

Market Highlights

Main Board

Highlights	4th Quarter 2006	4th Quarter 2005
No. of listed companies	975	934
No. of listed securities	3,184	2,448
Equities		
Ordinary shares	976	934
Preference shares	3	4
Warrants	1986	1331
Equity	27	27
Derivative	1959	1304
Equity linked instrument	0	0
Callable bull/bear contracts	24	0
Unit trusts/mutual funds	15	13
Debt securities	180	166
No. of trading only stocks		
Nasdaq stocks	7	7
iShares	2	2
No. of trading days		
No. of trading days	61	62
Total turnover in value (HK\$ mil.)	2,714,945.45	1,154,710.91
Total turnover in shares (Mil. shs)	2,861,591.29	1,513,938.47
Total number of trades (deals)	20,833,434	9,028,497
Total market capitalisation (HK\$ mil.)	13,248,820.50	8,113,333.48
Average P/E ratio (times)	17.37	15.57
Average dividend yield (%)	2.19	2.89
Number of exchange participants		
– Trading	425	429
– Non-trading	44	39
Turnover in value (HK\$ mil.)		
Highest	76,008.14 (27/10)	26,021.52 (06/10)
Lowest	28,192.07 (18/10)	11,005.52 (23/12)
Turnover in shares (Mil. shs)		
Highest	63,441.79 (16/11)	32,842.51 (08/12)
Lowest	24,493.78 (27/12)	10,649.16 (23/12)
Total number of trades (deals)		
Highest	501,203 (28/12)	210,506 (16/12)
Lowest	227,065 (16/10)	102,707 (23/12)

Market Highlights

Growth Enterprise Market (GEM)

Highlights	4th Quarter 2006	4th Quarter 2005
No. of listed companies	198	201
No. of listed securities	199	201
Equities	198	201
Warrants	1	0
No. of trading days	61	62
Total turnover in value (HK\$ mil.)	10,793.45	5,408.71
Total turnover in shares (Mil. shs)	13,280.61	7,112.69
Total number of trades (deals)	257,886	109,137
Total market capitalisation (HK\$ mil.)	88,885.43	66,603.74
Average P/E ratio (times)	21.86	22.94
Average dividend yield (%)	2.17	1.00
Turnover in value (HK\$ mil.)		
Highest	404.17 (01/12)	183.01 (20/12)
Lowest	101.24 (28/12)	39.13 (28/12)
Turnover in shares (Mil. shs)		
Highest	464.78 (13/11)	262.42 (31/10)
Lowest	129.40 (04/10)	51.94 (08/12)
Total number of trades (deals)		
Highest	6,173 (10/11)	2,817 (01/11)
Lowest	2,952 (28/12)	1,031 (23/12)

Market Highlights

Derivatives Market

Highlights	4th Quarter 2006	4th Quarter 2005
All futures and options products (excluding stock options)		
No. of trading days	61.0	62.0
Total contract volume	6,750,291	4,551,127
Equity index products	6,709,521	4,543,382
Equity products	38,337	3,757
Interest rates products	2,433	3,988
Average daily contract volume ¹	110,661	73,405
Total open interest as at the quarter end (contracts)	495,042	365,346
Equity index products	489,250	362,119
Equity products	4,260	1,750
Interest rates products	1,532	1,477
Stock options		
No. of newly introduced stock options classes	2	4
No. of stock options as at the quarter end		
Class	42	43
Series	3,756	3,146
Total premium (HK\$ mil.)	7,203.85	2,924.64
Call	4,742.64	1,419.26
Put	2,461.21	1,505.38
Average daily premium (HK\$ mil.)	118.10	47.17
Total contract volume	6,110,304	2,498,877
Call	2,854,729	1,219,866
Put	3,255,575	1,279,011
Average daily contract volume	100,169	40,304
Total open interest as at the quarter end (contracts)	2,533,807	1,021,913
Call	1,093,322	509,699
Put	1,440,485	512,214
Total number of trades	127,909	74,374
Average daily number of trades	2,097	1,200
Total contracts exercised	752,691	435,340
Average premium per contract (HK\$)	1,179	1,170
Average contract per trade (contracts)	48	34
Put volume/call volume	1.14	1.05
Average daily contract volume/open interest	4.0%	3.9%
Options volume (shares)/underlying stock volume	3.4%	3.1%
All futures and options products		
Total contract volume	12,860,595	7,050,004
Total open interest as at the quarter end (contracts)	3,028,849	1,387,259

¹ Average daily contract volume is calculated based on the number of trading days of the Hong Kong Market.

Market Highlights

Clearing and Settlement Statistics

Securities Market	4th Quarter 2006	4th Quarter 2005
Average Daily Exchange Trades Handled by CCASS		
– Number of trades	345,756	147,380
– Value of trades (HK\$bil.)	44.7	18.7
– Share quantity Involved (bil shares)	47.1	24.5
Average Daily Settlement Instructions (“SIs”) Settled by CCASS		
– Number of SIs	42,553	26,602
– Value of SIs (HK\$bil.)	120.0	52.2
– Share quantity involved (bil. Shares)	29.1	14.8
Average Daily Investor SIs (“ISIs”) Settled by CCASS		
– Number of ISIs	745	329
– Value of ISIs (HK\$mil.)	362.4	103.5
– Share quantity involved (mil. shares)	108.8	61.3
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.67	99.75
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.97	99.98
Average Daily Buy-ins Executed on T+3		
– Number of brokers involved	15	8
– Number of buy-ins	17	8
– Value of buy-ins (HK\$mil)	8.8	3.8
Shares Deposited in the CCASS Depository		
– Number of shares (bil shares)	1,657.6	1,309.9
– Percentage of total issued shares of the admitted securities	58.79	55.55
– Value of shares (HK\$mil)	5,754.9	3,026.9
– Percentage of the total market capitalisation of the admitted securities	40.23	34.60
Derivatives Market	4th Quarter 2006	4th Quarter 2005
Open Interest		
– Equity Index Futures	185,262	136,465
– Stock Futures	4,260	1,750
– Interest Rates Futures	1,532	1,477
– Equity Index Options	303,988	225,654
– Stock Options	2,533,807	1,021,913
Cumulative Stock Options Exercised		
– Share quantity involved (mil. shs)	682	381
– Value of shares (HK\$bil.)	21	14

Main Board Equities

Equity Turnover

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2005	Q4	236,651.26	874,711.10	5,781,013
2006	Q1	435,321.92	1,514,286.88	10,443,937
	Q2	418,171.51	1,593,381.37	10,338,001
	Q3	287,112.52	1,232,024.38	8,440,107
	Q4	519,564.53	2,083,567.32	14,887,863

Equity Trading Statistics

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2005	Q4	62	14,108.24	151,308
2006	Q1	62	24,423.98	144,992
	Q2	59	27,006.46	154,129
	Q3	65	18,954.22	145,973
	Q4	61	34,156.84	139,951

Main Board Equities

20 Most Advanced Stocks

for 4th quarter 2006

Rank	Code	Stock	Closing price		% up
			End of Dec 2006	End of Sep 2006	
1	00657	G-VISION INT'L	0.500	0.079	532.91
2	01182	FOUNDATION GP	1.950	0.560	248.21
3	01228	TAK SHUN TECH	0.315	0.100	215.00
4	00309	LO'S ENVIRO-PRO	1.750	0.610	186.89
5	00305	MAGNUM INTL-NEW	0.870	0.308	A 182.47
6	00603	CHINA OIL & GAS	0.425	0.154	175.97
7	00164	PREMIUM LAND	0.890	0.330	169.70
8	00702	GENESIS ENERGY	0.133	0.052	155.77
9	01041	FULBOND HOLDING	0.047	0.020	135.00
10	01227	CHI FIN IND INV	0.213	0.092	131.52
11	00661	CH N RES DEV	0.245	0.109	124.77
12	00149	CHINA VELOCITY	1.030	0.460	123.91
13	00905	APEX CAPITAL	0.130	0.060	116.67
14	00587	HUA HAN	2.650	1.290	105.43
15	00376	ASIA TELEMEDIA	0.162	0.079	105.06
16	00166	NEW TIMES GROUP	0.395	0.195	102.56
17	01198	CHITALY HOLD	1.620	0.800	102.50
18	00133	CHINA MERCHANTS	18.900	9.420	100.64
19	00616	EASYKNIT ENT	0.990	0.500	98.00
20	00082	YANION INT'L	1.650	0.850	94.12

A Adjusted

20 Most Declined Stocks

for 4th quarter 2006

Rank	Code	Stock	Closing price		% down
			End of Dec 2006	End of Sep 2006	
1	00988	THE SUN'S GROUP	1.300	10.000	A -87.00
2	00182	HKPHARMA	0.300	1.940	A -84.54
3	00318	VONGROUP	0.290	0.890	-67.42
4	00718	BESTWAY INT'L	0.245	0.660	A -62.88
5	00091	NEW SMART	0.192	0.465	-58.71
6	00221	LEROI HOLDINGS	0.149	0.345	-56.81
7	00491	SEE CORPORATION	0.730	1.500	A -51.33
8	02700	SMART UNION GP	0.540	1.090	-50.46
9	01063	SUNCORP TECH	0.370	0.740	A -50.00
10	00207	HK PARKVIEW	0.103	0.200	-48.50
11	00901	RADFORD CAPITAL	0.052	0.095	A -45.26
12	01166	SOLARTECH INT'L	0.710	1.180	-39.83
13	00079	CENTURY LEGEND	0.480	0.780	A -38.46
14	00899	ASIA RESOURCES	0.405	0.650	-37.69
15	01010	PACMOS TECH	0.440	0.700	-37.14
16	00039	WEALTHMARK INTL	0.360	0.560	-35.71
17	02362	SIGNAL MEDIA	0.110	0.170	-35.29
18	00605	KPI COMPANY	0.130	0.200	-35.00
19	00530	FORTUNA INT'L	0.210	0.320	A -34.38
20	00567	DAISHOMICROLINE	1.850	2.810	-34.16

A Adjusted

Main Board Equities

20 Most Active Stocks by Value

for 4th quarter 2006

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	01398	ICBC	153,446.47	7.35
2	00005	HSBC HOLDINGS	150,334.54	7.20
3	00939	CCB	105,961.18	5.07
4	00941	CHINA MOBILE	99,744.60	4.77
5	02628	CHINA LIFE	91,775.62	4.39
6	03988	BANK OF CHINA	85,742.56	4.10
7	00857	PETROCHINA	63,652.36	3.05
8	00013	HUTCHISON	57,551.07	2.75
9	00386	SINOPEC CORP	45,352.13	2.17
10	03968	CM BANK	42,480.68	2.03
11	00883	CNOOC	38,216.37	1.83
12	03328	BANKCOMM	35,107.26	1.68
13	00728	CHINA TELECOM	32,758.36	1.57
14	00016	SHK PPT	31,105.48	1.49
15	00001	CHEUNG KONG	29,511.41	1.41
16	00388	HKEX	26,703.71	1.28
17	01800	CHINA COMM CONS	25,526.83	1.22
18	02388	BOC HONG KONG	22,849.64	1.09
19	00011	HANG SENG BANK	20,693.90	0.99
20	02318	PING AN	18,209.71	0.87
Total			1,176,723.89	56.33

20 Most Active Stock by Shares

for 4th quarter 2006

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	01398	ICBC	40,016.94	7.31
2	00939	CCB	27,071.70	4.94
3	00412	HERITAGE INT'L	24,137.15	4.41
4	03988	BANK OF CHINA	23,214.14	4.24
5	01041	FULBOND HOLDING	15,325.07	2.80
6	00959	A-MAX	11,791.29	2.15
7	00728	CHINA TELECOM	9,613.23	1.76
8	00152	SHENZHEN INT'L	8,885.86	1.62
9	00386	SINOPEC CORP	7,790.34	1.42
10	00857	PETROCHINA	6,864.00	1.25
11	00680	NAN HAI CORP	6,845.08	1.25
12	00689	EPI (HOLDINGS)	5,734.25	1.05
13	00883	CNOOC	5,695.62	1.04
14	00718	BESTWAY INT'L	5,582.29	1.02
15	03983	CHINA BLUECHEM	5,275.29	0.96
16	03328	BANKCOMM	5,055.33	0.92
17	00279	FREEMAN CORP	5,041.10	0.92
18	02628	CHINA LIFE	4,864.93	0.89
19	00397	HK HEALTH CHECK	4,738.91	0.87
20	00202	INTERCHINA HOLD	4,563.38	0.83
Total			228,105.88	41.66

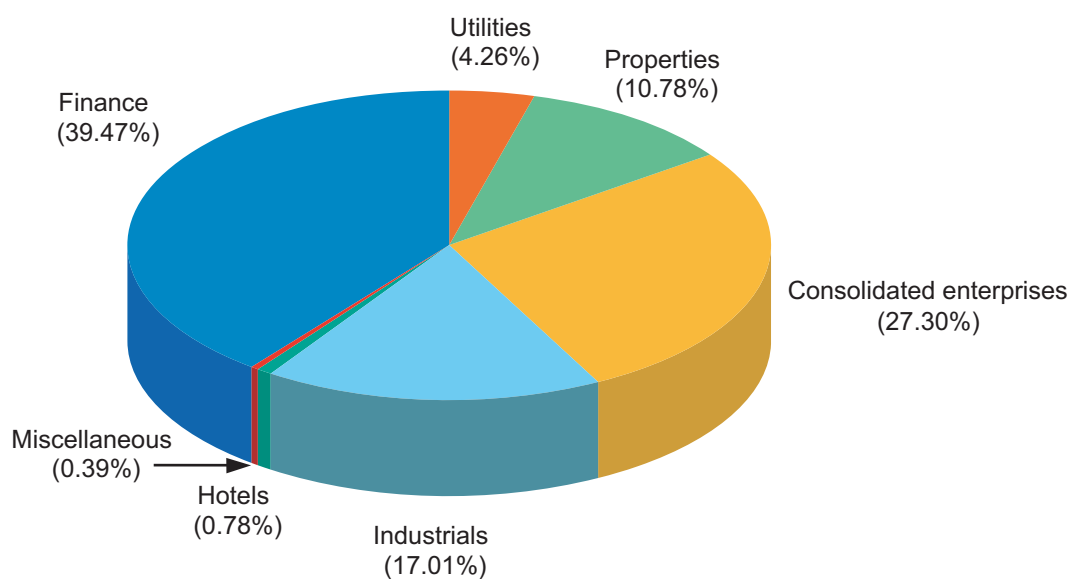
Main Board Equities

Market Capitalisation of listed companies for Main Board

		HK\$ mil.
2005	Q4	8,113,333.48
2006	Q1	9,331,784.89
	Q2	9,758,372.27
	Q3	10,525,904.43
	Q4	13,248,820.50

Market Capitalisation by Classifications

Quarter-end figures



Main Board Equities

50 Leading Companies by Market Capitalisation

as at the 4th quarter end 2006

Rank	Code	Company	Market capitalisation (HK\$ mil.)	% of market total
1	00005	HSBC Holdings plc	1,650,083.00	12.45
2	00941	China Mobile Ltd.	1,340,045.78	10.11
3	00939	China Construction Bank Corporation – H Shares	1,112,210.97	8.39
4	01398	Industrial and Commercial Bank of China Ltd. – H Shares	401,162.90	3.03
5	00013	Hutchison Whampoa Ltd.	337,019.46	2.54
6	03988	Bank of China Ltd. – H Shares	324,606.47	2.45
7	00883	CNOOC Ltd.	320,198.00	2.42
8	02888	Standard Chartered PLC	317,974.28	2.40
9	00857	PetroChina Co. Ltd. – H Shares	232,509.88	1.75
10	02388	BOC Hong Kong (Holdings) Ltd.	223,085.66	1.68
11	00016	Sun Hung Kai Properties Ltd.	222,645.31	1.68
12	00001	Cheung Kong (Holdings) Ltd.	221,772.74	1.67
13	03328	Bank of Communications Co., Ltd. – H Shares	217,728.58	1.64
14	00011	Hang Seng Bank Ltd.	203,228.88	1.53
15	02628	China Life Insurance Co. Ltd. – H Shares	197,563.20	1.49
16	02038	Foxconn International Holdings Ltd.	177,730.83	1.34
17	00762	China Unicom Ltd.	144,216.92	1.09
18	00906	China Netcom Group Corporation (Hong Kong) Ltd.	138,564.80	1.05
19	00002	CLP Holdings Ltd.	138,474.14	1.05
20	00019	Swire Pacific Ltd.	125,428.23	0.95
21	00386	China Petroleum & Chemical Corporation – H Shares	120,819.51	0.91
22	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	110,149.61	0.83
23	00066	MTR Corporation Ltd.	108,530.54	0.82
24	00330	Esprit Holdings Ltd.	106,760.40	0.81
25	00003	Hong Kong and China Gas Co. Ltd., The	96,403.30	0.73
26	02332	Hutchison Telecommunications International Ltd.	93,270.77	0.70
27	00388	Hong Kong Exchanges and Clearing Ltd.	91,087.37	0.69
28	00012	Henderson Land Development Co. Ltd.	84,502.23	0.64
29	00494	Li & Fung Ltd.	82,501.24	0.62
30	00083	Sino Land Co. Ltd.	81,572.14	0.62
31	00006	Hongkong Electric Holdings Ltd.	81,315.37	0.61
32	00101	Hang Lung Properties Ltd.	80,777.48	0.61
33	00293	Cathay Pacific Airways Ltd.	75,477.36	0.57
34	00144	China Merchants Holdings (International) Co. Ltd.	74,374.60	0.56
35	00688	China Overseas Land & Investment Ltd.	73,018.51	0.55
36	00004	Wharf (Holdings) Ltd., The	70,376.45	0.53
37	00023	Bank of East Asia, Ltd., The	68,237.28	0.52
38	01088	China Shenhua Energy Co. Ltd. – H Shares	63,621.46	0.48
39	00728	China Telecom Corporation Ltd. – H Shares	59,117.77	0.45
40	00267	CITIC Pacific Ltd.	58,936.94	0.44
41	00017	New World Development Co. Ltd.	57,376.43	0.43
42	02689	Nine Dragons Paper (Holdings) Ltd.	55,610.00	0.42
43	01038	Cheung Kong Infrastructure Holdings Ltd.	54,439.17	0.41
44	00291	China Resources Enterprise, Ltd.	52,548.14	0.40
45	00069	Shangri-La Asia Ltd.	50,998.96	0.38
46	00700	Tencent Holdings Ltd.	48,948.86	0.37
47	00683	Kerry Properties Ltd.	44,968.48	0.34
48	00836	China Resources Power Holdings Co. Ltd.	44,883.59	0.34
49	00813	Shimao Property Holdings Ltd.	44,686.05	0.34
50	03968	China Merchants Bank Co., Ltd. – H Shares	43,869.76	0.33
Total			10,225,429.81	77.18
Market Total			13,248,820.50	100.00

Main Board Equities

Short Selling (value)

		Short selling turnover (HK\$ mil.)	Equity turnover (HK\$ mil.)*	% of equity total
2005	Q4	34,186.15	880,119.81	3.88
2006	Q1	70,396.37	1,526,959.89	4.61
	Q2	109,766.40	1,604,845.70	6.84
	Q3	86,732.08	1,240,771.48	6.99
	Q4	117,111.80	2,094,360.46	5.59

* include Main Board and GEM figures

Short Selling (share)

		Short selling turnover (Mil. shs)	Equity turnover (Mil. shs)*	% of equity total
2005	Q4	3,485.75	243,763.95	1.43
2006	Q1	8,217.68	446,859.71	1.84
	Q2	11,849.29	431,301.85	2.75
	Q3	8,812.81	299,041.19	2.95
	Q4	11,242.28	532,840.17	2.11

* include Main Board and GEM figures

New Listing Companies Statistics

		No. of newly listed companies	Funds raised (HK\$ mil.)
2005	Q4	29	95,791.29
2006	Q1	12	11,694.58
	Q2	10	93,595.65
	Q3	10	32,326.98
	Q4	24	194,465.98

Main Board Equities

Newly Listed Companies

for 4th quarter 2006

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
00637	Lee Kee Holdings Ltd.	2006/10/04	830,000,000	614.10
00272	Shui On Land Ltd.	2006/10/04	4,185,097,171	6,819.22
00667	HannStar Board International Holdings Ltd.	2006/10/06	1,316,250,000	604.01
00320	Computime Group Ltd.	2006/10/09	830,000,000	524.40
00337	SPG Land (Holdings) Ltd.	2006/10/10	1,037,500,000	1,374.25
00558	L.K. Technology Holdings Ltd.	2006/10/16	1,000,000,000	277.50
03918	NagaCorp Ltd.	2006/10/19	2,075,000,000	822.25
01398	Industrial and Commercial Bank of China Ltd.			
	– H Shares	2006/10/27	83,056,501,962	124,947.93
00609	Tiande Chemical Holdings Ltd.	2006/10/27	400,000,000	102.00
01888	Kingboard Laminates Holdings Ltd.	2006/12/07	3,000,000,000	6,377.25
00477	AUPU Group Holding Co. Ltd.	2006/12/08	710,600,000	288.56
00552	China Communications Services Corporation Ltd.			
	– H Shares	2006/12/08	1,633,484,600	3,266.97
01818	Zhaojin Mining Industry Co. Ltd. – H Shares	2006/12/08	218,586,500	2,519.71
00528	Kingdom Holdings Ltd.	2006/12/12	622,500,000	301.88
01800	China Communications Construction Co. Ltd.			
	– H Shares	2006/12/15	4,427,500,000	18,515.00
01868	Neo-Neon Holdings Ltd.	2006/12/15	760,000,000	1,587.00
02006	Shanghai Jin Jiang Int'l Hotels (Group) Co. Ltd.			
	– H Shares	2006/12/15	1,391,500,000	2,783.00
01388	Embry Holdings Ltd.	2006/12/18	400,000,000	362.00
01898	China Coal Energy Co. Ltd. – H Shares	2006/12/19	4,106,663,000	15,119.99
03898	Zhuzhou CSR Times Electric Co., Ltd. – H Shares	2006/12/20	456,108,400	2,197.61
01399	Scud Group Ltd.	2006/12/21	899,000,000	603.98
01899	Xingda International Holdings Ltd.	2006/12/21	1,286,000,000	1,367.21
01882	Haitian International Holdings Ltd.	2006/12/22	1,596,000,000	1,576.05
01389	Ming An (Holdings) Co. Ltd., The	2006/12/22	2,906,384,000	1,514.12
Total				194,465.98

Withdrawal of Listed Companies

for 4th quarter 2006

Code	Company name	Last trading date	Delisted date
00926	Egana Jewellery & Pearls Ltd.	2006/10/11	2006/10/24
00866	SUNDAY Communications Ltd.	2006/12/12	2006/12/20
00098	Winsor Industrial Corporation Ltd.	2006/12/14	2006/12/21

Main Board Equities

Company Name Changes

for 4th quarter 2006

Code	Old name	New name	Effective date	Adoption date
02330	Techwayson Holdings Ltd.	Quaypoint Corporation Ltd., The	2006/08/18	2006/10/03
00603	Nippon Asia Investments Holdings Ltd.	China Oil And Gas Group Ltd.	2006/09/26	2006/10/26
00519	Applied International Holdings Ltd.	Applied Development Holdings Ltd.	2006/10/04	2006/10/31
00689	Great Wall Cybertech Ltd.	EPI (Holdings) Ltd.	2006/09/22	2006/10/31
02300	Vision Grande Group Holdings Ltd.	AMVIG Holdings Ltd.	2006/09/22	2006/11/01
00347	Angang New Steel Co. Ltd. – H Shares	Angang Steel Co. Ltd. – H Shares	2006/09/25	2006/11/07
00724	Semtech International Holdings Ltd.	Sino-Tech International Holdings Ltd.	2006/10/31	2006/11/23
00397	Town Health Medical Technology Holdings Co. Ltd.	Hong Kong Health Check and Laboratory Holdings Co. Ltd.	2006/10/27	2006/11/24
00297	Sinochem Hong Kong Holdings Ltd.	Sinofert Holdings Ltd.	2006/11/16	2006/12/14
00245	Landune International Ltd.	China Seven Star Shopping Ltd.	2006/12/22	2006/12/29
01111	Liu Chong Hing Bank Ltd.	Chong Hing Bank Ltd.	2006/12/23	2006/12/29

* Change of English name only

Change of Chinese name only

Main Board Equities

Bonus Issues / Bonus Warrants

for 4th quarter 2006

Code	Company	Particulars	Ex-date
00092	Champion Technology Holdings Ltd.	1 2008 wt for 5	2006/11/13
01160	Grand Investment International Ltd.	1 for 5 (for scrip Div)	2006/12/07
01104	Shanghai Merchants Holdings Ltd.	1 2009 wt for 5 rts shs	2006/12/20

Share Split / Consolidation

for 4th quarter 2006

Code	Company	Particulars	Effective date
00952	Quam Ltd.	Split 1 into 2	2006/10/03
01218	Easyknit International Holdings Ltd.	Consolidation 10 into 1	2006/10/17
00988	Sun's Group Ltd., The	Consolidation 1,000 into 1	2006/10/20
00491	See Corporation Ltd.	Consolidation 100 into 1	2006/11/01
00079	Century Legend (Holdings) Ltd.	Consolidation 20 into 1	2006/11/09
00530	Fortuna International Holdings Ltd.	Consolidation 20 into 1	2006/11/15
00305	Magnum International Holdings Ltd.	Consolidation 4 into 1	2006/11/28
00182	Hong Kong Pharmaceutical Holdings Ltd.	Consolidation 10 into 1	2006/12/07
00428	Harmony Asset Ltd.	Consolidation 5 into 1	2006/12/14
00718	Bestway International Holdings Ltd.	Consolidation 20 into 1	2006/12/15

Main Board Equities

Rights Issues & Open Offers

for 4th quarter 2006

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Oct	01141	Xin Corporation Ltd.	Open offer 3 for 1 @\$0.12	29.77
	01228	Tak Shun Technology Group Ltd.	Open offer 2 for 5 @\$0.05	28.80
	00385	Chinney Alliance Group Ltd.	Open offer 3 for 2 @\$0.25	59.49
Nov	01218	Easyknit International Holdings Ltd.	Rts 3 for 1 @\$0.35 (after consolidation 10 into 1)	208.48
	00129	Asia Standard International Group Ltd.	Rts 1 for 3 @\$0.175	299.34
	01049	Celestial Asia Securities Holdings Ltd.	Rts 1 for 2 @\$0.28	61.25
	00079	Century Legend (Holdings) Ltd.	Rts 1 for 2 @\$0.04 (after consolidation 20 into 1)	26.46
	00120	Cosmopolitan International Holdings Ltd.	Open offer 2 for 1 @\$0.05	53.21
	00131	Cheuk Nang (Holdings) Ltd.	Rts 1 for 1 @\$4.00	350.95
	00495	Paladin Ltd.	Open offer 1 convertible redeemable pref sh for 2 @\$0.25	66.03
Dec	00678	Star Cruises Ltd.	Rts 7 for 25 @\$1.08	1,602.81
	00365	Sun East Technology (Holdings) Ltd.	Rts 2 for 5 @\$0.12	18.00
Total				2,804.59

Main Board Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2006					
Sep **	00508	CHEVALIER ITECH	18,800,000	2.380	44.74
	00689	EPI (HOLDINGS)	2,449,627,374	0.040	97.99
	00702	GENESIS ENERGY	100,000,000	0.040	4.00
	00329	GOLDEN DRAGON	116,800,000	0.550	64.24
	00034	KOWLOON DEV	87,000,000	13.250	1,152.75
	00494	LI & FUNG	160,000,000	17.300	2,768.00
	00095	NEW HERITAGE	65,000,000	0.600	39.00
	00164	PREMIUM LAND	70,000,000	0.198	13.86
	02777	R&F PROPERTIES	169,200,000	9.550	1,615.86
	00346	SINOUNION PETRO	119,700,000	0.230	27.53
	02888	STANCHART	48,500,000	202.056	9,799.73
Oct	00959	A-MAX	586,412,155	0.086	50.20
	00694	BEIJING AIRPORT	200,000,000	5.100	1,020.00
	00384	CHINA GAS HOLD	150,000,000	1.250	187.50
	00989	CHINA MOTION	1,800,000,000	0.020	36.00
	00603	CHINA OIL & GAS	540,000,000	0.120	64.80
	00245	CHINA SEVENSTAR	832,200,000	0.188	156.45
	00163	EMPEROR IHL	66,000,000	1.520	100.32
	01003	GFT HOLDINGS	300,000,000	0.040	12.00
	00818	HI SUN TECH	100,000,000	1.500	150.00
	00340	INNOMAXX BIOTEC	1,150,000,000	0.400	460.00
	00835	NUBRANDS GROUP	87,000,000	0.230	20.01
	00572	SPREADPROSPECTS	21,000,000	0.800	16.80
	00988	THE SUN'S GROUP	114,279,227	1.000	114.28
	00988	THE SUN'S GROUP	87,389,997	1.000	87.39
	00850	WING SHING INTL	67,000,000	0.500	33.50
	00629	YUE DA HOLDINGS	40,000,000	3.800	152.00

