC Dec 2010 G	100,000,000	2010/08/04	2010/12/20	25.00
63314	UBS AG – HSI R Bull CBBC Dec 2010 J	200,000,000	2010/08/04	2010/12/30	50.00
63315	UBS AG – HSI R Bull CBBC Feb 2011 K	300,000,000	2010/08/04	2011/02/25	75.00
63289	UBS AG – HSI R Bear CBBC Nov 2010 N	200,000,000	2010/08/04	2010/11/29	50.00
63290	UBS AG – HSI R Bear CBBC Dec 2010 P	200,000,000	2010/08/04	2010/12/30	50.00
63311	UBS AG – SHK Ppt R Bull CBBC Dec 2010 E	100,000,000	2010/08/04	2010/12/20	25.00
63344	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2011 H	100,000,000	2010/08/05	2011/01/28	25.00
63345	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2011 F	100,000,000	2010/08/05	2011/02/25	25.00
63346	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2011 G	100,000,000	2010/08/05	2011/02/25	25.00
63328	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 U	300,000,000	2010/08/05	2011/01/28	75.00
63330	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 A	300,000,000	2010/08/05	2011/03/30	75.00
63331	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 P	300,000,000	2010/08/05	2010/12/30	75.00
63332	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 V	300,000,000	2010/08/05	2011/01/28	84.00
63333	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 A	300,000,000	2010/08/05	2011/02/25	96.00
63334	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 B	300,000,000	2010/08/05	2011/02/25	108.00
63335	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 C	300,000,000	2010/08/05	2011/02/25	120.00
63336	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 D	300,000,000	2010/08/05	2011/02/25	126.00
63337	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 B	300,000,000	2010/08/05	2011/03/30	120.00
63338	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 C	300,000,000	2010/08/05	2011/03/30	75.00
63339	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 D	300,000,000	2010/08/05	2011/03/30	141.00
63340	UBS AG – BYD Company R Bull CBBC Dec 2010 D	100,000,000	2010/08/05	2010/12/20	25.00
63341	UBS AG – HSBC R Bear CBBC Dec 2010 H	100,000,000	2010/08/05	2010/12/20	25.00
63342	UBS AG – HSCEI R Bull CBBC Jan 2011 A	100,000,000	2010/08/05	2011/01/28	25.00
63343	UBS AG – HSI R Bull CBBC Nov 2010 R	200,000,000	2010/08/05	2010/11/29	50.00
63113 <sup>#</sup>	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 D	60,000,000	2010/08/05	2010/12/17	12.36
63351	Credit Suisse AG – China Mobile R Bear CBBC Dec 2010 A	100,000,000	2010/08/06	2010/12/20	25.00
63349	Credit Suisse AG – A50 China R Bear CBBC Dec 2010 A	100,000,000	2010/08/06	2010/12/20	25.00
63350	Credit Suisse AG – A50 China R Bear CBBC Jan 2011 F	100,000,000	2010/08/06	2011/01/17	25.00
63352	Credit Suisse AG – HSBC R Bear CBBC Dec 2010 C	100,000,000	2010/08/06	2010/12/20	25.00
63347	Credit Suisse AG – TCH R Bull CBBC Dec 2010 A	100,000,000	2010/08/06	2010/12/20	25.00
63348	Credit Suisse AG – TCH R Bull CBBC Jan 2011 A	100,000,000	2010/08/06	2011/01/17	27.50
63353	Credit Suisse AG – TCH R Bear CBBC Dec 2010 B	100,000,000	2010/08/06	2010/12/20	28.00
63355	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 W	300,000,000	2010/08/06	2011/01/28	75.00
63356	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 X	300,000,000	2010/08/06	2011/01/28	84.00
63358	UBS AG – A50 China R Bear CBBC Feb 2011 A	100,000,000	2010/08/06	2011/02/14	25.00
63359	UBS AG – A50 China R Bear CBBC Feb 2011 B	100,000,000	2010/08/06	2011/02/21	26.50
63357	UBS AG – TCH R Bull CBBC Dec 2010 F	100,000,000	2010/08/06	2010/12/20	25.00
63363	BOCI Asia Ltd. – HSI R Bull CBBC Nov 2010 A	100,000,000	2010/08/09	2010/11/29	25.00
63367	BOCI Asia Ltd. – HSI R Bear CBBC Nov 2010 B	100,000,000	2010/08/09	2010/11/29	25.00
63360	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2011 H	100,000,000	2010/08/09	2011/02/25	25.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63364	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2011 I	100,000,000	2010/08/09	2011/02/25	25.00
63365	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2011 J	100,000,000	2010/08/09	2011/02/25	25.00
63361	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 A	100,000,000	2010/08/09	2011/03/30	25.00
63362	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 B	100,000,000	2010/08/09	2011/03/30	25.00
63375	Credit Suisse AG – HSI R Bull CBBC Dec 2010 H	390,000,000	2010/08/09	2010/12/30	97.50
63372	Credit Suisse AG – HSI R Bear CBBC Nov 2010 B	390,000,000	2010/08/09	2010/11/29	97.50
63369	Credit Suisse AG – HSI R Bear CBBC Nov 2010 I	390,000,000	2010/08/09	2010/11/29	97.50
63371	Credit Suisse AG – HSI R Bear CBBC Nov 2010 X	390,000,000	2010/08/09	2010/11/29	97.50
63370	Credit Suisse AG – HSI R Bear CBBC Nov 2010 Y	390,000,000	2010/08/09	2010/11/29	97.50
63373	Credit Suisse AG – HSI R Bear CBBC Dec 2010 C	390,000,000	2010/08/09	2010/12/30	97.50
63374	Credit Suisse AG – HSI R Bear CBBC Jan 2011 F	390,000,000	2010/08/09	2011/01/28	97.50
63401	Deutsche Bank AG – HKEx R Bear CBBC Jan 2011 A	150,000,000	2010/08/09	2011/01/10	37.50
63399	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 O	300,000,000	2010/08/09	2010/12/30	75.00
63400	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 Z	300,000,000	2010/08/09	2011/01/28	75.00
63368	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 Y	300,000,000	2010/08/09	2011/01/28	75.00
63384	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 G	200,000,000	2010/08/09	2010/11/29	50.00
63388	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 M	200,000,000	2010/08/09	2010/11/29	50.00
63394	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 K	200,000,000	2010/08/09	2010/11/29	50.00
63389	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 L	200,000,000	2010/08/09	2010/11/29	50.00
63392	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 N	200,000,000	2010/08/09	2010/11/29	50.00
63390	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 O	200,000,000	2010/08/09	2010/11/29	50.00
63397	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 R	200,000,000	2010/08/09	2010/11/29	53.00
63395	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 S	200,000,000	2010/08/09	2010/11/29	50.00
63402	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 G	128,000,000	2010/08/09	2010/11/29	32.00
63403	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 N	128,000,000	2010/08/09	2010/12/30	32.00
63407	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 O	128,000,000	2010/08/09	2010/12/30	32.00
63408	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 P	128,000,000	2010/08/09	2010/12/30	32.00
63406	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 C	128,000,000	2010/08/09	2011/01/28	37.12
63382	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 I	200,000,000	2010/08/09	2010/12/30	50.00
63381	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 N	200,000,000	2010/08/09	2010/12/30	50.00
63387	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 J	200,000,000	2010/08/09	2010/12/30	50.00
63386	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 M	200,000,000	2010/08/09	2010/12/30	50.00
63385	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 O	200,000,000	2010/08/09	2010/12/30	50.00
63383	RB of Scotland N.V. – HSI R Bull CBBC Nov 2010 J	250,000,000	2010/08/09	2010/11/29	62.50
63376	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10W	300,000,000	2010/08/09	2010/11/29	75.00
63378	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10Y	300,000,000	2010/08/09	2010/11/29	75.00
63377	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10Z	300,000,000	2010/08/09	2010/11/29	75.00
63379	UBS AG – HSBC R Bear CBBC Dec 2010 I	100,000,000	2010/08/09	2010/12/20	25.00
63380	UBS AG – HSI R Bull CBBC Dec 2010 B	200,000,000	2010/08/09	2010/12/30	50.00
63366	UBS AG – HSI R Bear CBBC Dec 2010 Q	200,000,000	2010/08/09	2010/12/30	50.00
63404	Barclays Bank plc – HSI R Bull CBBC Feb 2011 A	300,000,000	2010/08/10	2011/02/25	75.00
63405	Barclays Bank plc – HSI R Bull CBBC Feb 2011 B	300,000,000	2010/08/10	2011/02/25	75.00
63409	Barclays Bank plc – HSI R Bull CBBC Feb 2011 C	300,000,000	2010/08/10	2011/02/25	75.00
63410	Barclays Bank plc – HSI R Bull CBBC Feb 2011 D	300,000,000	2010/08/10	2011/02/25	75.00
63414	Barclays Bank plc – HSI R Bear CBBC Feb 2011 E	300,000,000	2010/08/10	2011/02/25	75.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63416	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2011 K	100,000,000	2010/08/10	2011/02/25	25.00
63417	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2011 L	100,000,000	2010/08/10	2011/02/25	25.00
63418	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2011 C	100,000,000	2010/08/10	2011/03/30	25.00
63419	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 A	100,000,000	2010/08/10	2011/04/28	25.00
63420	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 B	100,000,000	2010/08/10	2011/04/28	25.00
63421	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2011 A	100,000,000	2010/08/10	2011/05/30	25.00
63415	Credit Suisse AG – A50 China R Bear CBBC Dec 2010 B	100,000,000	2010/08/10	2010/12/20	25.00
63413	Credit Suisse AG – HKEx R Bear CBBC Nov 2010 B	100,000,000	2010/08/10	2010/11/29	25.00
63442	Credit Suisse AG – HSI R Bull CBBC Dec 2010 D	390,000,000	2010/08/10	2010/12/30	97.50
63443	Credit Suisse AG – HSI R Bull CBBC Jan 2011 G	440,000,000	2010/08/10	2011/01/28	110.00
63445	Credit Suisse AG – HSI R Bull CBBC Jan 2011 H	390,000,000	2010/08/10	2011/01/28	97.50
63435	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 L	300,000,000	2010/08/10	2010/11/29	75.00
63436	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 G	300,000,000	2010/08/10	2011/02/25	75.00
63425	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 E	300,000,000	2010/08/10	2011/02/25	75.00
63426	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 F	300,000,000	2010/08/10	2011/02/25	75.00
63437	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 H	300,000,000	2010/08/10	2011/02/25	75.00
63438	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 I	300,000,000	2010/08/10	2011/02/25	87.00
63439	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 J	300,000,000	2010/08/10	2011/02/25	114.00
63440	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 K	300,000,000	2010/08/10	2011/02/25	126.00
63441	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 E	300,000,000	2010/08/10	2011/03/30	87.00
63411	HK Bank – HSI R Bull CBBC Jan 2011 A	120,000,000	2010/08/10	2011/01/28	30.00
63412	HK Bank – HSI R Bull CBBC Jan 2011 B	120,000,000	2010/08/10	2011/01/28	30.00
63428	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 Q	200,000,000	2010/08/10	2010/11/29	50.00
63465	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 D	128,000,000	2010/08/10	2011/01/28	32.00
63466	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 A	128,000,000	2010/08/10	2011/02/25	32.00
63467	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 B	128,000,000	2010/08/10	2011/02/25	43.52
63468	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 C	128,000,000	2010/08/10	2011/02/25	35.84
63469	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 D	108,000,000	2010/08/10	2011/02/25	36.72
63470	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 A	128,000,000	2010/08/10	2011/03/30	32.00
63471	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 B	108,000,000	2010/08/10	2011/03/30	44.28
63452	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 R	200,000,000	2010/08/10	2010/12/30	50.00
63453	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 U	200,000,000	2010/08/10	2010/12/30	50.00
63457	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 T	200,000,000	2010/08/10	2010/12/30	50.00
63458	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 V	200,000,000	2010/08/10	2010/12/30	50.00
63459	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 W	200,000,000	2010/08/10	2010/12/30	50.00
63454	RB of Scotland N.V. – COVS R Bull CBBC Dec 2010 C	80,000,000	2010/08/10	2010/12/10	20.56
63455	RB of Scotland N.V. – COVS R Bull CBBC Dec 2010 D	80,000,000	2010/08/10	2010/12/10	20.00
63461	RB of Scotland N.V. – COVS R Bear CBBC Dec 2010 E	80,000,000	2010/08/10	2010/12/10	20.24
63462	RB of Scotland N.V. – COVS R Bear CBBC Dec 2010 F	80,000,000	2010/08/10	2010/12/10	24.56
63429	RB of Scotland N.V. – DJIA R Bull CBBC Dec 2010 E	60,000,000	2010/08/10	2010/12/17	15.00
63430	RB of Scotland N.V. – DJIA R Bull CBBC Dec 2010 F	60,000,000	2010/08/10	2010/12/17	15.00
63431	RB of Scotland N.V. – HSCEI R Bull CBBC Nov 2010 C	250,000,000	2010/08/10	2010/11/29	62.50
63432	RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 K	250,000,000	2010/08/10	2010/11/29	62.50
63433	RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 L	250,000,000	2010/08/10	2010/11/29	62.50
63434	RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 M	250,000,000	2010/08/10	2010/11/29	62.50

