2018 Settlement Calendar for Northbound Trades

lan	1	2	3	4	5	8	9	10	11	12	15	16	17	18	19	22	23	24	25	26	29	30	31
Jan	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed
Northbound Trading	×	√	\checkmark	✓	✓	✓	✓	\checkmark	✓	\checkmark	✓	\checkmark	✓	\checkmark	✓	✓	✓	✓	✓	\checkmark	✓	✓	\checkmark
Securities Settlement (for T-day's trades)	×	✓	✓	✓	✓	✓	✓	✓	✓	✓	√	✓	√	✓	√	√	✓	√	✓	✓	√	✓	✓
Money Settlement (for T-1 day's trades)	×	✓	✓	✓	✓	✓	✓	✓	✓	✓	√	✓	√	✓	√	✓	✓	√	✓	✓	√	✓	✓
Settlement Instruction (SI) Settlement	×	✓	√	✓	√	✓	✓	√	✓	√	✓	✓	√	✓	✓	✓	✓	√	✓	✓	✓	✓	✓

Feb	1	2	5	6	7	8	9	12	13	14	15	16	19	20	21	22	23	26	27	28
reb	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed
Northbound Trading	✓	√	\checkmark	√	✓	✓	\checkmark	✓	\checkmark	\checkmark	×	×	×	×	×	✓	\checkmark	✓	\checkmark	\checkmark
Securities Settlement	./	./	./	./	./	./	./	./	./	./	x	x	x	x	x	./	./	./	./	./
(for T-day's trades)	V	•	•	•	v	•	V	V	•	•	~	~	~	~	•	•	V	v	V	V
Money Settlement	1	./	1	1	./	./	./	./	./	./	x	x	x	x	x	√	√	✓	./	./
(for T-1 day's trades)	V	•	•	•	*	•	V	•	•	V	^	^	^	~	~	•	V	*	V	V
Settlement Instruction	√	/	1	/	/	/	./	/	/	√	×	x	×	x	x	√	√	√	/	/
(SI) Settlement	V	•	*	*	*	•	•	*	•	•	^	^	^	~	~	•	•	*	•	v

Mar	1	2	5	6	7	8	9	12	13	14	15	16	19	20	21	22	23	26	27	28	29	30
IVIAI	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri
Northbound Trading	✓	\checkmark	✓	\checkmark	✓	✓	\checkmark	✓	✓	√	✓	✓	✓	\checkmark	√	\checkmark	✓	✓	✓	\checkmark	×	×
Securities Settlement	/	./	./	./	/	./	./	./	/	./	/	./	./	/	./	./	./	1	/	./	٧	×
(for T-day's trades)	•	•	•	•	•	•	V	•	•	•	•	•	•	V	•	•	•	•	•	•	^	^
Money Settlement	/	./	./	/	/	/	./	/	/	./	./	./	./	/	./	./	./	/	/	./	/	×
(for T-1 day's trades)	•	•	•	•	•	•	V	•	•	•	•	•	•	V	•	V	V	V	•	•	V	
Settlement Instruction	./	./	./	./	./	./	./	./	√	./	./	./	./	./	./	./	./	./	./	./	./	×
(SI) Settlement	•	•	•	•	•	•	V	•	•	•	•	•	•	V	•	•	V	V	•	•	V	

Anr	2	3	4	5	6	9	10	11	12	13	16	17	18	19	20	23	24	25	26	27	30
Apr	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon
Northbound Trading	×	\checkmark	✓	×	×	✓	✓	✓	\checkmark	✓	✓	✓	✓	✓	\checkmark	✓	✓	\checkmark	✓	\checkmark	×
Securities Settlement	×	√	./	x	×	/	./	./	./	./	./	./	./	./	./	./	./	./	./	./	×
(for T-day's trades)	^	V	V	~	~	V	V	'	•	•	V	V	'	•	V	V	•	•	V	V	^
Money Settlement	x	*	./	×	×	/	✓	./	./	./	./	./	./	√	./	./	./	./	./	./	×
(for T-1 day's trades)	^		'	^	^	•	V	'	•	•	V	V	*	•	V	*	•	•	•	V	^
Settlement Instruction	×	\	./	×	×	/	✓	./	/	./	./	./	./	/	/	./	./	./	/	./	×
(SI) Settlement	^	V	•	^	^	•	,	V	•	v	V	V	V	V	V	•	V	•	•	V	^