Main Board Equities

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
Nov	00139	139 HOLDINGS	226,640,000	0.325	73.66
	03383	AGILE PROPERTY	280,400,000	6.800	1,906.72
	00959	A-MAX	586,412,155	0.086	50.20
	02868	BJ CAPITAL LAND	343,200,000	2.800	960.96
	02380	CHINA POWER	470,000,000	3.700	1,739.00
	00769	CHINA RAREEARTH	236,800,000	1.460	345.73
	00702	GENESIS ENERGY	304,250,000	0.188	57.20
	00101	HANG LUNG PPT	410,000,000	16.300	6,683.00
	00012	HENDERSON LAND	128,000,000	43.050	5,510.40
	00190	HKC (HOLDINGS)	607,664,546	1.100	668.43
	00160	HON KWOK LAND	80,047,700	4.050	324.19
	02320	HOP FUNG GROUP	40,000,000	2.000	80.00
	00733	HOPEFLUENT	27,500,000	2.850	78.38
	00754	HOPSON DEV HOLD	60,000,000	16.600	996.00
	00488	LAI SUN DEV	1,416,000,000	0.360	509.76
	00309	LO'S ENVIRO-PRO	60,000,000	0.610	36.60
	00305	MAGNUM INTL-NEW	123,000,000	0.051	6.25
	02343	PACIFIC BASIN	257,000,000	4.750	1,220.75
	01224	QUALIPAK INT'L	3,400,000,000	0.280	952.00
	01098	ROAD KING INFRA	60,000,000	10.960	657.60
	00269	SEAPOWER RES I	34,000,000	0.088	3.00
	00604	SHENZHEN INVEST	300,000,000	2.910	873.00
	01228	TAK SHUN TECH	288,000,000	0.089	25.63
	00727	VXL CAPITAL	4,400,000	8.000	35.20
	00551	YUE YUEN IND	43,880,000	23.050	1,011.43
Dec	00966	CHINA INSURANCE	63,750,000	8.250	525.94
	00689	EPI (HOLDINGS)	605,000,000	0.295	178.48
	00706	FINTRONICS	210,000,000	0.261	54.81
	00279	FREEMAN CORP	66,000,000	0.250	16.50
	00182	HK PHARMA	810,000,000	0.027	21.87
	00735	ORIENTAL INV	400,000,000	0.275	110.00
Total					44,001.63

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

Main Board Warrants

Warrant Trading Statistics

		Equity wts	Derivative wts	Total
		(HK\$ mil.)	(HK\$ mil.)	(HK\$ mil.)
2005	Q4	167.88	244,145.28	244,313.16
2006	Q1	527.28	376,960.34	377,487.62
	Q2	369.42	374,903.79	375,273.21
	Q3	1,091.78	439,291.20	440,382.98
	Q4	723.21	598,904.13	599,627.34

Warrant Market Value

		Equity wts	Derivative wts	Total
		(HK\$ mil.)	(HK\$ mil.)	(HK\$ mil.)
		Number	Number	Total no.
2005	Q4	27	1,304	1,331
2006	Q1	26	1,366	1,392
	Q2	29	1,481	1,510
	Q3	28	1,606	1,634
	Q4	27	1,959	1,986

New Listing Statistics – Warrants

		No. of newly listed equity wts	No. of newly listed derivative wts	Amount raised (HK\$ mil.)
2005	Q4	1	444	33,880.97
2006	Q1	2	546	45,628.27
	Q2	6	566	47,824.92
	Q3	3	716	62,659.06
	Q4	1	995	91,669.37

Newly Listed Equity Warrants

for 4th quarter 2006

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
00545	Uni-Bio Science Group Ltd. Warrants 2008	868,000,000	2006/10/04	2008/10/03	–
Total					–

Main Board Warrants

Newly Listed Derivative Warrants

for 4th quarter 2006

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
09098	Deutsche Bank AG – BankComm European Warrants Apr 2007 A	200,000,000	2006/10/03	2007/04/23	86.00
09099	Deutsche Bank AG – BankComm European Warrants Apr 2007 B	200,000,000	2006/10/03	2007/04/23	54.00
09083	Deutsche Bank AG – China Life European Warrants Apr 2007 B	200,000,000	2006/10/03	2007/04/10	306.00
09097	Deutsche Bank AG – China Life European Warrants Jun 2007 A	200,000,000	2006/10/03	2007/06/18	252.00
09101	Deutsche Bank AG – China Life European Put Wts Jun 2007 B	200,000,000	2006/10/03	2007/06/11	332.00
01308 #	Deutsche Bank AG – China Mobile European Warrants Mar 2007	100,000,000	2006/10/03	2007/03/29	39.00
03865 #	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Nov 2006 B	600,000,000	2006/10/03	2006/11/29	47.40
09109	ABN AMRO Bank N.V. – BankComm European Warrants Apr 2007	100,000,000	2006/10/04	2007/04/10	25.00
09104	ABN AMRO Bank N.V. – China Mobile European Warrants Apr 2007	150,000,000	2006/10/04	2007/04/03	40.50
09108	ABN AMRO Bank N.V. – China Mobile Euro Put Wts Apr 2007 A	150,000,000	2006/10/04	2007/04/03	48.00
09110	ABN AMRO Bank N.V. – Sinopec Corp European Wts Apr 2007 B	150,000,000	2006/10/04	2007/04/16	49.50
09120	KBC Fin Products Int'l Ltd. – HSBC European Put Wts Apr 2007	248,000,000	2006/10/04	2007/04/04	91.76
09111	KBC Fin Products Int'l Ltd. – China Tel Euro Wts May 2007	328,000,000	2006/10/04	2007/05/02	98.40
09117	KBC Fin Products Int'l Ltd. – HSBC European Wts Jun 2007 A	118,000,000	2006/10/04	2007/06/01	99.12
09116	KBC Fin Products Int'l Ltd. – HSBC European Wts Apr 2007	168,000,000	2006/10/04	2007/04/17	92.40
09100	SGA Societe Generale Acceptance N.V. – BOCom Eu Wts Apr 07 A	263,000,000	2006/10/04	2007/04/04	99.94
09102	SGA Societe Generale Acceptance N.V. – CMob Euro Wts Apr 07D	227,000,000	2006/10/04	2007/04/04	99.88
09103	SGA Societe Generale Acceptance N.V. – CTel Eur Wts Apr 2007	377,000,000	2006/10/04	2007/04/04	99.91
09139	UBS AG – China Life European Warrants Apr 2007	100,000,000	2006/10/04	2007/04/10	82.00
09121	UBS AG – China Mobile European Warrants Apr 2007	100,000,000	2006/10/04	2007/04/10	30.50
09140	UBS AG – China Mobile European Put Warrants Apr 2007	100,000,000	2006/10/04	2007/04/10	30.00
09014 #	UBS AG – China Life European Warrants Dec 2006	200,000,000	2006/10/04	2006/12/11	190.00
09145	BNP Paribas Arbit Issu B.V. – CC Bank European Wts Jul 2007	200,000,000	2006/10/05	2007/07/23	50.00
09146	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eur Wt May 2007 A	100,000,000	2006/10/05	2007/05/14	48.00
09158	BNP Paribas Arbit Issu B.V. – Ping An European Wts Apr 2007	100,000,000	2006/10/05	2007/04/17	28.00
09159	BNP Paribas Arbit Issu B.V. – PetroChina Euro Wts Aug 2007 A	100,000,000	2006/10/05	2007/08/08	78.00
09143	Macquarie Bank Ltd. – BankComm European Warrants Apr 2007 A	200,000,000	2006/10/05	2007/04/27	60.80
09144	Macquarie Bank Ltd. – Ping An European Put Warrants May 2007	88,000,000	2006/10/05	2007/05/30	22.70
09160	Goldman Sachs SP (Asia) – China Life European Wts Apr 2007 C	100,000,000	2006/10/06	2007/04/16	102.00
09191	Goldman Sachs SP (Asia) – China Life Euro Put Wts Apr 2007 E	400,000,000	2006/10/06	2007/04/16	100.00
09193	KBC Fin Products Int'l Ltd. – BOCL European Wts Jul 2007 A	400,000,000	2006/10/06	2007/07/10	104.00
09205	KBC Fin Products Int'l Ltd. – BOCL European Wts Sep 2009	288,000,000	2006/10/06	2009/09/09	210.24
09263	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jun 2007 A	288,000,000	2006/10/06	2007/06/01	95.04
09264	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jul 2007 A	400,000,000	2006/10/06	2007/07/02	100.00
09266	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Sep 2009 A	148,000,000	2006/10/06	2009/09/09	76.96
09220	KBC Fin Products Int'l Ltd. – Cathay Pac Euro Wts Apr 2008	400,000,000	2006/10/06	2008/04/02	100.00
09221	KBC Fin Products Int'l Ltd. – Cheung Kong Euro Wts Apr 2007	188,000,000	2006/10/06	2007/04/11	92.12
09270	KBC Fin Products Int'l Ltd. – MTRC European Wts Jul 2007	368,000,000	2006/10/06	2007/07/16	92.00
09206	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Sep 2009 A	100,000,000	2006/10/06	2009/09/09	105.00
09267	KBC Fin Products Int'l Ltd. – CM Bank European Wts Sep 2009	368,000,000	2006/10/06	2009/09/09	95.68
09192	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Apr 2007	96,000,000	2006/10/06	2007/04/23	100.80
03473 #	Deutsche Bank AG – HSI European Put Warrants Feb 2007	200,000,000	2006/10/06	2007/02/27	21.80

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
03461 #	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wts Feb07C	297,000,000	2006/10/06	2007/02/27	48.41
09271	Goldman Sachs SP (Asia) – BankComm European Wts Apr 2007	200,000,000	2006/10/09	2007/04/16	66.00
09275	Goldman Sachs SP (Asia) – BankComm Euro Put Wts Apr 2007	200,000,000	2006/10/09	2007/04/16	102.00
09313	ABN AMRO Bank N.V. – CC Bank European Warrants Apr 2007 C	200,000,000	2006/10/10	2007/04/10	53.00
09288	ABN AMRO Bank N.V. – CM Bank European Warrants Oct 2007	200,000,000	2006/10/10	2007/10/29	50.00
09289	ABN AMRO Bank N.V. – CM Bank European Warrants Apr 2007	100,000,000	2006/10/10	2007/04/10	33.00
09337	Deutsche Bank AG – CM Bank European Warrants Apr 2007 D	200,000,000	2006/10/10	2007/04/23	184.00
09338	Deutsche Bank AG – CM Bank European Warrants Apr 2007 E	200,000,000	2006/10/10	2007/04/10	112.00
09339	Deutsche Bank AG – CM Bank European Warrants May 2007 A	200,000,000	2006/10/10	2007/05/14	102.00
09277	J P Morgan Int'l Der. Ltd. – HSBC European Warrants Apr 2007	264,000,000	2006/10/10	2007/04/20	100.32
09278	J P Morgan Int'l Der. Ltd. – Hutchison European Wts May 2007	400,000,000	2006/10/10	2007/05/23	100.00
09276	J P Morgan Int'l Der. Ltd. – China Mobile Euro Wts Apr 2007	340,000,000	2006/10/10	2007/04/20	102.00
09274	J P Morgan Int'l Der. Ltd. – BOC HK European Wts May 2007	200,000,000	2006/10/10	2007/05/30	100.00
09272	J P Morgan Int'l Der. Ltd. – BankComm Euro Wts Jun 2007 A	340,000,000	2006/10/10	2007/06/15	102.00
09279	J P Morgan Int'l Der. Ltd. – Yanzhou Coal Euro Wts Jun 2007	400,000,000	2006/10/10	2007/06/15	100.00
09314	Macquarie Bank Ltd. – CM Bank European Warrants Apr 2007	200,000,000	2006/10/10	2007/04/10	103.60
09336	Macquarie Bank Ltd. – CM Bank European Put Warrants Apr 2007	100,000,000	2006/10/10	2007/04/30	59.70
09026 #	ABN AMRO Bank N.V. – CM Bank European Warrants Mar 2007 A	50,000,000	2006/10/10	2007/03/30	43.00
09761 #	Macquarie Bank Ltd. – HSI European Put Warrants Jan 2007	1,000,000,000	2006/10/10	2007/01/30	97.00
09340	Deutsche Bank AG – Nikkei 225 Index European Wts Mar 2007 H	200,000,000	2006/10/11	2007/03/09	50.00
09387	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Mar 2007 I	200,000,000	2006/10/11	2007/03/09	30.00
09390	KBC Fin Products Int'l Ltd. – CM Bank Euro Wts Apr 2007 C	268,000,000	2006/10/11	2007/04/11	96.48
09388	Macquarie Bank Ltd. – CC Bank European Warrants Apr 2007	300,000,000	2006/10/11	2007/04/27	87.30
09389	Macquarie Bank Ltd. – CC Bank European Warrants May 2007 A	250,000,000	2006/10/11	2007/05/30	64.25
09341	Macquarie Bank Ltd. – SMIC European Warrants Nov 2007	200,000,000	2006/10/11	2007/11/29	51.60
02444 #	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2007 G	600,000,000	2006/10/11	2007/02/27	61.20
09170 #	Calyon Fin P (G) Ltd. – Hutchison European Warrants Jan 2007	200,000,000	2006/10/11	2007/01/04	32.40
04868 #	Deutsche Bank AG – HSBC European Put Warrants Jan 2007	150,000,000	2006/10/11	2007/01/16	11.55
03872 #	Goldman Sachs SP (Asia) – HSI European Put Warrants Nov 2006	300,000,000	2006/10/11	2006/11/29	16.50
09392	Calyon Fin P (G) Ltd. – CC Bank European Warrants Jan 2007 B	300,000,000	2006/10/12	2007/01/15	47.40
09393	Calyon Fin P (G) Ltd. – HSBC European Warrants Feb 2007 B	300,000,000	2006/10/12	2007/02/12	129.00
09405	Calyon Fin P (G) Ltd. – HSBC European Put Warrants May 2007	200,000,000	2006/10/12	2007/05/14	88.00
09524	Deutsche Bank AG – HSBC European Warrants Apr 2007 B	150,000,000	2006/10/12	2007/04/27	87.00
09530	Deutsche Bank AG – HSBC European Warrants Apr 2007 C	150,000,000	2006/10/12	2007/04/24	66.00
09531	Deutsche Bank AG – HSBC European Put Warrants May 2007	150,000,000	2006/10/12	2007/05/29	64.50
09523	Deutsche Bank AG – China Mobile European Put Wts Apr 2007	200,000,000	2006/10/12	2007/04/12	84.00
09407	Goldman Sachs SP (Asia) – CM Bank European Wts Apr 2007 C	100,000,000	2006/10/12	2007/04/16	69.00
09408	J P Morgan Int'l Der. Ltd. – CM Bank European Wts Apr 2007 A	227,000,000	2006/10/12	2007/04/02	99.88
09412	J P Morgan Int'l Der. Ltd. – HSBC European Wts Feb 2007 A	295,000,000	2006/10/12	2007/02/16	100.30
09439	J P Morgan Int'l Der. Ltd. – SHK Ppt European Wts Jan 2007	500,000,000	2006/10/12	2007/01/16	100.00
09438	J P Morgan Int'l Der. Ltd. – Hutchison European Wts Feb 2007	477,000,000	2006/10/12	2007/02/21	100.17
09462	J P Morgan Int'l Der. Ltd. – Swire Pac A Euro Wts Feb 2007	294,000,000	2006/10/12	2007/02/27	99.96
09411	J P Morgan Int'l Der. Ltd. – CNPC European Warrants Apr 2007	189,000,000	2006/10/12	2007/04/30	100.17
09463	KBC Fin Products Int'l Ltd. – Huaneng Power Eur Wts May 2007	268,000,000	2006/10/12	2007/05/11	99.16

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
09391	Macquarie Bank Ltd. – China Mobile European Wts Apr 2007	200,000,000	2006/10/12	2007/04/27	61.40
09404	Macquarie Bank Ltd. – China Mobile Euro Put Wts Apr 2007 B	150,000,000	2006/10/12	2007/04/27	56.70
09406	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Apr 2007	132,000,000	2006/10/12	2007/04/23	100.32
03895 #	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Nov 06B	287,000,000	2006/10/12	2006/11/29	25.26
09548	ABN AMRO Bank N.V. – CM Bank European Put Warrants Apr 2007	70,000,000	2006/10/13	2007/04/17	17.50
09549	ABN AMRO Bank N.V. – China Life European Warrants Oct 2007	100,000,000	2006/10/13	2007/10/02	25.00
09559	ABN AMRO Bank N.V. – Cheung Kong European Warrants Apr 2007	50,000,000	2006/10/13	2007/04/16	19.00
09560	ABN AMRO Bank N.V. – Hutchison European Warrants May 2007 A	100,000,000	2006/10/13	2007/05/08	25.00
09573	ABN AMRO Bank N.V. – HSBC European Warrants May 2007 B	100,000,000	2006/10/13	2007/05/07	28.00
09592	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Put Wt Apr 2007	200,000,000	2006/10/13	2007/04/17	136.00
09593	BNP Paribas Arbit Issu B.V. – HSI European Wts Feb 2007 C	400,000,000	2006/10/13	2007/02/27	90.00
09594	KBC Fin Products Int'l Ltd. – CMob Euro Put Wts Apr 2007 A	400,000,000	2006/10/13	2007/04/02	82.40
09574	Macquarie Bank Ltd. – HSBC European Warrants Apr 2007	300,000,000	2006/10/13	2007/04/27	75.90
09580	Macquarie Bank Ltd. – HSI European Warrants Apr 2007 A	388,000,000	2006/10/13	2007/04/27	97.78
09581	Macquarie Bank Ltd. – HSI European Warrants Apr 2007 B	388,000,000	2006/10/13	2007/04/27	97.00
09590	Macquarie Bank Ltd. – HSI European Put Warrants Apr 2007 C	388,000,000	2006/10/13	2007/04/27	118.34
09591	Macquarie Bank Ltd. – HSI European Put Warrants Apr 2007 D	388,000,000	2006/10/13	2007/04/27	136.96
09678 #	Credit Suisse – SMIC European Warrants Mar 2007	950,000,000	2006/10/13	2007/03/08	11.40
09595	Macquarie Bank Ltd. – Cheung Kong European Put Wts Apr 2007	100,000,000	2006/10/16	2007/04/27	35.70
09596	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts May 2007	100,000,000	2006/10/16	2007/05/30	30.90
09597	Macquarie Bank Ltd. – Hutchison European Put Wts Apr 2007	100,000,000	2006/10/16	2007/04/27	25.00
09608	SGA Societe Generale Acceptance N.V. – HSBC Eur Wts Apr 07 D	244,000,000	2006/10/16	2007/04/30	100.04
09607	SGA Societe Generale Acceptance N.V. – HSBC Eur Wts Apr 07 C	192,000,000	2006/10/16	2007/04/23	99.84
09611	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Apr07F	132,000,000	2006/10/16	2007/04/23	100.32
09598	SGA Societe Generale Acceptance N.V. – HSI Euro Wts Mar 07 E	550,000,000	2006/10/16	2007/03/29	101.20
09606	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 07F	588,000,000	2006/10/16	2007/03/29	97.61
03473#	Deutsche Bank AG – HSI European Put Warrants Feb 2007	400,000,000	2006/10/16	2007/02/27	40.00
09646	Calyon Fin P (G) Ltd. – China Life European Wts May 2007 B	300,000,000	2006/10/18	2007/05/21	63.00
09647	Calyon Fin P (G) Ltd. – China Life European Put Wts Oct 2007	300,000,000	2006/10/18	2007/10/29	76.50
09609	Citigroup Global Mkt H Inc. – HS Bank European Wts Apr 2007	180,000,000	2006/10/18	2007/04/27	51.48
09610	Citigroup Global Mkt H Inc. – BankComm European Wts May 2007	150,000,000	2006/10/18	2007/05/30	60.75
09612	Citigroup Global Mkt H Inc. – China Life Euro Wts May 2007 B	70,000,000	2006/10/18	2007/05/21	87.50
09613	Citigroup Global Mkt H Inc. – Ping An European Wts May 2007	260,000,000	2006/10/18	2007/05/30	65.00
09637	J P Morgan Int'l Der. Ltd. – PetroChina Euro Wts Jan 2007	588,000,000	2006/10/18	2007/01/22	99.96
09636	J P Morgan Int'l Der. Ltd. – HSBC European Warrants Jan 2007	333,000,000	2006/10/18	2007/01/31	103.23
09635	J P Morgan Int'l Der. Ltd. – China Life Euro Wts Feb 2007	83,000,000	2006/10/18	2007/02/28	99.60
09638	J P Morgan Int'l Der. Ltd. – Zijin Mining Euro Wts Mar 2007	149,000,000	2006/10/18	2007/03/28	99.83
02410#	Macquarie Bank Ltd. – HSI European Put Warrants Feb 2007 C	1,000,000,000	2006/10/18	2007/02/27	141.00
09660	Macquarie Bank Ltd. – BankComm European Warrants Apr 2007 C	150,000,000	2006/10/19	2007/04/27	43.05
09661	Macquarie Bank Ltd. – BOCL European Warrants Apr 2007	168,000,000	2006/10/19	2007/04/30	43.01
09663	Macquarie Bank Ltd. – China Life European Wts May 2007 A	388,000,000	2006/10/19	2007/05/30	67.90
09662	Macquarie Bank Ltd. – CC Bank European Put Warrants Apr 2007	200,000,000	2006/10/19	2007/04/27	50.00
09664	Macquarie Bank Ltd. – BankComm European Put Wts Apr 2007 D	100,000,000	2006/10/19	2007/04/27	45.20
02117#	Deutsche Bank AG – HSI European Put Warrants Mar 2007 B	200,000,000	2006/10/19	2007/03/29	28.80

