

# 註冊機構申請成為期貨結算所 / 聯交所期權結算所全面結算參與者 Application by Registered Institution for HKCC / SEOCH General Clearing Participantship

#### 注意事項:

#### Notes:

- 1. 此表格只適用於**註冊機構**申請成為期貨結算所 / 聯交所期權結算所全面結算參與者 · 並不適用於有意申請成為交易所參與者 · 欲申請成為全面結算參與者及交易所參與者的申請人應提交表格 1 ·
  - This form is only applicable to a Registered Institution applicant who intends to be registered as a General Clearing Participant of HKCC / SEOCH and who does **not** intend to be an Exchange Participant. A General Clearing Participant who also intends to become an Exchange Participant should complete Form 1.
- 2. 請附上所有載於「註冊機構申請成為期貨結算所 / 聯交所期權結算所全面結算參與者之解釋說明」內 的文件。
  - Please attach all the documents as stated in the "Explanatory Notes for Application by Registered Institution for HKCC / SEOCH General Clearing Participantship".
- 3. 請回答所有表格內的問題及不要留空問題的答案部份。如問題不適用,請填寫「不適用」。填妥申請表後,請填上申請日期,並加以簽署。此表格可用機印或正楷填寫。
  - Please answer all the questions stated in the Form and do not leave any questions blank. If any question is not applicable, please state "N.A.". Upon completion, please sign and date the Form. The Form may be typewritten or legibly handwritten.
- 4. 在回答問題時,如空位不敷應用,可另紙作答,請在紙上註明問題之編號,並在旁簽署。
  If there is insufficient space to answer, please continue on a separate sheet, specify the question to which the sheet relates and sign on it.
- 5. 請將此申請遞交予香港交易及結算所有限公司交易營運·地址為香港中環康樂廣場八號交易廣場二期六樓。
  - Please submit the application to the Trading Operations of Hong Kong Exchanges and Clearing Limited at 6/F, Two Exchange Square, 8 Connaught Place, Central, Hong Kong.

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# A. 申請參與者類別 - (請選擇其中一項) Participantship Applications - (Please select one category)

□ 期貨結算所全面結算參與者
General Clearing Participant of HKCC

□ 聯交所期權結算所全面結算參與者 General Clearing Participant of SEOCH

### B. 此申請之聯絡人資料

### Information of the Contact Person for the Application\*

: e: 號碼:			
號碼:			
	傳真機號碼: Fax No.:		
phone No. :			
地址:			
il Address :			
人資料 iculars of Appli	<u>cant</u>		
公司名稱: Company Name	in Full:		
英文名稱: ln English:			
主要業務性質: Nature of Main B	usiness:	是 Yes	否 No
香港持牌銀行 Licensed bank in	Hong Kong		
根據《終失及期望	R修例》成功祭記進行第 1 類码组签活動的註冊		
機構 Registered Instit	ution which is registered to carry on Type 1		Ш
· 根據《證券及期貸			
Registered Instit			
其他,請註明	.,		
	culars of Applic Coulars of Applic Company Name in English: 如 Eng	人資料 culars of Applicant 公司名稱: Company Name in Full: 英文名稱: n English: 中文名稱 (如適用 ) n Chinese (if applicable)  主要業務性質: Nature of Main Business: 香港持牌銀行 Licensed bank in Hong Kong 香港有限制持牌銀行 Restricted licence bank in Hong Kong 香港接受存款公司 Deposit-taking company in Hong Kong  香港接受存款公司 Deposit-taking company in Hong Kong  根據《證券及期貨條例》成功登記進行第 1 類受規管活動的註冊 機構 Registered Institution which is registered to carry on Type 1 egulated activity under the Securities and Futures Ordinance 根據《證券及期貨條例》成功登記進行第 2 類受規管活動的註冊 機構 Registered Institution which is registered to carry on Type 2 egulated activity under the Securities and Futures Ordinance	L資料 culars of Applicant 公司名稱: Company Name in Full: 英文名稱: m English: 中文名稱 (如適用) n Chinese (if applicable)  主要業務性質: dature of Main Business:  香港持牌銀行 Licensed bank in Hong Kong 香港有限制持牌銀行 Restricted licence bank in Hong Kong 香港接受存款公司 Deposit-taking company in Hong Kong  「表達(證券及期貨條例》成功登記進行第 1 類受規管活動的註冊 の表情 を認義を表現貨條例》成功登記進行第 2 類受規管活動的註冊 の表情 を認義を規貨條例》成功登記進行第 2 類受規管活動的註冊 の表情 を認義を規貨條例》成功登記進行第 2 類受規管活動的註冊 の表情 を認義を対りない。 表述(記券及期貨條例》成功登記進行第 2 類受規管活動的註冊 の表述 を表述を表述を表述を表述を表述を表述を表述を表述を表述を表述を表述を表述を表述を