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63456	RB of Scotland N.V. – Jiangxi Copper R Bull CBBC Dec 2010 B	80,000,000	2010/08/10	2010/12/29	25.28
63460	RB of Scotland N.V. – Jiangxi Copper R Bull CBBC Dec 2010 C	80,000,000	2010/08/10	2010/12/29	20.56
63463	RB of Scotland N.V. – Jiangxi Copper R Bear CBBC Dec 2010 D	80,000,000	2010/08/10	2010/12/29	20.08
63464	RB of Scotland N.V. – Jiangxi Copper R Bear CBBC Dec 2010 E	80,000,000	2010/08/10	2010/12/29	24.88
63444	UBS AG – HKEEx R Bull CBBC Dec 2010 D	100,000,000	2010/08/10	2010/12/28	25.00
63447	UBS AG – HSI R Bull CBBC Nov 2010 S	200,000,000	2010/08/10	2010/11/29	50.00
63446	UBS AG – HSI R Bull CBBC Jan 2011 Y	200,000,000	2010/08/10	2011/01/28	50.00
63448	UBS AG – HSI R Bull CBBC Apr 2011 A	300,000,000	2010/08/10	2011/04/28	75.00
63422	UBS AG – HSI R Bear CBBC Dec 2010 D	200,000,000	2010/08/10	2010/12/30	50.00
63451	UBS AG – HSI R Bear CBBC Jan 2011 A	200,000,000	2010/08/10	2011/01/28	60.00
63423	UBS AG – HSI R Bear CBBC Jan 2011 X	200,000,000	2010/08/10	2011/01/28	50.00
63450	UBS AG – HSI R Bear CBBC Jan 2011 Z	200,000,000	2010/08/10	2011/01/28	54.00
63449	UBS AG – HSI R Bear CBBC Mar 2011 C	200,000,000	2010/08/10	2011/03/30	50.00
62737#	HK Bank – HSI R Bear CBBC Dec 2010 J	200,000,000	2010/08/10	2010/12/30	19.40
62226#	RB of Scotland N.V. – DJIA R Bear CBBC Sep 2010 O	60,000,000	2010/08/10	2010/09/17	11.28
63486	Deutsche Bank AG – China Mobile R Bear CBBC Jan 2011 A	150,000,000	2010/08/11	2011/01/17	37.50
63485	Deutsche Bank AG – CC Bank R Bear CBBC Jan 2011 A	150,000,000	2010/08/11	2011/01/24	37.50
63487	Deutsche Bank AG – ICBC R Bull CBBC Jan 2011 A	150,000,000	2010/08/11	2011/01/24	37.50
63491	Deutsche Bank AG – ICBC R Bear CBBC Jan 2011 B	150,000,000	2010/08/11	2011/01/31	37.50
63484	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 Q	128,000,000	2010/08/11	2010/12/30	32.00
63490	RB of Scotland N.V. – China Mobile R Bull CBBC Dec 2010 A	80,000,000	2010/08/11	2010/12/22	20.00
63494	RB of Scotland N.V. – China Mobile R Bear CBBC Dec 2010 B	80,000,000	2010/08/11	2010/12/22	20.00
63488	RB of Scotland N.V. – CC Bank R Bull CBBC Dec 2010 A	80,000,000	2010/08/11	2010/12/28	20.00
63489	RB of Scotland N.V. – CC Bank R Bull CBBC Dec 2010 B	80,000,000	2010/08/11	2010/12/28	20.00
63493	RB of Scotland N.V. – CC Bank R Bear CBBC Dec 2010 C	80,000,000	2010/08/11	2010/12/28	20.00
63492	RB of Scotland N.V. – HKEEx R Bull CBBC Dec 2010 B	80,000,000	2010/08/11	2010/12/13	20.00
63495	RB of Scotland N.V. – HKEEx R Bear CBBC Dec 2010 C	80,000,000	2010/08/11	2010/12/13	20.00
63472	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10I	300,000,000	2010/08/11	2010/11/29	75.00
63478	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10P	300,000,000	2010/08/11	2010/11/29	75.00
63477	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10Q	300,000,000	2010/08/11	2010/11/29	75.00
63481	UBS AG – HSI R Bull CBBC Dec 2010 I	200,000,000	2010/08/11	2010/12/30	50.00
63482	UBS AG – HSI R Bull CBBC Dec 2010 N	200,000,000	2010/08/11	2010/12/30	50.00
63483	UBS AG – HSI R Bull CBBC Feb 2011 L	200,000,000	2010/08/11	2011/02/25	50.00
63473	UBS AG – HSI R Bear CBBC Nov 2010 Y	200,000,000	2010/08/11	2010/11/29	50.00
63474	UBS AG – HSI R Bear CBBC Dec 2010 Z	200,000,000	2010/08/11	2010/12/30	50.00
63479	UBS AG – SHK Ppt R Bear CBBC Jan 2011 B	100,000,000	2010/08/11	2011/01/17	25.00
63480	UBS AG – TCH R Bull CBBC Dec 2010 G	100,000,000	2010/08/11	2010/12/28	25.00
63508	Deutsche Bank AG – HSCEI R Bull CBBC Dec 2010 A	150,000,000	2010/08/12	2010/12/30	37.50
63509	Deutsche Bank AG – HSCEI R Bull CBBC Dec 2010 B	150,000,000	2010/08/12	2010/12/30	37.50
63512	Deutsche Bank AG – HSCEI R Bear CBBC Dec 2010 C	150,000,000	2010/08/12	2010/12/30	37.50
63516	Deutsche Bank AG – HSCEI R Bear CBBC Dec 2010 D	150,000,000	2010/08/12	2010/12/30	37.50
63507	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 F	300,000,000	2010/08/12	2011/03/30	75.00
63519	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 G	300,000,000	2010/08/12	2011/03/30	81.00
63520	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 H	300,000,000	2010/08/12	2011/03/30	75.00
63524	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 I	300,000,000	2010/08/12	2011/03/30	75.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63526	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 J	300,000,000	2010/08/12	2011/03/30	87.00
63497	HK Bank – China Mobile R Bull CBBC Jan 2011 B	80,000,000	2010/08/12	2011/01/20	20.00
63503	HK Bank – China Mobile R Bear CBBC Jan 2011 C	80,000,000	2010/08/12	2011/01/20	20.00
63496	HK Bank – CC Bank R Bull CBBC Jan 2011 B	80,000,000	2010/08/12	2011/01/28	20.00
63502	HK Bank – CC Bank R Bear CBBC Dec 2010 A	80,000,000	2010/08/12	2010/12/22	20.00
63498	HK Bank – China Shenhua R Bull CBBC Jan 2011 A	80,000,000	2010/08/12	2011/01/07	20.00
63501	HK Bank – A50 R Bull CBBC Feb 2011 A	100,000,000	2010/08/12	2011/02/18	25.00
63506	HK Bank – A50 R Bear CBBC Feb 2011 B	100,000,000	2010/08/12	2011/02/18	25.00
63499	HK Bank – ICBC R Bull CBBC Dec 2010 B	80,000,000	2010/08/12	2010/12/20	20.00
63504	HK Bank – ICBC R Bear CBBC Jan 2011 B	80,000,000	2010/08/12	2011/01/18	20.00
63500	HK Bank – TCH R Bull CBBC Feb 2011 A	80,000,000	2010/08/12	2011/02/08	20.00
63505	HK Bank – TCH R Bear CBBC Dec 2010 A	80,000,000	2010/08/12	2010/12/22	20.00
63510	UBS AG – China Mobile R Bull CBBC Dec 2010 D	100,000,000	2010/08/12	2010/12/13	25.00
63511	UBS AG – COVS R Bear CBBC Jan 2011 A	50,000,000	2010/08/12	2011/01/03	12.50
63517	UBS AG – COVS R Bear CBBC Jan 2011 B	50,000,000	2010/08/12	2011/01/24	17.25
63518	UBS AG – A50 China R Bear CBBC Jan 2011 I	100,000,000	2010/08/12	2011/01/03	25.00
63531	Credit Suisse AG – HSI R Bull CBBC Nov 2010 V	390,000,000	2010/08/13	2010/11/29	97.50
63533	Credit Suisse AG – HSI R Bear CBBC Nov 2010 E	390,000,000	2010/08/13	2010/11/29	97.50
63532	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 R	300,000,000	2010/08/13	2010/12/30	75.00
63530	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 B	300,000,000	2010/08/13	2011/01/28	75.00
63536	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 D	200,000,000	2010/08/13	2010/11/29	50.00
63535	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 P	200,000,000	2010/08/13	2010/11/29	53.00
63538	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 T	200,000,000	2010/08/13	2010/11/29	50.00
63537	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 U	200,000,000	2010/08/13	2010/11/29	50.00
63539	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 A	200,000,000	2010/08/13	2010/12/30	59.00
63540	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 B	200,000,000	2010/08/13	2010/12/30	50.00
63541	J P Morgan SP BV – HSI R Bear CBBC Jan 2011 A	200,000,000	2010/08/13	2011/01/28	50.00
63554	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 H	128,000,000	2010/08/13	2010/11/29	32.00
63521	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10O	300,000,000	2010/08/13	2010/11/29	75.00
63534	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10R	300,000,000	2010/08/13	2010/11/29	75.00
63527	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11A	300,000,000	2010/08/13	2011/01/28	75.00
63528	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11B	300,000,000	2010/08/13	2011/01/28	84.00
63529	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11C	300,000,000	2010/08/13	2011/01/28	105.00
63543	UBS AG – China Mobile R Bull CBBC Dec 2010 E	100,000,000	2010/08/13	2010/12/20	25.00
63552	UBS AG – HSCEI R Bull CBBC Jan 2011 B	100,000,000	2010/08/13	2011/01/28	25.00
63555	UBS AG – HSI R Bull CBBC Nov 2010 Z	200,000,000	2010/08/13	2010/11/29	50.00
63551	UBS AG – HSI R Bear CBBC Nov 2010 N	200,000,000	2010/08/13	2010/11/29	50.00
63544	UBS AG – Hutchison R Bull CBBC Dec 2010 B	100,000,000	2010/08/13	2010/12/20	25.00
63547	UBS AG – Hutchison R Bear CBBC Dec 2010 C	100,000,000	2010/08/13	2010/12/20	25.00
63542	UBS AG – Jiangxi Copper R Bull CBBC Dec 2010 D	50,000,000	2010/08/13	2010/12/20	12.50
63546	UBS AG – Jiangxi Copper R Bear CBBC Dec 2010 E	50,000,000	2010/08/13	2010/12/20	12.50
63545	UBS AG – Jiangxi Copper R Bear CBBC Jan 2011 A	50,000,000	2010/08/13	2011/01/10	16.25
61642#	HK Bank – HSI R Bear CBBC Sep 2010 G	150,000,000	2010/08/13	2010/09/29	10.20
63569	Credit Suisse AG – HSI R Bull CBBC Jan 2011 I	440,000,000	2010/08/16	2011/01/28	110.00
63570	Credit Suisse AG – HSI R Bull CBBC Jan 2011 J	390,000,000	2010/08/16	2011/01/28	97.50

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63571	Credit Suisse AG – HSI R Bear CBBC Nov 2010 Z	390,000,000	2010/08/16	2010/11/29	97.50
63574	Credit Suisse AG – HSI R Bear CBBC Jan 2011 K	390,000,000	2010/08/16	2011/01/28	97.50
63565	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 L	300,000,000	2010/08/16	2011/02/25	81.00
63567	Deutsche Bank AG – HSI R Bear CBBC Nov 2010 U	300,000,000	2010/08/16	2010/11/29	75.00
63557	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 U	300,000,000	2010/08/16	2010/12/30	75.00
63572	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 B	200,000,000	2010/08/16	2010/11/29	50.00
63575	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 L	128,000,000	2010/08/16	2010/11/29	32.00
63558	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 F	128,000,000	2010/08/16	2010/11/29	32.00
63562	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 F	200,000,000	2010/08/16	2010/12/30	50.00
63564	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 Q	200,000,000	2010/08/16	2010/12/30	50.00
63568	RB of Scotland N.V. – HSI R Bull CBBC Nov 2010 N	250,000,000	2010/08/16	2010/11/29	62.50
63556	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10N	300,000,000	2010/08/16	2010/11/29	75.00
63560	UBS AG – Sinopec Corp R Bull CBBC Dec 2010 C	100,000,000	2010/08/16	2010/12/06	25.00
63559	UBS AG – HSI R Bull CBBC Jan 2011 B	200,000,000	2010/08/16	2011/01/28	50.00
63563	UBS AG – HSI R Bear CBBC Jan 2011 C	200,000,000	2010/08/16	2011/01/28	65.00
63573	UBS AG – HSI R Bear CBBC Jan 2011 D	200,000,000	2010/08/16	2011/01/28	50.00
63561	UBS AG – HSI R Bear CBBC Apr 2011 B	200,000,000	2010/08/16	2011/04/28	50.00
62064#	UBS AG – Hutchison R Bull CBBC Dec 2010 A	100,000,000	2010/08/16	2010/12/06	23.90
63588	Credit Suisse AG – HSI R Bull CBBC Dec 2010 F	400,000,000	2010/08/17	2010/12/30	100.00
63590	Credit Suisse AG – HSI R Bear CBBC Nov 2010 S	400,000,000	2010/08/17	2010/11/29	100.00
63589	Credit Suisse AG – HSI R Bear CBBC Nov 2010 U	390,000,000	2010/08/17	2010/11/29	97.50
63591	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 J	300,000,000	2010/08/17	2010/11/29	75.00
63592	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 D	300,000,000	2010/08/17	2010/12/30	75.00
63593	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 Q	200,000,000	2010/08/17	2010/11/29	50.00
63594	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 C	200,000,000	2010/08/17	2010/12/30	50.00
63595	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 D	200,000,000	2010/08/17	2010/12/30	50.00
63596	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2010 N	128,000,000	2010/08/17	2010/11/29	32.00
63587	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 D	200,000,000	2010/08/17	2010/12/30	50.00
63586	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 G	200,000,000	2010/08/17	2010/12/30	50.00
63579	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 G	40,000,000	2010/08/17	2010/12/17	10.04
63580	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 H	40,000,000	2010/08/17	2010/12/17	10.04
63581	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 I	80,000,000	2010/08/17	2010/12/17	22.88
63582	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 J	80,000,000	2010/08/17	2010/12/17	20.00
63583	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 K	80,000,000	2010/08/17	2010/12/17	20.00
63584	UBS AG – HSI R Bull CBBC Nov 2010 S	200,000,000	2010/08/17	2010/11/29	50.00
63578	UBS AG – HSI R Bull CBBC Dec 2010 L	200,000,000	2010/08/17	2010/12/30	50.00
63585	UBS AG – HSI R Bear CBBC Jan 2011 H	200,000,000	2010/08/17	2011/01/28	50.00
63576	UBS AG – TCH R Bull CBBC Dec 2010 H	100,000,000	2010/08/17	2010/12/20	25.00
63599	Credit Suisse AG – HSI R Bull CBBC Nov 2010 T	400,000,000	2010/08/18	2010/11/29	100.00
63600	Credit Suisse AG – HSI R Bull CBBC Jan 2011 L	390,000,000	2010/08/18	2011/01/28	97.50
63609	Credit Suisse AG – HSI R Bear CBBC Nov 2010 M	390,000,000	2010/08/18	2010/11/29	97.50
63603	Credit Suisse AG – HSI R Bear CBBC Nov 2010 O	400,000,000	2010/08/18	2010/11/29	100.00
63608	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 S	300,000,000	2010/08/18	2010/12/30	75.00
63615	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 D	300,000,000	2010/08/18	2011/01/28	75.00
63616	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 E	200,000,000	2010/08/18	2010/12/30	50.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63617	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 F	200,000,000	2010/08/18	2010/12/30	50.00
63607	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 R	128,000,000	2010/08/18	2010/12/30	32.00
63606	RB of Scotland N.V. – DJIA R Bull CBBC Dec 2010 L	80,000,000	2010/08/18	2010/12/17	20.00
63605	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10P	300,000,000	2010/08/18	2010/11/29	75.00
63604	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10Q	300,000,000	2010/08/18	2010/11/29	75.00
63602	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10U	300,000,000	2010/08/18	2010/11/29	75.00
63598	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10X	300,000,000	2010/08/18	2010/11/29	75.00
63611	UBS AG – HKEx R Bear CBBC Dec 2010 E	100,000,000	2010/08/18	2010/12/20	25.00
63601	UBS AG – HSI R Bull CBBC Dec 2010 S	200,000,000	2010/08/18	2010/12/30	50.00
63612	UBS AG – HSI R Bear CBBC Dec 2010 K	200,000,000	2010/08/18	2010/12/30	50.00
63613	UBS AG – HSI R Bear CBBC Jan 2011 J	200,000,000	2010/08/18	2011/01/28	50.00
63610	UBS AG – Hutchison R Bull CBBC Jan 2011 A	100,000,000	2010/08/18	2011/01/03	25.00
63614	UBS AG – Hutchison R Bear CBBC Jan 2011 B	100,000,000	2010/08/18	2011/01/03	25.00
63654	Credit Suisse AG – China Mobile R Bear CBBC Jan 2011 A	100,000,000	2010/08/19	2011/01/17	25.00
63651	Credit Suisse AG – A50 China R Bear CBBC Dec 2010 C	100,000,000	2010/08/19	2010/12/20	25.00
63622	Credit Suisse AG – HSI R Bull CBBC Jan 2011 M	390,000,000	2010/08/19	2011/01/28	97.50
63649	Credit Suisse AG – HSI R Bear CBBC Nov 2010 I	390,000,000	2010/08/19	2010/11/29	97.50
63635	Credit Suisse AG – HSI R Bear CBBC Nov 2010 Y	390,000,000	2010/08/19	2010/11/29	97.50
63657	Deutsche Bank AG – HSCEI R Bull CBBC Dec 2010 E	150,000,000	2010/08/19	2010/12/30	40.50
63658	Deutsche Bank AG – HSCEI R Bear CBBC Dec 2010 F	150,000,000	2010/08/19	2010/12/30	37.50
63627	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 G	300,000,000	2010/08/19	2011/01/28	75.00
63628	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 M	300,000,000	2010/08/19	2011/02/25	78.00
63629	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Z	300,000,000	2010/08/19	2010/12/30	75.00
63630	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 H	300,000,000	2010/08/19	2011/01/28	78.00
63631	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 N	300,000,000	2010/08/19	2011/02/25	78.00
63620	HK Bank – HSI R Bear CBBC Jan 2011 C	150,000,000	2010/08/19	2011/01/28	37.50
63621	HK Bank – HSI R Bear CBBC Jan 2011 D	150,000,000	2010/08/19	2011/01/28	37.50
63632	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 G	200,000,000	2010/08/19	2010/12/30	50.00
63633	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 H	200,000,000	2010/08/19	2010/12/30	50.00
63634	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 I	200,000,000	2010/08/19	2010/12/30	50.00
63647	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 U	128,000,000	2010/08/19	2010/12/30	32.00
63648	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 V	128,000,000	2010/08/19	2010/12/30	32.00
63625	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 S	128,000,000	2010/08/19	2010/12/30	32.00
63626	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 T	128,000,000	2010/08/19	2010/12/30	32.00
63618	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2010 A	200,000,000	2010/08/19	2010/12/30	50.20
63619	Macquarie Bank Ltd. – HSI R Bear CBBC Nov 2010 C	200,000,000	2010/08/19	2010/11/29	50.00
63656	CC Rabobank B.A. – HSI R Bull CBBC Dec 2010 T	200,000,000	2010/08/19	2010/12/30	50.00
63660	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 I	200,000,000	2010/08/19	2010/12/30	50.00
63661	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 N	200,000,000	2010/08/19	2010/12/30	50.00
63655	RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 O	250,000,000	2010/08/19	2010/11/29	62.50
63636	UBS AG – China Life R Bear CBBC Dec 2010 G	100,000,000	2010/08/19	2010/12/13	25.00
63638	UBS AG – A50 China R Bear CBBC Jan 2011 J	100,000,000	2010/08/19	2011/01/10	25.00
63639	UBS AG – HSCEI R Bear CBBC Dec 2010 G	100,000,000	2010/08/19	2010/12/30	25.00
63640	UBS AG – HSCEI R Bear CBBC Jan 2011 C	100,000,000	2010/08/19	2011/01/28	25.00
63624	UBS AG – HSI R Bull CBBC Jan 2011 I	200,000,000	2010/08/19	2011/01/28	50.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63623	UBS AG – HSI R Bull CBBC Jan 2011 L	200,000,000	2010/08/19	2011/01/28	50.00
63641	UBS AG – HSI R Bear CBBC Nov 2010 Y	200,000,000	2010/08/19	2010/11/29	50.00
63642	UBS AG – HSI R Bear CBBC Dec 2010 P	200,000,000	2010/08/19	2010/12/30	50.00
63645	UBS AG – HSI R Bear CBBC Dec 2010 Q	200,000,000	2010/08/19	2010/12/30	50.00
63646	UBS AG – HSI R Bear CBBC Jan 2011 O	200,000,000	2010/08/19	2011/01/28	50.00
63637	UBS AG – TCH R Bear CBBC Dec 2010 I	100,000,000	2010/08/19	2010/12/20	25.00
62445 <sup>#</sup>	HK Bank – A50 R Bull CBBC Dec 2010 A	120,000,000	2010/08/19	2010/12/20	15.36
63666	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 C	100,000,000	2010/08/20	2011/04/28	25.00
63667	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2011 B	100,000,000	2010/08/20	2011/05/30	25.00
63668	Credit Suisse AG – HSI R Bull CBBC Jan 2011 N	390,000,000	2010/08/20	2011/01/28	97.50
63669	Credit Suisse AG – HSI R Bear CBBC Nov 2010 V	390,000,000	2010/08/20	2010/11/29	97.50
63672	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 P	300,000,000	2010/08/20	2010/11/29	75.00
63676	RB of Scotland N.V. – BYD Company R Bear CBBC Dec 2010 E	80,000,000	2010/08/20	2010/12/13	20.00
63663	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10I	300,000,000	2010/08/20	2010/11/29	75.00
63659	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10Y	300,000,000	2010/08/20	2010/11/29	75.00
63665	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10W	300,000,000	2010/08/20	2010/11/29	75.00
63664	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10Z	300,000,000	2010/08/20	2010/11/29	75.00
63673	UBS AG – China Mobile R Bull CBBC Dec 2010 F	100,000,000	2010/08/20	2010/12/28	25.00
63674	UBS AG – China Mobile R Bear CBBC Jan 2011 A	100,000,000	2010/08/20	2011/01/10	25.00
63670	UBS AG – HSI R Bull CBBC Nov 2010 R	200,000,000	2010/08/20	2010/11/29	50.00
63671	UBS AG – HSI R Bull CBBC Dec 2010 H	200,000,000	2010/08/20	2010/12/30	50.00
63675	UBS AG – HSI R Bear CBBC Dec 2010 Z	200,000,000	2010/08/20	2010/12/30	50.00
63223 <sup>#</sup>	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 Q	300,000,000	2010/08/20	2010/12/30	18.30
63681	Credit Suisse AG – HSI R Bull CBBC Jan 2011 O	390,000,000	2010/08/23	2011/01/28	97.50
63677	HK Bank – A50 R Bear CBBC Feb 2011 C	120,000,000	2010/08/23	2011/02/22	30.00
63680	HK Bank – HSI R Bear CBBC Feb 2011 A	120,000,000	2010/08/23	2011/02/25	30.00
63684	J P Morgan SP BV – HSI R Bull CBBC Nov 2010 M	200,000,000	2010/08/23	2010/11/29	50.00
63685	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 J	200,000,000	2010/08/23	2010/12/30	50.00
63682	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 O	128,000,000	2010/08/23	2010/11/29	32.00
63688	RB of Scotland N.V. – HSI R Bear CBBC Nov 2010 P	250,000,000	2010/08/23	2010/11/29	62.50
63679	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Dec10A	300,000,000	2010/08/23	2010/12/29	75.00
63683	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10R	300,000,000	2010/08/23	2010/11/29	75.00
63678	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10T	300,000,000	2010/08/23	2010/11/29	75.00
63686	UBS AG – China Mobile R Bear CBBC Jan 2011 B	100,000,000	2010/08/23	2011/01/24	25.00
63687	UBS AG – HSI R Bull CBBC Jan 2011 R	200,000,000	2010/08/23	2011/01/28	50.00
63690	Credit Suisse AG – HSI R Bear CBBC Dec 2010 I	390,000,000	2010/08/24	2010/12/30	97.50
63696	Deutsche Bank AG – HSCEI R Bull CBBC Jan 2011 A	150,000,000	2010/08/24	2011/01/28	37.50
63695	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 E	300,000,000	2010/08/24	2010/11/29	75.00
63693	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 Q	300,000,000	2010/08/24	2010/11/29	75.00
63694	Deutsche Bank AG – HSI R Bull CBBC Nov 2010 S	300,000,000	2010/08/24	2010/11/29	90.00
63699	Deutsche Bank AG – HSI R Bear CBBC Nov 2010 C	300,000,000	2010/08/24	2010/11/29	90.00
63697	Deutsche Bank AG – HSI R Bear CBBC Nov 2010 G	300,000,000	2010/08/24	2010/11/29	75.00
63698	Deutsche Bank AG – HSI R Bear CBBC Nov 2010 L	300,000,000	2010/08/24	2010/11/29	75.00
63689	UBS AG – China Shenhua R Bear CBBC Dec 2010 D	100,000,000	2010/08/24	2010/12/28	25.00
63707	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2011 D	100,000,000	2010/08/25	2011/04/28	25.00



# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63708	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2011 C	100,000,000	2010/08/25	2011/05/30	25.00
63711	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 E	100,000,000	2010/08/25	2011/04/28	25.00
63702	Credit Suisse AG – HSI R Bull CBBC Jan 2011 P	390,000,000	2010/08/25	2011/01/28	97.50
63700	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Dec10C	300,000,000	2010/08/25	2010/12/29	75.00
63701	SGA Societe Generale Acceptance N.V. – TCH R Bull CBBC Dec10A	100,000,000	2010/08/25	2010/12/29	26.00
63705	UBS AG – HKEx R Bear CBBC Feb 2011 A	100,000,000	2010/08/25	2011/02/21	25.00
63704	UBS AG – HSBC R Bear CBBC Feb 2011 A	100,000,000	2010/08/25	2011/02/07	25.00
63706	UBS AG – HSI R Bear CBBC Mar 2011 D	300,000,000	2010/08/25	2011/03/30	75.00
63188 <sup>#</sup>	UBS AG – HSI R Bull CBBC Nov 2010 D	200,000,000	2010/08/25	2010/11/29	18.60
63714	Credit Suisse AG – HSI R Bull CBBC Jan 2011 Q	390,000,000	2010/08/26	2011/01/28	97.50
63712	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 C	300,000,000	2010/08/26	2010/12/30	75.00
63725	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 G	200,000,000	2010/08/26	2010/11/29	50.00
63726	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 K	200,000,000	2010/08/26	2010/12/30	50.00
63715	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 W	128,000,000	2010/08/26	2010/12/30	32.00
63716	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 X	128,000,000	2010/08/26	2010/12/30	32.00
63721	UBS AG – HSI R Bull CBBC Nov 2010 T	200,000,000	2010/08/26	2010/11/29	50.00
63709	UBS AG – HSI R Bear CBBC Nov 2010 Z	200,000,000	2010/08/26	2010/11/29	50.00
63722	UBS AG – HSI R Bear CBBC Dec 2010 N	200,000,000	2010/08/26	2010/12/30	50.00
63724	UBS AG – HSI R Bear CBBC Feb 2011 M	200,000,000	2010/08/26	2011/02/25	50.00
63736	Credit Suisse AG – HSBC R Bear CBBC Dec 2010 D	100,000,000	2010/08/27	2010/12/13	25.00
63727	Credit Suisse AG – HSI R Bull CBBC Jan 2011 R	390,000,000	2010/08/27	2011/01/28	97.50
63737	Credit Suisse AG – HSI R Bear CBBC Nov 2010 T	390,000,000	2010/08/27	2010/11/29	97.50
63738	Credit Suisse AG – HSI R Bear CBBC Nov 2010 Y	330,000,000	2010/08/27	2010/11/29	82.50
63739	Credit Suisse AG – HSI R Bear CBBC Dec 2010 D	330,000,000	2010/08/27	2010/12/30	82.50
63732	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 O	300,000,000	2010/08/27	2010/12/30	75.00
63740	J P Morgan SP BV – HSI R Bear CBBC Nov 2010 E	200,000,000	2010/08/27	2010/11/29	50.00
63748	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 Y	128,000,000	2010/08/27	2010/12/30	32.00
63749	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 Z	128,000,000	2010/08/27	2010/12/30	32.00
63751	CC Rabobank B.A. – HSI R Bear CBBC Nov 2010 F	200,000,000	2010/08/27	2010/11/29	50.00
63750	CC Rabobank B.A. – HSI R Bear CBBC Nov 2010 G	200,000,000	2010/08/27	2010/11/29	50.00
63756	CC Rabobank B.A. – HSI R Bear CBBC Nov 2010 J	200,000,000	2010/08/27	2010/11/29	50.00
63755	CC Rabobank B.A. – HSI R Bear CBBC Nov 2010 K	200,000,000	2010/08/27	2010/11/29	50.00
63733	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 M	40,000,000	2010/08/27	2010/12/17	10.08
63734	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 N	40,000,000	2010/08/27	2010/12/17	10.04
63735	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov10O	300,000,000	2010/08/27	2010/11/29	75.00
63746	UBS AG – HSBC R Bear CBBC Dec 2010 J	100,000,000	2010/08/27	2010/12/28	25.00
63728	UBS AG – HSI R Bull CBBC Nov 2010 S	200,000,000	2010/08/27	2010/11/29	50.00
63747	UBS AG – HSI R Bear CBBC Nov 2010 Y	200,000,000	2010/08/27	2010/11/29	50.00
62896 <sup>#</sup>	HK Bank – China Life R Bull CBBC Jan 2011 A	320,000,000	2010/08/27	2011/01/18	10.24
63762	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2011 D	100,000,000	2010/08/30	2011/05/30	25.00
63763	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2011 A	100,000,000	2010/08/30	2011/06/29	25.00
63766	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2011 E	100,000,000	2010/08/30	2011/05/30	25.00
63767	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 B	100,000,000	2010/08/30	2011/06/29	25.00
63761	Credit Suisse AG – HSI R Bull CBBC Jan 2011 S	390,000,000	2010/08/30	2011/01/28	97.50
63776	Credit Suisse AG – HSI R Bear CBBC Dec 2010 H	390,000,000	2010/08/30	2010/12/30	97.50

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63775	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Y	300,000,000	2010/08/30	2010/12/30	75.00
63757	HK Bank – Sinopec Corp R Bull CBBC Feb 2011 A	150,000,000	2010/08/30	2011/02/18	37.50
63758	HK Bank – Sinopec Corp R Bull CBBC Feb 2011 B	150,000,000	2010/08/30	2011/02/18	37.50
63770	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 L	200,000,000	2010/08/30	2010/12/30	50.00
63765	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2010 A	150,000,000	2010/08/30	2010/12/30	22.50
63768	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2010 B	150,000,000	2010/08/30	2010/12/30	22.50
63772	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2010 C	150,000,000	2010/08/30	2010/12/30	22.80
63773	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2010 D	150,000,000	2010/08/30	2010/12/30	22.50
63769	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov10V	300,000,000	2010/08/30	2010/11/29	75.00
63764	UBS AG – HSI R Bull CBBC Jan 2011 S	200,000,000	2010/08/30	2011/01/28	50.00
63771	UBS AG – HSI R Bear CBBC Dec 2010 B	200,000,000	2010/08/30	2010/12/30	50.00
63774	UBS AG – HSI R Bear CBBC Dec 2010 J	200,000,000	2010/08/30	2010/12/30	50.00
63778	Credit Suisse AG – HSI R Bull CBBC Jan 2011 T	390,000,000	2010/08/31	2011/01/28	97.50
63802	Credit Suisse AG – HSI R Bear CBBC Dec 2010 S	390,000,000	2010/08/31	2010/12/30	97.50
63779	Deutsche Bank AG – DJIA R Bull CBBC Dec 2010 A	80,000,000	2010/08/31	2010/12/20	23.20
63782	Deutsche Bank AG – DJIA R Bull CBBC Dec 2010 B	80,000,000	2010/08/31	2010/12/20	20.00
63783	Deutsche Bank AG – DJIA R Bear CBBC Dec 2010 C	80,000,000	2010/08/31	2010/12/20	24.00
63786	Deutsche Bank AG – DJIA R Bear CBBC Dec 2010 D	80,000,000	2010/08/31	2010/12/20	27.20
63784	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 R	300,000,000	2010/08/31	2010/12/30	75.00
63785	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 I	300,000,000	2010/08/31	2011/01/28	75.00
63812	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 M	200,000,000	2010/08/31	2010/12/30	50.00
63813	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 N	200,000,000	2010/08/31	2010/12/30	50.00
63789	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 C	128,000,000	2010/08/31	2010/12/30	32.00
63791	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 E	128,000,000	2010/08/31	2011/01/28	32.00
63793	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 F	128,000,000	2010/08/31	2011/01/28	32.00
63796	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 G	128,000,000	2010/08/31	2011/01/28	32.00
63797	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 H	128,000,000	2010/08/31	2011/01/28	32.00
63798	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 I	128,000,000	2010/08/31	2011/01/28	32.00
63799	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 L	200,000,000	2010/08/31	2010/12/30	50.00
63800	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 U	200,000,000	2010/08/31	2010/12/30	50.00
63806	RB of Scotland N.V. – HSI R Bear CBBC Dec 2010 A	250,000,000	2010/08/31	2010/12/30	62.50
63807	RB of Scotland N.V. – HSI R Bear CBBC Dec 2010 B	250,000,000	2010/08/31	2010/12/30	62.50
63803	RB of Scotland N.V. – Nikkei 225 R Bull CBBC Mar 2011 A	40,000,000	2010/08/31	2011/03/11	10.00
63804	RB of Scotland N.V. – Nikkei 225 R Bull CBBC Mar 2011 B	40,000,000	2010/08/31	2011/03/11	10.00
63805	RB of Scotland N.V. – Nikkei 225 R Bull CBBC Mar 2011 C	40,000,000	2010/08/31	2011/03/11	10.00
63808	RB of Scotland N.V. – Nikkei 225 R Bear CBBC Mar 2011 D	40,000,000	2010/08/31	2011/03/11	10.00
63810	RB of Scotland N.V. – Nikkei 225 R Bear CBBC Mar 2011 E	40,000,000	2010/08/31	2011/03/11	10.00
63811	RB of Scotland N.V. – Nikkei 225 R Bear CBBC Mar 2011 F	40,000,000	2010/08/31	2011/03/11	10.00
63777	UBS AG – HSI R Bull CBBC Dec 2010 I	200,000,000	2010/08/31	2010/12/30	50.00
63801	UBS AG – HSI R Bear CBBC Jan 2011 N	200,000,000	2010/08/31	2011/01/28	50.00
63016*	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2010 P	120,000,000	2010/08/31	2010/11/29	12.72
63819	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2011 F	100,000,000	2010/09/01	2011/05/30	25.00
63820	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 C	100,000,000	2010/09/01	2011/06/29	25.00
63818	Credit Suisse AG – HSI R Bull CBBC Jan 2011 U	390,000,000	2010/09/01	2011/01/28	97.50
63838	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 F	300,000,000	2010/09/01	2011/01/28	75.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63830	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 O	300,000,000	2010/09/01	2011/02/25	90.00
63836	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 P	300,000,000	2010/09/01	2011/02/25	75.00
63817	Macquarie Bank Ltd. – HSI R Bear CBBC Dec 2010 B	200,000,000	2010/09/01	2010/12/30	50.00
63814	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10A	300,000,000	2010/09/01	2010/12/30	75.00
63815	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10B	300,000,000	2010/09/01	2010/12/30	75.00
63816	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10C	300,000,000	2010/09/01	2010/12/30	75.00
63825	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10D	300,000,000	2010/09/01	2010/12/30	75.00
63821	UBS AG – BYD Company R Bull CBBC Jan 2011 A	100,000,000	2010/09/01	2011/01/17	25.00
63822	UBS AG – BYD Company R Bull CBBC Jan 2011 B	100,000,000	2010/09/01	2011/01/31	25.00
63823	UBS AG – HSI R Bull CBBC Dec 2010 L	200,000,000	2010/09/01	2010/12/30	50.00
63827	UBS AG – HSI R Bull CBBC Jan 2011 U	200,000,000	2010/09/01	2011/01/28	50.00
63829	UBS AG – HSI R Bear CBBC Dec 2010 S	200,000,000	2010/09/01	2010/12/30	50.00
63824	UBS AG – HSI R Bear CBBC Feb 2011 N	400,000,000	2010/09/01	2011/02/25	100.00
63837	UBS AG – HSI R Bear CBBC Feb 2011 O	200,000,000	2010/09/01	2011/02/25	50.00
63848	Credit Suisse AG – HSI R Bull CBBC Jan 2011 V	400,000,000	2010/09/02	2011/01/28	100.00
63849	Credit Suisse AG – HSI R Bull CBBC Jan 2011 W	390,000,000	2010/09/02	2011/01/28	97.50
63850	Credit Suisse AG – HSI R Bull CBBC Feb 2011 A	400,000,000	2010/09/02	2011/02/25	100.00
63845	Credit Suisse AG – HSI R Bear CBBC Dec 2010 T	400,000,000	2010/09/02	2010/12/30	100.00
63844	Credit Suisse AG – TCH R Bear CBBC Dec 2010 C	100,000,000	2010/09/02	2010/12/13	25.00
63861	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 A	150,000,000	2010/09/02	2011/03/28	52.50
63865	Deutsche Bank AG – China Life R Bear CBBC Mar 2011 B	150,000,000	2010/09/02	2011/03/28	60.00
63857	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 K	300,000,000	2010/09/02	2011/03/30	75.00
63859	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 O	200,000,000	2010/09/02	2010/12/30	50.00
63851	UBS AG – China Life R Bull CBBC Jan 2011 B	100,000,000	2010/09/02	2011/01/31	53.00
63852	UBS AG – China Life R Bull CBBC Jan 2011 C	100,000,000	2010/09/02	2011/01/17	43.00
63855	UBS AG – China Life R Bull CBBC Feb 2011 B	100,000,000	2010/09/02	2011/02/14	63.00
63839	UBS AG – China Life R Bear CBBC Jan 2011 A	100,000,000	2010/09/02	2011/01/17	25.00
63840	UBS AG – China Life R Bear CBBC Feb 2011 A	100,000,000	2010/09/02	2011/02/14	25.00
63856	UBS AG – A50 China R Bull CBBC Dec 2010 C	100,000,000	2010/09/02	2010/12/30	25.00
63841	UBS AG – HSI R Bull CBBC Dec 2010 P	200,000,000	2010/09/02	2010/12/30	50.00
63842	UBS AG – HSI R Bull CBBC Jan 2011 Q	200,000,000	2010/09/02	2011/01/28	50.00
63843	UBS AG – HSI R Bull CBBC Feb 2011 P	300,000,000	2010/09/02	2011/02/25	75.00
63858	UBS AG – HSI R Bull CBBC Feb 2011 Q	200,000,000	2010/09/02	2011/02/25	50.00
63872	Credit Suisse AG – HSI R Bear CBBC Jan 2011 X	390,000,000	2010/09/03	2011/01/28	97.50
63871	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 S	300,000,000	2010/09/03	2010/12/30	75.00
63864	HK Bank – China Life R Bull CBBC Mar 2011 A	200,000,000	2010/09/03	2011/03/18	50.00
63866	HK Bank – China Life R Bull CBBC Mar 2011 B	100,000,000	2010/09/03	2011/03/18	25.00
63870	HK Bank – China Life R Bear CBBC Mar 2011 C	200,000,000	2010/09/03	2011/03/08	50.00
63869	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 P	200,000,000	2010/09/03	2010/12/30	50.00
63867	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Dec10A	100,000,000	2010/09/03	2010/12/29	30.00
63868	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Dec10B	100,000,000	2010/09/03	2010/12/29	25.00
63862	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10E	300,000,000	2010/09/03	2010/12/30	75.00
63873	UBS AG – China Life R Bear CBBC Jan 2011 D	100,000,000	2010/09/03	2011/01/31	28.00
63874	UBS AG – A50 China R Bull CBBC Jan 2011 K	100,000,000	2010/09/03	2011/01/03	25.00
63880	UBS AG – A50 China R Bear CBBC Jan 2011 L	100,000,000	2010/09/03	2011/01/03	25.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63877	UBS AG – HSI R Bull CBBC Jan 2011 V	200,000,000	2010/09/03	2011/01/28	57.00
63878	UBS AG – HSI R Bull CBBC Mar 2011 E	200,000,000	2010/09/03	2011/03/30	50.00
63879	UBS AG – HSI R Bull CBBC Apr 2011 C	400,000,000	2010/09/03	2011/04/28	100.00
63897	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 C	150,000,000	2010/09/06	2011/03/07	63.00
63889	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 Q	300,000,000	2010/09/06	2011/02/25	75.00
63892	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2011 A	200,000,000	2010/09/06	2011/03/30	50.00
63893	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2011 B	200,000,000	2010/09/06	2011/03/30	50.00
63894	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2011 C	200,000,000	2010/09/06	2011/03/30	50.00
63898	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2011 D	200,000,000	2010/09/06	2011/03/30	50.00
63899	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2011 E	200,000,000	2010/09/06	2011/03/30	50.00
63900	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2011 F	200,000,000	2010/09/06	2011/03/30	50.00
63901	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2011 G	200,000,000	2010/09/06	2011/03/30	50.00
63890	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 Q	200,000,000	2010/09/06	2010/12/30	50.00
63881	UBS AG – China Life R Bull CBBC Feb 2011 C	100,000,000	2010/09/06	2011/02/21	63.00
63903	UBS AG – China Life R Bull CBBC Feb 2011 D	100,000,000	2010/09/06	2011/02/28	69.00
63886	UBS AG – China Life R Bear CBBC Jan 2011 E	100,000,000	2010/09/06	2011/01/24	25.00
63904	UBS AG – CPIC R Bull CBBC Feb 2011 A	40,000,000	2010/09/06	2011/02/21	15.40
63882	UBS AG – A50 China R Bull CBBC Jan 2011 M	100,000,000	2010/09/06	2011/01/31	25.00
63891	UBS AG – HSI R Bull CBBC Dec 2010 H	200,000,000	2010/09/06	2010/12/30	50.00
63883	UBS AG – HSI R Bull CBBC Feb 2011 R	200,000,000	2010/09/06	2011/02/25	50.00
63884	UBS AG – HSI R Bull CBBC Mar 2011 F	200,000,000	2010/09/06	2011/03/30	50.00
63885	UBS AG – HSI R Bull CBBC Mar 2011 G	200,000,000	2010/09/06	2011/03/30	61.00
63888	UBS AG – HSI R Bear CBBC Feb 2011 S	400,000,000	2010/09/06	2011/02/25	100.00
63887	UBS AG – TCH R Bear CBBC Dec 2010 J	100,000,000	2010/09/06	2010/12/06	25.00
62308 <sup>#</sup>	HK Bank – China Life R Bull CBBC Dec 2010 A	380,000,000	2010/09/06	2010/12/15	10.26
63913	Credit Suisse AG – HSI R Bull CBBC Jan 2011 Y	400,000,000	2010/09/07	2011/01/28	100.00
63921	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 Z	300,000,000	2010/09/07	2010/12/30	75.00
63916	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 E	128,000,000	2010/09/07	2010/12/30	32.00
63907	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 J	128,000,000	2010/09/07	2011/01/28	40.96
63908	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 K	128,000,000	2010/09/07	2011/01/28	32.00
63911	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 L	128,000,000	2010/09/07	2011/01/28	32.00
63920	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 A	200,000,000	2010/09/07	2010/12/30	50.00
63917	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 I	200,000,000	2010/09/07	2010/12/30	50.00
63919	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 R	200,000,000	2010/09/07	2010/12/30	50.00
63918	CC Rabobank B.A. – HSI R Bear CBBC Dec 2010 T	200,000,000	2010/09/07	2010/12/30	50.00
63914	UBS AG – CC Bank R Bull CBBC Jan 2011 A	100,000,000	2010/09/07	2011/01/10	25.00
63915	UBS AG – HSCEI R Bear CBBC Feb 2011 A	100,000,000	2010/09/07	2011/02/25	25.00
63912	UBS AG – HSI R Bull CBBC Jan 2011 X	200,000,000	2010/09/07	2011/01/28	50.00
63615 <sup>#</sup>	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 D	300,000,000	2010/09/07	2011/01/28	27.30
63943	Credit Suisse AG – China Mobile R Bull CBBC Jan 2011 B	100,000,000	2010/09/08	2011/01/17	25.00
63944	Credit Suisse AG – China Mobile R Bull CBBC Feb 2011 A	100,000,000	2010/09/08	2011/02/14	25.00
63942	Credit Suisse AG – HSBC R Bull CBBC Jan 2011 A	100,000,000	2010/09/08	2011/01/17	25.00
63941	Credit Suisse AG – HSI R Bull CBBC Jan 2011 Z	390,000,000	2010/09/08	2011/01/28	97.50
63935	Credit Suisse AG – HSI R Bear CBBC Dec 2010 F	390,000,000	2010/09/08	2010/12/30	97.50
63936	Credit Suisse AG – HSI R Bear CBBC Jan 2011 H	390,000,000	2010/09/08	2011/01/28	97.50