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
01282 [#]	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 07C	397,000,000	2006/10/19	2007/03/29	74.24
02473 [#]	UBS AG – China Tel European Warrants May 2007	200,000,000	2006/10/19	2007/05/14	45.00
09673	ABN AMRO Bank N.V. – BankComm European Warrants May 2007 A	100,000,000	2006/10/20	2007/05/02	47.00
09674	ABN AMRO Bank N.V. – CC Bank European Warrants Apr 2007 D	200,000,000	2006/10/20	2007/04/23	50.00
09679	ABN AMRO Bank N.V. – Sinopec Corp European Wts Apr 2007 C	150,000,000	2006/10/20	2007/04/20	60.00
09680	ABN AMRO Bank N.V. – China Mobile Euro Put Wts Apr 2007 B	150,000,000	2006/10/20	2007/04/20	51.90
09665	SGA Societe Generale Acceptance N.V. – BOCom Eu Wts Apr 07 B	200,000,000	2006/10/20	2007/04/16	98.00
09670	SGA Societe Generale Acceptance N.V. – CCB Euro Wts Mar 07 B	300,000,000	2006/10/20	2007/03/26	66.00
09671	SGA Societe Generale Acceptance N.V. – CLife Eur Wt Apr 07 D	68,000,000	2006/10/20	2007/04/30	100.64
09672	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Apr07C	189,000,000	2006/10/20	2007/04/30	100.17
01312 [#]	Deutsche Bank AG – China Mobile European Put Wts Mar 2007	100,000,000	2006/10/20	2007/03/29	15.20
09711	Credit Suisse – China Mobile European Warrants May 2007 C	50,000,000	2006/10/23	2007/05/18	29.15
09712	Credit Suisse – China Mobile European Warrants Jul 2007	50,000,000	2006/10/23	2007/07/18	24.10
09713	Credit Suisse – Hutchison European Warrants May 2007	50,000,000	2006/10/23	2007/05/18	24.20
09714	Credit Suisse – Hutchison European Warrants Jul 2007	50,000,000	2006/10/23	2007/07/18	19.20
09752	Goldman Sachs SP (Asia) – BOCL European Put Wts Apr 2007	350,000,000	2006/10/23	2007/04/23	94.50
09753	Goldman Sachs SP (Asia) – BOC HK European Put Wts Apr 2007	100,000,000	2006/10/23	2007/04/23	101.00
09754	Goldman Sachs SP (Asia) – HSBC European Put Wts Apr 2007	150,000,000	2006/10/23	2007/04/23	90.00
09687	HK Bank – CNOOC European Warrants Jun 2007	88,000,000	2006/10/23	2007/06/29	38.72
09688	HK Bank – PetroChina European Warrants May 2007	88,000,000	2006/10/23	2007/05/18	37.84
09689	HK Bank – PetroChina European Warrants Jun 2007	88,000,000	2006/10/23	2007/06/15	57.20
09701	J P Morgan Int'l Der. Ltd. – BOCL European Wts Mar 2007 A	300,000,000	2006/10/23	2007/03/05	51.00
09703	J P Morgan Int'l Der. Ltd. – Ch Overseas Euro Wts May 2007	200,000,000	2006/10/23	2007/05/11	124.00
09710	J P Morgan Int'l Der. Ltd. – CITIC Pac European Wts May 2007	50,000,000	2006/10/23	2007/05/30	44.00
09702	J P Morgan Int'l Der. Ltd. – Cheung Kong Euro Wts Mar 2007	200,000,000	2006/10/23	2007/03/12	48.00
09716	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Wts Apr 2007 B	268,000,000	2006/10/23	2007/04/02	96.48
09715	KBC Fin Products Int'l Ltd. – Ch Life Eur Put Wts Apr 2007 B	78,000,000	2006/10/23	2007/04/02	96.72
09750	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2007 A	400,000,000	2006/10/23	2007/04/27	100.00
09751	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2007 B	400,000,000	2006/10/23	2007/04/27	100.00
09697	Macquarie Bank Ltd. – Denway Motors European Wts Jun 2007	88,000,000	2006/10/23	2007/06/28	26.75
09698	Macquarie Bank Ltd. – Dongfeng Motor European Wts Jun 2007	88,000,000	2006/10/23	2007/06/28	30.71
09694	Macquarie Bank Ltd. – HKEx European Warrants May 2007 A	88,000,000	2006/10/23	2007/05/30	29.48
09700	Macquarie Bank Ltd. – HKEx European Put Warrants Jun 2007	88,000,000	2006/10/23	2007/06/28	22.35
09693	SGA Societe Generale Acceptance N.V. – Huaneng Eu Wts Apr07B	100,000,000	2006/10/23	2007/04/30	37.00
09758	BNP Paribas Arbit Issu B.V. – BankComm European Wts May 2007	100,000,000	2006/10/24	2007/05/09	39.00
09759	BNP Paribas Arbit Issu B.V. – China Mobile Euro Wts Apr 2007	200,000,000	2006/10/24	2007/04/26	86.00
09760	BNP Paribas Arbit Issu B.V. – HSI European Warrants Apr 2007	400,000,000	2006/10/24	2007/04/27	100.00
09764	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Apr 2007 B	400,000,000	2006/10/24	2007/04/27	100.00
09786	Goldman Sachs SP (Asia) – Foxconn European Put Wts Apr 2007	270,000,000	2006/10/24	2007/04/30	99.90
09787	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2007 G	400,000,000	2006/10/24	2007/02/27	76.00
09771	J P Morgan Int'l Der. Ltd. – PetroChina Euro Wts Feb 2007	150,000,000	2006/10/24	2007/02/05	57.00
09765	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Feb 2007	400,000,000	2006/10/24	2007/02/12	100.00
09768	J P Morgan Int'l Der. Ltd. – China Tel Euro Wts Mar 2007 B	400,000,000	2006/10/24	2007/03/05	68.00
09770	J P Morgan Int'l Der. Ltd. – HKEx European Warrants Apr 2007	200,000,000	2006/10/24	2007/04/18	92.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
09769	J P Morgan Int'l Der. Ltd. – HS Bank European Wts Jun 2007	100,000,000	2006/10/24	2007/06/11	24.00
09772	KBC Fin Products Int'l Ltd. – HS Bank European Wts Jun 2007	288,000,000	2006/10/24	2007/06/12	74.88
09773	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts May 2007	188,000,000	2006/10/24	2007/05/23	99.64
02466#	KBC Fin Products Int'l Ltd. – BOCL European Wts Mar 2007 B	500,000,000	2006/10/24	2007/03/01	92.00
09691#	Credit Suisse – SMIC European Warrants Oct 2007	800,000,000	2006/10/24	2007/10/18	12.80
09788	Deutsche Bank AG – BOCL European Warrants Apr 2007 A	200,000,000	2006/10/25	2007/04/30	50.00
09792	Deutsche Bank AG – China Mobile European Warrants Apr 2007	200,000,000	2006/10/25	2007/04/30	78.00
09793	Deutsche Bank AG – HSI European Warrants Apr 2007	200,000,000	2006/10/25	2007/04/27	50.00
09799	Deutsche Bank AG – HSI European Put Warrants Apr 2007	200,000,000	2006/10/25	2007/04/27	54.00
09813	J P Morgan Int'l Der. Ltd. – R&F Ppt European Wts May 2007	25,000,000	2006/10/25	2007/05/18	37.00
09812	J P Morgan Int'l Der. Ltd. – Esprit European Wts May 2007	200,000,000	2006/10/25	2007/05/18	128.00
09814	J P Morgan Int'l Der. Ltd. – Henderson Inv Euro Wts May 2007	20,000,000	2006/10/25	2007/05/18	15.80
09819	J P Morgan Int'l Der. Ltd. – New World Dev Euro Wts May 2007	100,000,000	2006/10/25	2007/05/18	126.00
09818	J P Morgan Int'l Der. Ltd. – Hysan Dev European Wts Jun 2007	15,000,000	2006/10/25	2007/06/29	29.55
09820	J P Morgan Int'l Der. Ltd. – PCCW European Warrants Jun 2007	200,000,000	2006/10/25	2007/06/29	72.00
09825	KBC Fin Products Int'l Ltd. – BankComm Euro Wts May 2007 A	248,000,000	2006/10/25	2007/05/02	99.20
09826	KBC Fin Products Int'l Ltd. – China Life Euro Wts Apr 2007 D	138,000,000	2006/10/25	2007/04/02	193.20
09834	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jun 2007 B	208,000,000	2006/10/25	2007/06/18	197.60
09833	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jun 2007 A	168,000,000	2006/10/25	2007/06/01	193.20
09806	UBS AG – BankComm European Warrants Jun 2007 A	100,000,000	2006/10/25	2007/06/18	52.00
09807	UBS AG – CC Bank European Warrants Jun 2007 A	100,000,000	2006/10/25	2007/06/18	25.00
09808	UBS AG – Sinopec Corp European Warrants Jun 2007 A	100,000,000	2006/10/25	2007/06/25	42.50
09809	UBS AG – China Tel European Warrants Aug 2007	100,000,000	2006/10/25	2007/08/13	25.00
09810	UBS AG – CNOOC European Warrants Jun 2007	100,000,000	2006/10/25	2007/06/18	50.00
09849	Calyon Fin P (G) Ltd. – China Life European Wts Jul 2007 B	300,000,000	2006/10/26	2007/07/10	78.30
09850	Calyon Fin P (G) Ltd. – HSBC European Warrants Apr 2007 B	300,000,000	2006/10/26	2007/04/17	144.00
09839	Macquarie Bank Ltd. – Bank of EA European Wts Apr 2007 B	200,000,000	2006/10/26	2007/04/30	53.80
09840	Macquarie Bank Ltd. – China Shenhua European Wts Jul 2007	200,000,000	2006/10/26	2007/07/19	51.00
09841	Macquarie Bank Ltd. – BankComm European Put Wts Apr 2007 E	150,000,000	2006/10/26	2007/04/27	39.15
09851	Macquarie Bank Ltd. – China Life European Wts May 2007 B	168,000,000	2006/10/26	2007/05/30	141.46
09860	Macquarie Bank Ltd. – China Life European Put Wts Jun 2007 A	88,000,000	2006/10/26	2007/06/28	121.70
09861	Macquarie Bank Ltd. – China Life European Put Wts Sep 2007	388,000,000	2006/10/26	2007/09/27	100.88
02490#	Macquarie Bank Ltd. – HSI European Put Warrants Mar 2007 A	1,000,000,000	2006/10/26	2007/03/29	92.00
02189#	Deutsche Bank AG – HSI European Put Warrants Mar 2007 D	200,000,000	2006/10/26	2007/03/29	33.00
09876	ABN AMRO Bank N.V. – Gold European Warrants Jun 2007	50,000,000	2006/10/27	2007/06/22	20.75
09874	ABN AMRO Bank N.V. – HKEx European Warrants Jun 2007	100,000,000	2006/10/27	2007/06/05	25.00
09875	ABN AMRO Bank N.V. – PetroChina European Warrants Jun 2007 A	100,000,000	2006/10/27	2007/06/04	56.00
09877	J P Morgan Int'l Der. Ltd. – N225 Index Euro Wts Jun 2007 A	300,000,000	2006/10/27	2007/06/07	108.00
09879	J P Morgan Int'l Der. Ltd. – N225 Index Euro Wts Sep 2007	360,000,000	2006/10/27	2007/09/13	104.40
09878	J P Morgan Int'l Der. Ltd. – N225 Index Euro Wts Jun 2007 B	390,000,000	2006/10/27	2007/06/07	109.20
09880	J P Morgan Int'l Der. Ltd. – N225 Idx Euro Put Wts Jun 2007	330,000,000	2006/10/27	2007/06/07	105.60
09862	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts May 2007 A	278,000,000	2006/10/27	2007/05/08	97.30
09863	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jun 2007 B	368,000,000	2006/10/27	2007/06/01	99.36
09866	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jul 2007 B	388,000,000	2006/10/27	2007/07/03	97.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
09867	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2007 E	548,000,000	2006/10/27	2007/03/29	98.09
09871	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2007 C	548,000,000	2006/10/27	2007/04/27	137.00
09881	Macquarie Bank Ltd. – HSI European Put Warrants Apr 2007 E	388,000,000	2006/10/27	2007/04/27	99.72
09872	SGA Societe Generale Acceptance N.V. – CMob Euro Wts Apr 07E	204,000,000	2006/10/27	2007/04/30	99.96
09873	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2007 C	400,000,000	2006/10/27	2007/04/27	130.00
09578 [#]	Deutsche Bank AG – CC Bank European Warrants Feb 2007	100,000,000	2006/10/27	2007/02/12	20.70
09902	ABN AMRO Bank N.V. – ICBC European Warrants May 2007 A	200,000,000	2006/10/27	2007/05/07	50.00
09903	ABN AMRO Bank N.V. – ICBC European Warrants May 2007 B	200,000,000	2006/10/27	2007/05/07	50.00
09904	ABN AMRO Bank N.V. – ICBC European Warrants Jul 2007 A	200,000,000	2006/10/27	2007/07/16	50.00
09905	ABN AMRO Bank N.V. – ICBC European Warrants Aug 2007 A	200,000,000	2006/10/27	2007/08/27	50.00
09908	BOCI Asia Ltd. – ICBC European Warrants Aug 2007	500,000,000	2006/10/27	2007/08/15	125.00
09953	Calyon Fin P (G) Ltd. – ICBC European Warrants Apr 2007 A	300,000,000	2006/10/27	2007/04/27	93.00
09954	Calyon Fin P (G) Ltd. – ICBC European Warrants Apr 2007 B	300,000,000	2006/10/27	2007/04/27	76.50
09928	Citigroup Global Mkt H Inc. – ICBC European Wts Apr 2007 A	220,000,000	2006/10/27	2007/04/27	74.14
09929	Citigroup Global Mkt H Inc. – ICBC European Wts Apr 2007 B	280,000,000	2006/10/27	2007/04/27	71.40
09936	Citigroup Global Mkt H Inc. – ICBC European Wts Jun 2007 A	180,000,000	2006/10/27	2007/06/28	87.30
09909	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2007 A	288,000,000	2006/10/27	2007/05/02	92.16
09913	KBC Fin Products Int'l Ltd. – ICBC European Wts Jun 2007 A	400,000,000	2006/10/27	2007/06/05	100.00
09914	KBC Fin Products Int'l Ltd. – ICBC European Wts Aug 2007 A	400,000,000	2006/10/27	2007/08/02	100.00
09912	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2007 B	400,000,000	2006/10/27	2007/05/02	100.00
09915	KBC Fin Products Int'l Ltd. – ICBC European Put Wts May 2007	268,000,000	2006/10/27	2007/05/02	99.16
09900	CC Rabobank B.A. – ICBC European Warrants May 2007	160,000,000	2006/10/27	2007/05/18	40.00
09901	CC Rabobank B.A. – ICBC European Warrants Jul 2007	160,000,000	2006/10/27	2007/07/18	40.00
09898	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2007 A	200,000,000	2006/10/27	2007/04/27	50.00
09899	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jun 2007 A	200,000,000	2006/10/27	2007/06/04	50.00
09951	UBS AG – ICBC European Warrants Jun 2007 A	100,000,000	2006/10/27	2007/06/25	25.00
09952	UBS AG – ICBC European Warrants Aug 2007 A	100,000,000	2006/10/27	2007/08/20	25.00
09882	BNP Paribas Arbit Issu B.V. – HSCEI European Wts May 2007 A	200,000,000	2006/10/31	2007/05/30	52.00
09886	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts May 2007 C	200,000,000	2006/10/31	2007/05/30	52.00
09885	Credit Suisse – Sinopec Corp European Warrants Aug 2007 A	100,000,000	2006/10/31	2007/08/08	58.00
09887	Credit Suisse – Sinopec Corp European Warrants Aug 2007 B	100,000,000	2006/10/31	2007/08/08	48.00
09888	Credit Suisse – CNOOC European Warrants Aug 2007	100,000,000	2006/10/31	2007/08/08	51.00
09891	Credit Suisse – PetroChina European Warrants Aug 2007	100,000,000	2006/10/31	2007/08/08	71.00
09892	Fortis Bank S.A./N.V. – CHALCO European Warrants May 2007	68,000,000	2006/10/31	2007/05/07	32.37
09893	Fortis Bank S.A./N.V. – China Shenhua Euro Wts Sep 2007	268,000,000	2006/10/31	2007/09/28	68.34
09894	Fortis Bank S.A./N.V. – Hutchison European Warrants May 2007	51,000,000	2006/10/31	2007/05/31	13.41
09897	Fortis Bank S.A./N.V. – Hutchison European Warrants Nov 2007	48,000,000	2006/10/31	2007/11/02	12.48
09973	ABN AMRO Bank N.V. – China Mobile European Warrants May 2007	150,000,000	2006/11/01	2007/05/15	55.50
09974	ABN AMRO Bank N.V. – ICBC European Warrants Jun 2007 A	200,000,000	2006/11/01	2007/06/26	66.60
09983	J P Morgan Int'l Der. Ltd. – Agile Ppt European Wts Jun 2007	50,000,000	2006/11/01	2007/06/28	65.00
09984	J P Morgan Int'l Der. Ltd. – Henderson Land Eur Wts May 2007	100,000,000	2006/11/01	2007/05/30	26.00
09989	J P Morgan Int'l Der. Ltd. – Sino Land European Wts May 2007	20,000,000	2006/11/01	2007/05/25	19.60
09988	J P Morgan Int'l Der. Ltd. – HKEx European Warrants May 2007	200,000,000	2006/11/01	2007/05/30	72.00
03448	J P Morgan Int'l Der. Ltd. – Wharf European Wts Jun 2007	100,000,000	2006/11/01	2007/06/28	25.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
09965	KBC Fin Products Int'l Ltd. – BOCL European Wts Jun 2007 A	338,000,000	2006/11/01	2007/06/08	98.70
09966	KBC Fin Products Int'l Ltd. – BOCL European Wts Aug 2007 A	388,000,000	2006/11/01	2007/08/10	98.55
09969	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Aug 2007 A	388,000,000	2006/11/01	2007/08/01	97.00
09970	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Sep 2007 A	368,000,000	2006/11/01	2007/09/03	92.00
09971	KBC Fin Products Int'l Ltd. – CC Bank Eur Put Wts Jun 2007 E	400,000,000	2006/11/01	2007/06/04	100.00
09955	UBS AG – China Life European Warrants May 2007 B	100,000,000	2006/11/01	2007/05/07	25.00
09956	UBS AG – China Life European Warrants May 2007 C	100,000,000	2006/11/01	2007/05/07	115.00
09964	UBS AG – China Life European Put Warrants May 2007	100,000,000	2006/11/01	2007/05/07	113.00
09458 [#]	KBC Fin Products Int'l Ltd. – BOCL European Wts Jan 2007 A	792,000,000	2006/11/01	2007/01/10	45.94
02202	Barclays Bank plc – ICBC European Warrants Jun 2007	50,000,000	2006/11/02	2007/06/28	12.50
03475	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jul 2007 B	200,000,000	2006/11/02	2007/07/16	50.00
03525	BNP Paribas Arbit Issu B.V. – CM Bank Euro Wts May 2007 B	100,000,000	2006/11/02	2007/05/02	69.00
02222	J P Morgan Int'l Der. Ltd. – Ch Mobile Euro Put Wts May 2007	200,000,000	2006/11/02	2007/05/25	62.00
03449	Macquarie Bank Ltd. – CIFH European Warrants Jun 2007 A	100,000,000	2006/11/02	2007/06/28	60.80
03474	Macquarie Bank Ltd. – PCCW European Warrants Jun 2007	150,000,000	2006/11/02	2007/06/28	56.40
02196	SGA Societe Generale Acceptance N.V. – CK(H) Eur Wt May 07 A	154,000,000	2006/11/02	2007/05/02	100.10
03526	SGA Societe Generale Acceptance N.V. – Ch Mob Eur Wts May 07	189,000,000	2006/11/02	2007/05/02	100.17
02195	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt May 07 A	204,000,000	2006/11/02	2007/05/02	99.96
02197	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 07A	400,000,000	2006/11/02	2007/04/27	84.00
02411 [#]	Macquarie Bank Ltd. – HSI European Put Warrants Feb 2007 D	1,000,000,000	2006/11/02	2007/02/27	122.00
02273	KBC Fin Products Int'l Ltd. – BankComm Euro Wts May 2007 B	138,000,000	2006/11/03	2007/05/15	96.60
02277	KBC Fin Products Int'l Ltd. – CMob European Wts Apr 2007	158,000,000	2006/11/03	2007/04/02	97.96
02282	KBC Fin Products Int'l Ltd. – CMob Euro Put Wts Apr 2007 B	400,000,000	2006/11/03	2007/04/02	80.80
02279	KBC Fin Products Int'l Ltd. – BankComm Eur Put Wt May 2007 F	388,000,000	2006/11/03	2007/05/08	97.00
02278	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2007 F	508,000,000	2006/11/03	2007/03/29	96.01
02223	Macquarie Bank Ltd. – Foxconn European Warrants Jun 2007	88,000,000	2006/11/03	2007/06/28	30.80
02267	Macquarie Bank Ltd. – Zijin Mining European Wts May 2007	88,000,000	2006/11/03	2007/05/30	64.94
02268	CC Rabobank B.A. – CC Bank European Warrants May 2007 B	160,000,000	2006/11/03	2007/05/28	40.00
02269	CC Rabobank B.A. – China Life European Warrants Jul 2007	160,000,000	2006/11/03	2007/07/20	41.60
02270	CC Rabobank B.A. – CM Bank European Warrants May 2007	160,000,000	2006/11/03	2007/05/28	108.80
02272	CC Rabobank B.A. – PetroChina European Warrants May 2007 B	160,000,000	2006/11/03	2007/05/28	102.40
02215 [#]	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2007 A	600,000,000	2006/11/03	2007/03/29	73.80
02255 [#]	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 07D	487,000,000	2006/11/03	2007/03/29	85.71
02293	BNP Paribas Arbit Issu B.V. – BOCHK European Wts Jun 2007	40,000,000	2006/11/06	2007/06/15	24.40
02295	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eur Wt May 2007 B	100,000,000	2006/11/06	2007/05/07	35.00
02296	BNP Paribas Arbit Issu B.V. – HSBC European Wts May 2007	100,000,000	2006/11/06	2007/05/14	25.00
02292	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts May 2007 C	400,000,000	2006/11/06	2007/05/30	140.00
02297	BNP Paribas Arbit Issu B.V. – HSI European Wts May 2007 A	400,000,000	2006/11/06	2007/05/30	120.00
02284	Deutsche Bank AG – HSBC European Warrants May 2007	100,000,000	2006/11/06	2007/05/08	36.00
04433	Goldman Sachs SP (Asia) – HKEx European Put Wts May 2007	150,000,000	2006/11/06	2007/05/07	153.00
04432	Goldman Sachs SP (Asia) – China Mobile Eur Put Wt Apr 2007 D	300,000,000	2006/11/06	2007/04/16	129.00
02299	KBC Fin Products Int'l Ltd. – BOCL European Wts Jun 2007 B	348,000,000	2006/11/06	2007/06/04	95.70
04430	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2007 C	288,000,000	2006/11/06	2007/05/08	95.04
04431	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2007 D	218,000,000	2006/11/06	2007/05/15	98.10

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
02280	Macquarie Bank Ltd. – HKEx European Warrants May 2007 B	128,000,000	2006/11/06	2007/05/30	54.66
02283	Macquarie Bank Ltd. – HKEx European Put Warrants May 2007	88,000,000	2006/11/06	2007/05/30	28.86
02298	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 07B	400,000,000	2006/11/06	2007/04/27	73.20
04439	Citigroup Global Mkt H Inc. – HSI European Warrants May 2007	250,000,000	2006/11/07	2007/05/30	91.25
04443	Citigroup Global Mkt H Inc. – HSI European Put Wts May 2007	250,000,000	2006/11/07	2007/05/30	92.50
04434	Credit Suisse – China Life European Warrants Nov 2007	50,000,000	2006/11/07	2007/11/08	12.65
04435	Credit Suisse – BankComm European Warrants Jul 2007	50,000,000	2006/11/07	2007/07/18	27.25
04436	Credit Suisse – China Mobile European Put Warrants May 2007	50,000,000	2006/11/07	2007/05/08	19.05
04437	Credit Suisse – China Life European Put Warrants Nov 2007	50,000,000	2006/11/07	2007/11/08	12.65
04448	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2007 E	268,000,000	2006/11/07	2007/05/02	96.21
04449	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2007 F	488,000,000	2006/11/07	2007/05/07	99.55
04442	KBC Fin Products Int'l Ltd. – HSBC European Wts Jun 2007 C	188,000,000	2006/11/07	2007/06/05	99.64
04441	KBC Fin Products Int'l Ltd. – HSBC European Wts Jun 2007 B	148,000,000	2006/11/07	2007/06/05	99.16
04440	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2007 D	508,000,000	2006/11/07	2007/04/27	96.01
04438	SGA Societe Generale Acceptance N.V. – ICBC Eur Wts May 2007	400,000,000	2006/11/07	2007/05/07	100.00
04864 [#]	Deutsche Bank AG – HSBC European Warrants Jan 2007	100,000,000	2006/11/07	2007/01/10	47.50
02189 [#]	Deutsche Bank AG – HSI European Put Warrants Mar 2007 D	400,000,000	2006/11/07	2007/03/29	53.60
04455	ABN AMRO Bank N.V. – Sinopec Corp European Warrants May 2007	150,000,000	2006/11/08	2007/05/08	37.50
04456	ABN AMRO Bank N.V. – Sinopec Corp European Wts Jun 2007 A	150,000,000	2006/11/08	2007/06/04	37.50
04454	Citigroup Global Mkt H Inc. – CM Bank European Wts May 2007	90,000,000	2006/11/08	2007/05/14	55.80
04458	KBC Fin Products Int'l Ltd. – HSBC European Wts Jun 2007 D	168,000,000	2006/11/08	2007/06/15	92.40
04460	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2007	268,000,000	2006/11/08	2007/09/03	94.87
04459	KBC Fin Products Int'l Ltd. – HSBC European Wts Jun 2007 E	268,000,000	2006/11/08	2007/06/01	99.16
04457	KBC Fin Products Int'l Ltd. – HSCEI European Wts Jun 2007 A	388,000,000	2006/11/08	2007/06/28	97.78
04462	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2007 C	400,000,000	2006/11/08	2007/06/28	100.00
04450	Macquarie Bank Ltd. – PetroChina European Put Wts May 2007	88,000,000	2006/11/08	2007/05/30	53.15
04451	Macquarie Bank Ltd. – Cathay Pacific European Wts Jun 2008 C	88,000,000	2006/11/08	2008/06/27	22.18
04452	Macquarie Bank Ltd. – China Mobile European Wts May 2007 A	250,000,000	2006/11/08	2007/05/30	97.00
04453	Macquarie Bank Ltd. – Huaneng Power European Wts Jul 2007	88,000,000	2006/11/08	2007/07/30	22.09
02117 [#]	Deutsche Bank AG – HSI European Put Warrants Mar 2007 B	400,000,000	2006/11/08	2007/03/29	30.00
02218 [#]	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2007 B	600,000,000	2006/11/08	2007/03/29	66.00
09590 [#]	Macquarie Bank Ltd. – HSI European Put Warrants Apr 2007 C	1,000,000,000	2006/11/08	2007/04/27	143.00
04480	Citigroup Global Mkt H Inc. – BOCL European Wts Jun 2007 A	200,000,000	2006/11/09	2007/06/28	51.00
04463	Deutsche Bank AG – CC Bank European Warrants Jun 2007 A	100,000,000	2006/11/09	2007/06/11	26.00
04471	Deutsche Bank AG – China Mobile European Warrants May 2007	100,000,000	2006/11/09	2007/05/30	46.00
04472	Deutsche Bank AG – CC Bank European Put Warrants Jun 2007 B	100,000,000	2006/11/09	2007/06/18	28.00
04473	Deutsche Bank AG – China Mobile European Put Wts May 2007	100,000,000	2006/11/09	2007/05/30	47.00
04488	Deutsche Bank AG – HSI European Warrants May 2007 D	200,000,000	2006/11/09	2007/05/30	51.00
04490	Deutsche Bank AG – HSI European Put Warrants May 2007 E	200,000,000	2006/11/09	2007/05/30	60.00
04494	KBC Fin Products Int'l Ltd. – Sinopec Corp Eur Wt Jun 2007 A	188,000,000	2006/11/09	2007/06/20	84.60
04495	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Jul 2007 A	488,000,000	2006/11/09	2007/07/03	141.52
04493	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Jun 2007 A	148,000,000	2006/11/09	2007/06/15	75.48
04492	KBC Fin Products Int'l Ltd. – HSI European Wts May 2007 A	400,000,000	2006/11/09	2007/05/30	100.00
04496	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2007 E	548,000,000	2006/11/09	2007/04/27	103.02

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
04475	KBC Fin Products Int'l Ltd. – N225 Idx European Wts Jun 2007	400,000,000	2006/11/09	2007/06/08	100.00
04481	KBC Fin Products Int'l Ltd. – N225 Idx Euro Put Wts Jun 07 A	400,000,000	2006/11/09	2007/06/08	100.00
04482	KBC Fin Products Int'l Ltd. – N225 Idx Euro Put Wts Jun 07 B	400,000,000	2006/11/09	2007/06/08	100.00
04483	Macquarie Bank Ltd. – China Mobile Euro Put Wts May 2007 B	250,000,000	2006/11/09	2007/05/30	100.25
04491	Macquarie Bank Ltd. – HSI European Warrants May 2007 A	388,000,000	2006/11/09	2007/05/30	98.55
04484	CC Rabobank B.A. – China Life European Warrants Jun 2007 C	160,000,000	2006/11/09	2007/06/18	40.00
04485	CC Rabobank B.A. – CM Bank European Warrants Aug 2007 A	160,000,000	2006/11/09	2007/08/28	204.80
04486	CC Rabobank B.A. – HKEx European Warrants May 2007 B	160,000,000	2006/11/09	2007/05/30	88.00
04487	CC Rabobank B.A. – HSBC European Warrants Jun 2007	100,000,000	2006/11/09	2007/06/08	44.00
04476	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2007 D	500,000,000	2006/11/09	2007/04/27	111.00
04479	SGA Societe Generale Acceptance N.V. – HWL Euro Wts May 2007	250,000,000	2006/11/09	2007/05/09	82.50
09742 [#]	KBC Fin Products Int'l Ltd. – HWL European Wts Feb 2007 B	430,000,000	2006/11/09	2007/02/15	99.33
09909 [#]	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2007 A	390,000,000	2006/11/09	2007/05/02	198.90
09912 [#]	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2007 B	260,000,000	2006/11/09	2007/05/02	97.50
04500	BNP Paribas Arbit Issu B.V. – CC Bank European Wts May 2007	200,000,000	2006/11/10	2007/05/31	50.00
04503	BNP Paribas Arbit Issu B.V. – China Mobile Euro Wts Jun 2007	200,000,000	2006/11/10	2007/06/15	116.00
04524	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Put Wt Jun 2007	200,000,000	2006/11/10	2007/06/15	158.00
04525	BNP Paribas Arbit Issu B.V. – PetroChina Euro Wts May 2007	100,000,000	2006/11/10	2007/05/31	56.00
04526	BNP Paribas Arbit Issu B.V. – HSI European Wts Mar 2007 B	400,000,000	2006/11/10	2007/03/29	108.00
04513	Fortis Bank S.A./N.V. – ICBC European Warrants Jun 2007	100,000,000	2006/11/10	2007/06/06	25.00
04514	Fortis Bank S.A./N.V. – Sinopec Corp European Wts Jul 2007	39,000,000	2006/11/10	2007/07/06	12.71
04521	Fortis Bank S.A./N.V. – ICBC European Warrants Jun 2008	80,000,000	2006/11/10	2008/06/04	22.00
04529	Goldman Sachs SP (Asia) – SHK Ppt European Warrants Apr 2007	200,000,000	2006/11/10	2007/04/23	74.00
04528	Goldman Sachs SP (Asia) – HSBC European Warrants Feb 2007 B	200,000,000	2006/11/10	2007/02/26	86.00
04527	Goldman Sachs SP (Asia) – China Mobile Euro Wts May 2007 A	300,000,000	2006/11/10	2007/05/14	162.00
04532	Goldman Sachs SP (Asia) – HSCEI European Warrants May 2007	400,000,000	2006/11/10	2007/05/30	100.00
04539	Goldman Sachs SP (Asia) – HSCEI European Put Wts May 2007	400,000,000	2006/11/10	2007/05/30	100.00
04531	Goldman Sachs SP (Asia) – HSI European Warrants May 2007 A	400,000,000	2006/11/10	2007/05/30	100.00
04535	Goldman Sachs SP (Asia) – HSI European Put Warrants May 2007	400,000,000	2006/11/10	2007/05/30	100.00
04522	J P Morgan Int'l Der. Ltd. – BOCL European Wts Mar 2007 B	500,000,000	2006/11/10	2007/03/01	75.00
04523	J P Morgan Int'l Der. Ltd. – HSBC European Wts Feb 2007 B	200,000,000	2006/11/10	2007/02/14	72.00
04533	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jun 2007	318,000,000	2006/11/10	2007/06/06	98.58
04540	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jul 2007 A	400,000,000	2006/11/10	2007/07/05	100.00
04497	Macquarie Bank Ltd. – HSBC European Warrants May 2007 B	300,000,000	2006/11/10	2007/05/30	79.80
04498	Macquarie Bank Ltd. – Lenovo European Warrants May 2007	88,000,000	2006/11/10	2007/05/14	28.78
04499	Macquarie Bank Ltd. – Yanzhou Coal European Wts Jun 2007	88,000,000	2006/11/10	2007/06/28	31.94
04502	Macquarie Bank Ltd. – HSBC European Put Warrants May 2007	200,000,000	2006/11/10	2007/05/30	135.40
04507	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jun 2007 A	400,000,000	2006/11/10	2007/06/04	100.00
04508	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt May07A	250,000,000	2006/11/10	2007/05/21	150.00
04509	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt May07A	300,000,000	2006/11/10	2007/05/21	234.00
04506	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2007 B	500,000,000	2006/11/10	2007/05/30	202.50
04504	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2007 A	500,000,000	2006/11/10	2007/05/30	135.00
04511	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 07E	500,000,000	2006/11/10	2007/05/30	147.50
09799 [#]	Deutsche Bank AG – HSI European Put Warrants Apr 2007	400,000,000	2006/11/10	2007/04/27	56.80

