

**SECTION 4.3**

**REPORT SAMPLE 4.3.3 : CCMMV01 PARTICIPANT COLLATERAL ACCOUNT MOVEMENT REPORT - USD**

CCMMV01

HKEX - CCMS

PARTICIPANT COLLATERAL ACCOUNT MOVEMENT REPORT

RUN DATE : 26MAR08

RUN TIME : 19:49:31

PAGE : 1

CCMS DATE : 26MAR08

FIRM NAME :

PART NAME : ABC FUT

FIRM ID PART ID A/C TYPE A/C NO

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BF01999 HKABC1 CLT 0001

CASH

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CCY CU	TRAN DATE/TIME/ TRAN REF	TYPE / UPDATED BY	CONFIRMED AMT / REMARKS	OUTSD DB	PENDING DDI	DCI SENT	DDI COLLECTED
HKD GEN	26MAR08/19:38	VA	6,500,000.00	0.00	0.00	0.00	0.00
	0	PBCMNE01	CB: 002984. HSI	VA LG CR			
	26MAR08/19:38	FE	-8,644.00	0.00	0.00	0.00	0.00
	0	PBCMPO01	CB: 002984. HSI	FEES LG DR			
	26MAR08/19:38	FE	-4,223.20	0.00	0.00	0.00	0.00
	0	PBCMPO01	CB: 002984. MHI	FEES LG DR			
	26MAR08/19:42	HI	0.00	0.00	97,542,667.20	0.00	0.00
	0	PBCMGP05	PB: 080326 3227. HSI	MGN SH GEN			
	26MAR08/19:42	HI	0.00	0.00	41,680,720.00	0.00	0.00
	0	PBCMGP05	PB: 080326 3227. MHI	MGN SH GEN			
	TOTAL MV BAL:		6,487,132.80	0.00	139,223,387.20	0.00	0.00
USD GEN	26MAR08/15:41	VA	0.00	351,900.00	0.00	0.00	0.00
	0	PBCMPO01	CB: 002982. COM	VA LG DR			
	26MAR08/15:53	DI	0.00	-351,900.00	351,900.00	0.00	0.00
	0	PBCMGP05	PB: 080326 3223. OUTSDB	DDI GEN			
	26MAR08/16:06	DC	0.00	0.00	-351,900.00	0.00	351,900.00
	0	PBCMPS03	PB: 080326 3223. OUTSDB	DDI CONF			
	26MAR08/19:38	VA	310,500.00	0.00	0.00	0.00	0.00
	0	PBCMNE01	CB: 002984. COM	VA LG CR			
	26MAR08/19:38	FE	-833.30	0.00	0.00	0.00	0.00
	0	PBCMPO01	CB: 002984. COM	FEES LG DR			
	26MAR08/19:42	HI	0.00	0.00	8,125,893.30	0.00	0.00
	0	PBCMGP05	PB: 080326 3227. COM	MGN SH GEN			
	TOTAL MV BAL:		309,666.70	0.00	8,125,893.30	0.00	351,900.00

REMARKS : \* THE STOCK MOVEMENTS SHOWN ARE DUE TO THE AUTOMATIC CONVERSION IN CCASS FOR A CORPORATE ACTION (FOR EXAMPLE, SHARE CONSOLIDATION OR SUBDIVISION) WHICH MAY TAKE EFFECT EITHER TODAY OR THE FOLLOWING BUSINESS DAY. FOR DETAILED CLARIFICATION, PLEASE REFER TO THE ISSUER'S ANNOUNCEMENT ON HKEX WEBSITE AT [HTTP://WWW.HKEXNEWS.HK/](http://www.hkexnews.hk/).

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**REPORT SAMPLE 4.3.3 : CCMMV01 PARTICIPANT COLLATERAL ACCOUNT MOVEMENT REPORT - USD**

CCMMV01

HKEX - CCMS  
PARTICIPANT COLLATERAL ACCOUNT MOVEMENT REPORT

RUN DATE : 26MAR08  
RUN TIME : 19:49:31  
PAGE : 2

CCMS DATE : 26MAR08

FIRM NAME :  
PART NAME : ABC FUT

FIRM ID PART ID A/C TYPE A/C NO  
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BF01999 HKABC1 HSE 0001