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63937	Credit Suisse AG – HSI R Bear CBBC Feb 2011 B	390,000,000	2010/09/08	2011/02/25	97.50
63940	Credit Suisse AG – HSI R Bear CBBC Feb 2011 C	400,000,000	2010/09/08	2011/02/25	100.00
63947	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 L	300,000,000	2010/09/08	2011/01/28	75.00
63922	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Jan11A	150,000,000	2010/09/08	2011/01/26	37.50
63923	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Jan11B	150,000,000	2010/09/08	2011/01/26	37.50
63930	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Jan11C	150,000,000	2010/09/08	2011/01/26	37.50
63931	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Jan11D	150,000,000	2010/09/08	2011/01/26	37.50
63932	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Jan11A	200,000,000	2010/09/08	2011/01/28	50.00
63933	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Jan11B	200,000,000	2010/09/08	2011/01/28	50.00
63925	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10F	300,000,000	2010/09/08	2010/12/30	75.00
63926	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10G	300,000,000	2010/09/08	2010/12/30	75.00
63927	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11A	300,000,000	2010/09/08	2011/02/25	114.00
63928	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11B	300,000,000	2010/09/08	2011/02/25	75.00
63929	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11C	300,000,000	2010/09/08	2011/02/25	88.50
63945	UBS AG – HSI R Bull CBBC Dec 2010 R	200,000,000	2010/09/08	2010/12/30	50.00
63946	UBS AG – HSI R Bull CBBC Feb 2011 T	200,000,000	2010/09/08	2011/02/25	50.00
63934	UBS AG – HSI R Bear CBBC Dec 2010 U	200,000,000	2010/09/08	2010/12/30	50.00
63757#	HK Bank – Sinopec Corp R Bull CBBC Feb 2011 A	300,000,000	2010/09/08	2011/02/18	29.70
62112#	UBS AG – Ping An R Bear CBBC Nov 2010 B	100,000,000	2010/09/08	2010/11/22	10.70
63955	Credit Suisse AG – HSI R Bull CBBC Jan 2011 G	400,000,000	2010/09/09	2011/01/28	100.00
63948	Credit Suisse AG – HSI R Bear CBBC Dec 2010 O	400,000,000	2010/09/09	2010/12/30	100.00
63954	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 O	300,000,000	2010/09/09	2011/01/28	93.00
63966	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 Q	300,000,000	2010/09/09	2011/01/28	75.00
63949	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Q	300,000,000	2010/09/09	2010/12/30	75.00
63950	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 M	300,000,000	2010/09/09	2011/01/28	81.00
63953	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 R	200,000,000	2010/09/09	2010/12/30	50.00
63958	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 M	128,000,000	2010/09/09	2010/12/30	32.00
63957	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 N	128,000,000	2010/09/09	2010/12/30	32.00
63956	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 Q	128,000,000	2010/09/09	2010/12/30	32.00
63952	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 F	128,000,000	2010/09/09	2010/12/30	32.00
63962	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 A	200,000,000	2010/09/09	2011/01/28	50.00
63965	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 B	200,000,000	2010/09/09	2011/01/28	50.00
63960	UBS AG – HSI R Bull CBBC Jan 2011 Y	200,000,000	2010/09/09	2011/01/28	50.00
63961	UBS AG – HSI R Bull CBBC Feb 2011 U	200,000,000	2010/09/09	2011/02/25	50.00
63951	UBS AG – HSI R Bear CBBC Dec 2010 T	200,000,000	2010/09/09	2010/12/30	50.00
63964	UBS AG – HSI R Bear CBBC Jan 2011 B	200,000,000	2010/09/09	2011/01/28	50.00
63959	UBS AG – Ping An R Bull CBBC Jan 2011 A	100,000,000	2010/09/09	2011/01/10	25.00
63963	UBS AG – Ping An R Bear CBBC Jan 2011 B	100,000,000	2010/09/09	2011/01/10	25.00
61667#	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2010 F	150,000,000	2010/09/09	2010/10/28	12.15
63970	Credit Suisse AG – HSI R Bull CBBC Jan 2011 J	390,000,000	2010/09/10	2011/01/28	97.50
63969	Credit Suisse AG – HSI R Bear CBBC Dec 2010 M	390,000,000	2010/09/10	2010/12/30	97.50
63977	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 O	300,000,000	2010/09/10	2010/12/30	75.00
63978	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 S	200,000,000	2010/09/10	2010/12/30	50.00
63974	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 M	128,000,000	2010/09/10	2011/01/28	32.00
63975	RB of Scotland N.V. – Ch Life R Bull CBBC Mar 2011 A	80,000,000	2010/09/10	2011/03/31	20.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63979	RB of Scotland N.V. – Ch Life R Bear CBBC Mar 2011 B	80,000,000	2010/09/10	2011/03/31	20.00
63976	RB of Scotland N.V. – HSI R Bull CBBC Dec 2010 C	250,000,000	2010/09/10	2010/12/30	62.75
63967	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10H	300,000,000	2010/09/10	2010/12/30	75.00
63968	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10I	300,000,000	2010/09/10	2010/12/30	75.00
63971	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10J	300,000,000	2010/09/10	2010/12/30	75.00
63973	UBS AG – HSI R Bull CBBC Feb 2011 V	200,000,000	2010/09/10	2011/02/25	50.00
63972	UBS AG – HSI R Bear CBBC Dec 2010 I	200,000,000	2010/09/10	2010/12/30	50.00
64000	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2011 F	100,000,000	2010/09/13	2011/04/28	25.00
64001	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2011 G	100,000,000	2010/09/13	2011/04/28	25.00
64002	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2011 G	100,000,000	2010/09/13	2011/05/30	25.00
64016	Credit Suisse AG – A50 China R Bull CBBC Jan 2011 G	100,000,000	2010/09/13	2011/01/24	25.00
64011	Credit Suisse AG – HSI R Bull CBBC Jan 2011 I	390,000,000	2010/09/13	2011/01/28	97.50
64012	Credit Suisse AG – HSI R Bull CBBC Feb 2011 D	390,000,000	2010/09/13	2011/02/25	97.50
64015	Credit Suisse AG – HSI R Bull CBBC Feb 2011 E	390,000,000	2010/09/13	2011/02/25	97.50
63998	Credit Suisse AG – HSI R Bear CBBC Dec 2010 S	400,000,000	2010/09/13	2010/12/30	100.00
63988	Credit Suisse AG – HSI R Bear CBBC Dec 2010 T	400,000,000	2010/09/13	2010/12/30	100.00
64020	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 R	300,000,000	2010/09/13	2010/12/30	75.00
64018	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 X	300,000,000	2010/09/13	2010/12/30	75.00
64021	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 W	300,000,000	2010/09/13	2011/01/28	84.00
64024	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 Y	300,000,000	2010/09/13	2011/01/28	87.00
63985	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 S	300,000,000	2010/09/13	2011/01/28	75.00
63999	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 X	300,000,000	2010/09/13	2011/01/28	75.00
63992	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 T	200,000,000	2010/09/13	2010/12/30	50.00
63993	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 U	200,000,000	2010/09/13	2010/12/30	50.00
63994	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 V	200,000,000	2010/09/13	2010/12/30	50.00
63996	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 W	200,000,000	2010/09/13	2010/12/30	50.00
63997	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 X	200,000,000	2010/09/13	2010/12/30	53.00
63995	J P Morgan SP BV – HSI R Bear CBBC Jan 2011 B	200,000,000	2010/09/13	2011/01/28	50.00
64029	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 N	128,000,000	2010/09/13	2011/01/28	32.00
64025	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 C	200,000,000	2010/09/13	2011/01/28	50.00
64026	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 D	200,000,000	2010/09/13	2011/01/28	50.00
64027	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 E	200,000,000	2010/09/13	2011/01/28	50.00
64003	RB of Scotland N.V. – HSI R Bull CBBC Dec 2010 D	250,000,000	2010/09/13	2010/12/30	62.75
64004	RB of Scotland N.V. – HSI R Bull CBBC Dec 2010 E	250,000,000	2010/09/13	2010/12/30	62.50
64008	RB of Scotland N.V. – HSI R Bear CBBC Dec 2010 F	250,000,000	2010/09/13	2010/12/30	62.50
63982	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10K	300,000,000	2010/09/13	2010/12/30	75.00
63989	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10M	300,000,000	2010/09/13	2010/12/30	75.00
63990	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10N	300,000,000	2010/09/13	2010/12/30	75.00
63991	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10O	300,000,000	2010/09/13	2010/12/30	75.00
63987	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10L	300,000,000	2010/09/13	2010/12/30	75.00
64009	UBS AG – HKE X R Bull CBBC Jan 2011 A	100,000,000	2010/09/13	2011/01/17	25.00
64013	UBS AG – HKE X R Bear CBBC Jan 2011 B	100,000,000	2010/09/13	2011/01/17	25.00
64010	UBS AG – HKE X R Bear CBBC Feb 2011 B	100,000,000	2010/09/13	2011/02/14	29.50
64006	UBS AG – HSI R Bull CBBC Dec 2010 B	200,000,000	2010/09/13	2010/12/30	50.00
64005	UBS AG – HSI R Bull CBBC Dec 2010 L	200,000,000	2010/09/13	2010/12/30	50.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64007	UBS AG – HSI R Bull CBBC Mar 2011 H	400,000,000	2010/09/13	2011/03/30	100.00
63983	UBS AG – HSI R Bear CBBC Dec 2010 S	200,000,000	2010/09/13	2010/12/30	50.00
63984	UBS AG – HSI R Bear CBBC Feb 2011 W	200,000,000	2010/09/13	2011/02/25	50.00
64039	Credit Suisse AG – HSI R Bull CBBC Jan 2011 D	390,000,000	2010/09/14	2011/01/28	97.50
64045	Credit Suisse AG – HSI R Bull CBBC Jan 2011 L	300,000,000	2010/09/14	2011/01/28	75.00
64038	Credit Suisse AG – HSI R Bear CBBC Dec 2010 H	390,000,000	2010/09/14	2010/12/30	97.50
64057	Deutsche Bank AG – HSCEI R Bull CBBC Jan 2011 B	150,000,000	2010/09/14	2011/01/28	37.50
64050	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 S	300,000,000	2010/09/14	2011/02/25	75.00
64056	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 G	300,000,000	2010/09/14	2011/01/28	93.00
64055	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 H	300,000,000	2010/09/14	2011/01/28	81.00
64052	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 U	300,000,000	2010/09/14	2011/01/28	111.00
64051	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 Z	300,000,000	2010/09/14	2011/01/28	96.00
64044	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 R	300,000,000	2010/09/14	2011/02/25	75.00
64054	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 T	300,000,000	2010/09/14	2011/02/25	120.00
64035	HK Bank – HSI R Bull CBBC Feb 2011 B	120,000,000	2010/09/14	2011/02/25	30.00
64036	HK Bank – HSI R Bull CBBC Feb 2011 C	120,000,000	2010/09/14	2011/02/25	30.00
64037	HK Bank – HSI R Bull CBBC Feb 2011 D	120,000,000	2010/09/14	2011/02/25	30.00
64060	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 Y	200,000,000	2010/09/14	2010/12/30	50.00
64061	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 Z	200,000,000	2010/09/14	2010/12/30	50.00
64048	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 R	128,000,000	2010/09/14	2010/12/30	32.00
64033	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2010 C	200,000,000	2010/09/14	2010/12/30	50.00
64030	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2010 E	150,000,000	2010/09/14	2010/12/30	24.00
64034	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2010 F	150,000,000	2010/09/14	2010/12/30	31.20
64031	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2011 A	150,000,000	2010/09/14	2011/01/28	29.10
64032	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2011 B	150,000,000	2010/09/14	2011/01/28	31.35
64049	RB of Scotland N.V. – A50 China R Bull CBBC Jan 2011 A	80,000,000	2010/09/14	2011/01/21	20.00
64053	RB of Scotland N.V. – A50 China R Bear CBBC Jan 2011 B	80,000,000	2010/09/14	2011/01/21	20.00
64041	UBS AG – A50 China R Bull CBBC Jan 2011 N	100,000,000	2010/09/14	2011/01/17	25.00
64042	UBS AG – HSCEI R Bull CBBC Jan 2011 D	100,000,000	2010/09/14	2011/01/28	25.00
64047	UBS AG – HSI R Bull CBBC Jan 2011 L	200,000,000	2010/09/14	2011/01/28	50.00
64046	UBS AG – HSI R Bull CBBC Feb 2011 X	200,000,000	2010/09/14	2011/02/25	50.00
64043	UBS AG – HSI R Bear CBBC Jan 2011 I	200,000,000	2010/09/14	2011/01/28	50.00
64040	UBS AG – TCH R Bull CBBC Jan 2011 C	100,000,000	2010/09/14	2011/01/17	25.00
64075	Credit Suisse AG – HSI R Bear CBBC Jan 2011 M	390,000,000	2010/09/15	2011/01/28	97.50
64065	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 U	300,000,000	2010/09/15	2011/02/25	75.00
64066	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 V	300,000,000	2010/09/15	2011/02/25	75.00
64074	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 G	200,000,000	2010/09/15	2010/12/30	50.00
64072	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 H	200,000,000	2010/09/15	2010/12/30	50.00
64071	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 I	200,000,000	2010/09/15	2010/12/30	50.00
64080	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 O	128,000,000	2010/09/15	2011/01/28	32.00
64081	CC Rabobank B.A. – HSI R Bear CBBC Jan 2011 F	200,000,000	2010/09/15	2011/01/28	50.00
64082	CC Rabobank B.A. – HSI R Bear CBBC Jan 2011 G	200,000,000	2010/09/15	2011/01/28	50.00
64063	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10P	300,000,000	2010/09/15	2010/12/30	75.00
64064	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10Q	300,000,000	2010/09/15	2010/12/30	75.00
64067	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10R	300,000,000	2010/09/15	2010/12/30	75.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64068	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10S	300,000,000	2010/09/15	2010/12/30	75.00
64069	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10T	300,000,000	2010/09/15	2010/12/30	75.00
64070	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10U	300,000,000	2010/09/15	2010/12/30	75.00
64062	UBS AG – HSI R Bull CBBC Dec 2010 J	200,000,000	2010/09/15	2010/12/30	50.00
64077	UBS AG – HSI R Bear CBBC Dec 2010 Z	200,000,000	2010/09/15	2010/12/30	50.00
64078	UBS AG – HSI R Bear CBBC Jan 2011 R	200,000,000	2010/09/15	2011/01/28	50.00
64087	Credit Suisse AG – China Mobile R Bear CBBC Jan 2011 C	100,000,000	2010/09/16	2011/01/17	25.00
64086	Credit Suisse AG – HSI R Bull CBBC Jan 2011 N	300,000,000	2010/09/16	2011/01/28	75.00
64085	Credit Suisse AG – HSI R Bear CBBC Dec 2010 I	390,000,000	2010/09/16	2010/12/30	97.50
64090	Deutsche Bank AG – China Mobile R Bear CBBC Feb 2011 A	150,000,000	2010/09/16	2011/02/14	37.50
64083	HK Bank – A50 R Bull CBBC Feb 2011 D	160,000,000	2010/09/16	2011/02/08	40.00
64084	HK Bank – A50 R Bull CBBC Mar 2011 A	160,000,000	2010/09/16	2011/03/08	40.00
64088	UBS AG – China Mobile R Bear CBBC Jan 2011 C	100,000,000	2010/09/16	2011/01/17	25.00
64089	UBS AG – HSI R Bull CBBC Mar 2011 I	200,000,000	2010/09/16	2011/03/30	50.00
63017#	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 K	300,000,000	2010/09/16	2011/01/28	36.00
64091	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 A	100,000,000	2010/09/17	2011/09/29	25.00
64092	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 B	100,000,000	2010/09/17	2011/09/29	25.00
64093	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 A	100,000,000	2010/09/17	2011/10/28	25.00
64094	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 B	100,000,000	2010/09/17	2011/10/28	25.00
64098	UBS AG – HKEx R Bull CBBC Jan 2011 C	100,000,000	2010/09/17	2011/01/10	25.00
64099	UBS AG – HKEx R Bear CBBC Feb 2011 C	100,000,000	2010/09/17	2011/02/21	32.00
64097	UBS AG – HSI R Bull CBBC Jan 2011 S	200,000,000	2010/09/17	2011/01/28	50.00
64095	UBS AG – HSI R Bull CBBC Mar 2011 J	200,000,000	2010/09/17	2011/03/30	50.00
64100	UBS AG – HSI R Bear CBBC Mar 2011 K	200,000,000	2010/09/17	2011/03/30	50.00
64101	UBS AG – HSI R Bear CBBC Mar 2011 L	200,000,000	2010/09/17	2011/03/30	50.00
64102	UBS AG – HSI R Bear CBBC Apr 2011 D	200,000,000	2010/09/17	2011/04/28	50.00
64112	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2011 H	100,000,000	2010/09/20	2011/05/30	25.00
64113	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2011 D	100,000,000	2010/09/20	2011/06/29	25.00
64134	Credit Suisse AG – HSI R Bull CBBC Jan 2011 B	390,000,000	2010/09/20	2011/01/28	97.50
64114	Credit Suisse AG – HSI R Bear CBBC Dec 2010 O	300,000,000	2010/09/20	2010/12/30	75.00
64119	Credit Suisse AG – HSI R Bear CBBC Jan 2011 O	390,000,000	2010/09/20	2011/01/28	97.50
64135	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 W	300,000,000	2010/09/20	2011/02/25	75.00
64136	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 X	300,000,000	2010/09/20	2011/02/25	78.00
64124	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 S	300,000,000	2010/09/20	2010/12/30	84.00
64123	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Y	300,000,000	2010/09/20	2010/12/30	75.00
64128	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 J	200,000,000	2010/09/20	2010/12/30	50.00
64131	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 M	200,000,000	2010/09/20	2010/12/30	50.00
64129	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 N	200,000,000	2010/09/20	2010/12/30	50.00
64137	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 Q	128,000,000	2010/09/20	2011/01/28	32.00
64138	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 R	128,000,000	2010/09/20	2011/01/28	32.00
64120	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 P	128,000,000	2010/09/20	2011/01/28	32.00
64139	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 H	200,000,000	2010/09/20	2011/01/28	50.00
64140	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 I	200,000,000	2010/09/20	2011/01/28	50.00
64111	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Jan11A	300,000,000	2010/09/20	2011/01/26	75.00
64125	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10V	300,000,000	2010/09/20	2010/12/30	75.00



# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64126	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10W	300,000,000	2010/09/20	2010/12/30	75.00
64103	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11D	300,000,000	2010/09/20	2011/02/25	99.00
64104	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11E	300,000,000	2010/09/20	2011/02/25	75.00
64105	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11F	300,000,000	2010/09/20	2011/02/25	76.50
64106	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11G	300,000,000	2010/09/20	2011/02/25	87.00
64107	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11H	300,000,000	2010/09/20	2011/02/25	75.00
64108	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11I	300,000,000	2010/09/20	2011/02/25	75.00
64109	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11J	300,000,000	2010/09/20	2011/02/25	75.00
64110	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11K	300,000,000	2010/09/20	2011/02/25	75.00
64127	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec10X	300,000,000	2010/09/20	2010/12/30	75.00
64141	UBS AG – HKEx R Bull CBBC Jan 2011 D	100,000,000	2010/09/20	2011/01/24	25.00
64143	UBS AG – HKEx R Bear CBBC Feb 2011 D	100,000,000	2010/09/20	2011/02/28	32.50
64145	UBS AG – HSI R Bull CBBC Dec 2010 U	200,000,000	2010/09/20	2010/12/30	50.00
64146	UBS AG – HSI R Bull CBBC Jan 2011 O	200,000,000	2010/09/20	2011/01/28	50.00
64144	UBS AG – HSI R Bull CBBC Apr 2011 E	400,000,000	2010/09/20	2011/04/28	100.00
64122	UBS AG – HSI R Bear CBBC Jan 2011 J	200,000,000	2010/09/20	2011/01/28	50.00
64121	UBS AG – HSI R Bear CBBC Jan 2011 N	200,000,000	2010/09/20	2011/01/28	50.00
64149	UBS AG – HSI R Bear CBBC Feb 2011 Y	200,000,000	2010/09/20	2011/02/25	50.00
64150	UBS AG – HSI R Bear CBBC Mar 2011 M	200,000,000	2010/09/20	2011/03/30	50.00
64151	UBS AG – HSI R Bear CBBC Apr 2011 F	200,000,000	2010/09/20	2011/04/28	50.00
64152	UBS AG – HSI R Bear CBBC Apr 2011 G	200,000,000	2010/09/20	2011/04/28	50.00
64166	Credit Suisse AG – HKEx R Bull CBBC Jan 2011 A	100,000,000	2010/09/21	2011/01/17	25.00
64165	Credit Suisse AG – HSI R Bull CBBC Dec 2010 T	300,000,000	2010/09/21	2010/12/30	75.00
64160	Credit Suisse AG – HSI R Bull CBBC Feb 2011 F	330,000,000	2010/09/21	2011/02/25	82.50
64159	Credit Suisse AG – HSI R Bear CBBC Dec 2010 M	390,000,000	2010/09/21	2010/12/30	97.50
64167	Credit Suisse AG – HSI R Bear CBBC Feb 2011 G	330,000,000	2010/09/21	2011/02/25	82.50
64161	Credit Suisse AG – TCH R Bull CBBC Jan 2011 B	100,000,000	2010/09/21	2011/01/24	25.00
64186	Deutsche Bank AG – HSCEI R Bear CBBC Jan 2011 C	150,000,000	2010/09/21	2011/01/28	42.00
64174	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 F	300,000,000	2010/09/21	2011/01/28	75.00
64158	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 P	300,000,000	2010/09/21	2011/01/28	75.00
64169	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 L	300,000,000	2010/09/21	2011/03/30	75.00
64164	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 T	300,000,000	2010/09/21	2011/01/28	78.00
64187	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 L	200,000,000	2010/09/21	2010/12/30	50.00
64168	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 S	128,000,000	2010/09/21	2011/01/28	32.00
64175	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 T	128,000,000	2010/09/21	2011/01/28	32.00
64176	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 E	128,000,000	2010/09/21	2011/02/25	32.00
64177	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 F	128,000,000	2010/09/21	2011/02/25	32.00
64181	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 G	128,000,000	2010/09/21	2011/02/25	32.00
64178	RB of Scotland N.V. – HSCEI R Bear CBBC Jan 2011 A	250,000,000	2010/09/21	2011/01/28	62.75
64179	RB of Scotland N.V. – HSCEI R Bear CBBC Jan 2011 B	250,000,000	2010/09/21	2011/01/28	62.75
64182	RB of Scotland N.V. – HSI R Bull CBBC Dec 2010 G	250,000,000	2010/09/21	2010/12/30	62.75
64184	RB of Scotland N.V. – HSI R Bull CBBC Dec 2010 H	250,000,000	2010/09/21	2010/12/30	62.75
64185	RB of Scotland N.V. – HSI R Bear CBBC Jan 2011 A	250,000,000	2010/09/21	2011/01/28	62.75
64170	UBS AG – China Life R Bull CBBC Jan 2011 F	200,000,000	2010/09/21	2011/01/17	50.00
64153	UBS AG – HSCEI R Bull CBBC Feb 2011 B	100,000,000	2010/09/21	2011/02/25	25.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64157	UBS AG – HSCEI R Bear CBBC Feb 2011 C	100,000,000	2010/09/21	2011/02/25	25.00
64154	UBS AG – HSCEI R Bear CBBC Mar 2011 A	100,000,000	2010/09/21	2011/03/30	25.00
64156	UBS AG – HSCEI R Bear CBBC Mar 2011 B	100,000,000	2010/09/21	2011/03/30	25.00
64172	UBS AG – HSI R Bull CBBC Feb 2011 Z	200,000,000	2010/09/21	2011/02/25	50.00
64173	UBS AG – HSI R Bull CBBC Mar 2011 N	200,000,000	2010/09/21	2011/03/30	50.00
64171	UBS AG – TCH R Bull CBBC Jan 2011 D	100,000,000	2010/09/21	2011/01/10	25.00
64200	Credit Suisse AG – HSI R Bull CBBC Jan 2011 P	390,000,000	2010/09/22	2011/01/28	97.50
64198	Credit Suisse AG – HSI R Bear CBBC Dec 2010 S	390,000,000	2010/09/22	2010/12/30	97.50
64193	HK Bank – HSI R Bear CBBC Feb 2011 E	150,000,000	2010/09/22	2011/02/25	37.50
64194	HK Bank – HSI R Bear CBBC Mar 2011 A	150,000,000	2010/09/22	2011/03/30	37.50
64197	HK Bank – HSI R Bear CBBC Mar 2011 B	280,000,000	2010/09/22	2011/03/30	70.00
64188	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11D	300,000,000	2010/09/22	2011/01/28	75.00
64189	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11E	300,000,000	2010/09/22	2011/01/28	75.00
64190	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11F	300,000,000	2010/09/22	2011/01/28	75.00
64191	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11G	300,000,000	2010/09/22	2011/01/28	75.00
64192	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11H	300,000,000	2010/09/22	2011/01/28	75.00
64199	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11I	300,000,000	2010/09/22	2011/01/28	75.00
64201	UBS AG – HSI R Bear CBBC Jan 2011 H	200,000,000	2010/09/22	2011/01/28	50.00
64202	UBS AG – HSI R Bear CBBC Mar 2011 O	200,000,000	2010/09/22	2011/03/30	50.00
63113 <sup>#</sup>	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 D	80,000,000	2010/09/22	2010/12/17	12.48
63579 <sup>#</sup>	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2010 G	60,000,000	2010/09/22	2010/12/17	13.50
64203	Credit Suisse AG – HKEx R Bear CBBC Jan 2011 B	100,000,000	2010/09/24	2011/01/17	25.00
64206	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 I	300,000,000	2010/09/24	2011/01/28	81.00
64207	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 Y	300,000,000	2010/09/24	2011/02/25	75.00
64204	UBS AG – Cheung Kong R Bear CBBC Feb 2011 A	100,000,000	2010/09/24	2011/02/14	25.00
64248	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2011 I	100,000,000	2010/09/27	2011/05/30	25.00
64249	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2011 E	100,000,000	2010/09/27	2011/06/29	25.00
64210	Credit Suisse AG – HSBC R Bear CBBC Jan 2011 B	100,000,000	2010/09/27	2011/01/17	25.00
64237	Credit Suisse AG – HSI R Bull CBBC Jan 2011 R	390,000,000	2010/09/27	2011/01/28	97.50
64211	Credit Suisse AG – HSI R Bear CBBC Dec 2010 H	300,000,000	2010/09/27	2010/12/30	75.00
64212	Credit Suisse AG – HSI R Bear CBBC Jan 2011 C	300,000,000	2010/09/27	2011/01/28	75.00
64213	Credit Suisse AG – HSI R Bear CBBC Jan 2011 Q	390,000,000	2010/09/27	2011/01/28	97.50
64214	Credit Suisse AG – HSI R Bear CBBC Feb 2011 H	390,000,000	2010/09/27	2011/02/25	97.50
64215	Credit Suisse AG – HSI R Bear CBBC Feb 2011 I	300,000,000	2010/09/27	2011/02/25	75.00
64216	Credit Suisse AG – HSI R Bear CBBC Feb 2011 J	390,000,000	2010/09/27	2011/02/25	97.50
64245	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 Z	300,000,000	2010/09/27	2011/02/25	75.00
64217	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 D	300,000,000	2010/09/27	2011/01/28	75.00
64222	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 K	200,000,000	2010/09/27	2010/12/30	50.00
64221	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 P	200,000,000	2010/09/27	2010/12/30	50.00
64230	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 U	128,000,000	2010/09/27	2011/01/28	32.00
64231	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 V	128,000,000	2010/09/27	2011/01/28	32.00
64232	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 H	128,000,000	2010/09/27	2011/02/25	32.00
64233	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 I	128,000,000	2010/09/27	2011/02/25	32.00
64234	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 J	128,000,000	2010/09/27	2011/02/25	32.00
64247	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 K	128,000,000	2010/09/27	2011/02/25	32.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64208	Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2011 A	200,000,000	2010/09/27	2011/01/28	35.00
64246	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 J	200,000,000	2010/09/27	2011/01/28	50.00
64250	CC Rabobank B.A. – HSI R Bear CBBC Jan 2011 K	200,000,000	2010/09/27	2011/01/28	50.00
64251	CC Rabobank B.A. – HSI R Bear CBBC Jan 2011 L	200,000,000	2010/09/27	2011/01/28	50.00
64252	CC Rabobank B.A. – HSI R Bear CBBC Jan 2011 M	200,000,000	2010/09/27	2011/01/28	50.00
64253	CC Rabobank B.A. – HSI R Bear CBBC Jan 2011 N	200,000,000	2010/09/27	2011/01/28	50.00
64226	RB of Scotland N.V. – DJIA R Bull CBBC Mar 2011 A	80,000,000	2010/09/27	2011/03/18	20.08
64227	RB of Scotland N.V. – DJIA R Bull CBBC Mar 2011 B	80,000,000	2010/09/27	2011/03/18	20.00
64229	RB of Scotland N.V. – DJIA R Bull CBBC Mar 2011 C	80,000,000	2010/09/27	2011/03/18	20.00
64225	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10D	300,000,000	2010/09/27	2010/12/30	75.00
64223	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10Y	500,000,000	2010/09/27	2010/12/30	125.00
64224	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec10Z	300,000,000	2010/09/27	2010/12/30	75.00
64242	UBS AG – HSBC R Bull CBBC Jan 2011 A	100,000,000	2010/09/27	2011/01/24	25.00
64244	UBS AG – HSI R Bull CBBC Dec 2010 T	200,000,000	2010/09/27	2010/12/30	50.00
64209	UBS AG – HSI R Bear CBBC Feb 2011 C	200,000,000	2010/09/27	2011/02/25	50.00
64243	UBS AG – SHK Ppt R Bull CBBC Feb 2011 A	100,000,000	2010/09/27	2011/02/14	25.00
64260	Credit Suisse AG – China Mobile R Bull CBBC Jan 2011 D	100,000,000	2010/09/28	2011/01/24	25.00
64261	Credit Suisse AG – A50 China R Bull CBBC Jan 2011 H	100,000,000	2010/09/28	2011/01/24	25.00
64262	Credit Suisse AG – HKEx R Bear CBBC Jan 2011 C	100,000,000	2010/09/28	2011/01/24	25.00
64259	Credit Suisse AG – HSBC R Bull CBBC Jan 2011 C	100,000,000	2010/09/28	2011/01/24	25.00
64256	Credit Suisse AG – HSI R Bull CBBC Feb 2011 K	390,000,000	2010/09/28	2011/02/25	97.50
64263	Credit Suisse AG – HSI R Bear CBBC Dec 2010 D	300,000,000	2010/09/28	2010/12/30	75.00
64266	Credit Suisse AG – HSI R Bear CBBC Dec 2010 I	390,000,000	2010/09/28	2010/12/30	97.50
64268	Credit Suisse AG – TCH R Bear CBBC Jan 2011 C	100,000,000	2010/09/28	2011/01/17	29.50
64265	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 C	300,000,000	2010/09/28	2010/12/30	75.00
64269	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 F	300,000,000	2010/09/28	2011/02/25	75.00
64274	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 W	128,000,000	2010/09/28	2011/01/28	32.00
64275	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 C	128,000,000	2010/09/28	2011/03/30	32.00
64271	UBS AG – BYD Company R Bull CBBC Feb 2011 A	100,000,000	2010/09/28	2011/02/14	25.00
64272	UBS AG – HSBC R Bear CBBC Feb 2011 B	100,000,000	2010/09/28	2011/02/14	25.00
64255	UBS AG – HSI R Bull CBBC Jan 2011 B	200,000,000	2010/09/28	2011/01/28	50.00
64273	UBS AG – HSI R Bear CBBC Feb 2011 D	200,000,000	2010/09/28	2011/02/25	50.00
64254	UBS AG – Hutchison R Bull CBBC Jan 2011 C	100,000,000	2010/09/28	2011/01/24	25.00
64258	UBS AG – Hutchison R Bear CBBC Jan 2011 D	100,000,000	2010/09/28	2011/01/24	25.00
63199#	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 K	100,000,000	2010/09/28	2010/12/30	10.30
64280	Credit Suisse AG – HSI R Bull CBBC Jan 2011 S	300,000,000	2010/09/29	2011/01/28	75.00
64279	Credit Suisse AG – HSI R Bear CBBC Dec 2010 O	390,000,000	2010/09/29	2010/12/30	97.50
64281	Credit Suisse AG – HSI R Bear CBBC Feb 2011 L	300,000,000	2010/09/29	2011/02/25	78.00
64282	Deutsche Bank AG – DJIA R Bull CBBC Mar 2011 A	80,000,000	2010/09/29	2011/03/21	20.00
64286	Deutsche Bank AG – DJIA R Bear CBBC Mar 2011 B	80,000,000	2010/09/29	2011/03/21	22.40
64298	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 M	300,000,000	2010/09/29	2011/01/28	75.00
64277	HK Bank – HSI R Bull CBBC Mar 2011 C	200,000,000	2010/09/29	2011/03/30	50.00
64278	HK Bank – HSI R Bull CBBC Mar 2011 D	150,000,000	2010/09/29	2011/03/30	37.50
64295	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2010 V	128,000,000	2010/09/29	2010/12/30	32.00
64276	Macquarie Bank Ltd. – HSI R Bear CBBC Jan 2011 B	200,000,000	2010/09/29	2011/01/28	43.20