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
04543	ABN AMRO Bank N.V. – BankComm European Warrants May 2007 B	100,000,000	2006/11/13	2007/05/14	25.60
04545	ABN AMRO Bank N.V. – HSBC European Warrants May 2007 C	100,000,000	2006/11/13	2007/05/28	27.00
04548	ABN AMRO Bank N.V. – ICBC European Warrants May 2007 C	200,000,000	2006/11/13	2007/05/28	50.00
04544	ABN AMRO Bank N.V. – China Tel European Warrants Jun 2007 A	200,000,000	2006/11/13	2007/06/28	50.00
04542	ABN AMRO Bank N.V. – China Mobile European Warrants Jun 2007	150,000,000	2006/11/13	2007/06/20	63.75
04547	ABN AMRO Bank N.V. – China Mobile European Put Wts Jun 2007	150,000,000	2006/11/13	2007/06/12	55.50
04550	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Jun 2007 A	368,000,000	2006/11/13	2007/06/18	95.68
04557	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Wts Aug 2007 A	118,000,000	2006/11/13	2007/08/01	99.12
04555	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Jul 2007	168,000,000	2006/11/13	2007/07/05	90.72
04553	KBC Fin Products Int'l Ltd. – HSI European Wts May 2007 B	400,000,000	2006/11/13	2007/05/30	100.00
04554	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2007 F	648,000,000	2006/11/13	2007/04/27	97.85
04541	Macquarie Bank Ltd. – China Unicom European Wts May 2007	150,000,000	2006/11/13	2007/05/30	67.05
04549	Macquarie Bank Ltd. – Sinopec Corp European Wts May 2007	200,000,000	2006/11/13	2007/05/30	64.00
09592#	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Put Wt Apr 2007	140,000,000	2006/11/13	2007/04/17	28.00
04616	BNP Paribas Arbit Issu B.V. – ICBC European Wts May 2007 A	200,000,000	2006/11/14	2007/05/14	80.00
04617	BNP Paribas Arbit Issu B.V. – ICBC European Wts May 2007 B	200,000,000	2006/11/14	2007/05/14	50.00
04625	Deutsche Bank AG – China Life European Warrants May 2007	100,000,000	2006/11/14	2007/05/21	18.90
04587	Deutsche Bank AG – HSI European Warrants May 2007 A	200,000,000	2006/11/14	2007/05/30	50.00
04591	Deutsche Bank AG – HSI European Put Warrants May 2007 B	200,000,000	2006/11/14	2007/05/30	58.00
04640	Goldman Sachs SP (Asia) – BankComm European Wts May 2007 A	200,000,000	2006/11/14	2007/05/14	86.00
04639	Goldman Sachs SP (Asia) – China Mobile Euro Wts May 2007 B	300,000,000	2006/11/14	2007/05/14	138.00
04642	Goldman Sachs SP (Asia) – CM Bank European Wts Apr 2007 D	100,000,000	2006/11/14	2007/04/02	77.00
04643	Goldman Sachs SP (Asia) – China Mobile Euro Put Wts May 2007	300,000,000	2006/11/14	2007/05/14	177.00
04644	Goldman Sachs SP (Asia) – HSI European Warrants May 2007 B	400,000,000	2006/11/14	2007/05/30	100.00
04646	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2007 A	400,000,000	2006/11/14	2007/04/27	100.00
04612	HK Bank – China Life European Warrants Dec 2007	100,000,000	2006/11/14	2007/12/14	28.00
04624	J P Morgan Int'l Der. Ltd. – Ch Overseas Euro Wts Jun 2007	200,000,000	2006/11/14	2007/06/15	150.00
04623	J P Morgan Int'l Der. Ltd. – China Netcom Euro Wts Jun 2007	100,000,000	2006/11/14	2007/06/15	85.00
04620	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2007	400,000,000	2006/11/14	2007/05/18	96.00
04619	J P Morgan Int'l Der. Ltd. – BankComm Euro Wts Jun 2007 B	300,000,000	2006/11/14	2007/06/15	135.00
04621	J P Morgan Int'l Der. Ltd. – CM Bank European Wts Apr 2007 B	150,000,000	2006/11/14	2007/04/11	120.00
04629	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Jul 2007 B	388,000,000	2006/11/14	2007/07/05	97.00
04638	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts May 2007	228,000,000	2006/11/14	2007/05/30	95.76
04628	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Jul 2007 A	238,000,000	2006/11/14	2007/07/03	96.87
04636	KBC Fin Products Int'l Ltd. – ICBC European Wts Jun 2007 B	400,000,000	2006/11/14	2007/06/01	100.00
04630	KBC Fin Products Int'l Ltd. – Huaneng Power Eur Wts Jun 2007	248,000,000	2006/11/14	2007/06/18	99.20
04602	Macquarie Bank Ltd. – BankComm European Warrants Jun 2007 A	250,000,000	2006/11/14	2007/06/28	97.25
04604	Macquarie Bank Ltd. – CM Bank European Warrants May 2007 A	100,000,000	2006/11/14	2007/05/30	113.30
04603	Macquarie Bank Ltd. – CM Bank European Warrants Dec 2007 A	388,000,000	2006/11/14	2007/12/27	100.49
04558	Macquarie Bank Ltd. – China Mobile European Wts May 2007 C	250,000,000	2006/11/14	2007/05/30	117.25
04559	Macquarie Bank Ltd. – China Mobile European Wts May 2007 D	250,000,000	2006/11/14	2007/05/30	81.75
04560	Macquarie Bank Ltd. – China Telecom European Wts May 2007 B	200,000,000	2006/11/14	2007/05/30	50.80
04580	Macquarie Bank Ltd. – China Mobile Euro Put Wts May 2007 E	250,000,000	2006/11/14	2007/05/30	112.25
04601	Macquarie Bank Ltd. – HSI European Warrants May 2007 B	388,000,000	2006/11/14	2007/05/30	98.16

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
04605	Macquarie Bank Ltd. – HSI European Put Warrants May 2007 C	388,000,000	2006/11/14	2007/05/30	97.00
04606	Macquarie Bank Ltd. – HSI European Put Warrants May 2007 D	388,000,000	2006/11/14	2007/05/30	98.55
04589	SGA Societe Generale Acceptance N.V. – CMB Euro Wts May 2007	300,000,000	2006/11/14	2007/05/14	303.00
04590	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Aug 2008	200,000,000	2006/11/14	2008/08/25	50.00
04600	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2007 A	200,000,000	2006/11/14	2007/07/03	68.00
04599	SGA Societe Generale Acceptance N.V. – ICBC Eu Put Wt Jun 07	200,000,000	2006/11/14	2007/06/04	50.00
04598	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2007 C	500,000,000	2006/11/14	2007/05/30	125.00
04588	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 07E	500,000,000	2006/11/14	2007/04/27	82.50
04607	UBS AG – China Mobile European Warrants Jun 2007	100,000,000	2006/11/14	2007/06/25	40.00
04609	UBS AG – China Tel European Warrants Jun 2007	100,000,000	2006/11/14	2007/06/11	25.00
04610	UBS AG – HSBC European Warrants Jun 2007	100,000,000	2006/11/14	2007/06/11	25.00
04611	UBS AG – China Mobile European Put Warrants Jun 2007	100,000,000	2006/11/14	2007/06/25	43.50
09903 [#]	ABN AMRO Bank N.V. – ICBC European Warrants May 2007 B	200,000,000	2006/11/14	2007/05/07	37.00
04669	BOCI Asia Ltd. – China Life European Warrants Sep 2007	500,000,000	2006/11/15	2007/09/03	125.00
04670	BOCI Asia Ltd. – CM Bank European Warrants Sep 2007	25,000,000	2006/11/15	2007/09/04	12.25
04671	BOCI Asia Ltd. – Foxconn European Warrants Sep 2007	300,000,000	2006/11/15	2007/09/05	120.00
04672	BOCI Asia Ltd. – HSBC European Warrants Sep 2007	200,000,000	2006/11/15	2007/09/06	100.00
04675	BOCI Asia Ltd. – SHK Ppt European Warrants Sep 2007	150,000,000	2006/11/15	2007/09/07	73.50
04647	Deutsche Bank AG – China Mobile European Warrants Jun 2007	100,000,000	2006/11/15	2007/06/11	45.00
04654	Deutsche Bank AG – China Mobile European Put Wts Jun 2007	100,000,000	2006/11/15	2007/06/11	55.00
04676	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Apr 2007	150,000,000	2006/11/15	2007/04/30	73.50
04677	Goldman Sachs SP (Asia) – HSBC European Put Wts May 2007	150,000,000	2006/11/15	2007/05/21	115.50
04678	Macquarie Bank Ltd. – ICBC European Warrants May 2007 A	388,000,000	2006/11/15	2007/05/30	100.49
04679	Macquarie Bank Ltd. – ICBC European Warrants Apr 2007 A	388,000,000	2006/11/15	2007/04/30	75.27
04680	Macquarie Bank Ltd. – ICBC European Warrants Apr 2007 B	388,000,000	2006/11/15	2007/04/27	58.59
04648	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 2007	500,000,000	2006/11/15	2007/06/04	265.00
04650	SGA Societe Generale Acceptance N.V. – CK(H) Eur Wt May 07 B	200,000,000	2006/11/15	2007/05/21	81.00
04653	SGA Societe Generale Acceptance N.V. – HWL Euro Wts Jun 2007	400,000,000	2006/11/15	2007/06/04	104.00
04665	SGA Societe Generale Acceptance N.V. – SHK P Eur Wt May 2007	200,000,000	2006/11/15	2007/05/21	124.00
04666	SGA Societe Generale Acceptance N.V. – SHK P Eur Wt Jun 2007	200,000,000	2006/11/15	2007/06/18	97.00
04668	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Jun 07	500,000,000	2006/11/15	2007/06/04	335.00
09228 [#]	Credit Suisse – Hutchison European Warrants Jan 2007 A	200,000,000	2006/11/15	2007/01/18	20.80
01283 [#]	SGA Societe Generale Acceptance N.V. – HWL Euro Wts Mar 2007	100,000,000	2006/11/15	2007/03/19	16.70
09591 [#]	Macquarie Bank Ltd. – HSI European Put Warrants Apr 2007 D	1,000,000,000	2006/11/15	2007/04/27	156.00
04693	ABN AMRO Bank N.V. – China Mobile European Warrants Jul 2007	150,000,000	2006/11/16	2007/07/03	81.00
04694	ABN AMRO Bank N.V. – China Tel European Warrants Jun 2007 B	200,000,000	2006/11/16	2007/06/28	50.00
04691	ABN AMRO Bank N.V. – Oil Futures European Warrants May 2007	40,000,000	2006/11/16	2007/05/23	10.40
04695	ABN AMRO Bank N.V. – Oil Futures European Put Wts May 2007	40,000,000	2006/11/16	2007/05/23	15.60
04698	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Sep 2009 B	148,000,000	2006/11/16	2009/09/09	99.16
04699	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Sep 2009 B	218,000,000	2006/11/16	2009/09/09	96.36
04700	KBC Fin Products Int'l Ltd. – China Life Euro Wts Sep 2009	338,000,000	2006/11/16	2009/09/29	98.02
04701	KBC Fin Products Int'l Ltd. – CM Bank European Wts May 2007	108,000,000	2006/11/16	2007/05/30	92.88
04711	KBC Fin Products Int'l Ltd. – ICBC European Wts Sep 2009	268,000,000	2006/11/16	2009/09/29	93.80
04681	SGA Societe Generale Acceptance N.V. – CTel Eur Wts May 2007	300,000,000	2006/11/16	2007/05/28	78.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
04687	SGA Societe Generale Acceptance N.V. – CTel Eur Wts Jul 2007	300,000,000	2006/11/16	2007/07/30	75.00
04689	SGA Societe Generale Acceptance N.V. – CNOOC Euro Wts Jun 07	300,000,000	2006/11/16	2007/06/11	159.00
04690	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt May 07 B	300,000,000	2006/11/16	2007/05/21	153.00
03713 [#]	CC Rabobank B.A. – MTR Corp European Warrants Jul 2007	180,000,000	2006/11/16	2007/07/18	11.16
04754	ABN AMRO Bank N.V. – BankComm European Warrants Jun 2007 A	100,000,000	2006/11/17	2007/06/01	32.00
04764	ABN AMRO Bank N.V. – China Tel European Warrants Jun 2007 C	200,000,000	2006/11/17	2007/06/11	50.00
04765	ABN AMRO Bank N.V. – PetroChina European Warrants Jun 2007 B	100,000,000	2006/11/17	2007/06/11	56.00
04766	ABN AMRO Bank N.V. – PetroChina European Warrants Jul 2007 A	100,000,000	2006/11/17	2007/07/03	51.00
04767	Barclays Bank plc – BankComm European Warrants May 2007	50,000,000	2006/11/17	2007/05/22	13.00
04768	BNP Paribas Arbit Issu B.V. – HSI European Wts May 2007 B	400,000,000	2006/11/17	2007/05/30	116.00
04772	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts May 2007 D	400,000,000	2006/11/17	2007/05/30	124.00
04712	Deutsche Bank AG – BankComm European Warrants May 2007 A	100,000,000	2006/11/17	2007/05/17	56.00
04750	Deutsche Bank AG – CM Bank European Warrants May 2007 B	100,000,000	2006/11/17	2007/05/21	161.00
04753	Deutsche Bank AG – CM Bank European Warrants May 2007 C	100,000,000	2006/11/17	2007/05/29	126.00
04744	Deutsche Bank AG – ICBC European Warrants May 2007 A	100,000,000	2006/11/17	2007/05/21	31.00
04749	Deutsche Bank AG – ICBC European Warrants May 2007 B	100,000,000	2006/11/17	2007/05/28	25.00
04787	Goldman Sachs SP (Asia) – ICBC European Warrants Apr 2007 A	80,000,000	2006/11/17	2007/04/23	16.00
04791	Goldman Sachs SP (Asia) – ICBC European Warrants Apr 2007 B	70,000,000	2006/11/17	2007/04/23	10.50
04783	Goldman Sachs SP (Asia) – China Tel European Wts May 2007	200,000,000	2006/11/17	2007/05/21	78.00
04781	Goldman Sachs SP (Asia) – BankComm European Put Wts May 2007	200,000,000	2006/11/17	2007/05/21	102.00
04719	J P Morgan Int'l Der. Ltd. – MTRC European Warrants Jul 2007	30,000,000	2006/11/17	2007/07/16	20.40
04718	J P Morgan Int'l Der. Ltd. – Denway European Wts Nov 2007	150,000,000	2006/11/17	2007/11/09	82.50
04713	J P Morgan Int'l Der. Ltd. – Cathay Pac Euro Wts Jul 2007	30,000,000	2006/11/17	2007/07/16	14.40
04714	J P Morgan Int'l Der. Ltd. – Sinopec Corp Euro Wts Jul 2007	400,000,000	2006/11/17	2007/07/16	168.00
04717	J P Morgan Int'l Der. Ltd. – Datang European Wts Jul 2007	80,000,000	2006/11/17	2007/07/16	20.00
04769	CC Rabobank B.A. – BankComm European Warrants Jun 2007 B	160,000,000	2006/11/17	2007/06/08	91.20
04770	CC Rabobank B.A. – BankComm European Warrants Aug 2007 B	160,000,000	2006/11/17	2007/08/08	94.40
04771	CC Rabobank B.A. – CM Bank European Warrants Aug 2007 B	300,000,000	2006/11/17	2007/08/28	75.00
04780	CC Rabobank B.A. – CM Bank European Warrants Nov 2007	300,000,000	2006/11/17	2007/11/08	75.00
04720	SGA Societe Generale Acceptance N.V. – BOCom Eur Wt May 07 A	300,000,000	2006/11/17	2007/05/21	192.00
04723	SGA Societe Generale Acceptance N.V. – BOCom Eur Wt Jul 07 A	300,000,000	2006/11/17	2007/07/16	168.00
04727	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jun 2007 B	400,000,000	2006/11/17	2007/06/11	100.00
04728	SGA Societe Generale Acceptance N.V. – CLife Eur Wt Jun 07 A	300,000,000	2006/11/17	2007/06/25	480.00
04732	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Jul 07 A	300,000,000	2006/11/17	2007/07/03	147.00
04733	SGA Societe Generale Acceptance N.V. – Huaneng Eur Wt Jun 07	100,000,000	2006/11/17	2007/06/11	48.00
04734	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2007 B	400,000,000	2006/11/17	2007/07/03	100.00
09929 [#]	Citigroup Global Mkt H Inc. – ICBC European Wts Apr 2007 B	200,000,000	2006/11/17	2007/04/27	33.60
09530 [#]	Deutsche Bank AG – HSBC European Warrants Apr 2007 C	150,000,000	2006/11/17	2007/04/24	78.00
04794	BNP Paribas Arbit Issu B.V. – HSCEI European Wts May 2007 B	400,000,000	2006/11/20	2007/05/30	112.00
04810	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts May 2007 D	400,000,000	2006/11/20	2007/05/30	104.00
04796	HK Bank – ICBC European Warrants May 2007	150,000,000	2006/11/20	2007/05/28	40.50
04797	HK Bank – ICBC European Warrants Jul 2007	150,000,000	2006/11/20	2007/07/27	41.25
04800	HK Bank – ICBC European Warrants Sep 2007	150,000,000	2006/11/20	2007/09/24	37.50
04870	KBC Fin Products Int'l Ltd. – BankComm Euro Wts May 2007 C	148,000,000	2006/11/20	2007/05/22	97.68

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
04871	KBC Fin Products Int'l Ltd. – BankComm Euro Wts May 2007 D	188,000,000	2006/11/20	2007/05/22	99.64
04872	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2007 G	328,000,000	2006/11/20	2007/05/22	95.12
04873	KBC Fin Products Int'l Ltd. – ICBC European Wts Jun 2007 C	400,000,000	2006/11/20	2007/06/20	100.00
04880	KBC Fin Products Int'l Ltd. – ICBC European Wts Jul 2007 A	400,000,000	2006/11/20	2007/07/16	100.00
04827	Macquarie Bank Ltd. – CM Bank European Warrants May 2007 B	138,000,000	2006/11/20	2007/05/30	137.03
04828	Macquarie Bank Ltd. – CM Bank European Warrants May 2007 C	138,000,000	2006/11/20	2007/05/30	88.46
04844	Macquarie Bank Ltd. – BOCL European Warrants May 2007 A	388,000,000	2006/11/20	2007/05/30	97.78
04857	Macquarie Bank Ltd. – CM Bank European Put Warrants May 2007	88,000,000	2006/11/20	2007/05/30	42.50
04826	Macquarie Bank Ltd. – HSCEI European Warrants Jun 2007 A	188,000,000	2006/11/20	2007/06/28	47.38
04856	Macquarie Bank Ltd. – HSCEI European Put Warrants Jun 2007 B	188,000,000	2006/11/20	2007/06/28	47.19
04811	UBS AG – BankComm European Warrants Jun 2007 B	100,000,000	2006/11/20	2007/06/11	54.00
04824	UBS AG – CM Bank European Warrants Jun 2007	100,000,000	2006/11/20	2007/06/11	89.00
04825	UBS AG – Sinopec Corp European Warrants Jun 2007 B	100,000,000	2006/11/20	2007/06/25	39.00
02183 [#]	KBC Fin Products Int'l Ltd. – China Life Euro Wts Apr 2007 C	288,000,000	2006/11/20	2007/04/02	596.16
04488 [#]	Deutsche Bank AG – HSI European Warrants May 2007 D	400,000,000	2006/11/20	2007/05/30	82.40
06008	ABN AMRO Bank N.V. – BankComm European Warrants Jun 2007 B	100,000,000	2006/11/21	2007/06/20	27.50
06009	ABN AMRO Bank N.V. – CC Bank European Warrants Jun 2007 A	200,000,000	2006/11/21	2007/06/28	53.20
06002	BOCI Asia Ltd. – China Mobile European Warrants Sep 2007	300,000,000	2006/11/21	2007/09/06	135.00
06007	BOCI Asia Ltd. – China Mobile European Put Warrants Sep 2007	300,000,000	2006/11/21	2007/09/06	147.00
06003	BOCI Asia Ltd. – HK Gas European Warrants Sep 2007	150,000,000	2006/11/21	2007/09/03	49.50
06004	BOCI Asia Ltd. – Hutchison European Warrants Sep 2007	250,000,000	2006/11/21	2007/09/04	70.00
06005	BOCI Asia Ltd. – MTR European Warrants Sep 2007	200,000,000	2006/11/21	2007/09/05	60.00
06006	BOCI Asia Ltd. – Nine Dragons European Warrants Oct 2007 A	500,000,000	2006/11/21	2007/10/15	140.00
06001	Citigroup Global Mkt H Inc. – ICBC European Wts May 2007	380,000,000	2006/11/21	2007/05/30	96.14
04881	Credit Suisse – ICBC European Warrants Jun 2007	50,000,000	2006/11/21	2007/06/08	17.95
04882	Credit Suisse – ICBC European Warrants Jul 2007	50,000,000	2006/11/21	2007/07/18	15.45
04898	Credit Suisse – ICBC European Warrants Oct 2007	50,000,000	2006/11/21	2007/10/18	15.55
04976	Deutsche Bank AG – BankComm European Warrants May 2007 B	100,000,000	2006/11/21	2007/05/25	70.00
04958	Deutsche Bank AG – China Life European Warrants Jun 2007 C	200,000,000	2006/11/21	2007/06/11	50.00
04977	Deutsche Bank AG – ICBC European Warrants Jun 2007 A	100,000,000	2006/11/21	2007/06/18	25.00
06000	Deutsche Bank AG – China Life European Put Wts Jun 2007 D	200,000,000	2006/11/21	2007/06/18	50.00
06013	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Jun 2007 B	288,000,000	2006/11/21	2007/06/20	97.92
06012	KBC Fin Products Int'l Ltd. – BankComm Euro Wts May 2007 E	218,000,000	2006/11/21	2007/05/22	95.92
06011	KBC Fin Products Int'l Ltd. – BOCL European Wts Sep 2007 A	388,000,000	2006/11/21	2007/09/14	98.94
06010	KBC Fin Products Int'l Ltd. – BOCL European Wts Aug 2007 B	400,000,000	2006/11/21	2007/08/10	100.00
06014	KBC Fin Products Int'l Ltd. – HSCEI European Wts Jun 2007 B	400,000,000	2006/11/21	2007/06/28	100.00
04899	Macquarie Bank Ltd. – BankComm European Warrants Jun 2007 B	200,000,000	2006/11/21	2007/06/28	114.60
04946	Macquarie Bank Ltd. – BankComm European Warrants Jun 2007 C	200,000,000	2006/11/21	2007/06/28	79.00
04955	Macquarie Bank Ltd. – BankComm European Put Wts Jun 2007	200,000,000	2006/11/21	2007/06/28	91.40
04957	Macquarie Bank Ltd. – China Telecom European Wts May 2007 C	200,000,000	2006/11/21	2007/05/30	52.00
09881 [#]	Macquarie Bank Ltd. – HSI European Put Warrants Apr 2007 E	1,000,000,000	2006/11/21	2007/04/27	125.00
09585 [#]	Deutsche Bank AG – CC Bank European Warrants Mar 2007 A	200,000,000	2006/11/21	2007/03/19	98.00
06021	ABN AMRO Bank N.V. – CC Bank European Warrants Jun 2007 B	200,000,000	2006/11/22	2007/06/04	50.00
06022	ABN AMRO Bank N.V. – CC Bank European Warrants Jul 2007 A	200,000,000	2006/11/22	2007/07/09	50.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
06023	ABN AMRO Bank N.V. – ICBC European Warrants Jun 2007 B	200,000,000	2006/11/22	2007/06/20	50.00
06024	ABN AMRO Bank N.V. – ICBC European Warrants Jul 2007 B	200,000,000	2006/11/22	2007/07/09	50.00
06018	BOCI Asia Ltd. – BankComm European Warrants Sep 2007	250,000,000	2006/11/22	2007/09/10	107.50
06020	BOCI Asia Ltd. – TPV Technology European Warrants Sep 2007	20,000,000	2006/11/22	2007/09/12	13.80
06046	Deutsche Bank AG – CC Bank European Warrants Jun 2007 C	100,000,000	2006/11/22	2007/06/18	25.00
06047	Deutsche Bank AG – CC Bank European Warrants May 2007	100,000,000	2006/11/22	2007/05/30	36.00
06050	Deutsche Bank AG – CC Bank European Put Warrants Jun 2007 D	100,000,000	2006/11/22	2007/06/18	33.00
06028	Goldman Sachs SP (Asia) – CM Bank European Warrants May 2007	100,000,000	2006/11/22	2007/05/28	129.00
06027	Goldman Sachs SP (Asia) – China Life European Wts May 2007	100,000,000	2006/11/22	2007/05/28	143.00
06026	Goldman Sachs SP (Asia) – CC Bank European Wts May 2007 B	300,000,000	2006/11/22	2007/05/28	75.00
06025	Goldman Sachs SP (Asia) – BankComm European Wts May 2007 B	150,000,000	2006/11/22	2007/05/28	114.00
06045	Goldman Sachs SP (Asia) – China Life Euro Put Wts May 2007	100,000,000	2006/11/22	2007/05/28	197.00
06034	J P Morgan Int'l Der. Ltd. – HKEx European Warrants Jun 2007	100,000,000	2006/11/22	2007/06/29	38.00
06033	J P Morgan Int'l Der. Ltd. – China Tel European Wts Nov 2007	200,000,000	2006/11/22	2007/11/30	50.00
06029	J P Morgan Int'l Der. Ltd. – CHALCO European Wts Jun 2007	100,000,000	2006/11/22	2007/06/29	45.00
06031	J P Morgan Int'l Der. Ltd. – China Life Euro Wts Jun 2008	500,000,000	2006/11/22	2008/06/27	125.00
06030	J P Morgan Int'l Der. Ltd. – BankComm European Wts Aug 2007	100,000,000	2006/11/22	2007/08/03	38.00
06032	J P Morgan Int'l Der. Ltd. – CM Bank European Wts Dec 2008	500,000,000	2006/11/22	2008/12/29	125.00
06043	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jun 2007 C	368,000,000	2006/11/22	2007/06/07	97.15
06044	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Aug 2007 B	368,000,000	2006/11/22	2007/08/20	99.36
06040	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2007 H	288,000,000	2006/11/22	2007/05/22	97.92
06042	KBC Fin Products Int'l Ltd. – ICBC European Wts Aug 2007 B	368,000,000	2006/11/22	2007/08/01	92.00
06041	KBC Fin Products Int'l Ltd. – ICBC European Wts Jul 2007 B	348,000,000	2006/11/22	2007/07/16	97.44
06035	Macquarie Bank Ltd. – CC Bank European Warrants May 2007 B	300,000,000	2006/11/22	2007/05/30	96.30
06036	Macquarie Bank Ltd. – CC Bank European Warrants May 2007 C	388,000,000	2006/11/22	2007/05/30	100.10
06037	Macquarie Bank Ltd. – China Life European Wts Sep 2007 A	388,000,000	2006/11/22	2007/09/27	100.88
06038	Macquarie Bank Ltd. – ICBC European Warrants May 2007 B	288,000,000	2006/11/22	2007/05/30	91.30
06039	Macquarie Bank Ltd. – ICBC European Warrants May 2007 C	388,000,000	2006/11/22	2007/05/30	99.33
06015	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2007 A	400,000,000	2006/11/22	2007/07/03	100.00
06016	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Feb 2007 B	250,000,000	2006/11/22	2007/02/28	57.00
06017	SGA Societe Generale Acceptance N.V. – ICBC Eur Wts Aug 2007	400,000,000	2006/11/22	2007/08/06	100.00
09428 [#]	Deutsche Bank AG – BOCL European Warrants Feb 2007	100,000,000	2006/11/22	2007/02/26	14.40
09466 [#]	CC Rabobank B.A. – BOCL European Warrants Jun 2007	150,000,000	2006/11/22	2007/06/08	25.50
04609 [#]	UBS AG – China Tel European Warrants Jun 2007	200,000,000	2006/11/22	2007/06/11	73.00
06057	ABN AMRO Bank N.V. – BOCL European Warrants Jun 2007 A	200,000,000	2006/11/23	2007/06/25	70.40
06058	ABN AMRO Bank N.V. – BOCL European Warrants May 2007	200,000,000	2006/11/23	2007/05/25	51.80
06049	Deutsche Bank AG – ICBC European Warrants Jun 2007 B	100,000,000	2006/11/23	2007/06/11	25.00
06051	Deutsche Bank AG – ICBC European Warrants Jun 2007 C	100,000,000	2006/11/23	2007/06/26	25.00
06019	Deutsche Bank AG – BankComm European Put Warrants Jun 2007	100,000,000	2006/11/23	2007/06/18	61.00
06048	Deutsche Bank AG – HSCEI European Warrants May 2007	200,000,000	2006/11/23	2007/05/30	50.00
06052	Deutsche Bank AG – HSCEI European Put Warrants May 2007	200,000,000	2006/11/23	2007/05/30	50.00
06062	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts May 2007 B	268,000,000	2006/11/23	2007/05/23	93.80
06063	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jun 2007 D	400,000,000	2006/11/23	2007/06/01	100.00
06064	KBC Fin Products Int'l Ltd. – HS Bank European Wts Aug 2007	348,000,000	2006/11/23	2007/08/02	93.96

