

Rules and Procedures of HKFE Clearing Corporation Limited

CHAPTER II

HKCC PARTICIPANTSHIP

Disclosure of information

219. All information in the possession of the Clearing House relating to an HKCC Participant shall be kept confidential by the Chairman, Managing Director, staff or officers of the Clearing House or HKEx having access to the same, except that:-
- (e) the Clearing House may disclose information to any body or person responsible for determining disciplinary matters pursuant to these Rules or to whom the Clearing House may be required by Chapter V of these Rules to disclose information;
 - (f) the Clearing House may disclose and report any information at any time if so required pursuant to any requirement or request of any governmental, regulatory or other competent authority whether within or outside Hong Kong (including but not limited to the Commission, the Hong Kong Monetary Authority and the Inland Revenue Department of the Hong Kong government) or by or in accordance with the Tax Information Exchange Framework; and
 - (g) the Clearing House may disclose on an aggregated and/or anonymised basis any information concerning or in connection with an HKCC Participant at any time, including disclosure to specified persons or to the public if so required for the Clearing House to comply with any local or international regulatory standards applicable to it.
221. Except where (i) it is required by a court in Hong Kong or by applicable law, decree, regulation, rule, code, guidance, order, published practice or concession, judgment or decision of a governmental, regulatory or other competent authority so to do, or (ii) it is permitted under these Rules, neither the Clearing House nor any of its officers shall disclose any information to any person.