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64289	UBS AG – Cheung Kong R Bull CBBC Jan 2011 A	100,000,000	2010/09/29	2011/01/31	25.00
64292	UBS AG – Cheung Kong R Bear CBBC Feb 2011 B	100,000,000	2010/09/29	2011/02/28	25.00
64283	UBS AG – HSI R Bull CBBC Dec 2010 I	200,000,000	2010/09/29	2010/12/30	50.00
64288	UBS AG – HSI R Bear CBBC Dec 2010 S	200,000,000	2010/09/29	2010/12/30	50.00
64284	UBS AG – HSI R Bear CBBC Mar 2011 P	200,000,000	2010/09/29	2011/03/30	50.00
64285	UBS AG – HSI R Bear CBBC Apr 2011 H	200,000,000	2010/09/29	2011/04/28	50.00
64291	UBS AG – TCH R Bull CBBC Jan 2011 E	100,000,000	2010/09/29	2011/01/31	25.00
62737#	HK Bank – HSI R Bear CBBC Dec 2010 J	380,000,000	2010/09/29	2010/12/30	28.50
64299	BOCI Asia Ltd. – HSI R Bull CBBC Dec 2010 A	100,000,000	2010/09/30	2010/12/30	25.00
64300	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2010 B	100,000,000	2010/09/30	2010/12/30	25.00
64301	BOCI Asia Ltd. – HSI R Bear CBBC Dec 2010 C	100,000,000	2010/09/30	2010/12/30	25.00
64321	Credit Suisse AG – HSI R Bull CBBC Feb 2011 M	390,000,000	2010/09/30	2011/02/25	97.50
64310	Credit Suisse AG – HSI R Bear CBBC Dec 2010 F	300,000,000	2010/09/30	2010/12/30	75.00
64312	Credit Suisse AG – HSI R Bear CBBC Feb 2011 N	390,000,000	2010/09/30	2011/02/25	97.50
64315	Credit Suisse AG – HSI R Bear CBBC Feb 2011 O	300,000,000	2010/09/30	2011/02/25	91.50
64316	Credit Suisse AG – HSI R Bear CBBC Feb 2011 P	390,000,000	2010/09/30	2011/02/25	97.50
64320	Credit Suisse AG – HSI R Bear CBBC Mar 2011 A	390,000,000	2010/09/30	2011/03/30	97.50
64346	Deutsche Bank AG – China Mobile R Bull CBBC Feb 2011 B	150,000,000	2010/09/30	2011/02/21	37.50
64344	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 D	150,000,000	2010/09/30	2011/03/21	51.00
64345	Deutsche Bank AG – China Life R Bear CBBC Mar 2011 E	150,000,000	2010/09/30	2011/03/21	78.00
64349	Deutsche Bank AG – A50 China R Bear CBBC Feb 2011 A	150,000,000	2010/09/30	2011/02/14	37.50
64347	Deutsche Bank AG – HKEx R Bull CBBC Feb 2011 A	150,000,000	2010/09/30	2011/02/14	37.50
64348	Deutsche Bank AG – HKEx R Bear CBBC Feb 2011 B	150,000,000	2010/09/30	2011/02/14	37.50
64338	Deutsche Bank AG – HSI R Bull CBBC Dec 2010 O	300,000,000	2010/09/30	2010/12/30	75.00
64311	Deutsche Bank AG – HSI R Bear CBBC Dec 2010 Q	300,000,000	2010/09/30	2010/12/30	75.00
64307	HK Bank – CC Bank R Bear CBBC Mar 2011 A	80,000,000	2010/09/30	2011/03/22	20.00
64304	HK Bank – Sinopec Corp R Bull CBBC Mar 2011 A	150,000,000	2010/09/30	2011/03/18	37.50
64305	HK Bank – Sinopec Corp R Bull CBBC Jun 2011 A	300,000,000	2010/09/30	2011/06/16	75.00
64308	HK Bank – Sinopec Corp R Bear CBBC Mar 2011 B	100,000,000	2010/09/30	2011/03/18	25.00
64306	HK Bank – China Shenhua R Bull CBBC May 2011 A	200,000,000	2010/09/30	2011/05/05	50.00
64336	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 E	200,000,000	2010/09/30	2010/12/30	50.00
64337	J P Morgan SP BV – HSI R Bull CBBC Dec 2010 F	200,000,000	2010/09/30	2010/12/30	50.00
64353	J P Morgan SP BV – HSI R Bear CBBC Dec 2010 W	200,000,000	2010/09/30	2010/12/30	50.00
64322	RB of Scotland N.V. – HSI R Bull CBBC Dec 2010 I	250,000,000	2010/09/30	2010/12/30	62.50
64323	RB of Scotland N.V. – HSI R Bull CBBC Dec 2010 J	250,000,000	2010/09/30	2010/12/30	62.50
64324	RB of Scotland N.V. – HSI R Bear CBBC Dec 2010 K	250,000,000	2010/09/30	2010/12/30	62.50
64326	RB of Scotland N.V. – HSI R Bear CBBC Dec 2010 L	250,000,000	2010/09/30	2010/12/30	62.50
64327	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11J	300,000,000	2010/09/30	2011/01/28	75.00
64328	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11K	300,000,000	2010/09/30	2011/01/28	75.00
64329	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11L	300,000,000	2010/09/30	2011/02/25	75.00
64330	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11M	300,000,000	2010/09/30	2011/02/25	75.00
64331	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11N	300,000,000	2010/09/30	2011/02/25	87.00
64332	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11O	300,000,000	2010/09/30	2011/02/25	75.00
64333	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11P	300,000,000	2010/09/30	2011/02/25	79.50
64334	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11Q	300,000,000	2010/09/30	2011/02/25	99.00

# Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64335	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11R	300,000,000	2010/09/30	2011/02/25	75.00
64351	UBS AG – HSI R Bull CBBC Dec 2010 K	200,000,000	2010/09/30	2010/12/30	50.00
64352	UBS AG – HSI R Bull CBBC Apr 2011 I	300,000,000	2010/09/30	2011/04/28	75.00
64309	UBS AG – HSI R Bear CBBC Dec 2010 Q	200,000,000	2010/09/30	2010/12/30	50.00
64355	UBS AG – HSI R Bear CBBC Dec 2010 Z	200,000,000	2010/09/30	2010/12/30	50.00
<b>Total</b>					<b>73,903.10</b>

# ELI/Trading Only Stocks Trading Statistics

## Equity Linked Instrument Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2009	Q3	–	–
	Q4	–	–
2010	Q1	–	–
	Q2	–	–
	Q3	–	–

## NASDAQ Stocks

		No. of issues	Turnover value (HK\$ mil.)
2009	Q3	7	0.90
	Q4	7	0.30
2010	Q1	7	1.33
	Q2	7	0.05
	Q3	7	0.30

## iShares

		No. of issues	Turnover value (HK\$ mil.)
2009	Q3	2	0.00
	Q4	2	0.00
2010	Q1	2	0.04
	Q2	2	0.00
	Q3	2	0.00

# GEM Equities

## Equity Turnover – GEM

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2009	Q3	84,235.17	26,394.60	677,639
	Q4	72,473.65	25,613.02	669,404
2010	Q1	97,877.07	31,502.84	762,645
	Q2	139,315.11	39,315.10	987,294
	Q3	88,672.33	24,297.92	647,501

## Equity Trading Statistics – GEM

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2009	Q3	65	406.07	38,951
	Q4	63	406.56	38,262
2010	Q1	61	516.44	41,307
	Q2	60	655.25	39,821
	Q3	64	379.65	37,526

## 20 Most Advanced GEM Stocks

for 3rd Quarter 2010

Rank	Code	Stock	Closing price		% up
			End of Sep 2010	End of Jun 2010	
1	08082	INFO COMM HOLD	0.224	0.072	211.11
2	08301	MINGWAH HI TECH	0.690	0.315	119.05
3	08325	ORIENTAL CITY	1.300	0.600	116.67
4	08008	SUNEVISION	2.250	1.110	102.70
5	08186	CHINA ASEAN RES	0.130	0.060	101.56
6	08228	NATIONAL ARTS	0.870	0.470 A	85.11
7	08083	SYSCAN TECH	0.380	0.210	78.57
8	08151	ESSEX BIO-TECH	0.850	0.480	77.08
9	08226	SONAVOX INT'L	0.700	0.400	75.00
10	08078	DRAGONLOTT ENT	0.410	0.230 A	73.82
11	08190	COMBEST HOLD	0.420	0.250	71.43
12	08005	YUXING INFOTECH	0.570	0.340	70.15
13	08165	JIAN EPAYMENT	0.210	0.130	59.54
14	08052	CR ASIA	3.190	2.030	57.14
15	08137	HONBRIDGE	3.240	2.100	54.29
16	08208	CHANGMAOBIOCHEM	1.200	0.780	53.85
17	08351	ETERNITE INT'L	0.480	0.320	50.79
18	08022	TLT LOTTOTAIN	0.440	0.290	50.00
19	08136	RICHFIELD GROUP	0.880	0.590	49.15
20	08021	WLS HOLDINGS	0.160	0.110	39.64

A Adjusted

# GEM Equities

## 20 Most Declined GEM Stocks

for 3rd Quarter 2010

Rank	Code	Stock	Closing price		% down
			End of Sep 2010	End of Jun 2010	
1	08109	CREATIVE ENERGY	2.200	5.800 A	-62.07
2	08079	B.A.L. HOLD-NEW	0.200	0.490 A	-59.18
3	08130	XINGLIN MEDICAL	0.210	0.490 A	-56.53
4	08202	INNO-TECH HOLD	0.100	0.224	-55.80
5	08166	CHINA ECO-FARM	0.250	0.540	-53.70
6	08171	CHINA TRENDS	0.040	0.074	-52.70
7	08116	C PUBLIC HEALTH	0.090	0.184	-51.09
8	08212	APTUS HOLDINGS	0.100	0.207	-50.24
9	08203	KAISUN ENERGY	0.510	1.000	-49.00
10	08317	FINET GROUP	0.570	0.990	-42.42
11	08163	CCT RESOURCES	0.090	0.140	-40.97
12	08017	LONG SUCCESS	0.140	0.210	-33.17
13	08200	SAU SAN TONG	0.130	0.180	-30.05
14	08092	ITE HOLDINGS	0.130	0.180	-29.78
15	08220	BINGO GROUP	0.230	0.320	-29.06
16	08009	IMERCHANTS	0.150	0.210	-28.10
17	08296	SINO-LIFE GROUP	0.830	1.130	-26.55
18	08337	DIRECTEL	0.180	0.240	-26.47
19	08156	CHINA VANGUARD	0.170	0.220	-23.42
20	08256	NETEL	0.160	0.210	-23.30

A Adjusted



# GEM Equities

## 20 Most Active GEM Stocks by Value

for 3rd Quarter 2010

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	08032	COOLPOINT	2,946.54	12.13
2	08277	WUMART	1,113.10	4.58
3	08137	HONBRIDGE	1,038.34	4.27
4	08167	NEO TELEMEDIA	1,033.97	4.26
5	08136	RICHFIELD GROUP	971.84	4.00
6	08046	HENG XIN CHINA	929.97	3.83
7	08009	IMERCHANTS	891.61	3.67
8	08082	INFO COMM HOLD	695.35	2.86
9	08116	C PUBLIC HEALTH	597.21	2.46
10	08008	SUNEVISION	564.71	2.32
11	08071	CHINA METAL RES	494.73	2.04
12	08199	WEIGAO GROUP	444.78	1.83
13	08203	KAISUN ENERGY	429.67	1.77
14	08182	ENVIRO ENERGY	392.09	1.61
15	08103	TAI SHING	391.82	1.61
16	08081	COMPUTECH	375.88	1.55
17	08058	LUOXIN PHARMA	365.77	1.51
18	08171	CHINA TRENDS	352.48	1.45
19	08173	PAN ASIA MINING	338.28	1.39
20	08083	SYSCAN TECH	333.92	1.37
<b>Total</b>			<b>14,702.04</b>	<b>60.51</b>

