

Submitted via Qualtrics

**Friends of the Earth (HK)
Company / Organisation
Other**

Question 1

Do you agree with our proposal to remove the documents identified in Table 1 in Schedule II of the Consultation Paper and that doing so will not jeopardise market quality?

Yes

Please give reasons for your views.

Agree, the submission of those documents would only create excess paper waste while contributing no difference to the market quality.

Question 2

Do you agree with our proposal to codify the relevant obligations into the Listing Rules or Guidance Materials and repeal the undertakings, confirmations and declarations as set out in Table 2 in Schedule II of the Consultation Paper?

Please give reasons for your views.

n/a

Question 3

Do you agree with our proposal to repeal the requirement for listing agreements for listing of debt securities (except for debt issues to professional investors), structured products and interests in CIS and investment companies by codifying the relevant obligations as set out in Table 3 in Schedule II of the Consultation Paper?

Yes

Please give reasons for your views.

Agree, repealing the requirement—particularly Item 1 of Table 3 in Schedule II—would save substantial amounts of paper.

Question 4

Do you agree with our proposal to incorporate in the Listing Rules an issuer's obligation to obtain necessary authorisations and consents for its actions set out in Part (e) of Table 1 in Schedule II of the Consultation Paper?

Please give reasons for your views.

n/a

Question 5

Do you agree with our proposal to require the submission of the overarching undertakings from new applicants and sponsors in the Form A1 referred to in paragraph 38 of the Consultation Paper?

Please give reasons for your views.

n/a

Question 6

Do you agree with our proposal to consolidate the requirement for personal particulars of directors/ supervisors in Form FF004?

Yes

Please give reasons for your views.

Agree, consolidating the reporting of personal particulars to a single form-- the Personal Details Form—should reduce paper needs.

Question 7

Do you agree with our proposal to remove signature and/or certification requirements for documents set out in Table 5 in Schedule II of the Consultation Paper?

Yes

Please give reasons for your views.

Agree, eliminating the signature requirements for the proposed e-forms and certified copies of documents would reduce the need for printing and signing e-documents for new listings.

Question 8

Do you agree with our proposal to remove from the Listing Rules any requirement for submission of multiple copies of the same document and to require submission of one electronic copy only in respect of the documents set out in Table 6 in Schedule II of the Consultation Paper?

Yes

Please give reasons for your views.

Agree, HKEX's proposal for electronic submission would save a significant amount of paper, as the documents required under Table 6 in Schedule II can be rather lengthy.

Question 9

Do you agree with our proposal to mandate electronic means as the only mode of submission to the Exchange unless otherwise specified in the Listing Rules or required by the Exchange?

Yes

Please give reasons for your views.

Agree, HKEX's proposal to mandate only electronic submissions aligns with its new electronic submission platform and would allow HKEX to fully transition away from printed documents.

Question 10

Do you agree with our proposal to mandate the digitalisation of the prospectus authorisation and registration processes?

Yes

Please give reasons for your views.

Agree, HKEX's proposal to digitalise the processes would help to avoid excessive paper use and protect the environment.

Question 11

Do you agree with our proposal to amend the Listing Rules to mandate that listed issuers must disseminate corporate communications to their securities holders electronically if this is permitted by their applicable laws and regulations and their constitutional documents?

Yes

Please give reasons for your views.

Agree, HKEX's proposal to change to electronic dissemination would both reduce paper need from printing and fuel from postage.

Question 12

Do you agree with our proposal to allow the consent of holders of a listed issuer's

securities to be implied for the electronic dissemination of its corporate communications, to the extent permitted under applicable laws and regulations and its constitutional documents?

Yes

Please give reasons for your views.

Agree, HKEX should reference SGX, which rely on implied consent for listed companies to electronically transmit documents to its shareholder, to go green and facilitate environmental protection in Hong Kong.

Question 13

Do you agree with our proposal to state in the Rules that Actionable Corporate Communications must be sent to the securities holders individually and in electronic form if the holders provide functional electronic contact details?

Yes

Please give reasons for your views.

Agree, given the high internet usage rate in Hong Kong, it makes sense for Actionable Corporate Communications to be made through electronic form, if the holders provide their functional electronic contact details.

Question 14

Do you agree that where a listed issuer does not have functional electronic contact details of a securities holder, an Actionable Corporate Communication must be sent to the holder in hard copy form including a request for the security holder's electronic contact details to facilitate electronic dissemination of Actionable Corporate Communications in future?

Yes

Please give reasons for your views.

If the securities holder does not have functional electronic contact details, then it cannot be helped for Actionable Corporate Communications. We do agree however that a request for the securities holder to update their electronic contact details must be included to facilitate paperless communication.

Question 15

As your answer to Question 13 above is yes, do you agree that we should define Actionable Corporate Communications as “any corporate communication that seeks instructions from an issuer’s securities holders on how they wish to exercise their rights as the issuer’s securities holders”?

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Yes

Please give reasons for your views.

Agree, revising the definition of Actionable Corporate Communications to limit potential paper usage for securities holders without functional electronic contact details is beneficial.

Question 16

We invite comments on the manner in which the Appendices to the Listing Rules are proposed to be categorised/amended and whether they will give rise to any ambiguities or unintended consequences.

Question 17

Do you agree with our proposal to remove the requirement for physical attendance by members to meet the quorum needed for meetings of the Listing Committee and Listing Review Committee?

Since your answer is “no”, please give reasons for your views.

Question 18

Do you agree with our proposal to make minor changes to the Listing Rules described in paragraph 122 to reflect current practices and requirements?

Yes

Since your answer is “no”, please give reasons for your views.