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
06053	Macquarie Bank Ltd. – CC Bank European Warrants May 2007 D	388,000,000	2006/11/23	2007/05/30	102.82
06054	Macquarie Bank Ltd. – CC Bank European Warrants Jun 2007	388,000,000	2006/11/23	2007/06/28	98.94
06056	Macquarie Bank Ltd. – CNOOC European Warrants May 2007	88,000,000	2006/11/23	2007/05/30	39.16
06055	Macquarie Bank Ltd. – Cheung Kong European Warrants May 2007	100,000,000	2006/11/23	2007/05/30	38.50
06059	CC Rabobank B.A. – BankComm European Warrants Jun 2007 C	160,000,000	2006/11/23	2007/06/20	113.60
06060	CC Rabobank B.A. – CC Bank European Warrants Jun 2007 B	160,000,000	2006/11/23	2007/06/20	43.20
06061	CC Rabobank B.A. – ICBC European Warrants Jun 2007	160,000,000	2006/11/23	2007/06/28	48.00
06067	UBS AG – BOCL European Warrants Jun 2007	100,000,000	2006/11/23	2007/06/11	25.00
06068	UBS AG – BOCL European Warrants Aug 2007	100,000,000	2006/11/23	2007/08/20	25.00
06069	UBS AG – CC Bank European Warrants Jun 2007 B	100,000,000	2006/11/23	2007/06/11	25.00
06070	UBS AG – CC Bank European Warrants Aug 2007 A	100,000,000	2006/11/23	2007/08/20	25.00
06075	UBS AG – HKEx European Warrants Jun 2007	100,000,000	2006/11/23	2007/06/11	32.00
06071	UBS AG – ICBC European Warrants Jun 2007 B	100,000,000	2006/11/23	2007/06/11	25.00
06072	UBS AG – ICBC European Warrants Aug 2007 B	100,000,000	2006/11/23	2007/08/20	25.00
06065	UBS AG – HSI European Warrants May 2007 A	200,000,000	2006/11/23	2007/05/30	50.00
06066	UBS AG – HSI European Warrants May 2007 B	200,000,000	2006/11/23	2007/05/30	50.00
06073	UBS AG – HSI European Put Warrants May 2007 C	200,000,000	2006/11/23	2007/05/30	50.00
06074	UBS AG – HSI European Put Warrants May 2007 D	200,000,000	2006/11/23	2007/05/30	50.00
09954 [#]	Calyon Fin P (G) Ltd. – ICBC European Warrants Apr 2007 B	500,000,000	2006/11/23	2007/04/27	142.50
04864 [#]	Deutsche Bank AG – HSBC European Warrants Jan 2007	200,000,000	2006/11/23	2007/01/10	66.00
06084	Calyon Fin P (G) Ltd. – BOCL European Warrants May 2007	300,000,000	2006/11/24	2007/05/25	76.50
06085	Calyon Fin P (G) Ltd. – Foxconn European Warrants Jun 2007	200,000,000	2006/11/24	2007/06/18	72.00
06086	Calyon Fin P (G) Ltd. – ICBC European Warrants May 2007	300,000,000	2006/11/24	2007/05/25	76.50
06087	Calyon Fin P (G) Ltd. – Zijin Mining European Wts Jul 2007	100,000,000	2006/11/24	2007/07/23	78.00
06076	Macquarie Bank Ltd. – China Tel European Warrants Jun 2007	250,000,000	2006/11/24	2007/06/28	68.75
06088	Macquarie Bank Ltd. – BOCL European Warrants May 2007 B	338,000,000	2006/11/24	2007/05/30	108.16
06089	Macquarie Bank Ltd. – BOCL European Warrants May 2007 C	388,000,000	2006/11/24	2007/05/30	99.33
06079	SGA Societe Generale Acceptance N.V. – BOCm Eur Wt Jun 07 A	300,000,000	2006/11/24	2007/06/04	153.00
06080	SGA Societe Generale Acceptance N.V. – CTel Eur Wts Jun 2007	300,000,000	2006/11/24	2007/06/04	108.00
06081	SGA Societe Generale Acceptance N.V. – HKEx Eur Wts Jun 2007	170,000,000	2006/11/24	2007/06/04	90.10
06077	SGA Societe Generale Acceptance N.V. – HSCEI Eur Wt Jun 07 A	200,000,000	2006/11/24	2007/06/28	50.00
06078	SGA Societe Generale Acceptance N.V. – HSCEI Eur Wt Jun 07 B	200,000,000	2006/11/24	2007/06/28	50.00
06082	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Jun07C	200,000,000	2006/11/24	2007/06/28	50.00
06083	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Jun07D	200,000,000	2006/11/24	2007/06/28	50.00
09644 [#]	Deutsche Bank AG – BOCL European Put Warrants Feb 2007	120,000,000	2006/11/24	2007/02/27	10.44
09530 [#]	Deutsche Bank AG – HSBC European Warrants Apr 2007 C	300,000,000	2006/11/24	2007/04/24	97.50
03639 [#]	Deutsche Bank AG – Nikkei 225 Index European Wts Mar 2007 A	300,000,000	2006/11/24	2007/03/08	15.60
01277 [#]	BNP Paribas Arbit Issu B.V. – HSBC European Wts Apr 2007	140,000,000	2006/11/24	2007/04/13	47.60
06090	ABN AMRO Bank N.V. – Sinopec Corp European Wts Jun 2007 B	200,000,000	2006/11/27	2007/06/20	54.60
06091	Calyon Fin P (G) Ltd. – CC Bank European Warrants Jun 2007	300,000,000	2006/11/27	2007/06/01	76.50
06092	Calyon Fin P (G) Ltd. – China Life European Wts Jun 2007 E	300,000,000	2006/11/27	2007/06/01	76.50
06093	Calyon Fin P (G) Ltd. – China Life European Wts Jul 2007 C	300,000,000	2006/11/27	2007/07/10	76.50
06094	KBC Fin Products Int'l Ltd. – BOCL European Wts Jun 2007 C	368,000,000	2006/11/27	2007/06/01	95.68
06097	KBC Fin Products Int'l Ltd. – China Life Euro Wts Aug 2007 B	368,000,000	2006/11/27	2007/08/17	95.68

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
06096	KBC Fin Products Int'l Ltd. – China Life Euro Wts Aug 2007 A	308,000,000	2006/11/27	2007/08/17	98.56
06100	KBC Fin Products Int'l Ltd. – ICBC European Wts Apr 2007	368,000,000	2006/11/27	2007/04/20	99.36
06101	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2007 I	388,000,000	2006/11/27	2007/05/28	99.33
06095	KBC Fin Products Int'l Ltd. – BankComm Eur Put Wt May 2007 G	248,000,000	2006/11/27	2007/05/28	101.68
06099	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2007 E	400,000,000	2006/11/27	2007/06/28	100.00
06098	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2007 D	400,000,000	2006/11/27	2007/06/28	100.00
06125	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Aug 2007	400,000,000	2006/11/28	2007/08/20	100.00
06126	BNP Paribas Arbit Issu B.V. – ICBC European Wts Jul 2007	200,000,000	2006/11/28	2007/07/16	52.00
06104	Calyon Fin P (G) Ltd. – CM Bank European Warrants Aug 2007	300,000,000	2006/11/28	2007/08/27	76.50
06105	Calyon Fin P (G) Ltd. – China Tel European Warrants Jul 2007	200,000,000	2006/11/28	2007/07/16	51.00
06106	Calyon Fin P (G) Ltd. – SHK Ppt European Warrants Jun 2007	100,000,000	2006/11/28	2007/06/11	50.00
06115	Deutsche Bank AG – BOCL European Warrants Jun 2007 A	200,000,000	2006/11/28	2007/06/28	94.00
06116	Deutsche Bank AG – BOCL European Warrants Jun 2007 B	200,000,000	2006/11/28	2007/06/28	50.00
06117	Deutsche Bank AG – BOCL European Warrants Apr 2007 B	200,000,000	2006/11/28	2007/04/27	50.00
06118	Deutsche Bank AG – BOCL European Put Warrants Jun 2007 D	200,000,000	2006/11/28	2007/06/18	56.00
06107	Macquarie Bank Ltd. – BOCHK European Warrants Jun 2008	388,000,000	2006/11/28	2008/06/27	98.16
06111	Macquarie Bank Ltd. – BOCHK European Put Warrants Jun 2008	388,000,000	2006/11/28	2008/06/27	99.33
06113	Macquarie Bank Ltd. – HKEx European Warrants Jul 2007 A	150,000,000	2006/11/28	2007/07/30	40.80
06114	Macquarie Bank Ltd. – Esprit European Warrants May 2007	150,000,000	2006/11/28	2007/05/30	43.95
06102	Macquarie Bank Ltd. – BOCL European Put Warrants Jul 2007 B	200,000,000	2006/11/28	2007/07/30	50.60
06103	Macquarie Bank Ltd. – ICBC European Put Warrants Jul 2007 C	200,000,000	2006/11/28	2007/07/30	53.60
06108	Macquarie Bank Ltd. – CM Bank European Warrants May 2007 D	138,000,000	2006/11/28	2007/05/30	164.22
06109	Macquarie Bank Ltd. – CM Bank European Warrants Dec 2007 B	388,000,000	2006/11/28	2007/12/28	98.94
06110	Macquarie Bank Ltd. – CM Bank European Warrants May 2007 E	168,000,000	2006/11/28	2007/05/30	159.10
06112	Macquarie Bank Ltd. – BOCL European Warrants Jun 2007	388,000,000	2006/11/28	2007/06/28	98.94
06119	SGA Societe Generale Acceptance N.V. – CLife Eur Wt Jun 07 B	300,000,000	2006/11/28	2007/06/04	474.00
06120	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Jun 2007	300,000,000	2006/11/28	2007/06/04	360.00
06121	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Jul 2007	300,000,000	2006/11/28	2007/07/09	315.00
06123	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jun 2007 B	400,000,000	2006/11/28	2007/06/04	148.00
06124	SGA Societe Generale Acceptance N.V. – Petch Eur Wt Jun 2007	150,000,000	2006/11/28	2007/06/11	97.50
06122	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2007 A	300,000,000	2006/11/28	2007/06/11	159.00
04472 [#]	Deutsche Bank AG – CC Bank European Put Warrants Jun 2007 B	200,000,000	2006/11/28	2007/06/18	21.00
06133	ABN AMRO Bank N.V. – CC Bank European Warrants Jun 2007 C	200,000,000	2006/11/29	2007/06/28	54.40
06134	ABN AMRO Bank N.V. – CC Bank European Warrants Jul 2007 B	200,000,000	2006/11/29	2007/07/16	50.60
06135	ABN AMRO Bank N.V. – Sinopec Corp European Wts Jun 2007 C	200,000,000	2006/11/29	2007/06/15	58.00
06136	ABN AMRO Bank N.V. – Sinopec Corp European Wts Jun 2007 D	200,000,000	2006/11/29	2007/06/28	50.60
06137	ABN AMRO Bank N.V. – Hutchison European Warrants May 2007 B	100,000,000	2006/11/29	2007/05/31	29.40
06140	Goldman Sachs SP (Asia) – China Tel European Wts Jun 2007 A	200,000,000	2006/11/29	2007/06/04	70.00
06139	Goldman Sachs SP (Asia) – CC Bank European Warrants Jun 2007	300,000,000	2006/11/29	2007/06/04	102.00
06138	Goldman Sachs SP (Asia) – BOC HK European Warrants Apr 2007	50,000,000	2006/11/29	2007/04/16	37.00
06141	Goldman Sachs SP (Asia) – HKEx European Warrants Jun 2007	80,000,000	2006/11/29	2007/06/04	58.40
06132	Goldman Sachs SP (Asia) – CM Bank European Warrants Jun 2007	100,000,000	2006/11/29	2007/06/04	132.00
06131	Goldman Sachs SP (Asia) – Bank of Comm European Wts Jun 2007	150,000,000	2006/11/29	2007/06/25	76.50
06130	Goldman Sachs SP (Asia) – BOCL European Warrants Apr 2007 B	350,000,000	2006/11/29	2007/04/23	80.50

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
06128	Goldman Sachs SP (Asia) – China Life European Wts Jun 2007 C	100,000,000	2006/11/29	2007/06/25	150.00
06129	Goldman Sachs SP (Asia) – China Mobile European Wts Jun 2007	300,000,000	2006/11/29	2007/06/04	117.00
06152	Goldman Sachs SP (Asia) – CC Bank European Put Wts Jun 2007	300,000,000	2006/11/29	2007/06/04	108.00
06151	Goldman Sachs SP (Asia) – Bank of Comm Euro Put Wts Jun 2007	150,000,000	2006/11/29	2007/06/04	109.50
06150	Goldman Sachs SP (Asia) – BOCL European Put Wts Jun 2007	350,000,000	2006/11/29	2007/06/04	108.50
06153	Goldman Sachs SP (Asia) – CM Bank European Put Wts Jun 2007	100,000,000	2006/11/29	2007/06/04	163.00
06144	KBC Fin Products Int'l Ltd. – BOC HK European Wts Oct 2007	368,000,000	2006/11/29	2007/10/02	92.00
06145	KBC Fin Products Int'l Ltd. – ICBC European Wts Jul 2007 C	368,000,000	2006/11/29	2007/07/20	92.00
06149	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Jul 2007 E	318,000,000	2006/11/29	2007/07/09	92.22
06143	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Aug 2007 C	368,000,000	2006/11/29	2007/08/20	99.36
06142	KBC Fin Products Int'l Ltd. – BOCL European Put Wts Jun 2007	400,000,000	2006/11/29	2007/06/28	100.00
06146	KBC Fin Products Int'l Ltd. – BOCL European Wts Apr 2007	288,000,000	2006/11/29	2007/04/23	74.88
06147	KBC Fin Products Int'l Ltd. – BOCL European Wts Jun 2007 D	288,000,000	2006/11/29	2007/06/21	83.52
06148	KBC Fin Products Int'l Ltd. – BOCL European Wts Jul 2007 B	388,000,000	2006/11/29	2007/07/19	98.55
06127	Macquarie Bank Ltd. – Sinopec Corp European Wts Jun 2007 A	150,000,000	2006/11/29	2007/06/28	49.05
06167	ABN AMRO Bank N.V. – China Tel European Warrants Jun 2007 D	200,000,000	2006/11/30	2007/06/04	50.40
06168	ABN AMRO Bank N.V. – PetroChina European Warrants Jun 2007 C	100,000,000	2006/11/30	2007/06/20	51.10
06157	Deutsche Bank AG – Cheung Kong European Warrants Jun 2007 A	200,000,000	2006/11/30	2007/06/04	100.40
06159	Deutsche Bank AG – PetroChina European Warrants Jun 2007	200,000,000	2006/11/30	2007/06/11	100.00
06161	Deutsche Bank AG – Sinopec Corp European Warrants Jun 2007 A	200,000,000	2006/11/30	2007/06/28	72.00
06162	Deutsche Bank AG – Sinopec Corp European Warrants Jun 2007 B	200,000,000	2006/11/30	2007/06/28	108.00
06158	Deutsche Bank AG – HSI European Warrants May 2007 C	200,000,000	2006/11/30	2007/05/30	50.00
06154	Deutsche Bank AG – HSI European Put Warrants May 2007 F	200,000,000	2006/11/30	2007/05/30	50.00
06155	Deutsche Bank AG – HSI European Put Warrants May 2007 G	200,000,000	2006/11/30	2007/05/30	50.00
06176	KBC Fin Products Int'l Ltd. – Ch Life Euro Put Wts May 2007	100,000,000	2006/11/30	2007/05/30	95.00
06172	KBC Fin Products Int'l Ltd. – CM Bank European Wts Aug 2007	400,000,000	2006/11/30	2007/08/23	100.00
06173	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wts Jun 2007 A	128,000,000	2006/11/30	2007/06/08	93.44
06177	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Put Wt May 2007	338,000,000	2006/11/30	2007/05/30	98.02
06174	KBC Fin Products Int'l Ltd. – HSCEI European Wts Jun 2007 F	400,000,000	2006/11/30	2007/06/28	100.00
06175	KBC Fin Products Int'l Ltd. – HSCEI European Wts Jun 2007 G	400,000,000	2006/11/30	2007/06/28	100.00
06165	Macquarie Bank Ltd. – HSCEI European Put Warrants May 2007 A	188,000,000	2006/11/30	2007/05/30	47.19
06166	Macquarie Bank Ltd. – HSCEI European Put Warrants May 2007 B	188,000,000	2006/11/30	2007/05/30	48.13
06170	Macquarie Bank Ltd. – HSCEI European Warrants May 2007	188,000,000	2006/11/30	2007/05/30	48.13
06163	Macquarie Bank Ltd. – ICBC European Warrants May 2007 D	338,000,000	2006/11/30	2007/05/30	94.30
06164	Macquarie Bank Ltd. – ICBC European Warrants May 2007 E	388,000,000	2006/11/30	2007/05/30	100.10
06156	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2007 D	500,000,000	2006/11/30	2007/05/30	125.00
06160	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 07F	500,000,000	2006/11/30	2007/05/30	130.00
06169	UBS AG – China Life European Warrants Jul 2007 A	100,000,000	2006/11/30	2007/07/16	136.00
06171	UBS AG – China Life European Warrants Jul 2007 B	100,000,000	2006/11/30	2007/07/16	88.00
06181	Citigroup Global Mkt H Inc. – BOCL European Wts Jul 2007 A	380,000,000	2006/12/01	2007/07/23	96.90
06182	Citigroup Global Mkt H Inc. – CC Bank European Wts Aug 2007	380,000,000	2006/12/01	2007/08/06	96.90
06183	Citigroup Global Mkt H Inc. – ICBC European Wts Jul 2007 A	380,000,000	2006/12/01	2007/07/30	96.90
06178	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Jun 2007 A	20,000,000	2006/12/01	2007/06/04	29.20
06180	Goldman Sachs SP (Asia) – China Mobile Euro Put Wts Jun 2007	150,000,000	2006/12/01	2007/06/04	130.50

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
06184	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wts Jun 2007 B	95,000,000	2006/12/01	2007/06/08	99.75
06190	KBC Fin Products Int'l Ltd. – Ch Mobile Eur Put Wts Jun 2007	208,000,000	2006/12/01	2007/06/01	99.84
06191	KBC Fin Products Int'l Ltd. – Sinopec Corp Eur Put Wt Jun 07	238,000,000	2006/12/01	2007/06/11	97.58
06185	KBC Fin Products Int'l Ltd. – Sinopec Corp Eur Wt Jun 2007 B	368,000,000	2006/12/01	2007/06/25	224.48
06186	KBC Fin Products Int'l Ltd. – Sinopec Corp Eur Wt Jun 2007 C	288,000,000	2006/12/01	2007/06/25	126.72
06193	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2007 D	400,000,000	2006/12/01	2007/06/28	100.00
06187	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jun 2007 A	78,000,000	2006/12/01	2007/06/18	93.60
06188	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jun 2007 B	108,000,000	2006/12/01	2007/06/18	91.80
06189	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jun 2007 C	168,000,000	2006/12/01	2007/06/18	97.44
06192	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Jun 2007	188,000,000	2006/12/01	2007/06/18	99.64
06179	Macquarie Bank Ltd. – CIFH European Warrants Jun 2007 B	150,000,000	2006/12/01	2007/06/28	82.80
06198	CC Rabobank B.A. – China Life European Warrants Aug 2007 B	160,000,000	2006/12/01	2007/08/28	41.60
06199	CC Rabobank B.A. – CM Bank European Warrants Aug 2007 C	160,000,000	2006/12/01	2007/08/28	40.00
06195	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jun 2007 C	400,000,000	2006/12/01	2007/06/04	136.00
06194	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2007 B	400,000,000	2006/12/01	2007/07/09	124.00
06196	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2007 B	400,000,000	2006/12/01	2007/04/27	122.00
06197	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jun 2007 C	400,000,000	2006/12/01	2007/06/18	112.00
09424#	BNP Paribas Arbit Issu B.V. – BOCL European Wts Mar 2007 A	160,000,000	2006/12/01	2007/03/05	28.00
09684#	BNP Paribas Arbit Issu B.V. – BOCL European Wts Mar 2007 B	160,000,000	2006/12/01	2007/03/08	13.76
04977#	Deutsche Bank AG – ICBC European Warrants Jun 2007 A	200,000,000	2006/12/01	2007/06/18	66.00
09475#	UBS AG – BOCL European Warrants Feb 2007 B	200,000,000	2006/12/01	2007/02/28	34.80
02103	Goldman Sachs SP (Asia) – ICBC European Warrants May 2007	100,000,000	2006/12/04	2007/05/28	27.00
02106	Goldman Sachs SP (Asia) – Henderson Land Euro Wts May 2007	40,000,000	2006/12/04	2007/05/28	12.40
02135	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jun 2007 C	368,000,000	2006/12/04	2007/06/04	99.36
02136	KBC Fin Products Int'l Ltd. – China Life Euro Wts Aug 2007 C	400,000,000	2006/12/04	2007/08/27	100.00
02112	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Jun 2007 A	128,000,000	2006/12/04	2007/06/08	96.00
02126	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Jun 2007 B	208,000,000	2006/12/04	2007/06/08	97.76
02139	KBC Fin Products Int'l Ltd. – CNOOC European Wts Jun 2007	300,000,000	2006/12/04	2007/06/20	99.00
02140	KBC Fin Products Int'l Ltd. – HKEx European Wts Jul 2007 A	118,000,000	2006/12/04	2007/07/03	99.12
02142	KBC Fin Products Int'l Ltd. – HKEx European Wts Jul 2007 B	168,000,000	2006/12/04	2007/07/03	99.12
02137	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Jun 2007 B	400,000,000	2006/12/04	2007/06/08	100.00
02138	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Jul 2007 C	308,000,000	2006/12/04	2007/07/03	95.48
02108	KBC Fin Products Int'l Ltd. – BOC HK European Wts Apr 2008	368,000,000	2006/12/04	2008/04/14	95.68
02102	SGA Societe Generale Acceptance N.V. – N225 Eu Wt Jun 2007 D	400,000,000	2006/12/04	2007/06/07	100.00
04830#	UBS AG – HWL European Warrants Feb 2007	200,000,000	2006/12/04	2007/02/26	17.00
02148	Credit Suisse – HSBC European Warrants Jun 2007	30,000,000	2006/12/05	2007/06/18	14.94
02146	Credit Suisse – China Tel European Warrants Jul 2007	50,000,000	2006/12/05	2007/07/18	14.65
02143	Credit Suisse – CC Bank European Warrants Jun 2007	50,000,000	2006/12/05	2007/06/18	20.35
02144	Credit Suisse – CC Bank European Warrants Aug 2007	50,000,000	2006/12/05	2007/08/08	18.70
02176	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts Jul 2007	288,000,000	2006/12/05	2007/07/19	92.16
02167	KBC Fin Products Int'l Ltd. – HSBC European Put Wts Jun 2007	188,000,000	2006/12/05	2007/06/15	99.64
02174	KBC Fin Products Int'l Ltd. – Hutchison Eu Put Wt Jul 2007 D	300,000,000	2006/12/05	2007/07/19	96.00
02151	Macquarie Bank Ltd. – CITIC Pacific European Wts Feb 2008	200,000,000	2006/12/05	2008/02/28	50.20
02153	Macquarie Bank Ltd. – Zijin Mining European Wts Jun 2007	88,000,000	2006/12/05	2007/06/28	71.02

