

編號	CD/CDCO/SEOCH/004/2016
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日期	4 February 2016
Date:	

香港聯合交易所期權結算所有限公司
(香港交易及結算所有限公司集團全資附屬成員機構)

THE SEHK OPTIONS CLEARING HOUSE LIMITED
(A wholly-owned member of the Hong Kong Exchanges and Clearing Limited Group)

通告 CIRCULAR

Subject: The U.S. Foreign Account Tax Compliance Act

Enquiry: DCASS Hotline 2979 7222

Reference is made to the circulars dated 26 August 2015 (Ref: [CD/DCO/DCASS/007/2015](#)) and 14 September 2015 (Ref: [CD/DCO/DCASS/009/2015](#)), in relation to the U.S. Foreign Account Tax Compliance Act ("FATCA").

In compliance with the FATCA requirements, whereby, among other things, The SEHK Options Clearing House Limited ("SEOCH") is required to perform a due diligence exercise to establish the FATCA status of its Participants.

As such, Participants are hereby requested to submit an US Internal Revenue Service ("IRS") Form W-8BEN-E, or other applicable IRS forms in the W-8 range or an IRS Form W-9¹ to SEOCH.

The completed, signed and dated IRS form shall be submitted to SEOCH **no later than 4 March 2016 (Friday)** via post or by hand to the following:

¹ The IRS forms and their instructions are available on [IRS website](#).

Clearing Administration & Services
Cash & Derivatives Clearing Operations
The SEHK Options Clearing House Limited
7/F, Infinitus Plaza,
199 Des Voeux Road Central, Hong Kong.
Attn: Samuel Ng

Please note that pursuant to Rule 403E of the Options Clearing Rules of SEOCH, a Participant undertakes to provide SEOCH with sufficient information to enable SEOCH to meet any obligations relating to FATCA. If a Participant fails to submit the relevant IRS tax form to SEOCH **on or before 4 March 2016**, the relevant Participant may be assigned a default FATCA status which may be included in SEOCH's FATCA reporting to the IRS and SEOCH may also take other measures against the Participant as appropriate.

Participants should consult their own professional advisors if they have any questions regarding FATCA, including their FATCA status or how to complete an IRS form.

Should you plan to provide an IRS form other than Form W-8BEN-E or have any questions on the submission of the IRS form to SEOCH, please contact DCASS Hotline at 2979 7222.

Vicky Chan
Senior Vice President
Cash and Derivatives Clearing Operations