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3. 法律身份 Legal St					
		L		列》組成及註冊的機構 and registered under the Companies Ordinance of Hong Kong	
		0	a company incorp	司·並 <u>已</u> 根據香港《公司條例》註冊為非香港公司的機構 orated outside Hong Kong and <u>registered</u> as a non-Hong Kong · Companies Ordinance of Hong Kong	
4.			的所在國家: Incorporation:		
5.	成立為法團的日期:				
6.			書號碼: Registration Certificat	re No.:	
7. 香港營業地址: Business Address in Hong Kong:			g:		
8.		電話號 ness T	碼: elephone Number:		
9.			真號碼: acsimile Number:		
10.			址(如與上述營業地 dence Address (if diffe	战址不同 ): erent from <b>business</b> address above):	
11.	財務 <sup>分</sup> Finan		期: ear End Date:    日		
12.	股本 Partic		of Share Capital:		
	Clas	ss of s	shares	Par Value**	
		)rdinaı	ry	Number of authorized shares**	
	□Р	refere	ence	Issued share capital	
		thers	(please specify)	Premium paid**	
				Paid-up share capital	

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<sup>\*\*</sup> Only applicable to a corporation incorporated outside Hong Kong

13.	註冊股東資料*: Particulars of Registered Shareholde	ers*:		
	英文姓名 (及中文,如適用) Name in English (and Chinese, if applicable)	受益人姓名 (如註冊股東為信託人或代理人) Name of the Beneficiary (if the registered shareholder is a trustee or nominee)		
			(	%)
			(	%)
			(	%)
			(	%)
			(	%)
			(	%)
			(	%)
14.	董事資料: Particulars of Directors:			
	青人的最終控股公司資料 ticulars of Applicant's Ultimate H	olding Company		
a.	英文名稱: Full Name in English:			
b.	中文名稱〔如適用〕: Name in Chinese (if applicable):			
C.	業務性質: Nature of Business:			
d.	成立為法團的所在國家: Country of Incorporation:			
e.	註冊辦事處地址: Registered Office Address:			
f.	對申請人的實益控制權百分率: Percentage of Beneficial Control in A	Applicant:		

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D.

#### E. 申請人聲明 Declaration by the Applicant

本公司,		
We,		

## 申請人姓名 (Name of applicant)

1. **聲明**本公司的董事會已通過動議‧同意作出此申請及,若此申請獲批准‧同意受期貨結算所或聯交所期權結算所(視乎情況而定)的規則、規例及程序約束:

**declare** that our board of directors has passed resolution(s) approving this application and agreeing to be bound by the rules, regulations and procedures of HKFE Clearing Corporation Limited (HKCC") or The SEHK Options Clearing House Limited ("SEOCH"), as the case may be, upon this application being approved;

2. **聲明**我們已知會所有就此申請而曾經或可能會被我們或我們的代理將其個人資料交予期貨結算公司或聯 交所期權結算所(視情況而定)的自然人並取得其同意·可按此表格隨附的私隱政策聲明處理該等個人 資料;

**declare** that we have notified and obtained consent from all natural persons in respect of whom personal data may have been provided or may be provided by us or our agent to HKCC or SEOCH, as the case may be, in connection with this application to the processing of such data in accordance with the Privacy Policy Statement appended to this form;