Mov	1	2	3	4	7	8	9	10	11	14	15	16	17	18	21	22	23	24	25	28	29	30	31
May	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu
Northbound Trading	×	✓	\checkmark	\checkmark	\checkmark	✓	\checkmark	\checkmark	✓	✓	✓	✓	✓	✓	×	×	√	✓	✓	✓	✓	✓	✓
Securities Settlement	X	./	./	./	./	./	./	./	./	./	./	./	./	./	×	×	./	./	./	./	./	1	./
(for T-day's trades)	^	•	•	V	•	•	•	•	•	•	V	V	•	v	^	^	•	•	V	•	,	*	•
Money Settlement	X	./	/	./	./	./	./	./	./	./	./	✓	./	./	./	×	*	√	/	./	./	1	
(for T-1 day's trades)	^	•	•	V	•	•	•	•	•	•	V	V	•	'	*	^	•	•	'	•	,	*	V
Settlement Instruction	×	1	1	1	/	1	1	1	1	1	/	1	1	1	/	×	1	1	/	1	1	1	/
(SI) Settlement	_		•	•	•	•	•	•	,	•	*	*	•	•	*	^	•	•	*	•	•	•	•

lun	1	4	5	6	7	8	11	12	13	14	15	18	19	20	21	22	25	26	27	28	29
Jun	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri
Northbound Trading	✓	✓	✓	✓	\checkmark	√	✓	\checkmark	✓	\checkmark	✓	×	\checkmark	✓	✓	✓	√	\checkmark	\checkmark	\checkmark	×
Securities Settlement	/	/	/	/	./	./	./	./	/	./	./	x	1	✓	./	/	/	./	./	./	x
(for T-day's trades)	V	•	V	•	•	•	'	,	•	•	V	^	•	•	V	V	•	•	•	V	^
Money Settlement	\	/	\	/	/	1	/	1	/	/	1	×	1	✓	\ 	\	1	1	/	√	/
(for T-1 day's trades)	•	•	•	•	•	•	•	•	•	•	•	^	•	•	V	•	•	•	V	•	•
Settlement Instruction	\ \	/	\ \	/	/	1	/	1	/	/	\ \	×	/	✓	\	\ \	1	1	/	√	\
(SI) Settlement	•	•	•	•	•	•		•	•	V	•	^	•	•	•	•	•	•	V	<u> </u>	V

lul	2	3	4	5	6	9	10	11	12	13	16	17	18	19	20	23	24	25	26	27	30	31
Jul	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue
Northbound Trading	×	✓	√	✓	\checkmark	√	✓	√	✓	√	√	✓	✓	✓	✓	✓	√	✓	✓	\checkmark	\checkmark	✓
Securities Settlement (for T-day's trades)	x	√	√	✓	√	✓	✓	√	✓	√	✓	✓	✓	✓	√	✓	✓	✓	✓	√	√	✓
Money Settlement (for T-1 day's trades)	×	*	√	✓	√	✓	√	✓	√	√	✓	√	√	√	✓	√	✓	✓	✓	√	√	✓
Settlement Instruction (SI) Settlement	x	√	√	✓	√	✓	✓	√	√	√	✓	✓	√	√	√	✓	✓	✓	✓	√	✓	✓

Aug	1	2	3	6	7	8	9	10	13	14	15	16	17	20	21	22	23	24	27	28	29	30	31
Aug	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri
Northbound Trading	✓	✓	\checkmark	✓	\checkmark	✓	✓	√	✓	\checkmark	✓	✓	\checkmark	✓	\checkmark	√	✓	✓	✓	\checkmark	✓	✓	✓
Securities Settlement (for T-day's trades)	✓	✓	√	✓	√	✓	✓	√	✓	√	✓	✓	✓	✓	√	✓	✓	√	√	√	✓	✓	✓
Money Settlement (for T-1 day's trades)	✓	✓	✓	✓	√	✓	✓	√	✓	√	✓	√	✓	✓	✓	√	✓	√	✓	✓	✓	✓	✓
Settlement Instruction (SI) Settlement	✓	✓	✓	√	✓	✓	✓	✓	✓	√	✓	✓	√	✓	✓	✓	✓	✓	✓	✓	√	✓	✓