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
02158	UBS AG – Cheung Kong European Warrants Jul 2007	100,000,000	2006/12/05	2007/07/16	51.00
02160	UBS AG – Hutchison European Warrants Jul 2007	100,000,000	2006/12/05	2007/07/16	31.00
02163	UBS AG – PetroChina European Warrants Jul 2007	100,000,000	2006/12/05	2007/07/16	59.00
02165	UBS AG – SHK Ppt European Warrants Jul 2007	100,000,000	2006/12/05	2007/07/16	40.00
04455 [#]	ABN AMRO Bank N.V. – Sinopec Corp European Warrants May 2007	100,000,000	2006/12/05	2007/05/08	54.00
09007	BNP Paribas Arbit Issu B.V. – BOCL European Wts Jun 2007	300,000,000	2006/12/06	2007/06/27	87.00
09022	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jun 2007 B	130,000,000	2006/12/06	2007/06/27	254.80
09113	Citigroup Global Mkt H Inc. – BOCL European Put Wts Aug 2007	150,000,000	2006/12/06	2007/08/13	75.00
09114	Citigroup Global Mkt H Inc. – China Life Euro Wts Jul 2007	60,000,000	2006/12/06	2007/07/30	95.40
09115	Citigroup Global Mkt H Inc. – China Life Eur Put Wt Aug 2007	230,000,000	2006/12/06	2007/08/30	69.00
09118	Citigroup Global Mkt H Inc. – China Life Euro Wts Nov 2007	320,000,000	2006/12/06	2007/11/29	80.00
09151	Citigroup Global Mkt H Inc. – ICBC European Put Wts Jul 2007	163,000,000	2006/12/06	2007/07/30	79.87
09152	J P Morgan Int'l Der. Ltd. – CC Bank Euro Put Wts Jun 2007	400,000,000	2006/12/06	2007/06/18	116.00
04958 [#]	Deutsche Bank AG – China Life European Warrants Jun 2007 C	200,000,000	2006/12/06	2007/06/11	46.00
09195	Citigroup Global Mkt H Inc. – BankComm Euro Wts Jul 2007 A	110,000,000	2006/12/07	2007/07/23	93.50
09227	Citigroup Global Mkt H Inc. – BankComm Euro Wts Jul 2007 B	110,000,000	2006/12/07	2007/07/30	81.40
09254	Citigroup Global Mkt H Inc. – CC Bank European Wts Jul 2007	300,000,000	2006/12/07	2007/07/23	94.50
09317	Citigroup Global Mkt H Inc. – CC Bank Euro Put Wts Aug 2007	200,000,000	2006/12/07	2007/08/06	79.00
09318	Citigroup Global Mkt H Inc. – CM Bank European Wts Aug 2007	300,000,000	2006/12/07	2007/08/16	79.50
09319	Citigroup Global Mkt H Inc. – CM Bank European Wts Sep 2007	300,000,000	2006/12/07	2007/09/20	78.00
09153	Deutsche Bank AG – Hutchison European Warrants Jun 2007 A	200,000,000	2006/12/07	2007/06/18	90.00
09154	Deutsche Bank AG – Hutchison European Warrants Jun 2007 B	200,000,000	2006/12/07	2007/06/25	138.00
09194	Deutsche Bank AG – ICBC European Put Warrants Jun 2007	200,000,000	2006/12/07	2007/06/28	60.00
09321	Deutsche Bank AG – Nikkei 225 Index European Wts Jun 2007 C	200,000,000	2006/12/07	2007/06/08	50.00
09322	Deutsche Bank AG – Nikkei 225 Index European Wts Jun 2007 D	200,000,000	2006/12/07	2007/06/08	50.00
09342	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Jun 2007 E	200,000,000	2006/12/07	2007/06/08	54.00
09461	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jul 2007 B	268,000,000	2006/12/07	2007/07/11	96.48
09414	KBC Fin Products Int'l Ltd. – CC Bank Eur Put Wts Jun 2007 F	388,000,000	2006/12/07	2007/06/27	97.00
09415	KBC Fin Products Int'l Ltd. – China Tel Eur Put Wts Jul 2007	368,000,000	2006/12/07	2007/07/05	95.68
09464	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Sep 2007 A	400,000,000	2006/12/07	2007/09/27	100.00
09478	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Sep 2007 B	400,000,000	2006/12/07	2007/09/27	100.00
09323	CC Rabobank B.A. – China Life European Warrants Sep 2007 A	160,000,000	2006/12/07	2007/09/18	40.80
09409	CC Rabobank B.A. – HKEx European Warrants Aug 2007	100,000,000	2006/12/07	2007/08/29	87.00
09410	CC Rabobank B.A. – SHK Ppt European Warrants Jun 2007	100,000,000	2006/12/07	2007/06/08	74.00
09413	CC Rabobank B.A. – SHK Ppt European Warrants Aug 2007	100,000,000	2006/12/07	2007/08/27	77.00
09535	ABN AMRO Bank N.V. – Foxconn European Warrants Jun 2007	100,000,000	2006/12/08	2007/06/11	25.40
09538	ABN AMRO Bank N.V. – HSBC European Warrants Jun 2007 A	100,000,000	2006/12/08	2007/06/08	26.00
09543	ABN AMRO Bank N.V. – PetroChina European Warrants Jun 2007 D	100,000,000	2006/12/08	2007/06/08	50.00
09546	ABN AMRO Bank N.V. – Ping An European Warrants Jun 2007	100,000,000	2006/12/08	2007/06/08	25.30
09516	Citigroup Global Mkt H Inc. – HSCEI Euro Put Wts Jun 2007	300,000,000	2006/12/08	2007/06/28	99.00
09512	Citigroup Global Mkt H Inc. – PICC European Wts Jul 2007	130,000,000	2006/12/08	2007/07/23	80.60
09517	SGA Societe Generale Acceptance N.V. – BOCm Eur Wt Jun 07 B	300,000,000	2006/12/08	2007/06/25	219.00
09518	SGA Societe Generale Acceptance N.V. – CK(H) Euro Wts Jun 07	400,000,000	2006/12/08	2007/06/11	268.00
09522	SGA Societe Generale Acceptance N.V. – CP&CC Euro Wts Jun 07	300,000,000	2006/12/08	2007/06/25	156.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
09532	SGA Societe Generale Acceptance N.V. – CNOOC Euro Wts Jul 07	300,000,000	2006/12/08	2007/07/09	171.00
09533	SGA Societe Generale Acceptance N.V. – Petch Eur Wt Jul 07 A	300,000,000	2006/12/08	2007/07/09	258.00
03854 [#]	Macquarie Bank Ltd. – China Shenhua European Wts Feb 2007	288,000,000	2006/12/08	2007/02/14	44.64
04514 [#]	Fortis Bank S.A./N.V. – Sinopec Corp European Wts Jul 2007	33,000,000	2006/12/08	2007/07/06	22.77
09555	BNP Paribas Arbit Issu B.V. – HSI European Warrants Jun 2007	400,000,000	2006/12/11	2007/06/28	100.00
09551	J P Morgan Int'l Der. Ltd. – China Life Eur Put Wts Jun 2007	50,000,000	2006/12/11	2007/06/15	75.00
09550	J P Morgan Int'l Der. Ltd. – BankComm Euro Put Wts May 2007	100,000,000	2006/12/11	2007/05/21	25.00
09620	KBC Fin Products Int'l Ltd. – HSI European Wts Aug 2007 A	338,000,000	2006/12/11	2007/08/30	98.02
09625	KBC Fin Products Int'l Ltd. – HSI European Wts Aug 2007 B	400,000,000	2006/12/11	2007/08/30	100.00
09616	KBC Fin Products Int'l Ltd. – HSI European Wts Jul 2007 A	378,000,000	2006/12/11	2007/07/30	98.28
09617	KBC Fin Products Int'l Ltd. – HSI European Wts Jul 2007 B	378,000,000	2006/12/11	2007/07/30	98.28
09599	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2007 E	400,000,000	2006/12/11	2007/06/28	100.00
09655	KBC Fin Products Int'l Ltd. – HSI European Put Wts Aug 2007	400,000,000	2006/12/11	2007/08/30	100.00
09654	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jul 2007 E	400,000,000	2006/12/11	2007/07/30	100.00
09650	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2007 C	400,000,000	2006/12/11	2007/06/28	100.00
09643	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2007 B	400,000,000	2006/12/11	2007/06/28	100.00
09642	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2007 A	400,000,000	2006/12/11	2007/06/28	100.00
09556	SGA Societe Generale Acceptance N.V. – CPAir Eur Wt Jun 2007	400,000,000	2006/12/11	2007/06/18	664.00
09564	SGA Societe Generale Acceptance N.V. – CPAir Eur Wt Oct 2007	400,000,000	2006/12/11	2007/10/15	100.00
09582	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 07 F	500,000,000	2006/12/11	2007/04/30	237.50
09583	SGA Societe Generale Acceptance N.V. – HWL Euro Wts Apr 2007	400,000,000	2006/12/11	2007/04/16	100.00
09584	SGA Societe Generale Acceptance N.V. – MTRC Eur Wts Aug 2007	400,000,000	2006/12/11	2007/08/23	100.00
09587	SGA Societe Generale Acceptance N.V. – SHK Ppt Eu Wt Apr 07B	200,000,000	2006/12/11	2007/04/23	74.00
09585 [#]	Deutsche Bank AG – CC Bank European Warrants Mar 2007 A	200,000,000	2006/12/11	2007/03/19	77.00
09815	ABN AMRO Bank N.V. – BOCL European Warrants Jun 2007 B	200,000,000	2006/12/12	2007/06/11	58.00
09816	ABN AMRO Bank N.V. – China Tel European Warrants Jun 2007 E	100,000,000	2006/12/12	2007/06/26	25.00
09720	BOCI Asia Ltd. – China Life European Warrants Dec 2007	500,000,000	2006/12/12	2007/12/17	125.00
09777	BOCI Asia Ltd. – China Mobile European Warrants Oct 2007	300,000,000	2006/12/12	2007/10/05	150.00
09796	BOCI Asia Ltd. – Nine Dragons European Warrants Oct 2007 B	500,000,000	2006/12/12	2007/10/03	150.00
09811	BOCI Asia Ltd. – Zijin Mining European Warrants Jun 2007	120,000,000	2006/12/12	2007/06/05	120.00
09668	Calyon Fin P (G) Ltd. – China Mobile European Wts Jun 2007	200,000,000	2006/12/12	2007/06/11	96.00
09669	Calyon Fin P (G) Ltd. – PetroChina European Wts Jun 2007	200,000,000	2006/12/12	2007/06/27	142.00
09817	KBC Fin Products Int'l Ltd. – China Life Euro Wts Aug 2007 D	400,000,000	2006/12/12	2007/08/27	100.00
09821	KBC Fin Products Int'l Ltd. – China Life Euro Wts Aug 2007 E	188,000,000	2006/12/12	2007/08/01	195.52
09830	KBC Fin Products Int'l Ltd. – Sinopec Corp Eur Wt Jun 2007 D	178,000,000	2006/12/12	2007/06/25	197.58
09835	KBC Fin Products Int'l Ltd. – Sinopec Corp Euro Wts Aug 2007	348,000,000	2006/12/12	2007/08/10	90.48
09836	KBC Fin Products Int'l Ltd. – Sinopec Corp Eur Put Wt Jul 07	128,000,000	2006/12/12	2007/07/20	96.00
09847	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jul 2007 A	148,000,000	2006/12/12	2007/07/11	94.72
09848	KBC Fin Products Int'l Ltd. – ICBC European Wts Aug 2007 C	328,000,000	2006/12/12	2007/08/10	98.40
09852	KBC Fin Products Int'l Ltd. – ICBC European Wts Oct 2007 A	258,000,000	2006/12/12	2007/10/02	98.04
09853	KBC Fin Products Int'l Ltd. – ICBC European Wts Nov 2007	188,000,000	2006/12/12	2007/11/01	97.76
09855	KBC Fin Products Int'l Ltd. – ICBC European Put Wts Jun 2007	400,000,000	2006/12/12	2007/06/04	64.00
09524 [#]	Deutsche Bank AG – HSBC European Warrants Apr 2007 B	250,000,000	2006/12/12	2007/04/27	82.50
09859	ABN AMRO Bank N.V. – HSBC European Warrants Jul 2007	100,000,000	2006/12/13	2007/07/09	35.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
09919	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Jun 2007 C	318,000,000	2006/12/13	2007/06/26	95.40
09920	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Aug 2007	368,000,000	2006/12/13	2007/08/13	95.68
09921	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jul 2007 C	308,000,000	2006/12/13	2007/07/05	89.32
09922	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Aug 2007	348,000,000	2006/12/13	2007/08/27	93.96
09917	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Sep 2007 B	188,000,000	2006/12/13	2007/09/03	95.88
09883	KBC Fin Products Int'l Ltd. – HSCEI European Wts Sep 2007 D	400,000,000	2006/12/13	2007/09/27	100.00
09884	KBC Fin Products Int'l Ltd. – HSCEI European Wts Sep 2007 E	400,000,000	2006/12/13	2007/09/27	100.00
09916	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Sep 2007 C	400,000,000	2006/12/13	2007/09/27	100.00
09857	UBS AG – China Tel European Warrants Sep 2007	100,000,000	2006/12/13	2007/09/24	37.50
09858	UBS AG – China Netcom European Warrants Sep 2007	100,000,000	2006/12/13	2007/09/24	25.00
09937	Deutsche Bank AG – HSBC European Warrants Jun 2007 A	200,000,000	2006/12/14	2007/06/15	120.60
09938	Deutsche Bank AG – HSBC European Warrants Jun 2007 B	200,000,000	2006/12/14	2007/06/22	76.00
09939	Deutsche Bank AG – HSBC European Warrants Jun 2007 C	200,000,000	2006/12/14	2007/06/28	172.20
09935	Deutsche Bank AG – HSBC European Put Warrants Jun 2007	200,000,000	2006/12/14	2007/06/28	92.80
09940	KBC Fin Products Int'l Ltd. – BOC HK European Wts Sep 2007	368,000,000	2006/12/14	2007/09/18	99.36
09943	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Wts Aug 2007 B	188,000,000	2006/12/14	2007/08/24	95.88
09941	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Oct 2007 A	218,000,000	2006/12/14	2007/10/15	95.92
09942	KBC Fin Products Int'l Ltd. – CC Bank European Wts Nov 2007	338,000,000	2006/12/14	2007/11/01	141.96
09927	Macquarie Bank Ltd. – PetroChina European Warrants Jun 2007	200,000,000	2006/12/14	2007/06/28	132.00
09933	Macquarie Bank Ltd. – China Telecom European Wts Jul 2007	150,000,000	2006/12/14	2007/07/30	37.65
09934	Macquarie Bank Ltd. – PetroChina European Put Wts Jun 2007	100,000,000	2006/12/14	2007/06/28	55.30
09924	MSDW Asia Sec Products LLC – BOCL European Warrants Dec 2008	240,000,000	2006/12/14	2008/12/29	76.56
09923	MSDW Asia Sec Products LLC – BOCL European Warrants Aug 2007	240,000,000	2006/12/14	2007/08/28	71.28
09925	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt May07B	300,000,000	2006/12/14	2007/05/14	108.00
09926	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt May07B	500,000,000	2006/12/14	2007/05/08	165.00
09792 [#]	Deutsche Bank AG – China Mobile European Warrants Apr 2007	200,000,000	2006/12/14	2007/04/30	74.00
09949	BNP Paribas Arbit Issu B.V. – CC Bank European Wts Aug 2007	300,000,000	2006/12/15	2007/08/13	90.00
09957	BNP Paribas Arbit Issu B.V. – Ch Mobile Eur Put Wts Jul 2007	300,000,000	2006/12/15	2007/07/16	210.00
09944	Deutsche Bank AG – China Mobile European Warrants Jul 2007 A	100,000,000	2006/12/15	2007/07/03	90.00
09945	Deutsche Bank AG – China Mobile European Warrants Jul 2007 B	100,000,000	2006/12/15	2007/07/10	66.00
09946	Deutsche Bank AG – China Life European Warrants Jul 2007 B	200,000,000	2006/12/15	2007/07/30	50.00
09947	Deutsche Bank AG – China Mobile European Put Wts Jul 2007	100,000,000	2006/12/15	2007/07/03	28.00
09948	Deutsche Bank AG – China Life European Put Wts Jul 2007 C	200,000,000	2006/12/15	2007/07/23	50.00
04445	J P Morgan Int'l Der. Ltd. – BOCL European Put Wts Apr 2007	150,000,000	2006/12/15	2007/04/23	30.00
04446	J P Morgan Int'l Der. Ltd. – ICBC European Put Wts Jun 2007	200,000,000	2006/12/15	2007/06/18	51.00
09967	KBC Fin Products Int'l Ltd. – BOCL European Wts Jul 2007 C	268,000,000	2006/12/15	2007/07/05	99.16
09968	KBC Fin Products Int'l Ltd. – BOCL European Wts Sep 2007 B	158,000,000	2006/12/15	2007/09/12	96.38
09978	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Sep 2007 A	108,000,000	2006/12/15	2007/09/05	196.56
09979	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Sep 2007 B	138,000,000	2006/12/15	2007/09/18	193.20
09980	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Oct 2007 B	148,000,000	2006/12/15	2007/10/15	99.16
09981	KBC Fin Products Int'l Ltd. – China Life Euro Wts Aug 2007 F	238,000,000	2006/12/15	2007/08/27	97.58
09963	KBC Fin Products Int'l Ltd. – Ch Mobile Eur Put Wts Jul 2007	368,000,000	2006/12/15	2007/07/05	99.36
09982	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wts Jul 2007	108,000,000	2006/12/15	2007/07/12	91.80
09985	KBC Fin Products Int'l Ltd. – ICBC European Wts Sep 2007 A	158,000,000	2006/12/15	2007/09/03	94.80

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
09987	KBC Fin Products Int'l Ltd. – ICBC European Wts Oct 2007 B	138,000,000	2006/12/15	2007/10/08	95.22
09959	CC Rabobank B.A. – Sinopec Corp European Warrants Jul 2007	120,000,000	2006/12/15	2007/07/16	76.80
09960	CC Rabobank B.A. – Sinopec Corp European Warrants Sep 2007	120,000,000	2006/12/15	2007/09/07	74.40
09961	CC Rabobank B.A. – ICBC European Warrants Aug 2007	160,000,000	2006/12/15	2007/08/07	65.60
09962	CC Rabobank B.A. – PetroChina European Warrants Jul 2007	120,000,000	2006/12/15	2007/07/09	98.40
03407 [#]	Deutsche Bank AG – HSBC European Warrants Apr 2007 A	200,000,000	2006/12/15	2007/04/10	77.00
04447	ABN AMRO Bank N.V. – HWL European Warrants Jun 2007 A	100,000,000	2006/12/18	2007/06/20	28.30
04464	ABN AMRO Bank N.V. – ICBC European Warrants Jun 2007 C	200,000,000	2006/12/18	2007/06/20	53.40
06100 [#]	KBC Fin Products Int'l Ltd. – ICBC European Wts Apr 2007	300,000,000	2006/12/18	2007/04/20	88.50
04487 [#]	CC Rabobank B.A. – HSBC European Warrants Jun 2007	100,000,000	2006/12/18	2007/06/08	19.30
03478 [#]	SGA Societe Generale Acceptance N.V. – HSBC Eur Wts Feb 2007	175,000,000	2006/12/18	2007/02/16	35.70
01378 [#]	SGA Societe Generale Acceptance N.V. – HSBC Eur Wts Apr 07 B	217,000,000	2006/12/18	2007/04/02	65.10
04551	BNP Paribas Arbit Issu B.V. – BankComm European Wts Aug 2007	120,000,000	2006/12/19	2007/08/16	64.80
04552	BNP Paribas Arbit Issu B.V. – China Mobile Euro Wts Jul 2007	300,000,000	2006/12/19	2007/07/27	159.00
04556	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Aug 2007	180,000,000	2006/12/19	2007/08/13	129.60
04570	BNP Paribas Arbit Issu B.V. – HSBC European Wts Sep 2007	200,000,000	2006/12/19	2007/09/10	78.00
04516	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jun 2007	200,000,000	2006/12/19	2007/06/28	72.00
04517	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Jun 2007	140,000,000	2006/12/19	2007/06/27	50.40
04518	BNP Paribas Arbit Issu B.V. – ICBC European Wts Aug 2007 A	150,000,000	2006/12/19	2007/08/28	64.50
04520	BNP Paribas Arbit Issu B.V. – PetroChina Euro Wts Jul 2007	170,000,000	2006/12/19	2007/07/16	144.50
04465	Citigroup Global Mkt H Inc. – China Tel Euro Wts Jun 2007	300,000,000	2006/12/19	2007/06/28	78.00
04469	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Jul 2007	300,000,000	2006/12/19	2007/07/16	81.00
04470	Deutsche Bank AG – CC Bank European Warrants Jul 2007 A	200,000,000	2006/12/19	2007/07/30	50.00
04474	Deutsche Bank AG – ICBC European Warrants Aug 2007 A	200,000,000	2006/12/19	2007/08/06	50.00
04489	Deutsche Bank AG – PetroChina European Warrants Jul 2007	100,000,000	2006/12/19	2007/07/03	80.00
04512	Deutsche Bank AG – PetroChina European Put Warrants Jul 2007	100,000,000	2006/12/19	2007/07/03	61.00
04583	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Nov 2007	388,000,000	2006/12/19	2007/11/01	100.88
04585	KBC Fin Products Int'l Ltd. – PetroCh Euro Put Wts Jul 2007	218,000,000	2006/12/19	2007/07/20	71.94
04592	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Wts Aug 2007 C	158,000,000	2006/12/19	2007/08/24	178.54
04579	KBC Fin Products Int'l Ltd. – HSBC European Wts Oct 2007 A	108,000,000	2006/12/19	2007/10/05	89.64
04582	KBC Fin Products Int'l Ltd. – HSBC European Put Wts Sep 2007	288,000,000	2006/12/19	2007/09/05	97.92
04571	KBC Fin Products Int'l Ltd. – HSCEI European Wts Sep 2007 F	278,000,000	2006/12/19	2007/09/27	100.08
04576	KBC Fin Products Int'l Ltd. – HSI European Wts Jul 2007 C	288,000,000	2006/12/19	2007/07/30	97.92
04546	Macquarie Bank Ltd. – China Netcom European Wts Jun 2007 B	128,000,000	2006/12/19	2007/06/28	32.38
09573 [#]	ABN AMRO Bank N.V. – HSBC European Warrants May 2007 B	73,000,000	2006/12/19	2007/05/07	10.07
04958 [#]	Deutsche Bank AG – China Life European Warrants Jun 2007 C	200,000,000	2006/12/19	2007/06/11	42.00
04977 [#]	Deutsche Bank AG – ICBC European Warrants Jun 2007 A	300,000,000	2006/12/19	2007/06/18	111.00
04631	Citigroup Global Mkt H Inc. – BOCL European Wts Jun 2007 B	320,000,000	2006/12/20	2007/06/28	80.00
04634	Citigroup Global Mkt H Inc. – CC Bank European Wts Jun 2007	320,000,000	2006/12/20	2007/06/25	92.80
04635	Citigroup Global Mkt H Inc. – ICBC European Wts Jun 2007 B	310,000,000	2006/12/20	2007/06/28	80.60
04618	Deutsche Bank AG – HSCEI European Warrants Jun 2007	200,000,000	2006/12/20	2007/06/28	50.00
04627	Deutsche Bank AG – HSCEI European Put Warrants Jun 2007	200,000,000	2006/12/20	2007/06/28	50.00
04613	Deutsche Bank AG – HSI European Warrants Jun 2007 A	200,000,000	2006/12/20	2007/06/28	58.00
04614	Deutsche Bank AG – HSI European Warrants Jun 2007 B	200,000,000	2006/12/20	2007/06/28	54.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
04626	Deutsche Bank AG – HSI European Put Warrants Jun 2007	200,000,000	2006/12/20	2007/06/28	50.00
04656	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2007	200,000,000	2006/12/20	2007/04/16	78.00
04657	Goldman Sachs SP (Asia) – Hutchison European Wts Apr 2007	250,000,000	2006/12/20	2007/04/23	107.50
04659	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2007 B	400,000,000	2006/12/20	2007/04/27	60.00
04662	CC Rabobank B.A. – HSBC European Warrants Sep 2007	100,000,000	2006/12/20	2007/09/07	45.00
04663	CC Rabobank B.A. – Hutchison European Warrants Aug 2007	100,000,000	2006/12/20	2007/08/01	42.00
04664	CC Rabobank B.A. – Hutchison European Warrants Sep 2007	100,000,000	2006/12/20	2007/09/04	39.00
04641	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2007 A	500,000,000	2006/12/20	2007/06/28	170.00
04652	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2007 B	500,000,000	2006/12/20	2007/06/28	145.00
04637	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 07G	500,000,000	2006/12/20	2007/05/30	102.50
04490#	Deutsche Bank AG – HSI European Put Warrants May 2007 E	400,000,000	2006/12/20	2007/05/30	70.00
03496#	Macquarie Bank Ltd. – China Mobile European Wts Feb 2007 B	100,000,000	2006/12/20	2007/02/27	53.00
04685	Deutsche Bank AG – DJIA European Warrants Sep 2007	100,000,000	2006/12/21	2007/09/21	33.00
04710	Deutsche Bank AG – DJIA European Put Warrants Sep 2007	100,000,000	2006/12/21	2007/09/21	25.00
04697	Deutsche Bank AG – HSCEI European Warrants Jul 2007	200,000,000	2006/12/21	2007/07/30	52.00
04715	Deutsche Bank AG – HSCEI European Put Warrants Jul 2007	200,000,000	2006/12/21	2007/07/30	72.00
04708	Deutsche Bank AG – Nikkei 225 Index European Wts Sep 2007 A	200,000,000	2006/12/21	2007/09/14	84.00
04709	Deutsche Bank AG – Nikkei 225 Index European Wts Sep 2007 B	200,000,000	2006/12/21	2007/09/14	64.00
04721	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Sep 2007	200,000,000	2006/12/21	2007/09/14	50.00
04730	Macquarie Bank Ltd. – CC Bank European Put Warrants Jun 2007	200,000,000	2006/12/21	2007/06/28	53.20
04731	Macquarie Bank Ltd. – Sinopec Corp European Put Wts Jun 2007	100,000,000	2006/12/21	2007/06/28	32.40
04736	Macquarie Bank Ltd. – Hutchison European Put Wts Jun 2007	100,000,000	2006/12/21	2007/06/28	29.90
04722	Macquarie Bank Ltd. – Cheung Kong European Warrants Jun 2007	150,000,000	2006/12/21	2007/06/28	38.70
04724	Macquarie Bank Ltd. – Sinopec Corp European Wts Jun 2007 B	150,000,000	2006/12/21	2007/06/28	57.45
04725	Macquarie Bank Ltd. – HSBC European Warrants Jun 2007	200,000,000	2006/12/21	2007/06/28	73.80
04729	Macquarie Bank Ltd. – Hutchison European Warrants Jun 2007	200,000,000	2006/12/21	2007/06/28	50.20
04496#	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2007 E	600,000,000	2006/12/21	2007/04/27	73.80
01298#	SGA Societe Generale Acceptance N.V. – CNOOC Euro Wts Mar 07	100,000,000	2006/12/21	2007/03/12	28.50
04799	ABN AMRO Bank N.V. – Ch Comm Cons European Wts Dec 2007 A	150,000,000	2006/12/22	2007/12/27	37.50
04805	ABN AMRO Bank N.V. – Ch Comm Cons European Wts Dec 2007 B	150,000,000	2006/12/22	2007/12/31	37.50
04806	ABN AMRO Bank N.V. – China Life European Warrants Jul 2007 B	100,000,000	2006/12/22	2007/07/25	25.00
04817	ABN AMRO Bank N.V. – HSBC European Warrants Jun 2007 B	100,000,000	2006/12/22	2007/06/22	49.00
04819	ABN AMRO Bank N.V. – HWL European Warrants Jun 2007 B	100,000,000	2006/12/22	2007/06/22	25.00
04845	Goldman Sachs SP (Asia) – Ch Comm Cons European Wts Jun 2007	100,000,000	2006/12/22	2007/06/25	68.00
04846	Goldman Sachs SP (Asia) – Sinopec Corp Euro Wts Jun 2007 A	150,000,000	2006/12/22	2007/06/11	88.50
04850	Goldman Sachs SP (Asia) – PetroChina European Wts Jun 2007	150,000,000	2006/12/22	2007/06/04	112.50
04848	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Jun 2007 B	20,000,000	2006/12/22	2007/06/25	34.80
04849	Goldman Sachs SP (Asia) – Hutchison European Wts Jun 2007	250,000,000	2006/12/22	2007/06/25	62.50
04834	KBC Fin Products Int'l Ltd. – Ch Comm Cons Eur Wt Jun 2007 A	168,000,000	2006/12/22	2007/06/28	92.40
04838	KBC Fin Products Int'l Ltd. – Ch Comm Cons Eur Wt Jul 2007 A	218,000,000	2006/12/22	2007/07/05	93.74
04841	Macquarie Bank Ltd. – Ch Comm Cons European Wts Jun 2008	400,000,000	2006/12/22	2008/06/30	100.40
04842	Macquarie Bank Ltd. – Ch Comm Cons European Wts Jun 2007 A	200,000,000	2006/12/22	2007/06/28	107.60
04843	Macquarie Bank Ltd. – Ch Comm Cons European Wts Jun 2007 B	300,000,000	2006/12/22	2007/06/28	105.30
04773	Macquarie Bank Ltd. – China Life European Warrants Aug 2007	388,000,000	2006/12/22	2007/08/30	99.33

