

2016 Settlement Calendar for Northbound Trades

Jan	1	4	5	6	7	8	11	12	13	14	15	18	19	20	21	22	25	26	27	28	29
	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri
Northbound Trading	x	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Securities Settlement (for T-day's trades)	x	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Money Settlement (for T-1 day's trades)	x	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Settlement Instruction (SI) Settlement	x	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓

Feb	1	2	3	4	5	8	9	10	11	12	15	16	17	18	19	22	23	24	25	26	29
	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon
Northbound Trading	✓	✓	✓	✓	✓	x	x	x	x	x	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Securities Settlement (for T-day's trades)	✓	✓	✓	✓	✓	x	x	x	x	x	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Money Settlement (for T-1 day's trades)	✓	✓	✓	✓	✓	x	x	x	x	x	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Settlement Instruction (SI) Settlement	✓	✓	✓	✓	✓	x	x	x	x	x	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓

Mar	1	2	3	4	7	8	9	10	11	14	15	16	17	18	21	22	23	24	25	28	29	30	31
	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu
Northbound Trading	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	x	x	x	✓	✓	✓
Securities Settlement (for T-day's trades)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	x	x	x	✓	✓	✓
Money Settlement (for T-1 day's trades)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	x	x	*	✓	✓
Settlement Instruction (SI) Settlement	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	x	x	✓	✓	✓

Oct	3	4	5	6	7	10	11	12	13	14	17	18	19	20	21	24	25	26	27	28	31
	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon
Northbound Trading	x	x	x	x	x	x	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Securities Settlement (for T-day's trades)	x	x	x	x	x	x	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Money Settlement (for T-1 day's trades)	x	x	x	x	x	x	*	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Settlement Instruction (SI) Settlement	x	x	x	x	x	x	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓

Nov	1	2	3	4	7	8	9	10	11	14	15	16	17	18	21	22	23	24	25	28	29	30
	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed
Northbound Trading	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Securities Settlement (for T-day's trades)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Money Settlement (for T-1 day's trades)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Settlement Instruction (SI) Settlement	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓

Dec	1	2	5	6	7	8	9	12	13	14	15	16	19	20	21	22	23	26	27	28	29	30
	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri
Northbound Trading	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	x	x	x	✓	✓	✓
Securities Settlement (for T-day's trades)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	x	x	x	✓	✓	✓
Money Settlement (for T-1 day's trades)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	x	x	*	✓	✓
Settlement Instruction (SI) Settlement	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	x	x	✓	✓	✓

✓: available x: unavailable

* Process money transactions in relation to nominee's activities with respect to SSE/SZSE Securities, if any.