- 3. **聲明**在此申請上所提供的資料 (包括所有相關的其他文件) 全屬完整、真實及正確。 同時,本公司並沒有 因任何陳述或遺漏而導致該等資料有任何不真確或誤導的成份;
  - **declare** that the information provided in this application (including all other supporting documents) is complete, true and correct and that we have not made or omitted to make any statements or omissions which would render such information untrue or misleading;
- 4. 承諾若此申請 (包括其他所有文件的資料) 所提供之資料有任何變更·本公司當立刻通知結算公司; undertake that we shall notify HKCC or SEOCH, as the case may be, immediately of any changes in the information given in this application (including all other supporting documents);
- 5. **明白**期貨結算所或聯交所期權結算所(視乎情況而定)有權對有關在參與者申請作出虛假或錯誤引導聲明的參與者採取紀律處分;
  - **understand** that HKCC or SEOCH, as the case may be, may take disciplinary proceedings against a Participant which has made a false or misleading representation in relation to its application for participantship;
- 6. **承諾**本公司會遵守所有適用於期貨結算所或聯交所期權結算所(視乎情況而定)不時生效之適用規則、規例及程序、及遵守期貨結算所或聯交所期權結算所(視乎情況而定)有關申請的認可信件內所載條件及其他不時作出的條件、並**同意**在任何不遵守之情況下接受紀律處分;
  - **undertake** that we shall comply with all applicable rules, regulations and procedures of HKCC or SEOCH, as the case may be, from time to time in force, the conditions stated in the letter of approval and any other directives from time to time made by HKCC or SEOCH, as the case may be, and **agree** that any non-compliance shall render us liable to disciplinary proceedings;
- 7. **同意**期貨結算所及聯交所期權結算所及作為期貨結算所及聯交所期權結算所控制人的認可控制人又或任何作為期貨結算所及聯交所期權結算所控制人的認可控制人可透露有關本公司的資料予證監會、其他交易所及監管機構(不論於香港境內或境外);
  - **consent** that HKCC or SEOCH, as the case may be, any recognized exchange controller which is the controller of HKCC or SEOCH, and any company of which a recognized exchange controller which is controller of HKCC or SEOCH is a controller, may disclose information relating to us to the SFC, any exchange and regulatory authority (whether within or outside Hong Kong);

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- 8. **同意**此表格的中英文本如有差異,概以英文版為準;及 **agree** that should there be any conflicts between the English and Chinese languages of this form, the English language shall prevail; and
- 9. **承諾**每位由本公司提供結算服務的非結算參與者均與本公司訂有有效、具約束力且仍然生效的結算協議,萬一該參與者出現交易所規則或期權交易規則(視乎情況而定)下的違約事件,本公司可將該參與者的交易平倉。

**undertake** that, for each non-clearing participant to which we provide clearing services, we have a valid, binding and effective clearing agreement with such participant which permits us to close out positions of such participant if there is an event of default by such participant under the exchange rules or options trading rules, as the case may be.

申請人簽署 <sup>1</sup> Signature of the Applicant <sup>1</sup>	日期 Date
	(連同公司印章・只適用於該印鑑為貴戶簽署指示的一部分)
	(with Company Chop, ONLY applicable if it forms part of your signing instruction)
簽署人姓名 Name of Signatory	職銜 Position

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 $<sup>^{1}</sup>$  必須經由申請人的董事會授權之人士簽署。

The signatory shall be a person duly authorized by the board of directors of the applicant.

#### 私隱政策聲明

本私隱政策聲明解釋香港交易及結算所有限公司以及其不時的附屬公司(統稱「本集團」)(在本私隱政策聲明中·各自稱為「香港交易所」、「我們」或「本集團成員公司」)如何處理 閣下的個人資料·亦描述 閣下保障 資料的權利。包括有權反對香港交易所根據香港法例第486章《個人資料(私隱)條例》(該「《條例》」)對 部分資料的處理。

如對這項私隱政策聲明或我們如何使用 閣下的個人資料有任何疑問·請通過下文「聯繫我們」所載的任何一個通 訊渠道與我們聯繫。

閣下沒有向我們提供註明(\*)號的個人資料 (或有關 閣下代理人的個人資料) · 我們可能無法提供閣下要求的資訊、產品或服務又或無法處理 閣下的要 求、申請、訂購或登記,亦可能無法執行或履行監管職能 (定義見下文)。

#### 甲.處理的目的

我們或會為了以下目的而不時收集 閣下的個人資料(包括但不限於 閣下的姓名、郵寄地址、身份證明文件、雷 閣下的姓名、郵寄地址、身份證明文件、電 話號碼、電郵地址、出生日期和登入名稱):
1. 處理 閣下的申請、訂購及登記使用我們的產品及服

務

2.核實 閣下的身份。例如·我們可能會收集 閣下的身份證號碼(例如 閣下的香港)份證)·另外亦可能因為 需要識別 閣下的身份而收集 閣下的身份證號碼。 3. 執行或履行香港交易所以及任何由其作為認可控制人

的公司(定義見香港法例第 571 章《證券及期貨條例》) 的職能(「監管職能」);

