


USER ACCESS LEVEL ASSIGNMENT

Appendix II

CCMS FUNCTIONS	USER ACCESS LEVEL CODE					
	BA	BB	BC	BD	BE	U
GENERAL FUNCTIONS						
Enquire Broadcast Message	✓	✓	✓	✓	✓	✓
Report Download				✓		
Report Profile Maintenance				✓		
COLLATERAL DEPOSIT						
Add Cash Collateral Deposit Order		✓				
Delete Cash Collateral Deposit Order		✓				
Authorise Cash Collateral Deposit Order			✓			
Add Non-Cash Collateral Deposit Order		✓				
Delete Non-Cash Collateral Deposit Order		✓				
Authorise Non-Cash Collateral Deposit Order			✓			
Enquire Deposit or Withdrawal Order		✓	✓			
COLLATERAL WITHDRAWAL						
Add Cash Collateral Withdrawal Order		✓				
Delete Cash Collateral Withdrawal Order		✓				
Authorise Cash Collateral Withdrawal Order			✓			
Add Non-Cash Collateral Withdrawal Order		✓				
Delete Non-Cash Collateral Withdrawal Order		✓				
Authorise Non-Cash Collateral Withdrawal Order			✓			
Enquire Deposit or Withdrawal Order		✓	✓			
PARTICIPANT SUBMITTED DEPOSIT / WITHDRAWAL ORDER						
Reject Submitted Cash Collateral Deposit / Withdrawal Order			✓			
COLLATERAL TRANSFER						
Add Cash Collateral Account Transfer Instruction		✓				
Delete Cash Collateral Account Transfer Instruction		✓				
Authorise Cash Collateral Account Transfer Instruction			✓			
Enquire Cash Collateral Account Transfer Instruction		✓	✓			
Add Non-Cash Collateral Account Transfer Instruction		✓				
Delete Non-Cash Collateral Account Transfer Instruction		✓				
Authorise Non-Cash Collateral Account Transfer Instruction			✓			
Enquire Non-Cash Collateral Account Transfer Instruction		✓	✓			
CCMS STOCK TRANSFER						
Add CCMS-To-CCASS Stock Transfer						✓

CCMS FUNCTIONS	USER ACCESS LEVEL CODE					
	BA	BB	BC	BD	BE	U
ENQUIRE COLLATERAL INFORMATION						
Enquire Collateral Account					✓	
Enquire Collateral Account to Transaction Account Relationship					✓	
Enquire Collateral Account Balance					✓	
Enquire Collateral Account Movement					✓	
Enquire Collateral Inventory					✓	
Enquire Interest Calculation Result / Accommodation Fee					✓	
Enquire Currency Exchange Rate and Haircut					✓	
Enquire Collateral Effective Haircut & Val Price					✓	

‘✓’ means the user has the access right to the functions.

 means SEOCH Participants can access user access levels BA to BE and U whereas HKCC Participants can access levels BA to BE only.

SECURITY MANAGEMENT FUNCTIONS	USER ACCESS LEVEL CODE
	EE
SECURITY MANAGEMENT	
Enquire User Profile	✓
View User Group Listing (with accessible C/3 functions)	✓
View User Profile Listing	✓
View Disabled User Listing	✓
View SRN Listing	✓
View User Profile Maintenance Report	✓

‘✓’ means the user has the access right to the functions.