



HKEx Hosting Services Limited

(A wholly-owned member of Hong Kong Exchanges and Clearing Limited Group)

5 May 2015
Our Ref: HDS/15/0502

By Email

Dear Subscriber,

Hosting Services Subscribers BCP Declaration following Regular System Recovery Rehearsal on 18 April 2015

Reference is made to our previous [Subscriber Notice \(Ref. No. HDS/15/0304\)](#), dated 23 March 2015.

Thank you very much for your attention, especially those for participation to enable a successful Rehearsal conducted on 18 April 2015.

For completeness and pursuant to Subscriber's obligations under the clause 4.3(b) of Hosting Services Subscription Agreement, that is

*“ensuring adequate business continuity and disaster recovery arrangements are in place in relation to the Subscribed Services (including, where applicable, **maintaining alternative means of access** to the trading, clearing and settlement systems and/or the market data dissemination systems of the HKEx Group)”*,

we would like to invite all eligible Subscribers to further complete a survey as enclosed to specifically declare whether your Company is maintaining alternative means of access other than HSN, or in other words business continuity and disaster recovery arrangements outside HKEx Hosting Services Data Centre, to the applicable trading systems of the HKEx Group.

Please complete the form named “Declaration of Business Continuity and Disaster Recovery Arrangements outside HKEx Hosting Services Data Centre” (**Appendix A**), and return it to HKEx Hosting Services – Service Desk on or before 12 May 2015.

Should you have any questions, please feel free to contact our Service Desk hotline at (852) 2211 6080.

Service Desk,
HKEx Hosting Services Limited
Tel: (852) 2211 6080, Fax: (852) 2462 0722
Email: hsservicedesk@hkex.com.hk



Appendix A

Declaration of Business Continuity and Disaster Recovery Arrangements outside HKEx Hosting Services Data Centre

(Please complete and return this Form by fax or email on or before 12 May 2015)

Fax No.: +852 2462 0722 Email: hsservicedesk@hkex.com.hk

(1) Hosting Services – Subscriber Information

Name of Subscriber : _____

Contact Person : _____ Phone No. : _____

(2) Declaration of Business Continuity and Disaster Recovery Arrangement

We are maintaining **alternative means of access other than HSN**, or in other words business continuity and disaster recovery arrangements **outside HKEx Hosting Services Data Centre**, to the trading systems of the HKEx Group:

Cash Trading:

Yes.

If possible, please specify what alternative means, e.g. BSS via backup OG/OCG/CCOG, On-floor/Off-floor terminal, MWS, NSTD: _____

No.

If possible, please specify if you have a planning to do so in coming 12 months: yes / no _____

Not applicable, we do not provision Cash Trading function in HKEx Hosting Services.

Derivatives Trading:

Yes.

If possible, please specify what alternative means, e.g. Click Station, OAPI via CG/NG: _____

No.

If possible, please specify if you have a planning to do so in coming 12 months: yes / no _____

Not applicable, we do not provision Derivatives Trading function in HKEx Hosting Services.

Subscriber Signature

Name:

Date:

Personal Information Collection Statement

“Personal Data” in this statement has the same meaning as “personal data” in the Personal Data (Privacy) Ordinance, Cap 486 of the Laws of Hong Kong (“PDPO”). The personal data collected on this form will be used solely for the purpose of the Declaration and be retained for such period as may be necessary for our verification and record purposes. Please refer to the HKEx’s Privacy Policy Statement which is available on the HKEx website (www.hkex.com.hk) for further details.