閣下提供我們的產品和服務,以及與此相關的賬戶 4. 向 管理; 5. 進行研究和統計分析

- 6. 與上列任何一項有直接關聯的其他目的。

如適用法律容許,我們也可能會使用 閣下的姓名、郵寄 地址、電話號碼和電郵地址·用以寄送宣傳資料·就香港交易所以及本集團其他成員公司的金融和資訊服務進行 直接營銷活動。

閣下已經拒絕,否則我們可能會將 閣下的姓名、 郵寄地址、電話號碼和電郵地址轉交本集團其他成員公 司,使其可向 閣下寄送宣傳資料,就其金融和資訊服務 進行直接營銷活動。

如不希望收到我們的宣傳和直接營銷資料,或不希望收到 某類宣傳和直接營銷資料,又或不希望在某種途徑收到此 類材料,請通過下文「聯繫我們」所載的其中一種通訊渠 閣下的要求,請提供 道聯絡我們。為確保可以迅速處理 閣下的全名、電郵地址、登入名稱及所訂閱產品及/或服 務的詳情。

#### 第三方收集資料

名刊(會對成員) 及潛在成員進行商業及法律盡職審查·當中 牽涉到由提供背景審查服務的第三方索取員工、董事及股 東的個人資料。在某些個案中·我們可能會索取有關個別 人士刑事罪行或定罪紀錄的資料。審查詳情載於我們的成 員資料集。

#### 乙. 我們可與何人共用資料

為了以上一個或多於一個目的,閣下的個人資料可能會轉 交下列人士。

集團內部轉移:個人資料可能會轉交予本集團其他成員公 司,而使本集團(在香港或其他地方)的相關人員獲得 下的個人資料;就此,閣下同意將 閣下的個人資料轉離 香港:

服務供應商:個人資料可能會提供予任何向香港交易所及 /或本集團在香港或其他地方的其他成員提供行政、電 訊、電腦、付款、追收欠款、資料處理或其他服務的代理、 承判商或第三方;及

除上述人士外,個人資料還可能會與其他人士共用(收集 資料時會通知 閣下)。

#### 法律與法規的遵守

香港交易所及本集團其他成員公司或要為了遵守適用法 律及規例,或遵守法院指令、傳票或其他法定程序(無論 於香港或其他地方),或遵從政府機關、執法機構或類似 機構(無論位於香港或其他地方)提出的要求·又或執行或履行監管職能·而必須保留、處理及/或披露 閣下的個 人資料。香港交易所及本集團其他成員公司或須披露 関 下的個人資料,以履行與 閣下的協議,或保護我們或我 們僱員的權利、財產或安全,又或執行或履行監管職能。

#### 公司重組

隨著我們持續發展業務,我們可能會重組集團架構或出現 控制權易手或業務合併。在這些情況下,閣下的個人資料或會按這份私隱政策聲明或另一份將會通知 閣下的私隱 閣下的私隱 致音报起仍构像政策量测线另 的所言超知 陶下的公愿政策聲明而移交繼續運營我們業務或類似服務的第三方。此等第三方或在香港以外的地方,就此等收購或重組而使用 閣下個人資料的地點也可能不在香港。

#### 我們如何使用 cookies

閣下通過香港交易所網站查閱我們的資訊或服務時,應當 留意到網站有使用 cookies。Cookies是指儲存在 閣 下瀏覽器內的資料檔。閣下進入香港交易所網站時、網站 即在 閣下瀏覽器內自動安裝並使用 cookies。香港交易 所網站使用兩種 cookies

Session Cookies:一種只在 閣下瀏覽香港交易所網站期間留存於瀏覽器內的短暫性質 cookies·用處在於取 得並儲存配置資訊及管理網站,包括「攜帶」資訊以隨 矍 下瀏覽網站的不同版頁,譬如以免 閣下每到一個版頁也 要重新輸入資訊。Session cookies 也會用來編備關於 香港交易所網站使用的匿名統計資料。

Persistent Cookies:一種留存於瀏覽器內較長時間的cookies:用以編備關於香港交易所網站使用的匿名統計 資料,或追蹤和記錄使用者的習慣偏好

香港交易所網站所用的 cookies 不包含個人資料。閣下 可以更改瀏覽器或網路安全軟件中的設定·拒絕接受瀏覽器內的 cookies。不過·這樣或會令 閣下不能使用或啟 閣下不能使用或啟 動香港交易所網站中的某些功能。

#### 丁. 查閱及更正個人資料

根據該《條例》、閣下有權查明我們是否持有 閣下的個人資料、取得資料的備份及更正任何不準確資料,也可以 要求我們通知 閣下其持有資料的種類。如欲查閱有關資料,須使用個人資料私隱專員(「私隱專員」)指定的表 格(可於私隱專員公署的官方網站下載)或經此連接 https://www.pcpd.org.hk/english/publications/ files/Dforme.pdf 提出。

