

Next Generation Programme Documentation for Clearing Participants

Documents	Publication Dates
Townhall Presentation Material <i>(NextGen programme overview)</i>	2018 Q1
NextGen - Further Information & Questions	2018 Q2
NextGen Risk Model – Clearing Participant Seminar Material	2018 Q2 & Q4
NextGen Risk Model - Clearing Participant Deep Dive Workshop Material	2018 Q2 - Q4
NextGen Risk Model - Further Information & Questions	2018 Q2 / Q3
Client Portal Service Overview/ e-Service Coverage	2018 Q2
Clearing Participant Reports Specification Draft <i>(General Clearing Reports and NextGen Risk Management Daily reports)</i>	2018 Q2
VaR Calculation Model Guide <i>(Detailed specification will be available on request under non-disclosure agreement)</i>	2018 Q3
Default Fund Calculation Model Guide <i>(Detailed specification will be available on request under non-disclosure agreement)</i>	2018 Q4
Client Portal Briefing Materials	2018 Q3
Client Portal User Onboarding Documents	2018 Q4
User Manuals & Technical Specifications	
VaR Parameter file	2018 Q3
NextGen Risk Management User Interface <i>(Including Connectivity, User Guide, Admin Guide)</i>	2019 Q1
Margin Simulator <i>(Including Connectivity, User Guide, Admin Guide)</i>	2018 Q4
Enterprise Managed File Transfer (EMFT) Connectivity Guide for Report Download	2018 Q4
Enterprise Managed File Transfer (EMFT) Functional User Guide for Report Download <i>(Including User Guide, Admin Guide)</i>	2019 Q1
Business Intelligence User Interface <i>(Including Connectivity, User Guide, Admin Guide)</i>	2018 Q4

Client Portal FAQ and User Manual	2018 Q4
Test Plan / Packs	
Clearing Participant Test Strategy	2018 Q3
Client Portal Familiarization Guidelines	2018 Q4
Rules	
Clearing House Rules Updates	2019 Q2
Clearing House Policy Updates	2019 Q1/ Q2

(The above timeline serves for indicative purpose and is subject to changes at HKEX discretion. Clearing Participants shall always refer to the latest announcements from HKEX.)