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
04776	Macquarie Bank Ltd. – China Life European Wts Jun 2007 C	168,000,000	2006/12/22	2007/06/28	215.54
04786	Macquarie Bank Ltd. – Ping An European Warrants Jun 2007	150,000,000	2006/12/22	2007/06/28	41.55
04782	Macquarie Bank Ltd. – China Life European Put Wts Jun 2007 B	88,000,000	2006/12/22	2007/06/28	109.65
04820	CC Rabobank B.A. – Ch Comm Cons European Warrants Jun 2007	120,000,000	2006/12/22	2007/06/22	141.60
04751	SGA Societe Generale Acceptance N.V. – BOCL Eur Wts May 2007	300,000,000	2006/12/22	2007/05/25	81.00
04755	SGA Societe Generale Acceptance N.V. – BOCL Eur Wts Jun 2007	300,000,000	2006/12/22	2007/06/25	114.00
04737	SGA Societe Generale Acceptance N.V. – BOCom Eur Wt May 07 B	300,000,000	2006/12/22	2007/05/14	255.00
04756	SGA Societe Generale Acceptance N.V. – CLife Eur Wt Apr 07 E	300,000,000	2006/12/22	2007/04/10	594.00
04763	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2007 B	300,000,000	2006/12/22	2007/06/25	207.00
04789	SGA Societe Generale Acceptance N.V. – CCCL Eur Wts Jun 2007	100,000,000	2006/12/22	2007/06/25	139.00
04798	SGA Societe Generale Acceptance N.V. – CCCL Eur Wts Aug 2007	100,000,000	2006/12/22	2007/08/27	104.00
04858	ABN AMRO Bank N.V. – BankComm European Warrants Jul 2007 A	100,000,000	2006/12/27	2007/07/03	48.00
04859	ABN AMRO Bank N.V. – ICBC European Warrants Jul 2007 C	200,000,000	2006/12/27	2007/07/17	50.00
04851	Deutsche Bank AG – China Life European Warrants Aug 2007 A	200,000,000	2006/12/27	2007/08/06	50.00
04852	Deutsche Bank AG – China Life European Warrants Aug 2007 B	200,000,000	2006/12/27	2007/08/20	50.00
04860	Goldman Sachs SP (Asia) – China Life European Wts Jul 2007 A	100,000,000	2006/12/27	2007/07/09	174.00
04861	Goldman Sachs SP (Asia) – China Life European Wts Jul 2007 B	100,000,000	2006/12/27	2007/07/09	111.00
04877	Goldman Sachs SP (Asia) – China Life Euro Put Wts Jul 2007 D	100,000,000	2006/12/27	2007/07/09	221.00
04896	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jun 2007 D	138,000,000	2006/12/27	2007/06/27	198.72
04897	KBC Fin Products Int'l Ltd. – China Life Euro Wts Aug 2007 G	188,000,000	2006/12/27	2007/08/01	199.28
04878	Macquarie Bank Ltd. – China Life European Wts Jun 2007 D	388,000,000	2006/12/27	2007/06/28	98.55
04883	Macquarie Bank Ltd. – China Life European Wts Jul 2007 A	388,000,000	2006/12/27	2007/07/30	98.94
04884	Macquarie Bank Ltd. – China Life European Wts Sep 2007 B	388,000,000	2006/12/27	2007/09/27	100.10
04885	Macquarie Bank Ltd. – China Life European Put Wts Jun 2007 E	388,000,000	2006/12/27	2007/06/28	97.78
04464 [#]	ABN AMRO Bank N.V. – ICBC European Warrants Jun 2007 C	200,000,000	2006/12/27	2007/06/20	53.00
04958 [#]	Deutsche Bank AG – China Life European Warrants Jun 2007 C	400,000,000	2006/12/27	2007/06/11	168.00
09946 [#]	Deutsche Bank AG – China Life European Warrants Jul 2007 B	400,000,000	2006/12/27	2007/07/30	136.00
06049 [#]	Deutsche Bank AG – ICBC European Warrants Jun 2007 B	300,000,000	2006/12/27	2007/06/11	114.00
09664 [#]	Macquarie Bank Ltd. – BankComm European Put Wts Apr 2007 D	100,000,000	2006/12/27	2007/04/27	13.00
04923	Citigroup Global Mkt H Inc. – China Life Euro Wts Aug 2007	280,000,000	2006/12/28	2007/08/30	89.60
04927	Citigroup Global Mkt H Inc. – China Life Euro Wts Sep 2007	280,000,000	2006/12/28	2007/09/27	78.40
04934	Citigroup Global Mkt H Inc. – Ping An European Wts Jul 2007	260,000,000	2006/12/28	2007/07/16	78.00
04900	Deutsche Bank AG – Cheung Kong European Warrants Jun 2007 B	100,000,000	2006/12/28	2007/06/28	69.00
04912	Deutsche Bank AG – Cheung Kong European Warrants Jul 2007	100,000,000	2006/12/28	2007/07/09	34.00
04919	Deutsche Bank AG – Cheung Kong European Put Wts Jun 2007	100,000,000	2006/12/28	2007/06/28	44.00
04936	SGA Societe Generale Acceptance N.V. – CLife Eur Wt Jun 07 C	500,000,000	2006/12/28	2007/06/28	135.00
04945	SGA Societe Generale Acceptance N.V. – CLife Eur Wt Aug 2007	500,000,000	2006/12/28	2007/08/20	125.00
04956	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2007 C	500,000,000	2006/12/28	2007/07/16	155.00
04952	SGA Societe Generale Acceptance N.V. – HSI Eur Put Wt Jun 07	500,000,000	2006/12/28	2007/06/28	135.00
04472 [#]	Deutsche Bank AG – CC Bank European Put Warrants Jun 2007 B	200,000,000	2006/12/28	2007/06/18	14.40
06000 [#]	Deutsche Bank AG – China Life European Put Wts Jun 2007 D	200,000,000	2006/12/28	2007/06/18	10.80
03407 [#]	Deutsche Bank AG – HSBC European Warrants Apr 2007 A	300,000,000	2006/12/28	2007/04/10	124.50
03424	ABN AMRO Bank N.V. – China Life European Warrants Jul 2007 C	200,000,000	2006/12/29	2007/07/10	50.00
03430	ABN AMRO Bank N.V. – Sinopec Corp European Warrants Aug 2007	200,000,000	2006/12/29	2007/08/07	86.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount
		issue (units)	Listing date	Expiry	raised (HK\$ mil.)
03450	ABN AMRO Bank N.V. – ICBC European Warrants Jul 2007 D	250,000,000	2006/12/29	2007/07/31	62.50
03451	ABN AMRO Bank N.V. – ICBC European Warrants Aug 2007 B	250,000,000	2006/12/29	2007/08/08	62.50
03456	ABN AMRO Bank N.V. – Ping An European Warrants Jul 2007 A	100,000,000	2006/12/29	2007/07/10	31.00
04975	BNP Paribas Arbit Issu B.V. – BOCL European Wts Aug 2007	200,000,000	2006/12/29	2007/08/20	54.00
04978	BNP Paribas Arbit Issu B.V. – BOCL European Wts Oct 2007	200,000,000	2006/12/29	2007/10/15	50.00
04979	BNP Paribas Arbit Issu B.V. – BOCL European Put Wts Jul 2007	100,000,000	2006/12/29	2007/07/30	35.00
04987	BNP Paribas Arbit Issu B.V. – CC Bank European Wts Sep 2007	200,000,000	2006/12/29	2007/09/24	50.00
04994	BNP Paribas Arbit Issu B.V. – CC Bank Euro Put Wts Jul 2007	200,000,000	2006/12/29	2007/07/30	80.00
03411	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jul 2007 C	300,000,000	2006/12/29	2007/07/03	75.00
03412	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Sep 2007	300,000,000	2006/12/29	2007/09/10	78.00
03413	BNP Paribas Arbit Issu B.V. – Ch Life Euro Put Wts Jul 2007	200,000,000	2006/12/29	2007/07/03	64.00
03416	BNP Paribas Arbit Issu B.V. – ICBC European Wts Aug 2007 B	200,000,000	2006/12/29	2007/08/06	80.00
03421	BNP Paribas Arbit Issu B.V. – ICBC European Wts Sep 2007	200,000,000	2006/12/29	2007/09/10	70.00
03531	Calyon Fin P (G) Ltd. – CC Bank European Warrants Jul 2007	200,000,000	2006/12/29	2007/07/16	74.00
03530	Calyon Fin P (G) Ltd. – China Life European Wts Jul 2007 D	200,000,000	2006/12/29	2007/07/10	78.00
03522	Calyon Fin P (G) Ltd. – China Life European Wts Aug 2007	200,000,000	2006/12/29	2007/08/13	58.00
03532	Calyon Fin P (G) Ltd. – Sinopec Corp European Wts Jul 2007	200,000,000	2006/12/29	2007/07/03	134.00
03516	Calyon Fin P (G) Ltd. – Foxconn European Warrants Jul 2007	200,000,000	2006/12/29	2007/07/03	84.00
03517	Calyon Fin P (G) Ltd. – HSBC European Warrants Jul 2007	200,000,000	2006/12/29	2007/07/16	148.00
03518	Calyon Fin P (G) Ltd. – HSBC European Warrants Oct 2007	200,000,000	2006/12/29	2007/10/09	120.00
03519	Calyon Fin P (G) Ltd. – Hutchison European Warrants Aug 2007	200,000,000	2006/12/29	2007/08/06	136.00
03520	Calyon Fin P (G) Ltd. – Hutchison European Warrants Jul 2007	200,000,000	2006/12/29	2007/07/10	98.00
03476	KBC Fin Products Int'l Ltd. – Ch Comm Cons Eur Wt Jun 2007 B	368,000,000	2006/12/29	2007/06/29	103.04
03482	Macquarie Bank Ltd. – HSCEI European Warrants Jun 2007 C	188,000,000	2006/12/29	2007/06/28	47.94
03484	Macquarie Bank Ltd. – HSCEI European Warrants Jun 2007 D	188,000,000	2006/12/29	2007/06/28	47.75
03485	Macquarie Bank Ltd. – HSCEI European Put Warrants Jun 2007 E	188,000,000	2006/12/29	2007/06/28	47.94
03497	Macquarie Bank Ltd. – HSCEI European Put Warrants Jun 2007 F	188,000,000	2006/12/29	2007/06/28	48.13
03505	Macquarie Bank Ltd. – HSI European Warrants Jun 2007	388,000,000	2006/12/29	2007/06/28	98.55
03515	Macquarie Bank Ltd. – HSI European Put Warrants Jun 2007	388,000,000	2006/12/29	2007/06/28	100.49
04960	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Jul 07 B	200,000,000	2006/12/29	2007/07/03	106.00
04959	SGA Societe Generale Acceptance N.V. – HWL Euro Wts Jul 2007	200,000,000	2006/12/29	2007/07/03	83.00
04968	SGA Societe Generale Acceptance N.V. – HWL Eur Put Wt Jul 07	200,000,000	2006/12/29	2007/07/03	104.00
06125 [#]	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Aug 2007	600,000,000	2006/12/29	2007/08/20	297.00
Total					91,669.37

[#] Further issue

Main Board Debt Securities

Debt Securities Trading Statistics

		Turnover value	
		Exchange fund notes (HK\$ mil)	Market total (HK\$ mil)
2005	Q4	–	1.02
2006	Q1	–	0.68
	Q2	–	1.37
	Q3	–	2.55
	Q4	–	1.65

Debt Securities Nominal Value

		No. of issues	Nominal value (HK\$ mil.)
2005	Q4	166	445,999.99
2006	Q1	165	429,539.14
	Q2	174	423,962.36
	Q3	173	425,875.43
	Q4	180	435,470.23

New Listing Statistics – Debt Securities

		No. of newly listed debt securities	Amount raised (HK\$ mil.)
2005	Q4	7	17,366.80
2006	Q1	10	17,683.07
	Q2	15	13,752.98
	Q3	9	13,323.62
	Q4	12	20,124.21

Main Board Debt Securities

Newly Listed Debt Securities

for 4th quarter 2006

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$ mil.)
01504	Rafflesia Capital 1.25% Exchangeable Trust Certificates 2011	USD750,000,000	2006/10/05	2011/10/04	5,850.00
01505	Golden Eagle Retail Group Ltd. Zero Coupon Conv Bonds 2011	HKD1,000,000,000	2006/10/23	2011/10/23	1,000.00
02544 #	Swire Pacific MTN Financing Ltd 5.625% Guaranteed Notes 2016	USD240,000,000	2006/11/08	2016/03/30	1,880.97
01506	FU JI F&C Services Hldgs Ltd. Zero Coupon Conv. Bonds 2009	HKD1,000,000,000	2006/11/10	2009/11/09	1,000.00
04157	Hong Kong Monetary Authority 3.80% Exchange Fund Notes 2008	HKD1,200,000,000	2006/11/16	2008/11/17	1,202.70
01507	Yue Yuen Industrial (Holdings) Zero Coupon Conv. Bonds 2011	HKD2,100,000,000	2006/11/20	2011/11/17	2,100.00
04158	Hong Kong Monetary Authority 3.83% Exchange Fund Notes 2013	HKD600,000,000	2006/11/23	2013/11/22	601.26
04159	Hong Kong Monetary Authority 3.78% Exchange Fund Notes due 2016	HKD800,000,000	2006/12/07	2016/12/07	806.08
01508	First Harvest Ltd. Zero Coupon Convertible Bonds 2011	HKD1,000,000,000	2006/12/08	2011/12/07	1,000.00
01509	CITIC Ka Wah Bank Ltd. Floating Rate Subordinated Notes 2011	USD250,000,000	2006/12/12	2011/12/12	1,950.00
01510	Chong Hing Bank Ltd. Floating Rate Subordinated Note 2016	USD125,000,000	2006/12/18	2016/12/16	975.00
04160	Hong Kong Monetary Authority 3.63% Exchange Fund Notes due 2011	HKD1,000,000,000	2006/12/19	2011/12/19	993.20
01511	Treasure Source Ltd. 2% Guaranteed Convertible Bonds 2011	HKD765,000,000	2006/12/27	2011/05/23	765.00
Total					20,124.21

Further issue

Main Board Unit Trusts and Mutual Funds

Unit Trusts and Mutual Funds Trading Statistics

		Real Estate				
		Exchange Traded	Investment			
		Fund (ETF)	Trusts (REITs)	Others	Total	% of market total
		(HK\$ mil.)	(HK\$ mil.)	(HK\$ mil.)	(HK\$ mil.)	(HK\$ mil.)
2005	Q4	4,777.92	30,907.66	–	35,685.58	3.09
2006	Q1	13,579.85	14,892.52	0.96	28,473.33	1.48
	Q2	16,144.95	14,665.27	–	30,810.22	1.54
	Q3	11,032.74	8,629.10	–	19,661.83	1.16
	Q4	17,060.73	9,254.24	–	26,314.97	0.97

- Note: 1. The first REITs – The Link Real Estate Investment Trusts – was listed on the 2005/11/25.
 2. Include turnover values in HKD only.

Unit Trusts and Mutual Funds Market Value

		Real Estate							
		Exchange		Investment					
		Traded Funds		Trusts					
		No. of	(ETF)	No. of	(REITs)	No. of	Others	No. of	Total
		issues	(HK\$ mil.)	issues	(HK\$ mil.)	issues	(HK\$ mil.)	issues	(HK\$ mil.)
2005	Q4	8	54,134.38	3	37,935.43	2	11.70	13	92,081.51
2006	Q1	8	60,248.19	3	41,968.29	2	16.50	13	102,232.97
	Q2	8	63,150.09	4	48,986.55	2	19.19	14	112,155.84
	Q3	8	69,999.80	4	50,956.33	1	–	13	120,956.12
	Q4	9	73,484.31	5	53,057.24	1	–	15	126,541.55

New Listing Statistics – Unit Trust and Mutual Funds

		No. of newly listed	Funds raised
		unit trust and mutual funds	(HK\$ mil.)
2005	Q4	3	25,811.35
2006	Q1	–	–
	Q2	1	6,294.52
	Q3	–	–
	Q4	2	2,717.96

Main Board Unit Trusts and Mutual Funds

Newly Listed Unit Trust and Mutual Funds

for 4th quarter 2006

Code	Unit trust and Mutual funds	Fund manager	Issue price (HK\$)	Amount raised (HK\$ mil)	Listing date
02836	iShares BSE SENSEX India Tracker	Barclays Global Investors North Asia Ltd.	n.a.	n.a.	2006/11/02
00435	Sunlight Real Estate Investment Trust	Henderson Sunlight Asset Management Ltd.	2.600	2,717.96	2006/12/21

Main Board Callable Bull/Bear Contracts

Callable Bull/Bear Contracts Trading Statistics

		Number	Market value (HK\$ mil.)	Turnover value (HK\$ mil.)
2005	Q4	n.a.	n.a.	n.a.
2006	Q1	n.a.	n.a.	n.a.
	Q2	11	928.23	204.22
	Q3	35	2,001.51	5,696.94
	Q4	24	1,782.10	5,434.17

Note: Callable Bull / Bear Contracts commenced trading on 12 June 2006

New Listings Callable Bull/Bear Contracts Statistics

		No. of newly listed CBBC	Amount raised (HK\$ mil.)
2005	Q4	n.a.	n.a.
2006	Q1	n.a.	n.a.
	Q2	11	896.80
	Q3	29	1,218.55
	Q4	43	1,963.02

Note: Callable Bull / Bear Contracts commenced trading on 12 June 2006

Main Board Callable Bull/Bear Contracts

Newly Listed Callable Bull/Bear Contracts

for 4th quarter 2006

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
06224 #	UBS AG – HSI R Bear CBBC Feb 2007 B	200,000,000	2006/10/09	2007/02/27	37.40
06229	Deutsche Bank AG – HSI R Bear CBBC Feb 2007 D	200,000,000	2006/10/11	2007/02/27	31.20
06238	Deutsche Bank AG – HSI R Bull CBBC Apr 2007 A	200,000,000	2006/10/12	2007/04/27	52.00
06242	Deutsche Bank AG – HSI R Bear CBBC Apr 2007 B	200,000,000	2006/10/12	2007/04/27	50.00
06239	UBS AG – HSI R Bear CBBC Apr 2007 A	200,000,000	2006/10/12	2007/04/27	50.00
06240	UBS AG – HSI R Bear CBBC Apr 2007 B	200,000,000	2006/10/12	2007/04/27	54.00
06241	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2007 A	150,000,000	2006/10/13	2007/05/30	38.85
06243	UBS AG – HSI R Bull CBBC Apr 2007 C	200,000,000	2006/10/17	2007/04/27	50.00
06216 #	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2006 C	100,000,000	2006/10/23	2006/12/28	15.40
06224 #	UBS AG – HSI R Bear CBBC Feb 2007 B	200,000,000	2006/10/26	2007/02/27	19.40
06244	Deutsche Bank AG – HSI R Bear CBBC May 2007 A	100,000,000	2006/11/03	2007/05/30	26.00
06245	UBS AG – HSI R Bear CBBC May 2007 A	200,000,000	2006/11/03	2007/05/30	50.00
06246	ABN AMRO Bank N.V. – HSI R Bear CBBC Apr 2007 A	150,000,000	2006/11/06	2007/04/27	37.50
06248	Deutsche Bank AG – HSI R Bull CBBC May 2007 B	200,000,000	2006/11/09	2007/05/30	56.00
06252	Deutsche Bank AG – HSI R Bear CBBC May 2007 C	200,000,000	2006/11/09	2007/05/30	50.00
06249	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2007 A	100,000,000	2006/11/09	2007/05/30	25.00
06247	UBS AG – HSI R Bear CBBC May 2007 B	200,000,000	2006/11/09	2007/05/30	55.00
06250	ABN AMRO Bank N.V. – HSI R Bear CBBC Apr 2007 B	150,000,000	2006/11/10	2007/04/27	39.00
06251	ABN AMRO Bank N.V. – HSI R Bear CBBC Apr 2007 C	150,000,000	2006/11/10	2007/04/27	40.95
06253	UBS AG – HSI R Bear CBBC May 2007 C	200,000,000	2006/11/10	2007/05/30	60.00
06255	Deutsche Bank AG – HSI R Bear CBBC May 2007 D	100,000,000	2006/11/13	2007/05/30	25.00
06256	Deutsche Bank AG – HSI R Bear CBBC May 2007 E	100,000,000	2006/11/13	2007/05/30	27.00
06254	UBS AG – HSI R Bull CBBC May 2007 D	200,000,000	2006/11/13	2007/05/30	60.00
06258	UBS AG – HSI R Bear CBBC May 2007 E	200,000,000	2006/11/13	2007/05/30	70.00
06260	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2007 B	100,000,000	2006/11/14	2007/05/30	39.00
06257	UBS AG – HSI R Bull CBBC May 2007 F	200,000,000	2006/11/14	2007/05/30	88.00
06259	UBS AG – HSI R Bull CBBC May 2007 G	200,000,000	2006/11/14	2007/05/30	80.00
06261	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2007 C	100,000,000	2006/11/15	2007/05/30	39.00
06262	UBS AG – HSCEI R Bear CBBC May 2007 A	150,000,000	2006/11/16	2007/05/30	37.50
06263	UBS AG – HSCEI R Bear CBBC May 2007 B	150,000,000	2006/11/16	2007/05/30	37.50
06265	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Jul 2007 A	100,000,000	2006/11/21	2007/07/30	28.72
06264	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2007 A	100,000,000	2006/11/21	2007/07/30	25.00
06266	Deutsche Bank AG – HSCEI R Bull CBBC May 2007 A	100,000,000	2006/11/21	2007/05/30	30.00
06270	Deutsche Bank AG – HSCEI R Bear CBBC May 2007 B	100,000,000	2006/11/21	2007/05/30	25.00
06267	Deutsche Bank AG – HSI R Bear CBBC May 2007 F	200,000,000	2006/11/24	2007/05/30	52.00
06268	UBS AG – HSCEI R Bear CBBC Jun 2007 A	150,000,000	2006/11/29	2007/06/28	37.50
06269	UBS AG – HSI R Bear CBBC Jun 2007 A	200,000,000	2006/11/30	2007/06/28	60.00
06272	Deutsche Bank AG – HSCEI R Bear CBBC Jun 2007 A	100,000,000	2006/12/01	2007/06/28	25.00
06271	Deutsche Bank AG – HSI R Bear CBBC Jun 2007 A	200,000,000	2006/12/01	2007/06/28	60.00
06273	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Jul 2007 B	100,000,000	2006/12/05	2007/07/30	28.90
06274	Deutsche Bank AG – HSI R Bear CBBC Jun 2007 B	200,000,000	2006/12/07	2007/06/28	50.00
06276	ABN AMRO Bank N.V. – HSCEI R Bull CBBC Jul 2007 C	100,000,000	2006/12/12	2007/07/30	25.00
06275	ABN AMRO Bank N.V. – HSI R Bull CBBC Jul 2007 B	100,000,000	2006/12/12	2007/07/30	25.00
06277	UBS AG – HSI R Bear CBBC Jun 2007 B	200,000,000	2006/12/12	2007/06/28	50.00
06278	UBS AG – HSCEI R Bear CBBC Jun 2007 B	150,000,000	2006/12/13	2007/06/28	37.50
06280	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Jul 2007 D	100,000,000	2006/12/27	2007/07/30	25.20
06279	UBS AG – HSCEI R Bear CBBC Jun 2007 C	150,000,000	2006/12/27	2007/06/28	37.50
Total					1,963.02

Further issue

Main Board ELI & Trading Only Stocks

Equity Linked Instrument Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2005	Q4	–	–
2006	Q1	–	–
	Q2	–	–
	Q3	–	–
	Q4	–	–

NASDAQ Stocks

		No. of issues	Turnover value (HK\$ mil.)
2005	Q4	7	1.73
2006	Q1	7	3.08
	Q2	7	1.48
	Q3	7	6.00
	Q4	7	4.35

iShares

		No. of issues	Turnover value (HK\$ mil.)
2005	Q4	2	0.05
2006	Q1	2	0.20
	Q2	2	0.01
	Q3	2	0.00
	Q4	2	0.00

GEM Equities

Equity Turnover – GEM

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2005	Q4	7,112.69	5,408.71	109,137
2006	Q1	11,537.79	12,673.01	221,904
	Q2	13,130.34	11,464.33	230,657
	Q3	11,928.67	8,747.10	234,288
	Q4	13,275.64	10,793.14	257,814

Equity Trading Statistics – GEM

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2005	Q4	62	87.24	49,559
2006	Q1	62	204.40	57,110
	Q2	59	194.31	49,703
	Q3	65	134.57	37,335
	Q4	61	176.94	41,864

20 Most Advanced GEM Stocks

for 4th quarter 2006

Rank	Code	Stock	Closing price		% up
			End of Dec 2006	End of Sep 2006	
1	08089	PROACTIVE TECH	0.950	0.045	2,011.11
2	08279	MEGAINFO	1.720	0.286 A	501.40
3	08182	SYS SOLUTIONS	0.230	0.050	360.00
4	08005	YUXING INFOTECH	1.370	0.310	341.94
5	08129	Q9 TECHNOLOGY	0.128	0.046 A	178.26
6	08285	EVOC	0.990	0.365	171.23
7	08197	NORTHEAST TIGER	0.115	0.048	139.58
8	08118	INT'L ENT	3.650	1.550	135.48
9	08083	SYSCAN TECH	0.220	0.094	134.04
10	08155	CAPITAL	0.100	0.044	127.27
11	08068	NU INT'L	0.280	0.125 A	124.00
12	08108	FAVA INT'L	4.140	1.910	116.75
13	08161	CHINALOTSYNERGY	1.770	0.890	98.88
14	08022	ARGOS ENT	0.199	0.101	97.03
15	08213	ARMITAGE TECH	0.070	0.036	94.44
16	08058	LUOXIN PHARMA	0.990	0.510	94.12
17	08103	TAI SHING	0.900	0.500	80.00
18	08112	DETEAM CO LTD	0.810	0.455	78.02
19	08019	EVERPRIDE PHAR	0.069	0.039	76.92
20	08186	MEDICAL CHI	0.085	0.050	70.00

A Adjusted

GEM Equities

20 Most Declined GEM Stocks

for 4th quarter 2006

Rank	Code	Stock	Closing price		% down
			End of Dec 2006	End of Sep 2006	
1	08085	NCM HOLDINGS	0.135	0.360	-62.50
2	08130	MILKYWAY IMAGE	0.019	0.042	-54.76
3	08029	GALILEO	0.310	0.630	-50.79
4	08025	AIR HOLDINGS	0.063	0.118	-46.61
5	08301	MINGWAH HI TECH	0.205	0.380	-46.05
6	08136	FX CREATIONS	0.100	0.180	-44.44
7	08008	SUNEVISION	1.070	1.860	-42.47
8	08143	HUA XIA HEALTH	0.290	0.500	-42.00
9	08175	KANHAN TECH	0.120	0.190	-36.84
10	08220	CHINA PHOTAR	0.160	0.250	-36.00
11	08231	FUDANZHANGJIANG	0.220	0.330	-33.33
12	08071	GLORY FUTURE GP	0.100	0.150	-33.33
13	08156	CHINA VANGUARD	0.530	0.793 A	-33.17
14	08017	LONG SUCCESS	0.550	0.800	-31.25
15	08201	CHINA FIRE	0.510	0.710	-28.17
16	08212	APTUS HOLDINGS	1.620	2.240	-27.68
17	08096	THINSOFT	0.103	0.140	-26.43
18	08070	ZHONGYU GAS	0.295	0.400	-26.25
19	08137	JESSICA	0.120	0.160	-25.00
20	08090	EVI EDUCATION	0.045	0.060	-25.00

A Adjusted

GEM Equities

20 Most Active GEM Stocks by Value

for 4th quarter 2006

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	08245	JINGKELONG	1,783.62	16.53
2	08276	SUNSHINE-NEW	749.19	6.94
3	08138	TOWN HEALTH	489.04	4.53
4	08241	TIANDA OIL PIPE	461.63	4.28
5	08277	WUMART	461.53	4.28
6	08279	MEGAINFO	413.74	3.83
7	08206	CHINAINFO	410.42	3.80
8	08199	WEIGAO GROUP	399.26	3.70
9	08222	CKLIFE SCIENCES	382.32	3.54
10	08161	CHINALOTSYNERGY	325.99	3.02
11	08180	GOLDEN MEDITECH	320.42	2.97
12	08002	PHOENIX TV	316.58	2.93
13	08282	TOM ONLINE	280.78	2.60
14	08011	KANSTAR ENPAPER	280.03	2.59
15	08088	TECHPACIFIC CAP	243.01	2.25
16	08069	TONG REN TANG	193.06	1.79
17	08029	GALILEO	189.90	1.76
18	08280	TIANYE WATER	179.52	1.66
19	08212	APTUS HOLDINGS	167.11	1.55
20	08201	CHINA FIRE	161.03	1.49
Total			8,208.19	76.05

GEM Equities

20 Most Active GEM Stock by Shares

for 4th quarter 2006

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	08138	TOWN HEALTH	2,203.96	16.60
2	08011	KANSTAR ENPAPER	818.65	6.17
3	08088	TECHPACIFIC CAP	530.60	4.00
4	08222	CKLIFE SCIENCES	479.16	3.61
5	08129	Q9 TECHNOLOGY	439.15	3.31
6	08279	MEGAINFO	411.10	3.10
7	08250	CORE HEALTHCARE	388.13	2.92
8	08029	GALILEO	352.00	2.65
9	08201	CHINA FIRE	294.46	2.22
10	08245	JINGKELONG	273.56	2.06
11	08002	PHOENIX TV	258.10	1.94
12	08276	SUNSHINE-NEW	242.64	1.83
13	08161	CHINALOTSYNERGY	240.03	1.81
14	08042	KO YO GROUP	214.11	1.61
15	08089	PROACTIVE TECH	202.43	1.52
16	08282	TOM ONLINE	199.70	1.50
17	08130	MILKYWAY IMAGE	199.41	1.50
18	08259	ANDRE JUICE	183.90	1.39
19	08006	CHINA.COM	159.87	1.20
20	08046	TIGER TECH	156.38	1.18
Total			8,247.34	62.12