如欲查閱和更正個人資料,或查閱有關政策與常規以及我 們所持有資料種類、應以書面及郵遞形式提出要求(見以 「聯繫我們」)。

我們或會因應 閣下查閱資料要求而產生的行政及實際成 本而收取合理的費用。

#### 戊. 終止或取消

任何時候如果 閣下在我們的賬戶或與我們的關係被取消 或終止,我們會隨即在合理情況下盡快終止處理 個人資料,但也可按合理需要而保留有關資料,合理的需 務及責任等

#### 己.變更

我們定期檢討這項私隱政策聲明,並可能不時加以修訂或 加入具體指示、政策及條款。本私隱政策聲明如有任何重 要修訂,我們會通過 閣下提供給我們的聯絡方式通知 閣下,也會按該《條例》的規定,讓 閣下可以透過屆時 通知 閣下的途徑選擇拒絕接收此類修訂通知。否則,關 於通過香港交易所網站或其他方式而提供給我們的個人 資料,閣下繼續使用香港交易所網站或繼續維持與我們的 關係即被視為同意並接受此項私隱政策聲明(不時修訂)。

#### 庚.一般資料

本私隱政策聲明的中英文本如有差異,概以英文版為準。

#### 辛. 聯繫我們

郵寄

香港中環康樂廣場8號

交易廣場二期8樓

香港交易及結算所有限公司

個人資料隱私主任

電郵: DataPrivacy@HKEX.COM.HK

-7/8-Mar 2021

#### **Privacy Policy Statement**

This Privacy Policy Statement explains how Hong Kong Exchanges and Clearing Limited, and from time to time, its subsidiaries (together the "Group") (and each being "HKEX", "we", "us" or "member of the Group" process your personal data. It also describes your data protection rights, including a right to object to some of the processing that HKEX carries outPersonal Data (Privacy) Ordinance (Cap. 486) ("PDPO").

If you have any questions about this Privacy Policy Statement or how we use your personal data, please contact us through one of the communication channels set out in the "Contact Us" section below.

Please note that if you do not provide us with your personal data (or relevant personal data relating to persons appointed by you to act on your behalf) marked with asterisks we may not be able to provide the information, products or services you have asked for or process your requests, applications, subscriptions or registrations, and may not be able to perform or discharge the Regulatory Functions (defined below).

#### A. Purposes of Processing

From time to time we may collect your personal data including but not limited to your name, mailing address, identity documents, telephone number, email address, date of birth and login name for the following purposes

- 1. to process your applications, subscriptions and registration for our products
- to verify your identity. For example, we may collect your identity card number (such as your Hong Kong ID) for the purpose of identifying you where it is reasonable for your identity card number to be used for this purpose;
- 3. to perform or discharge the functions of HKEX and any company of which HKEX is the recognised exchange controller (as defined in the Securities and Futures Ordinance (Cap. 571)) ("Regulatory Functions");

  4. to provide you with our products and services and administer your account in relation to such products and services;
- 5. to conduct research and statistical analysis; and
- 6. other purposes directly relating to any of the above.

#### **Direct marketing**

We may also, where permitted under applicable law, use your name, mailing address, telephone number and email address to send promotional materials to you and conduct direct marketing activities in relation to HKEX financial services and information services, and financial services and information services offered by other members of the Group.

Except to the extent you have already opted out, we may transfer your name, mailing address, telephone number and email address to other members of the Group for the purpose of enabling those members of the Group to send promotional materials to you and conduct direct marketing activities in relation to their financial services and information services.

If you do not wish to receive any promotional and direct marketing materials from us or do not wish to receive particular types of promotional and direct marketing materials or do not wish to receive such materials through any particular means of communication, please contact us through one of the communication channels set out in the "Contact Us" section below. To ensure that your request can be processed quickly please provide your full name, email address, log in name and details of the product and/or service you have subscribed.

#### Information collected from third parties

We undertake commercial and legal due diligence checks on our members and prospective members, which involves obtaining personal data on staff, directors and shareholders from third parties which provide background checking services. The data we obtain may in limited cases include information about criminal offences or convictions of individuals. Further information about these checks is included in our membership information pack.

#### B. Who we may share data with

For one or more of the purposes specified above, your personal data may be transferred to the recipients below.