CASH  
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CCY	CU	TRAN DATE/TIME/ TRAN REF	TYPE / UPDATED BY	CONFIRMED AMT / REMARKS	OUTSD DB	PENDING DDI	DCI SENT	DDI COLLECTED
HKD	GEN	26MAR08/19:38 0	VA PBCMPO01	0.00 CB: 002984. HSI	6,500,000.00 VA LG DR	0.00	0.00	0.00
		26MAR08/19:38 0	FE PBCMPO01	0.00 CB: 002984. HSI	1,404.00 FEES LG DR	0.00	0.00	0.00
		26MAR08/19:42 0	DI PBCMGP05	0.00 PB: 080326	-6,501,404.00 OUTSDB DDI GEN	6,501,404.00	0.00	0.00
		26MAR08/19:42 0	HI PBCMGP05	0.00 PB: 080326	0.00 COM MGN SH GEN	21,572,036.36	0.00	0.00
		26MAR08/19:42 0	HI PBCMGP05	0.00 PB: 080326	0.00 COM MGN SH GEN	17,766,000.00	0.00	0.00
TOTAL MV BAL:				0.00	0.00	45,839,440.36	0.00	0.00
USD	GEN	26MAR08/15:41 0	VA PBCMNE01	351,800.00 CB: 002982. COM	0.00 VA LG CR	0.00	0.00	0.00
		26MAR08/19:38 0	VA PBCMPO01	-310,500.00 CB: 002984. COM	0.00 VA LG DR	0.00	0.00	0.00
		26MAR08/19:38 0	FE PBCMPO01	-269.10 CB: 002984. COM	0.00 FEES LG DR	0.00	0.00	0.00
TOTAL MV BAL:				41,030.90	0.00	0.00	0.00	0.00

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HKEX - CCMS  
PARTICIPANT COLLATERAL ACCOUNT MOVEMENT REPORT

RUN DATE : 26MAR08  
RUN TIME : 19:49:31  
PAGE : 3

CCMS DATE : 26MAR08

FIRM NAME :  
PART NAME : ABC FUT

FIRM ID	PART ID	A/C TYPE	A/C NO
BF01999	HKABC1	MM	2288

CASH  
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CCY	CU	TRAN DATE/TIME/ TRAN REF	TYPE / UPDATED BY	CONFIRMED AMT / REMARKS	OUTSD DB	PENDING DDI	DCI SENT	DDI COLLECTED
USD	GEN	26MAR08/15:41	VA	0.00	124,500.00	0.00	0.00	0.00
		0	PBCMPO01	CB: 002982. COM	VA LG DR			
		26MAR08/15:53	DI	0.00	-124,500.00	124,500.00	0.00	0.00
		0	PBCMGP05	PB: 080326 3223. OUTSDB	DDI GEN			
		26MAR08/16:06	DC	0.00	0.00	-124,500.00	0.00	124,500.00
		0	PBCMPS03	PB: 080326 3223. OUTSDB	DDI CONF			
		26MAR08/19:38	VA	0.00	1,869,000.00	0.00	0.00	0.00
		0	PBCMPO01	CB: 002984. COM	VA LG DR			
		26MAR08/19:38	FE	0.00	323.96	0.00	0.00	0.00
		0	PBCMPO01	CB: 002984. COM	FEES LG DR			
		26MAR08/19:42	DI	0.00	-1,869,323.96	1,869,323.96	0.00	0.00
		0	PBCMGP05	PB: 080326 3227. OUTSDB	DDI GEN			
		26MAR08/19:42	HI	0.00	0.00	16,397,360.00	0.00	0.00
		0	PBCMGP05	PB: 080326 3227. COM	MGN SH GEN			
TOTAL MV BAL:				0.00	0.00	18,266,683.96	0.00	124,500.00

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HKEX - CCMS  
PARTICIPANT COLLATERAL ACCOUNT MOVEMENT REPORT

RUN DATE : 26MAR08  
RUN TIME : 19:49:31  
PAGE : 4

CCMS DATE : 26MAR08

FIRM NAME :  
PART NAME : ABC FUT

FIRM ID PART ID A/C TYPE A/C NO  
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BF01999 HKABC1 MM 2388

CASH  
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CCY CU	TRAN DATE/TIME/ TRAN REF	TYPE / UPDATED BY	CONFIRMED AMT / REMARKS	OUTSD DB	PENDING DDI	DCI SENT	DDI COLLECTED
USD GEN	26MAR08/15:41	VA	124,600.00	0.00	0.00	0.00	0.00
	0	PBCMNE01	CB: 002982. COM	VA LG CR			
	26MAR08/19:38	VA	1,869,000.00	0.00	0.00	0.00	0.00
	0	PBCMNE01	CB: 002984. COM	VA LG CR			
	26MAR08/19:38	FE	-323.96	0.00	0.00	0.00	0.00
	0	PBCMPO01	CB: 002984. COM	FEES LG DR			
	26MAR08/19:42	HI	0.00	0.00	14,404,083.96	0.00	0.00
	0	PBCMGP05	PB: 080326 3227. COM	MGN SH GEN			
		TOTAL MV BAL:	1,993,276.04	0.00	14,404,083.96	0.00	0.00

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\*\*\* END OF REPORT \*\*\*