Market Capitalisation of Listed Companies for GEM

as at the quarter end

		HK\$ mil.
2005	Q4	66,603.74
2006	Q1	84,214.72
	Q2	82,030.68
	Q3	77,829.34
	Q4	88,885.43

GEM Equities

50 Leading Companies by Market Capitalisation – GEM

as at the 4th quarter end 2006

Rank	Code	Company	Market capitalisation (HK\$ mil.)	% of market total
1	08222	CK Life Sciences Int'l., (Holdings) Inc.	6,919.97	7.79
2	08282	TOM Online Inc.	6,559.83	7.38
3	08002	Phoenix Satellite Television Holdings Ltd.	6,522.50	7.34
4	08279	MegaInfo Holdings Ltd.	5,158.49	5.80
5	08180	Golden Meditech Co. Ltd.	5,019.18	5.65
6	08277	Wumart Stores, Inc. – H Shares	3,485.19	3.92
7	08161	China LotSynergy Holdings Ltd.	3,137.47	3.53
8	08276	Century Sunshine Ecological Technology Holdings Ltd.	3,029.60	3.41
9	08212	Aptus Holdings Ltd.	2,702.88	3.04
10	08199	Shandong Weigao Group Medical Polymer Co. Ltd. – H Shares	2,628.07	2.96
11	08117	China Primary Resources Holdings Ltd.	2,418.43	2.72
12	08008	Sunvision Holdings Ltd.	2,173.69	2.45
13	08052	Convenience Retail Asia Ltd.	2,104.42	2.37
14	08006	China.com Inc.	1,970.67	2.22
15	08206	Chinainfo Holdings Ltd.	1,737.35	1.95
16	08011	Kanstar Environmental Paper Products Holdings Ltd.	1,608.00	1.81
17	08201	China Fire Safety Enterprise Group Holdings Ltd.	1,456.05	1.64
18	08216	Chinasoft International Ltd.	1,307.22	1.47
19	08138	Town Health International Holdings Co. Ltd.	1,217.48	1.37
20	08088	Techpacific Capital Ltd.	1,135.47	1.28
21	08245	Beijing Jingkelong Co. Ltd. – H Shares	927.50	1.04
22	08061	AcrossAsia Ltd.	911.63	1.03
23	08069	Tong Ren Tang Technologies Co. Ltd. – H Shares	904.18	1.02
24	08292	HC International, Inc.	861.42	0.97
25	08118	International Entertainment Corporation	860.78	0.97
26	08261	Shandong Molong Petroleum Machinery Co. Ltd. – H Shares	704.35	0.79
27	08241	Anhui Tianda Oil Pipe Co. Ltd. – H Shares	620.01	0.70
28	08122	CASH Financial Services Group Ltd.	594.28	0.67
29	08259	Yantai North Andre Juice Co. Ltd. – H Shares	562.87	0.63
30	08005	Yuxing InfoTech Holdings Ltd.	548.00	0.62
31	08156	China Vanguard Group Ltd.	496.12	0.56
32	08196	Launch Tech Co. Ltd. – H Shares	490.20	0.55
33	08099	Zhengzhou Gas Co. Ltd. – H Shares	473.57	0.53
34	08070	Zhongyu Gas Holdings Ltd.	390.93	0.44
35	08287	Nanjing Sample Technology Co. Ltd. – H Shares	383.52	0.43
36	08293	Jinheng Automotive Safety Technology Holdings Ltd.	381.70	0.43
37	08090	EVI Education Asia Ltd.	373.50	0.42
38	08208	Changmao Biochemical Engineering Co. Ltd. – H Shares	363.73	0.41
39	08317	Finet Group Ltd.	361.14	0.41
40	08108	FAVA International Holdings Ltd.	353.06	0.40
41	08262	A – S China Plumbing Products Ltd.	351.91	0.40
42	08075	Rojam Entertainment Holdings Ltd.	346.70	0.39
43	08230	Shenzhen Dongjiang Environmental Co. Ltd. – H Shares	334.45	0.38
44	08068	New Universe International Group Ltd.	333.67	0.38
45	08280	Xinjiang Tianye Water Saving Irrigation System Co Ltd -H Shs	329.91	0.37
46	08073	Recruit Holdings Ltd.	321.52	0.36
47	08290	Tianjin Tianlian Public Utilities Co., Ltd. – H Shares	313.50	0.35
48	08141	Inspur International Ltd.	308.58	0.35
49	08101	Value Convergence Holdings Ltd.	298.94	0.34
50	08029	Galileo Capital Group Ltd.	297.60	0.33
Total			77,091.19	86.73
Market Total			88,885.43	100.00

GEM Equities

New Listing Statistics – equities for GEM

		No. of newly listed companies	Funds raised (HK\$ mil.)
2005	Q4	5	378.75
2006	Q1	3	453.33
	Q2	1	130.07
	Q3	1	683.10
	Q4	1	502.71

Newly Listed Companies for GEM

for 4th quarter 2006

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
08241	Anhui Tianda Oil Pipe Co. Ltd. – H Shares	2006/12/01	167,570,000	502.71
Total				502.71

Withdrawal of Listed Companies – GEM

for 4th quarter 2006

Code	Company name	Last trading date	Delisted date
08177	Sino Stride Technology (Holdings) Ltd.	2006/04/03	2006/11/07

Company Name Changes for GEM

for 4th quarter 2006

Code	Old name	New name	Effective date	Adoption date
08172	Satellite Devices Corporation	Golife Concepts Holdings Ltd.	2006/08/30	2006/11/01
08292	HC International, Inc. #	HC International, Inc.	2006/11/06	2006/11/06
08117	China Advance Holdings Ltd.	China Primary Resources Holdings Ltd.	2006/10/03	2006/11/13
08108	Co-winner Enterprise Ltd.	FAVA International Holdings Ltd.	2006/11/02	2006/11/24
08250	Plasmagene Biosciences Ltd.	Core Healthcare Investment Holdings Ltd.	2006/11/06	2006/12/21

* Change of English name only

Change of Chinese name only

Bonus Issues / Bonus Warrants for GEM

for 4th quarter 2006

Code	Company	Particulars	Ex-date
08156	China Vanguard Group Ltd.	1 for 2	2006/10/16
		1 2008 wt for 5	

GEM Equities

Share Split/Consolidation for GEM

for 4th quarter 2006

Code	Company	Particulars	Effective date
08279	MegaInfo Holdings Ltd.	Split 1 into 5	2006/10/24
08277	Wumart Stores, Inc. – H Shares	Split 1 into 4	2006/10/26
08276	Century Sunshine Ecological Technology Holdings Ltd.	Split 1 into 5	2006/12/04
08068	New Universe International Group Ltd.	Consolidation 10 into 1	2006/12/19

Rights Issues & Open Offers for GEM

for 4th quarter 2006

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Nov	08129	Q9 Technology Holdings Ltd.	Rts 1 for 2 @\$0.039	24.30
Total				24.30

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2006					
Sep **	08029	GALILEO	160,000,000	0.070	11.20
Oct	08065	SINO HAIJING	112,500,000	0.048	5.40
Nov	08171	QUASAR COMM TEC	39,000,000	0.100	3.90
	08279	MEGAINFO	210,520,000	0.260	54.74
	08239	MP LOGISTICS	1,200,000,000	0.010	12.00
	08091	UNIVERSAL TECH	130,600,000	0.145	18.94
Dec	08089	PROACTIVE TECH	46,400,000	0.241	11.18
	08202	INNO-TECH HOLD	33,500,000	0.160	5.36
Total					122.71

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

GEM Warrants

Warrant Trading and Market Value Statistics – GEM

		Number	Turnover value (HK\$ mil.)	Market value (HK\$ mil.)
2005	Q4	0	–	–
2006	Q1	0	–	–
	Q2	0	–	–
	Q3	0	–	–
	Q4	1	0.31	10.61

Newly Listed Equity GEM Warrants

for 4th quarter 2006

Code	Equity warrants	Initial issued amount (HK)	Listing date	Expiry	Amount raised (HK\$ mil.)
08357	China Vanguard Group Ltd. Warrants 2008	165,998,046	2006/11/03	2008/11/02	–
Total					–

Stock Market Indices

Hong Kong Stock Market Indices

Index	End of Dec 2006 Close	End of Sep 2006 Close	Change %
S&P/HKEx LargeCap Index	24378.76	20418.50	+ 19.40%
S&P/HKEx GEM Index	1224.67	1102.56	+ 11.08%
HANG SENG INDEX	19964.72	17543.05	+ 13.80%
SECTORIAL INDICES			
Finance	32428.67	30107.37	+ 7.71%
Utilities	35793.70	33061.03	+ 8.27%
Properties	23144.13	20808.79	+ 11.22%
Commerce & Industry	10620.27	8874.77	+ 19.67%
HANG SENG COMPOSITE INDEX	2802.68	2335.32	+ 20.01%
HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX	3330.06	2649.52	+ 25.69%
HANG SENG CHINA ENTERPRISES INDEX	10340.36	7097.25	+ 45.70%

Stock Market Indices

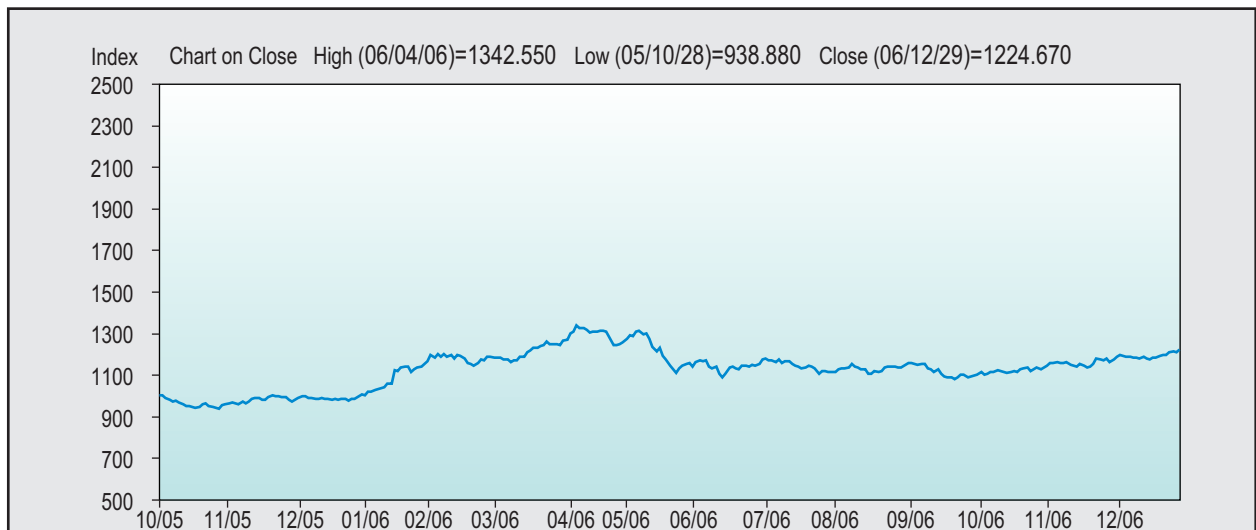
Hang Seng Index (2005/10/03 – 2006/12/29)



S&P/HKEx LargeCap Index (2005/10/03 – 2006/12/29)



S&P/HKEx GEM Index (2005/10/03 – 2006/12/29)



Stock Market Indices

WORLD INDICES

Index	End of Dec 2006 Close	End of Sep 2006 Close	Change %
HONG KONG			
S&P/HKEx LargeCap Index	24378.76	20418.50	+ 19.40%
Hang Seng Index	19964.72	17543.05	+ 13.80%
AUSTRALIA			
All Ordinaries Index	5644.30	5113.00	+ 10.39%
JAKARTA			
Composite Index	1805.52	1534.62	+ 17.65%
JAPAN			
Nikkei (225)	17225.83	16127.58	+ 6.81%
KOREA			
Composite Index	1434.46	1371.41	+ 4.60%
KUALA LUMPUR			
Composite Index	1096.24	967.55	+ 13.30%
MANILA			
Composite Index	2982.54	2556.71	+ 16.66%
NEW ZEALAND			
NZSE (50)	4055.47	3589.34	+ 12.99%
SHANGHAI			
A Shares Index	2815.13	1840.31	+ 52.97%
B Shares Index	130.12	107.80	+ 20.71%
SHENZHEN			
A Shares Index	569.58	455.45	+ 25.06%
B Shares Index	433.32	323.36	+ 34.01%
SINGAPORE			
Straits Times Index	2985.83	2568.86	+ 16.23%
TAIWAN			
Taipei Weighted Stock Index	7823.72	6883.05	+ 13.67%
THAILAND			
SET Index	679.84	686.10	- 0.91%
NEW YORK			
DJIA	12463.15	11679.07	+ 6.71%
Nasdaq Composite Index	2415.29	2258.43	+ 6.95%
TORONTO			
S&P/TSX Composite Index	12908.39	11761.27	+ 9.75%
GERMANY			
DAX Capital Value Index	4429.01	4031.16	+ 9.87%
LONDON			
FTSE (100)	6220.80	5960.80	+ 4.36%

Derivatives Market – Futures and Options

Contract Volume by Derivatives Products

Derivatives product	Contracts	
	4th Quarter 2006	4th Quarter 2005
Hang Seng Index Futures	3,340,942	2,684,211
Hang Seng Index Options	1,114,938	843,396
Mini-Hang Seng Index Futures	612,196	432,901
Mini-Hang Seng Index Options	16,035	7,386
H-shares Index Futures	1,376,398	510,996
H-shares Index Options	246,375	60,868
FTSE/Xinhua China 25 Index Futures	1,887	822
FTSE/Xinhua China 25 Index Options	750	2,802
Stock Futures	38,337	3,757
Stock Options	6,110,304	2,498,877
Three-month HIBOR Futures	2,413	3,978
One-month HIBOR Futures	20	10
Three-year Exchange Fund Note Futures	0	0
Total	12,860,595	7,050,004

Derivatives Market – Futures and Options

Stock Options Premium by Class

for 4th Quarter 2006

Options class	HKATS Code	Premium (HK\$ mil.)	% of total
CHALCO	ALC	21.58	0.30
BANKCOMM	BCM	116.79	1.62
BANK OF CHINA	BCL	61.95	0.86
BANK OF E ASIA	BEA	7.63	0.11
BOC HONG KONG	BOC	33.64	0.47
CCB	CCB	122.94	1.71
CHINA MOBILE	CHT	1,284.37	17.83
CHINA UNICOM	CHU	30.43	0.42
CITIC PACIFIC	CIT	18.62	0.26
CHEUNG KONG	CKH	649.76	9.02
CKI HOLDINGS	CKI	9.91	0.14
CHINA LIFE	CLI	1,596.49	22.16
CLP HOLDINGS	CLP	10.89	0.15
CM Bank	CMB	25.65	0.36
CNOOC	CNC	26.62	0.37
CHINA NETCOM	CNM	6.91	0.10
COSCO PACIFIC	COS	4.45	0.06
CATHAY PAC AIR	CPA	28.97	0.40
SINOPEC CORP	CPC	51.58	0.72
CHINA RESOURCES	CRE	7.22	0.10
CHINA TELECOM	CTC	96.47	1.34
DENWAY MOTORS	DWM	2.24	0.03
ESPRIT HOLDINGS	ESP	31.57	0.44
HK ELECTRIC	HEH	3.84	0.05
HKEX	HEX	118.94	1.65
HSBC HOLDINGS	HKB	1,179.65	16.38
HK & CHINA GAS	HKG	9.53	0.13
HENDERSON LAND	HLD	49.31	0.68
HUANENG POWER	HNP	13.09	0.18
HANG SENG BANK	HSB	28.11	0.39
HUTCHISON	HWL	787.34	10.93
ICBC	ICB	20.44	0.28
JOHNSON ELEC H	JSE	1.52	0.02
LENOVO GROUP	LEH	3.09	0.04
LI & FUNG	LIF	20.17	0.28
MTR CORPORATION	MTR	14.68	0.20
NEW WORLD DEV	NWD	31.89	0.44
PING AN	PAI	49.11	0.68
PCCW	PCC	3.07	0.04
PETROCHINA	PEC	177.04	2.46
SHK PPT	SHK	403.44	5.60
SWIRE PACIFIC A	SWA	23.40	0.32
TRACKER FUND	TRF	7.47	0.10
WHARF HOLDINGS	WHL	12.00	0.17
Total		7,203.85	100.00

Derivatives Market – Futures and Options

Stock Options Contract Volume by Class

for 4th Quarter 2006

Options class	HKATS Code	Contract volume	% of total
CHALCO	ALC	25,836	0.42
BANKCOMM	BCM	182,575	2.99
BANK OF CHINA	BCL	308,149	5.04
BANK OF E ASIA	BEA	27,671	0.45
BOC HONG KONG	BOC	126,230	2.07
CCB	CCB	459,920	7.53
CHINA MOBILE	CHT	857,590	14.04
CHINA UNICOM	CHU	27,344	0.45
CITIC PACIFIC	CIT	14,254	0.23
CHEUNG KONG	CKH	243,015	3.98
CKI HOLDINGS	CKI	10,930	0.18
CHINA LIFE	CLI	665,159	10.89
CLP HOLDINGS	CLP	30,203	0.49
CM Bank	CMB	75,278	1.23
CNOOC	CNC	148,399	2.43
CHINA NETCOM	CNM	21,175	0.35
COSCO PACIFIC	COS	3,732	0.06
CATHAY PAC AIR	CPA	29,865	0.49
SINOPEC CORP	CPC	104,776	1.71
CHINA RESOURCES	CRE	4,749	0.08
CHINA TELECOM	CTC	145,621	2.38
DENWAY MOTORS	DWM	8,848	0.14
ESPRIT HOLDINGS	ESP	31,642	0.52
HK ELECTRIC	HEH	7,527	0.12
HKEX	HEX	64,243	1.05
HSBC HOLDINGS	HKB	1,028,383	16.83
HK & CHINA GAS	HKG	32,712	0.54
HENDERSON LAND	HLD	32,631	0.53
HUANENG POWER	HNP	19,452	0.32
HANG SENG BANK	HSB	223,255	3.65
HUTCHISON	HWL	365,234	5.98
ICBC	ICB	122,816	2.01
JOHNSON ELEC H	JSE	15,826	0.26
LENOVO GROUP	LEH	6,671	0.11
LI & FUNG	LIF	12,340	0.20
MTR CORPORATION	MTR	44,865	0.73
NEW WORLD DEV	NWD	43,275	0.71
PING AN	PAI	57,302	0.94
PCCW	PCC	18,525	0.30
PETROCHINA	PEC	219,708	3.60
SHK PPT	SHK	185,921	3.04
SWIRE PACIFIC A	SWA	20,932	0.34
TRACKER FUND	TRF	19,283	0.32
WHARF HOLDINGS	WHL	16,442	0.27
Total		6,110,304	100.00

Derivatives Market – Futures and Options

Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total	Quarter-end
		contract volume	open interest
2005	Q4	2,684,211	98,211
2006	Q1	2,891,570	116,606
	Q2	3,217,583	109,952
	Q3	3,268,285	122,697
	Q4	3,340,942	119,836

Hang Seng Index Options – Contract Volume and Open Interest (contracts)

		Total	Quarter-end
		contract volume	open interest
2005	Q4	843,396	192,069
2006	Q1	885,771	194,359
	Q2	1,011,339	213,432
	Q3	1,083,631	217,698
	Q4	1,114,938	227,946

Three-month HIBOR Futures – Contract Volume and Open Interest (contracts)

		Total	Quarter-end
		contract volume	open interest
2005	Q4	3,978	1,472
2006	Q1	5,979	1,951
	Q2	2,689	1,476
	Q3	2,807	1,104
	Q4	2,413	1,532

Stock Options Market Turnover and Open Interest (contracts)

		Total	Total	Quarter-end
		Premium (HK\$ mil.)	contract volume	open interest
2005	Q4	2,924.64	2,498,877	1,021,913
2006	Q1	3,664.95	3,835,478	1,265,171
	Q2	4,124.56	3,837,244	1,226,767
	Q3	4,248.66	4,344,327	1,675,179
	Q4	7,203.85	6,110,304	2,533,807

Clearing & Settlement

Open Interest by Derivatives Products

Derivatives product	Contracts	
	4th Quarter 2006	4th Quarter 2005
Hang Seng Index Futures	119,836	98,211
Hang Seng Index Options	227,946	192,069
Mini-Hang Seng Index Futures	5,974	3,081
Mini-Hang Seng Index Options	781	555
H-shares Index Futures	59,345	35,125
H-shares Index Options	74,903	32,599
FTSE/Xinhua China 25 Index Futures	107	48
FTSE/Xinhua China 25 Index Options	358	431
Stock Futures	4,260	1,750
Stock Options	2,533,807	1,021,913
Three-month HIBOR Futures	1,532	1,472
One-month HIBOR Futures	0	5
Three-year Exchange Fund Note Futures	0	0
Total	3,028,849	1,387,259

Clearing & Settlement

Stock Options Open Interest by Class

as at the end of 4th Quarter 2006

Options class	HKATS Code	Open interest (contracts)	% of total
CHALCO	ALC	17,925	0.71
BANKCOMM	BCM	122,187	4.82
BANK OF CHINA	BCL	150,863	5.95
BANK OF E ASIA	BEA	9,214	0.36
BOC HONG KONG	BOC	64,770	2.56
CCB	CCB	264,078	10.42
CHINA MOBILE	CHT	250,531	9.89
CHINA UNICOM	CHU	12,207	0.48
CITIC PACIFIC	CIT	11,149	0.44
CHEUNG KONG	CKH	59,737	2.36
CKI HOLDINGS	CKI	7,239	0.29
CHINA LIFE	CLI	359,485	14.19
CLP HOLDINGS	CLP	13,185	0.52
CM Bank	CMB	34,880	1.38
CNOOC	CNC	77,515	3.06
CHINA NETCOM	CNM	8,610	0.34
CATHAY PAC AIR	CPA	14,696	0.58
SINOPEC CORP	CPC	71,980	2.84
CHINA TELECOM	CTC	54,737	2.16
DENWAY MOTORS	DWM	3,729	0.15
ESPRIT HOLDINGS	ESP	5,476	0.22
HK ELECTRIC	HEH	8,367	0.33
HKEX	HEX	28,058	1.11
HSBC HOLDINGS	HKB	313,380	12.37
HK & CHINA GAS	HKG	15,029	0.59
HENDERSON LAND	HLD	12,933	0.51
HUANENG POWER	HNP	8,414	0.33
HANG SENG BANK	HSB	96,309	3.80
HUTCHISON	HWL	104,971	4.14
ICBC	ICB	75,790	2.99
JOHNSON ELEC H	JSE	5,862	0.23
LENOVO GROUP	LEH	2,104	0.08
LI & FUNG	LIF	2,614	0.10
MTR CORPORATION	MTR	26,269	1.04
NEW WORLD DEV	NWD	8,832	0.35
PING AN	PAI	26,259	1.04
PCCW	PCC	13,707	0.54
PETROCHINA	PEC	100,223	3.96
SHK PPT	SHK	44,369	1.75
SWIRE PACIFIC A	SWA	6,165	0.24
TRACKER FUND	TRF	14,556	0.57
WHARF HOLDINGS	WHL	5,403	0.21
Total		2,533,807	100.00

Clearing & Settlement

Securities under CCASS Custody

(balances at quarter end)

Year/Month		Number of shares (bil. shs)	% of issued shares of admitted securities	Market value (HK\$ bil.)	% of market capitalisation of admitted securities
2005	Q4	1,309.86	55.55	3,026.86	34.60
2006	Q1	1,313.45	55.34	3,607.06	35.79
	Q2	1,430.48	57.36	3,798.96	36.09
	Q3	1,478.19	57.00	4,100.29	36.46
	Q4	1,657.62	58.79	5,754.89	40.23

Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

Year/Month		No. of CCASS trades	% of Exchange trades	No. of shares (Mil. shs)	% of market turnover	Value of trades (HK\$ mil.)	% of market turnover
2005	Q4	147,380	100	24,533.07	100	18,711.59	100
2006	Q1	245,029	100	34,427.90	100	31,176.14	100
	Q2	249,783	100	35,095.31	100	34,087.08	100
	Q3	200,860	100	36,944.92	100	26,254.09	100
	Q4	345,756	100	47,129.04	100	44,684.24	100

Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

Year/Month		Number of SIs	SIs by shares (bil. shs)	SIs by value (HK\$bil.)
2005	Q4	26,602	14.83	52.21
2006	Q1	34,261	19.31	74.07
	Q2	37,182	21.62	91.22
	Q3	31,422	17.11	65.09
	Q4	42,553	29.08	120.00

Clearing & Settlement

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

Year/month		Number of ISIs	ISIs by shares (Mil shs)	ISIs by value (HK\$ mil.)
2005	Q4	329	61.30	103.46
2006	Q1	496	55.27	134.47
	Q2	579	78.92	216.76
	Q3	531	67.14	171.78
	Q4	745	108.80	362.37

Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

Year/Month		No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (Mil. shs)	Netting efficiency (%)
2005	Q4	30,507	89.28	9,589.31	79.79
2006	Q1	45,262	90.47	14,886.07	77.79
	Q2	43,574	90.91	14,431.15	78.87
	Q3	37,025	91.39	11,342.38	84.18
	Q4	48,224	92.69	17,297.74	80.75

Average Daily Settlement Efficiency of CNS Stock Positions

Year/Month		Settlement efficiency (%)	
		S day	S + 1 day
2005	Q4	99.75	99.97
2006	Q1	99.74	99.98
	Q2	99.67	99.97
	Q3	99.81	99.98
	Q4	99.67	99.97

Exchange Participants Statistics

Stock Exchange

		No. of Trading	Exchange	Exchange	Non-Exchange
		Right Holders	Participants –	Participants –	Participants
			Trading	Non-Trading	
2005	Q4	519 (922)	429 (825)	39 (46)	51 (51)
2006	Q1	520 (922)	427 (821)	42 (50)	51 (51)
	Q2	517 (922)	426 (823)	41 (49)	50 (50)
	Q3	517 (922)	425 (821)	42 (51)	50 (50)
	Q4	518 (921)	425 (818)	44 (54)	49 (49)

Futures Exchange

		No. of Trading	Exchange	Exchange	Non-Exchange
		Right Holders	Participants –	Participants –	Participants
			Trading	Non-Trading	
2005	Q4	193 (225)	137 (167)	–	56 (58)
2006	Q1	194 (225)	138 (167)	–	56 (58)
	Q2	194 (225)	137 (166)	–	57 (59)
	Q3	194 (225)	136 (165)	–	58 (60)
	Q4	194 (225)	135 (164)	–	59 (61)

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/ futures/options dealing activity.

Clearing Participants Statistics

HKCC Participants

Year/month		Clearing Participants	General Clearing Participants
2005	Q4	128	5
2006	Q1	130	5
	Q2	129	5
	Q3	128	5
	Q4	125	6

SEOCH Participants

Year/month		Direct Clearing Participants	General Clearing Participants
2005	Q4	48	3
2006	Q1	48	3
	Q2	47	4
	Q3	46	4
	Q4	46	4

HKSCC Participants

Year/month		Broker Participants	Non-broker Participants ^(Note 1)
2005	Q4	435	45
2006	Q1	434	44
	Q2	433	44
	Q3	431	44
	Q4	431	44

Note 1: Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants

Abbreviations

CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
HKCC	HKFE Clearing Corporation Ltd
HKEx	Hong Kong Exchanges and Clearing Ltd
HKSCC	Hong Kong Securities Clearing Co Ltd
ISI	Investor settlement instruction
NASDAQ	National Association of Securities Dealer Automated Quotations
P/E ratio	Price/earnings ratio
S&P	Standard and Poors
SEOCH	SEHK Options Clearing House Ltd, The
SI	Settlement instruction