# GEM Equities

## 20 Most Active GEM Stock by Shares

for 3rd Quarter 2010

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	08171	CHINA TRENDS	8,326.25	9.39
2	08167	NEO TELEMEDIA	6,741.87	7.60
3	08130	XINGLIN MEDICAL	5,251.01	5.92
4	08116	C PUBLIC HEALTH	4,714.24	5.32
5	08299	GRAND T G GOLD	4,187.32	4.72
6	08173	PAN ASIA MINING	4,113.19	4.64
7	08009	IMERCHANTS	3,906.14	4.41
8	08032	COOLPOINT	3,891.49	4.39
9	08082	INFO COMM HOLD	3,827.01	4.32
10	08228	NATIONAL ARTS	2,949.34	3.33
11	08071	CHINA METAL RES	2,678.23	3.02
12	08079	B.A.L. HOLD-NEW	2,224.16	2.51
13	08212	APTUS HOLDINGS	2,094.83	2.36
14	08080	NAS HOLDINGS	1,655.20	1.87
15	08081	COMPUTECH	1,399.52	1.58
16	08103	TAI SHING	1,392.30	1.57
17	08136	RICHFIELD GROUP	1,275.54	1.44
18	08046	HENG XIN CHINA	1,188.78	1.34
19	08129	CH BIO CASSAVA	1,082.77	1.22
20	08220	BINGO GROUP	1,074.95	1.21
<b>Total</b>			<b>63,974.13</b>	<b>72.15</b>

# GEM Equities

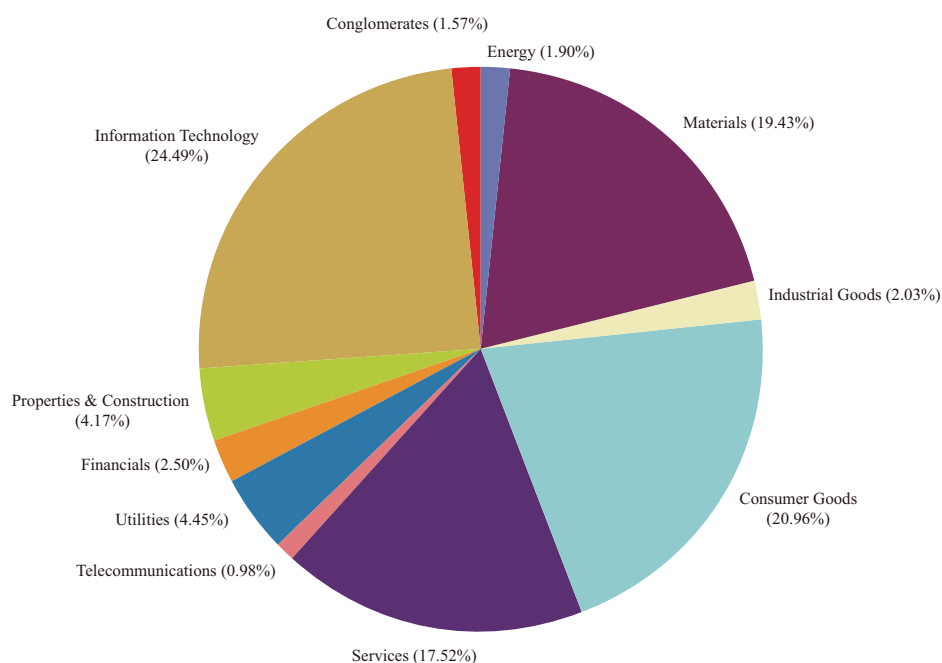
## Market Capitalisation of Listed Companies for GEM

as at the quarter end

		HK\$ mil.
2009	Q3	86,891.48
	Q4	105,036.43
2010	Q1	134,742.28
	Q2	130,902.34
	Q3	129,859.18

## Market Capitalisation by Hang Seng Industry Classification System\* – GEM

Quarter-end figures



\* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

# GEM Equities

## 50 Leading Companies by Market Capitalisation – GEM

as at the 3rd quarter end 2010

Rank	Company	Market capitalisation (HK\$ mil.)	% of market total
1	08137 Honbridge Holdings Ltd.	19,808.98	15.25
2	08032 Coolpoint Energy Ltd.	13,153.51	10.13
3	08277 Wumart Stores, Inc. – H Shares	9,958.70	7.67
4	08008 Sunevision Holdings Ltd.	4,570.84	3.52
5	08035 Binhai Investment Co. Ltd.	3,715.54	2.86
6	08136 Richfield Group Holdings Ltd.	2,577.08	1.98
7	08155 South China Land Ltd.	2,403.38	1.85
8	08052 Convenience Retail Asia Ltd.	2,333.61	1.80
9	08192 Global Energy Resources International Group Ltd.	2,291.80	1.76
10	08167 Neo Telemedia Ltd.	2,159.95	1.66
11	08080 North Asia Strategic Holdings Ltd.	2,039.51	1.57
12	08206 China Communication Telecom Services Co. Ltd.	1,983.20	1.53
13	08161 China LotSynergy Holdings Ltd.	1,961.57	1.51
14	08058 Shandong Luoxin Pharmacy Stock Co. Ltd. – H Shares	1,744.34	1.34
15	08158 China Bio-Med Regeneration Technology Ltd.	1,476.29	1.14
16	08046 Heng Xin China Holdings Ltd.	1,429.81	1.10
17	08096 ThinSoft (Holdings) Inc.	1,391.93	1.07
18	08066 Phoenitron Holdings Ltd.	1,388.20	1.07
19	08190 Combest Holdings Ltd.	1,300.53	1.00
20	08070 Zhongyu Gas Holdings Ltd.	1,283.10	0.99
21	08123 First China Financial Network Holdings Ltd.	1,263.56	0.97
22	08071 China Metal Resources Holdings Ltd.	1,202.13	0.93
23	08025 Asian Capital Resources (Holdings) Ltd.	1,200.99	0.92
24	08279 AGTech Holdings Ltd.	1,168.20	0.90
25	08203 Kaisun Energy Group Ltd.	1,078.34	0.83
26	08182 Enviro Energy International Holdings Ltd.	1,057.90	0.81
27	08116 China Public Healthcare (Holding) Ltd.	1,014.52	0.78
28	08306 China Nonferrous Metals Co. Ltd.	1,002.56	0.77
29	08083 SYSCAN Technology Holdings Ltd.	950.60	0.73
30	08299 Grand T G Gold Holdings Ltd.	941.39	0.72
31	08005 Yuxing InfoTech Holdings Ltd.	929.33	0.72
32	08287 Nanjing Sample Technology Co. Ltd. – H Shares	881.28	0.68
33	08175 China Digital Licensing (Group) Ltd.	870.03	0.67
34	08128 China Ground Source Energy Ltd.	859.50	0.66
35	08153 Code Agriculture (Holdings) Ltd.	841.28	0.65
36	08325 Oriental City Group Holdings Ltd.	780.00	0.60
37	08290 Tianjin Tianlian Public Utilities Co., Ltd. – H Shares	775.09	0.60
38	08271 Global Digital Creations Holdings Ltd.	738.29	0.57
39	08102 Shanghai Fudan Microelectronics Co. Ltd. – H Shares	724.57	0.56
40	08029 Sun International Group Ltd.	713.79	0.55
41	08259 Yantai North Andre Juice Co. Ltd. – H Shares	712.87	0.55
42	08220 Bingo Group Holdings Ltd.	697.02	0.54
43	08009 iMerchants Ltd.	688.97	0.53
44	08272 Best Miracle International Ltd.	672.56	0.52
45	08196 Launch Tech Co. Ltd. – H Shares	640.22	0.49
46	08061 AcrossAsia Ltd.	638.14	0.49
47	08296 Sino-Life Group Ltd.	616.28	0.47
48	08166 China Eco-Farming Ltd.	606.15	0.47
49	08173 Pan Asia Mining Ltd.	592.92	0.46
50	08076 Sing Lee Software (Group) Ltd.	580.96	0.45
<b>Total</b>		<b>104,411.34</b>	<b>80.40</b>
<b>Market Total</b>		<b>129,859.18</b>	<b>100.00</b>

# GEM Equities

## New Listing Statistics – equities for GEM

		No. of newly listed companies	Funds raised (HK\$ mil.)
2009	Q3	2	158.70
	Q4	3	197.50
2010	Q1	–	–
	Q2	2	146.25
	Q3	2	78.24

## Newly Listed Companies for GEM

for 3rd Quarter 2010

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
08356	Tsun Yip Holdings Ltd.	2010/08/30	99,200,000	31.74
08321	China Automotive Interior Decoration Holdings Ltd.	2010/09/29	200,000,000	46.50
<b>Total</b>				<b>78.24</b>

## Withdrawal of listed Companies – GEM

for 3rd Quarter 2010

Code	Company name	Last trading date	Delisted date
08069	Tong Ren Tang Technologies Co. Ltd. – H Shares *	2010/07/08	2010/07/09 §
08285	EVOG Intelligent Technology Co. Ltd. – H Shares *	2010/07/09	2010/07/12 §
08199	Shandong Weigao Group Medical Polymer Co. Ltd. – H Shares *	2010/07/28	2010/07/29 §
08118	International Entertainment Corporation *	2010/09/24	2010/09/27 §
08230	Shenzhen Dongjiang Environmental Co. Ltd. – H Shares *	2010/09/27	2010/09/28 §

\* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

§ Effective date of the transfer of listing from GEM to Main Board.

## Company Name Changes for GEM

for 3rd Quarter 2010

Code	Old name	New name	Effective date	Adoption date
08192	UURG Corporation Ltd.	Global Energy Resources International Group Ltd.	2010/05/25	2010/07/02
08066	Cardlink Technology Group Ltd.	Phoenitron Holdings Ltd.	2010/06/10	2010/08/02
08190	Goldmond Holdings Ltd.	Combest Holdings Ltd.	2010/06/28	2010/08/05
08172	China Star Film Group Ltd.	KH Investment Holdings Ltd.	2010/07/22	2010/08/26
08220	Emcom International Ltd.	Bingo Group Holdings Ltd.	2010/08/06	2010/09/08

# GEM Equities

## Bonus Issues / Bonus Warrants for GEM

for 3rd Quarter 2010

Code	Company	Particulars	Ex-date
NIL			

## Share Split / Consolidation for GEM

for 3rd Quarter 2010

Code	Company	Particulars	Effective date
08109	Creative Energy Solutions Holdings Ltd.	Consolidation 50 into 1	2010/07/30
08130	Xing Lin Medical Information Technology Co. Ltd.	Consolidation 10 into 1	2010/08/09
08079	B.A.L. Holdings Ltd.	Consolidation 10 into 1	2010/09/09
08078	Dragonlott Entertainment Group Ltd.	Split 1 into 2	2010/09/21
08228	National Arts Holdings Ltd.	Consolidation 10 into 1	2010/09/30

## Rights Issues & Open Offers for GEM

for 3rd Quarter 2010

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Sep	08003	Great World Company Holdings Ltd.	Rts 1 for 1 @\$0.10	48.63
<b>Total</b>				<b>48.63</b>

# GEM Equities

## Placing\*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2010					
Jun **	08068	NU INT'L	150,000,000	0.2120	31.80
Jul	08109	CREATIVE ENERGY	8,316,000,000	0.0058	48.23
	08060	GLOBAL LINK	155,000,000	0.2300	35.65
	08082	INFO COMM HOLD	195,120,000	0.0620	12.10
	08202	INNO-TECH HOLD	148,000,000	0.1860	27.53
	08017	LONG SUCCESS	121,000,000	0.2000	24.20
	08103	TAI SHING	100,000,000	0.2700	26.50
	Aug	08212	APTUS HOLDINGS	74,100,000	0.1700
08078		DRAGONLOTT ENT	70,398,000	0.3800	26.75
08086		EPRO	400,000,000	0.0500	20.00
08019		HAO WEN HLDGS	146,590,009	0.1000	14.66
08037		LOGLIFE GROUP	192,000,000	0.2700	51.84
08100		M DREAM INWORLD	218,000,000	0.1000	21.80
08045		NANDASOFT	187,000,000	0.3600	66.39
08111		SOLUTECK	108,600,000	0.3300	35.30
08130		XINGLIN MEDICAL	189,850,000	0.2100	38.92
Sep		08079	B.A.L. HOLD-NEW	71,000,000	0.2000
	08175	CHI DIGITAL LIC	103,500,000	0.2700	28.26
	08250	CHI NATURAL INV	243,000,000	0.2200	53.46
	08071	CHINA METAL RES	588,405,000	0.1400	84.14
	08081	COMPUTECH	100,000,000	0.2000	20.00
	08046	HENG XIN CHINA	200,000,000	0.7500	150.00
	08256	NETEL	2,500,000	0.1700	0.43
	08022	TLT LOTTOTAIN	66,000,000	0.3000	19.80
<b>Total</b>					<b>864.54</b>

\* Due to the reporting time-lag, placing figures for the quarter are provisional.

\*\* Supplementary information update for previous quarters.

# GEM Warrants

## Warrant Trading and Market Value Statistics – GEM

		Number	Turnover value (HK\$ mil.)	Market value (HK\$ mil.)
2009	Q3	1	0.04	5.97
	Q4	1	0.04	1.49
2010	Q1	1	0.00	1.49
	Q2	1	0.04	1.49
	Q3	1	8.43	35.85

## Newly Listed Equity GEM Warrants

for 3rd Quarter 2010

Code	Equity warrants	Initial issued amount (HK)	Listing date	Expiry	Amount raised (HK\$ mil.)
08343	China Nonferrous Metals Co. Ltd. Warrants 2012	717,000,000 units	2010/08/02	2012/08/01	7.17
<b>Total</b>					<b>7.17</b>



# Stock Market Indices

## Hang Seng Index



## S&P/HKEx LargeCap Index



## S&P/HKEx GEM Index



# Stock Market Indices

## Hong Kong Stock Market Indices

Index	End of Sep 2010 Close	End of Jun 2010 Close	Change %
S&P/HKEx LargeCap Index	26266.05	23718.78	+ 10.74%
S&P/HKEx GEM Index	808.93	770.10	+ 5.04%
HANG SENG INDEX	22358.17	20128.99	+ 11.07%
SECTORIAL INDICES			
Finance	32546.07	30323.54	+ 7.33%
Utilities	42611.42	41134.54	+ 3.59%
Properties	31198.48	25459.32	+ 22.54%
Commerce & Industry	12368.77	10879.25	+ 13.69%
HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX	4207.65	3818.46	+ 10.19%
HANG SENG CHINA ENTERPRISES INDEX	12406.10	11466.24	+ 8.20%

# Stock Market Indices

## WORLD INDICES

Index	End of Sep 2010 Close	End of Jun 2010 Close	Change %
<b>HONG KONG</b>			
S&P/HKEx LargeCap Index	26,266.05	23,718.78	+ 10.74%
Hang Seng Index	22,358.17	20,128.99	+ 11.07%
<b>AUSTRALIA</b>			
All Ordinaries Index	4,636.86	4,324.77	+ 7.22%
<b>JAKARTA</b>			
Composite Index	3,501.30	2,913.68	+ 20.17%
<b>JAPAN</b>			
Nikkei (225)	9,369.35	9,382.64	- 0.14%
<b>KOREA</b>			
Composite Index	1,872.81	1,698.29	+ 10.28%
<b>KUALA LUMPUR</b>			
Composite Index	1,463.50	1,314.02	+ 11.38%
<b>MANILA</b>			
Composite Index	4,100.07	3,372.71	+ 21.57%
<b>NEW ZEALAND</b>			
NZSE (50)	3,178.09	2,972.09	+ 6.93%
<b>SHANGHAI</b>			
A Shares Index	2,782.02	2,514.16	+ 10.65%
B Shares Index	262.77	208.93	+ 25.77%
<b>SHENZHEN</b>			
A Shares Index	1,223.25	990.43	+ 23.51%
B Shares Index	761.61	565.16	+ 34.76%
<b>SINGAPORE</b>			
FTSE Straits Times Index	3,097.63	2,835.51	+ 9.24%
<b>TAIWAN</b>			
Taipei Weighted Stock Index	8,237.78	7,329.37	+ 12.39%
<b>THAILAND</b>			
SET Index	975.30	797.31	+ 22.32%
<b>NEW YORK</b>			
DJIA	10,788.05	9,774.02	+ 10.37%
Nasdaq Composite Index	2,368.62	2,109.24	+ 12.30%
<b>TORONTO</b>			
S&P/TSX Composite Index	12,368.65	11,294.42	+ 9.51%
<b>GERMANY</b>			
DAX Capital Value Index	3,652.00	3,497.52	+ 4.42%
<b>LONDON</b>			
FTSE (100)	5,548.60	4,916.90	+ 12.85%

# Derivatives Market – Futures and Options

## Contract Volume by Derivatives Products

Derivatives product	Contracts	
	3rd Quarter 2010	3rd Quarter 2009
Hang Seng Index Futures	5,027,573	5,430,706
Hang Seng Index Options	2,035,171	1,409,767
Mini-Hang Seng Index Futures	1,948,828	2,476,657
Mini-Hang Seng Index Options	121,268	69,660
H-shares Index Futures	2,998,397	3,075,581
H-shares Index Options	644,820	420,012
Mini H-shares Index Futures	229,747	237,666
Flexible Hang Seng Index Options <sup>1</sup>	7,512	–
Flexible H-shares Index Options <sup>2</sup>	1,548	–
Stock Futures	45,941	77,610
Stock Options	13,877,094	10,892,511
Three-month HIBOR Futures	99	292
One-month HIBOR Futures	14	35
Three-year Exchange Fund Note Futures	–	–
Gold Futures	1,094	1,124
<b>Total</b>	<b>26,939,106</b>	<b>24,091,621</b>

<sup>1</sup> Trading in Flexible Hang Seng Index Options commenced on 08 Feb 2010