Con	3	4	5	6	7	10	11	12	13	14	17	18	19	20	21	24	25	26	27	28
Sep	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri
Northbound Trading	✓	\checkmark	\checkmark	\checkmark	\checkmark	✓	\checkmark	\checkmark	\checkmark	\checkmark	✓	✓	\checkmark	✓	×	×	X	\checkmark	✓	\checkmark
Securities Settlement	./	/	1	./	1	./	/	1	./	./	./	/	1	/	x	×	x	/	./	√
(for T-day's trades)	•	•	•	•	V	*	•	•	•	V	•	•	•	•	~	^	~	•	V	, ,
Money Settlement	./	/	1	/	1	/	/	/	./	_/	./	/	1	/	_/	×	x	*	/	✓
(for T-1 day's trades)	•	V	V	V	•	•	•	•	V	•	V	V	V	V	•	~	<	•	>	V
Settlement Instruction	1	1	1	/	1	1	1	/	/	√	1	1	1	/	<u> </u>	×	x	√	/	✓
(SI) Settlement		•	•	V	V		•	•	V		•	•	•	•	V	^	^	•	V	V

	1	2	3	4	5	8	9	10	11	12	15	16	17	18	19	22	23	24	25	26	29	30	4
Oct	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	W
Northbound Trading	×	×	×	×	×	✓	√	√	√	√	✓	×	×	✓	✓	√	\checkmark	✓	✓	\checkmark	✓	\checkmark	
Securities Settlement	x	x	×	×	×	√	√	✓	√	√	✓	x	×	√	√	√	√	✓	√	√	√	√	
(for T-day's trades)		~	_	_	_	•	•	•	•	•	•	~	~	•	•	•	•	•	•	•	•		
Money Settlement	×	×	×	×	×	✓	\	/	/	✓		\	×	*	\checkmark	✓	./	✓	✓	✓	/	✓	
(for T-1 day's trades)	^	^	^	^	^	•	V	•	•	•	•	V	^	•	•	•	•	•	•	V	•		
Settlement Instruction	x	×	×	×	×	√	\checkmark	√	√	√	✓	√	×	√	√	√	√	√	√	√	✓	√	
(SI) Settlement	_	^	^	^	^	•	V	•	•	v	V	V	^	V	•	•	•	•	•	V	V	•	
	1 .																						7
Nov	1	2	5	6	7	8	9	12	13	14	15	16	19	20	21	22	23	26	27	28	29	30	
	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	-
Northbound Trading	✓	√	\checkmark	√	√	√	√	√	√	\checkmark	\checkmark	√	√	\checkmark	√	\checkmark	√	✓	✓	√	√	√	
Securities Settlement	✓	/	✓	✓	\checkmark	✓	\checkmark	✓	✓	✓	✓	/	\checkmark	✓	✓	✓							
(for T-day's trades)		,	Ţ		·	Ţ	•	Ţ	Ť	,		·	,	,	Ť		,		Ţ		,	<u> </u>	
Money Settlement	✓	/	✓	✓	✓	✓	√	✓	✓	✓	/	✓	✓	\checkmark	✓	√	\checkmark	✓	\checkmark	✓	✓	✓	
(for T-1 day's trades)			,		·	·	·	·	Ţ	,	Ţ			r	·		,		·				
Settlement Instruction	/	✓	✓	✓	✓	✓	\checkmark	✓	✓	✓	\checkmark	✓	✓	✓	✓	✓	✓	/	\checkmark	\checkmark	✓	✓	
(SI) Settlement	,	ľ	Ţ	Ţ	Ţ	Ţ	·	Ţ	Ţ	,	,	Ţ	Ţ	·	Ţ	Ţ	Ţ	,	Ţ	Ť	Ţ	<u> </u>	
	3	4	5	6	7	10	11	12	13	14	17	18	19	20	21	24	25	26	27	28	31	1	
Dec	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue	Wed	Thu	Fri	Mon	Tue		Thu	Fri	Mon		
Northbound Trading	√	√	√	√	√	√	√	√	√	√	√	√	√	√	√	×	X	×	√	<i>✓</i>	×		
	, ,	•	V	,	_	•	•	•	,	_	•	•	•	•	_	^	^	~	•	•	^		
Securities Settlement	✓	\checkmark	×	×	×	\checkmark	\checkmark	×															
(for T-day's trades)																							
Money Settlement	✓	✓	✓	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	√	✓	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	×	×	*	\checkmark	×		
(for T-1 day's trades)																							
Settlement Instruction	/	/	/	/	/	/	1	/	/	1	/	/	/	/	/	/	V	V	/	/	V		

^{√:} available
x: unavailable
x

(SI) Settlement

^{*} Process money transactions in relation to nominee's activities with respect to SSE/SZSE Securities, if any.

[#] Depend on holiday schedule of 2019 for Hong Kong and Shanghai/Shenzhen markets.