PART I

RESPONSIBILITIES, POWERS AND FUNCTIONS OF COMMITTEES, DIVISIONS, DEPARTMENTS, SECTIONS, UNITS AND SEOCH CONCERNING DISCIPLINARY MATTERS

3. Other Divisions, Departments, Sections and Units

The responsibilities and functions of divisions, departments, sections and units other than the Disciplinary Section and the Enforcement Section include the following:-

- 3.1 to conduct an investigation into alleged offences to be dealt with under the Standard Penalty Procedures and to issue a Warning Letter, if thought fit; and
- 3.2 to conduct a preliminary investigation into alleged offences to be dealt with by hearings prior to a full investigation by the Enforcement Section.

In these roles, the divisions, departments, sections and units may require production of books and records by the Participants concerned.