Group Transfer: Personal data may be transferred to other members of the Group and made available to appropriate persons in the Group, in Hong Kong or elsewhere and in this regard you consent to the transfer of your data outside of

Service Providers: Personal data may be supplied to any agent, contractor or third party who provides administrative, telecommunications, computer, payment, debt collection, data processing or other services to HKEX and/or any of other member of the Group in Hong Kong or elsewhere; and

In addition to the above, personal data may be shared with other parties as notified to you at the time of collection.

#### Compliance with laws and regulations

HKEX and other members of the Group may be required to retain, process and/or disclose your personal data in order to comply with applicable laws and regulations or in order to comply with a court order, subpoena or other legal process (whether in Hong Kong or elsewhere), or to comply with a request by a government authority, law enforcement agency or similar body (whether situated in Hong Kong or elsewhere) or to perform or discharge the Regulatory Functions. HKEX and other members of the Group may need to disclose your personal data in order to enforce any agreement with you, protect our rights,

property or safety, or the rights, property or safety of our employees, or to perform or discharge the Regulatory Functions.

#### Corporate reorganisation

As we continue to develop our business, we may reorganise our group structure, undergo a change of control or business combination. In these circumstances it may be the case that your personal data is transferred to a third party who will continue to operate our business or a similar service under either this Privacy Policy Statement or a different privacy policy statement which will be notified to you. Such a third party may be located, and use of your personal data may be made, outside of Hong Kong in connection with such acquisition or reorganisation.

#### C. How we use cookies

If you access our information or services through the HKEX website, you should be aware that cookies are used. Cookies are data files stored on your browser. The HKEX website automatically installs and uses cookies on your browser when you access it. Two kinds of cookies are used on the HKEX website:

**Session Cookies:** temporary cookies that only remain in your browser until the time you leave the HKEX website, which are used to obtain and store configuration information and administer the HKEX website, including carrying information from one page to another as you browse the site so as to, for example, avoid you having to re-enter information on each page that you visit. Session cookies are also used to compile anonymous statistics about the use of the HKEX website.

Persistent Cookies: cookies that remain in your browser for a longer period of time for the purpose of compiling anonymous statistics about the use of the HKEX website or to track and record user preferences.

The cookies used in connection with the HKEX website do not contain personal data. You may refuse to accept cookies on your browser by modifying the settings in your browser or internet security software. However, if you do so you may not be able to utilise or activate certain functions available on the HKEX

#### D. Access and correction of personal data

Under the PDPO, you have the right to ascertain whether we hold your personal data, to obtain a copy of the data, and to correct any data that is inaccurate. You may also request us to inform you of the type of personal data held by us. All data access requests shall be made using the form prescribed by the Privacy Commissioner for Personal Data ("Privacy Commissioner") which may be found on the official website of the Office of the Privacy Commissioner or via this

https://www.pcpd.org.hk/english/publications/files/Dforme.pdf

Requests for access and correction of personal data or for information regarding policies and practices and kinds of data held by us should be addressed in writing and sent by post to us (see the "Contact Us" section below).

A reasonable fee may be charged to offset our administrative and actual costs incurred in complying with your data access requests.

#### E. Termination or cancellation

Should your account or relationship with us be cancelled or terminated at any time, we shall cease processing your personal data as soon as reasonably practicable following such cancellation or termination, provided that we may keep copies of your data as is reasonably required for archival purposes, for use in relation to any actual or potential dispute, for the purpose of compliance with applicable laws and regulations and for the purpose of enforcing any agreement we have with you, for protecting our rights, property or safety, or the rights, property or safety of our employees, and for performing or discharging our functions, obligations and responsibilities.

#### F. Changes

We regularly review this Privacy Policy Statement and may from time to time revise it or add specific instructions, policies and terms. Where any changes to this Privacy Policy Statement are material, we will notify you using the contact details you have provided us with and, where required by the PDPO, give you the opportunity to opt out of these changes by means notified to you at that time. Otherwise, in relation to personal data supplied to us through the HKEX website or otherwise, continued use by you of the HKEX website or your continued relationship with us shall be deemed to be your acceptance of and consent to this Privacy Policy Statement, as amended from time to time.

If there is any inconsistency or conflict between the English and Chinese versions of this Privacy Policy Statement, the English version shall prevail.

#### H. Contact us

By Post: Personal Data Privacy Officer Hong Kong Exchanges and Clearing Limited 8/F, Two Exchange Square 8 Connaught Place Central Hong Kong

By Email: DataPrivacy@HKEX.COM.HK