<sup>2</sup> Trading in Flexible H-shares Index Options commenced on 08 Feb 2010

## Open Interest by Derivatives Products

Derivatives product	Contracts	
	3rd Quarter 2010	3rd Quarter 2009
Hang Seng Index Futures	113,153	83,150
Hang Seng Index Options	235,934	222,382
Mini-Hang Seng Index Futures	7,181	4,164
Mini-Hang Seng Index Options	5,757	2,789
H-shares Index Futures	114,171	80,516
H-shares Index Options	150,634	190,047
Mini H-shares Index Futures	1,072	844
Flexible Hang Seng Index Options <sup>1</sup>	606	–
Flexible H-shares Index Options <sup>2</sup>	516	–
Stock Futures	6,887	4,389
Stock Options	5,090,926	3,663,846
Three-month HIBOR Futures	130	169
One-month HIBOR Futures	8	20
Three-year Exchange Fund Note Futures	–	–
Gold Futures	92	30
<b>Total</b>	<b>5,727,067</b>	<b>4,252,346</b>

<sup>1</sup> Trading in Flexible Hang Seng Index Options commenced on 08 Feb 2010

<sup>2</sup> Trading in Flexible H-shares Index Options commenced on 08 Feb 2010

# Derivatives Market – Futures and Options

## Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2009	Q3	5,430,706	83,150
	Q4	4,675,012	68,456
2010	Q1	5,132,388	73,577
	Q2	5,031,763	77,070
	Q3	5,027,573	113,153

## Mini Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2009	Q3	2,476,657	4,164
	Q4	2,117,954	4,447
2010	Q1	2,116,913	4,956
	Q2	2,084,704	7,602
	Q3	1,948,828	7,181

## Hang Seng Index Options – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2009	Q3	1,409,767	222,382
	Q4	1,618,224	175,720
2010	Q1	1,813,584	208,189
	Q2	1,935,115	202,453
	Q3	2,035,171	235,934

## H-Share Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2009	Q3	3,075,581	80,516
	Q4	2,655,350	74,324
2010	Q1	2,965,655	87,444
	Q2	3,223,123	89,089
	Q3	2,998,397	114,171

## Stock Options Market Turnover and Open Interest (contracts)

		Total Premium (HK\$ mil.)	Total contract volume	Quarter-end open interest
2009	Q3	14,205.33	10,892,511	3,663,846
	Q4	14,852.98	11,611,195	3,848,117
2010	Q1	12,951.69	12,540,453	4,352,404
	Q2	12,614.00	14,262,472	5,163,995
	Q3	11,967.70	13,877,094	5,090,926

# Derivatives Market – Futures and Options

## Stock Options Premium by Class

for 3rd Quarter 2010

Options class		Premium (HK\$ mil.)	% of total
ISHARES A50	A50	293.06	2.45%
ABC	ABC	162.07	1.35%
CHALCO	ALC	53.85	0.45%
BANK OF CHINA	BCL	227.79	1.90%
BANKCOMM	BCM	59.60	0.50%
BANK OF E ASIA	BEA	8.49	0.07%
BOC HONG KONG	BOC	71.85	0.60%
BYD COMPANY	BYD	428.04	3.58%
CCB	CCB	527.32	4.41%
CHINA COMM CONS	CCC	39.04	0.33%
CHINA COAL	CCE	36.75	0.31%
CHINA COSCO	CCS	19.77	0.17%
CHINA MOBILE	CHT	1,797.04	15.02%
CHINA UNICOM	CHU	51.02	0.43%
CITIC PACIFIC	CIT	21.92	0.18%
CHEUNG KONG	CKH	415.44	3.47%
CHINA LIFE	CLI	1,325.29	11.07%
CLP HOLDINGS	CLP	47.07	0.39%
CM BANK	CMB	102.06	0.85%
CNOOC	CNC	429.33	3.59%
CHINA OVERSEAS	COL	54.06	0.45%
CATHAY PAC AIR	CPA	14.67	0.12%
SINOPEC CORP	CPC	107.56	0.90%
CHINA RAIL CONS	CRC	6.52	0.05%
CHINA RAILWAY	CRG	12.41	0.10%
WISE CSI300 ETF	CS3	5.43	0.05%
CHINA SHENHUA	CSE	98.83	0.83%
CITIC BANK	CTB	12.52	0.10%
CHINA TELECOM	CTC	61.66	0.52%
ESPRIT HOLDINGS	ESP	18.93	0.16%
FIH	FIH	8.18	0.07%
HK ELECTRIC	HEH	17.35	0.14%
HKEX	HEX	359.89	3.01%
HSBC HOLDINGS	HKB	2,091.90	17.48%
HK & CHINA GAS	HKG	15.34	0.13%
HENDERSON LAND	HLD	39.53	0.33%
HUANENG POWER	HNP	14.19	0.12%
HANG SENG BANK	HSB	209.76	1.75%
HUTCHISON	HWL	734.64	6.14%
ICBC	ICB	487.39	4.07%
JIANGXI COPPER	JXC	34.04	0.28%
LI & FUNG	LIF	45.26	0.38%
MTR CORPORATION	MTR	6.66	0.06%
NEW WORLD DEV	NWD	14.56	0.12%
PING AN	PAI	176.76	1.48%
PETROCHINA	PEC	407.11	3.40%
PICC P&C	PIC	49.97	0.42%
R&F PROPERTIES	RFP	9.88	0.08%
SHK PPT	SHK	369.58	3.09%
SWIRE PACIFIC A	SWA	38.11	0.32%
TENCENT	TCH	268.01	2.24%
TRACKER FUND	TRF	5.78	0.05%
WHARF HOLDINGS	WHL	24.27	0.20%
ZIJIN MINING	ZJM	30.14	0.25%
<b>Total</b>		<b>11,967.70</b>	<b>100.00%</b>

# Derivatives Market – Futures and Options

## Stock Options Contract Volume by Class

for 3rd Quarter 2010

Options class		Contract volume	% of total
ISHARES A50	A50	105,879	0.76%
ABC	ABC	111,787	0.81%
CHALCO	ALC	66,685	0.48%
BANK OF CHINA	BCL	982,698	7.08%
BANKCOMM	BCM	193,350	1.39%
BANK OF E ASIA	BEA	59,519	0.43%
BOC HONG KONG	BOC	197,770	1.43%
BYD COMPANY	BYD	165,195	1.19%
CCB	CCB	1,478,636	10.66%
CHINA COMM CONS	CCC	138,074	0.99%
CHINA COAL	CCE	63,540	0.46%
CHINA COSCO	CCS	89,911	0.65%
CHINA MOBILE	CHT	1,079,775	7.78%
CHINA UNICOM	CHU	54,929	0.40%
CITIC PACIFIC	CIT	26,352	0.19%
CHEUNG KONG	CKH	166,619	1.20%
CHINA LIFE	CLI	1,054,033	7.60%
CLP HOLDINGS	CLP	125,411	0.90%
CM BANK	CMB	253,606	1.83%
CNOOC	CNC	453,366	3.27%
CHINA OVERSEAS	COL	46,120	0.33%
CATHAY PAC AIR	CPA	20,830	0.15%
SINOPEC CORP	CPC	276,095	1.99%
CHINA RAIL CONS	CRC	31,321	0.23%
CHINA RAILWAY	CRG	44,318	0.32%
WISE CSI300 ETF	CS3	5,149	0.04%
CHINA SHENHUA	CSE	182,301	1.31%
CITIC BANK	CTB	59,913	0.43%
CHINA TELECOM	CTC	81,759	0.59%
ESPRIT HOLDINGS	ESP	112,113	0.81%
FIH	FIH	27,995	0.20%
HK ELECTRIC	HEH	44,758	0.32%
HKEX	HEX	883,670	6.37%
HSBC HOLDINGS	HKB	1,717,121	12.37%
HK & CHINA GAS	HKG	28,637	0.21%
HENDERSON LAND	HLD	21,110	0.15%
HUANENG POWER	HNP	41,798	0.30%
HANG SENG BANK	HSB	186,007	1.34%
HUTCHISON	HWL	406,981	2.93%
ICBC	ICB	1,285,197	9.26%
JIANGXI COPPER	JXC	51,142	0.37%
LI & FUNG	LIF	16,385	0.12%
MTR CORPORATION	MTR	28,405	0.20%
NEW WORLD DEV	NWD	15,900	0.11%
PING AN	PAI	126,518	0.91%
PETROCHINA	PEC	383,097	2.76%
PICC P&C	PIC	48,523	0.35%
R&F PROPERTIES	RFP	32,869	0.24%
SHK PPT	SHK	132,753	0.96%
SWIRE PACIFIC A	SWA	37,971	0.27%
TENCENT	TCH	548,148	3.95%
TRACKER FUND	TRF	16,863	0.12%
WHARF HOLDINGS	WHL	17,165	0.12%
ZIJIN MINING	ZJM	51,027	0.37%
<b>Total</b>		<b>13,877,094</b>	<b>100.00%</b>

# Clearing & Settlement

## Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

Year/Month		Number of SIs	SIs by shares (bil. shs)	SIs by value (HK\$bil.)
2009	Q3	71,468	40.37	167.89
	Q4	76,664	41.72	176.73
2010	Q1	75,816	42.77	170.12
	Q2	77,887	44.35	176.97
	Q3	74,032	46.78	169.54

## Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

Year/Month		Number of ISIs	ISIs by shares (Mil shs)	ISIs by value (HK\$ mil.)
2009	Q3	568	132.46	318.17
	Q4	629	118.78	527.19
2010	Q1	542	104.15	176.12
	Q2	543	129.16	252.42
	Q3	499	115.92	177.54

## Securities under CCASS Custody

(balances at quarter end)

Year/Month		Number of shares (bil. shs)	% of issued shares of admitted securities	Market value (HK\$ bil.)	% of market capitalisation of admitted securities
2009	Q3	3,282.06	71.26	8,194.14	47.96
	Q4	3,286.62	70.93	9,245.11	49.19
2010	Q1	3,379.40	71.01	9,509.70	49.89
	Q2	3,409.67	71.10	8,904.81	48.93
	Q3	3,538.16	71.67	10,442.07	50.28



# Clearing & Settlement

## Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

Year/Month		No. of CCASS trades	% of Exchange trades	No. of shares (Mil. shs)	% of market turnover	Value of trades (HK\$ mil.)	% of market turnover
2009	Q3	749,546	100	104,773.19	100	66,746.40	100
	Q4	751,643	100	107,724.27	100	65,408.35	100
2010	Q1	760,565	100	121,800.63	100	64,759.92	100
	Q2	759,995	100	127,045.87	100	62,890.79	100
	Q3	688,441	100	140,304.39	100	61,762.38	100

## Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

Year/Month		No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (Mil. shs)	Netting efficiency (%)
2009	Q3	65,718	95.42	25,921.00	87.47
	Q4	68,773	95.18	25,589.10	87.77
2010	Q1	70,369	95.15	26,008.26	89.04
	Q2	68,933	95.24	27,021.58	89.16
	Q3	65,867	95.01	24,660.06	91.10

## Average Daily Settlement Efficiency of CNS Stock Positions

Year/Month		Settlement efficiency (%)	
		S day	S + 1 day
2009	Q3	99.86	99.99
	Q4	99.86	99.99
2010	Q1	99.88	99.99
	Q2	99.87	99.99
	Q3	99.90	99.99

# Clearing & Settlement

## Open Interest by Derivatives Products

Derivatives product	Contracts	
	3rd Quarter 2010	3rd Quarter 2009
Hang Seng Index Futures	113,153	83,150
Hang Seng Index Options	235,934	222,382
Mini-Hang Seng Index Futures	7,181	4,164
Mini-Hang Seng Index Options	5,757	2,789
H-shares Index Futures	114,171	80,516
H-shares Index Options	150,634	190,047
Mini H-shares Index Futures	1,072	844
Flexible Hang Seng Index Options <sup>1</sup>	606	–
Flexible H-shares Index Options <sup>2</sup>	516	–
Stock Futures	6,887	4,389
Stock Options	5,090,926	3,663,846
Three-month HIBOR Futures	130	169
One-month HIBOR Futures	8	20
Three-year Exchange Fund Note Futures	–	–
Gold Futures	92	30
<b>Total</b>	<b>5,727,067</b>	<b>4,252,346</b>

<sup>1</sup> Trading in Flexible Hang Seng Index Options commenced on 08 Feb 2010

<sup>2</sup> Trading in Flexible H-shares Index Options commenced on 08 Feb 2010

# Clearing & Settlement

## Stock Options Open Interest by Class

as at the end of 3rd Quarter 2010

Options class		Open interest (contracts)	% of total
ISHARES A50	A50	33,213	0.65
ABC	ABC	50,529	0.99
CHALCO	ALC	21,473	0.42
BANK OF CHINA	BCL	435,914	8.56
BANKCOMM	BCM	77,833	1.53
BANK OF E ASIA	BEA	20,095	0.39
BOC HONG KONG	BOC	69,939	1.37
BYD COMPANY	BYD	47,037	0.92
CCB	CCB	542,159	10.65
CHINA COMM CONS	CCC	76,974	1.51
CHINA COAL	CCE	21,660	0.43
CHINA COSCO	CCS	34,273	0.67
CHINA MOBILE	CHT	294,690	5.79
CHINA UNICOM	CHU	28,507	0.56
CITIC PACIFIC	CIT	11,254	0.22
CHEUNG KONG	CKH	71,121	1.40
CHINA LIFE	CLI	246,878	4.85
CLP HOLDINGS	CLP	59,176	1.16
CM BANK	CMB	127,264	2.50
CNOOC	CNC	203,343	3.99
CHINA OVERSEAS	COL	10,044	0.20
CATHAY PAC AIR	CPA	7,035	0.14
SINOPEC CORP	CPC	155,243	3.05
CHINA RAIL CONS	CRC	13,663	0.27
CHINA RAILWAY	CRG	21,871	0.43
WISE CSI300 ETF	CS3	1,608	0.03
CHINA SHENHUA	CSE	73,546	1.44
CITIC BANK	CTB	34,118	0.67
CHINA TELECOM	CTC	60,336	1.19
ESPRIT HOLDINGS	ESP	34,731	0.68
FIH	FIH	6,393	0.13
HK ELECTRIC	HEH	14,212	0.28
HKEX	HEX	326,206	6.41
HSBC HOLDINGS	HKB	492,321	9.67
HK & CHINA GAS	HKG	11,300	0.22
HENDERSON LAND	HLD	12,915	0.25
HUANENG POWER	HNP	21,987	0.43
HANG SENG BANK	HSB	87,714	1.72
HUTCHISON	HWL	104,958	2.06
ICBC	ICB	573,105	11.26
JIANGXI COPPER	JXC	15,115	0.30
LI & FUNG	LIF	3,758	0.07
MTR CORPORATION	MTR	13,268	0.26
NEW WORLD DEV	NWD	6,545	0.13
PING AN	PAI	58,616	1.15
PETROCHINA	PEC	225,480	4.43
PICC P&C	PIC	16,480	0.32
R&F PROPERTIES	RFP	16,480	0.32
SHK PPT	SHK	53,754	1.06
SWIRE PACIFIC A	SWA	8,416	0.17
TENCENT	TCH	101,686	2.00
TRACKER FUND	TRF	12,603	0.25
WHARF HOLDINGS	WHL	4,335	0.09
ZIJIN MINING	ZJM	17,752	0.35
<b>Total</b>		<b>5,090,926</b>	<b>100.00</b>

# Exchange Participants Statistics

## Stock Exchange

		No. of Trading Right Holders	Exchange Participants - Trading	Exchange Participants - Non-Trading	Non-Exchange Participants
2009	Q3	528 (941)	463 (871)	34 (39)	31 (31)
	Q4	525 (940)	463 (873)	32 (37)	30 (30)
2010	Q1	521 (939)	468 (883)	31 (34)	22 (22)
	Q2	523 (946)	471 (891)	30 (33)	22 (22)
	Q3	530 (953)	478 (898)	30 (33)	22 (22)

( ) No. of trading rights

## Futures Exchange

		No. of Trading Right Holders	Exchange Participants - Trading	Exchange Participants - Non-Trading	Non-Exchange Participants
2009	Q3	210 (237)	165 (190)	–	45 (47)
	Q4	211 (238)	167 (192)	–	44 (46)
2010	Q1	214 (239)	171 (194)	–	43 (45)
	Q2	214 (239)	171 (194)	–	43 (45)
	Q3	220 (245)	177 (200)	–	43 (45)

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/futures/options dealing activity.

# Clearing Participants Statistics

## HKCC Participants

Year\month		Clearing Participants	General Clearing Participants
2009	Q3	142	9
	Q4	144	10
2010	Q1	147	9
	Q2	148	9
	Q3	152	10

## SEOCH Participants

Year\month		Direct Clearing Participants	General Clearing Participants
2009	Q3	51	6
	Q4	53	6
2010	Q1	54	6
	Q2	54	6
	Q3	55	6

## HKSCC Participants

Year\month		Direct Clearing Participant	General Clearing Participant	Non-broker Participants (Note 1)
2009	Q3	453	7	41
	Q4	452	7	41
2010	Q1	455	7	41
	Q2	455	7	41
	Q3	460	7	41

Note 1: Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledge Participants.

# Acronyms

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CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
GEM	Growth Enterprise Market
HIBOR	Hong Kong Interbank Offered Rate
HKCC	HKFE Clearing Corporation Ltd
HKEx	Hong Kong Exchanges and Clearing Ltd
HKSCC	Hong Kong Securities Clearing Co Ltd
HSICS	Hang Seng Industry Classification System
ISI	Investor settlement instruction
NASDAQ	National Association of Securities Dealer Automated Quotations
P/E ratio	Price/earnings ratio
REITs	Real Estate Investment Trusts
S&P	Standard and Poors
SEOCH	SEHK Options Clearing House Ltd, The
SI	Settlement instruction