

Chapter 2 : Access to HKATS

2.1 Means of Access

An Options Trading Exchange Participant can access HKATS for trading in stock options through its connection to HKATS.

2.2 Notification of Change of Address or Means of Access

2.2.1 HKATS

An Options Trading Exchange Participant that wishes to relocate its data lines for HKATS must notify the Exchange in writing by completing a telecommunication lines relocation form which can be obtained from the Exchange. The notification should be given at least 8 weeks prior to the intended relocation date.

After relocation, the Options Trading Exchange Participant will be required to perform to the Exchange's satisfaction a transaction test, a log in test and such other tests as the Exchange or Options System Operator may consider necessary to confirm that its connection to HKATS is in order.

2.2.2 [Repealed]

2.3 [Repealed]

2.4 Passwords

A password must be entered with a HKATS Username in order to access HKATS.

The Exchange will distribute HKATS Username(s) and password(s) to the Options Trading Exchange Participant and its Authorized Person for gaining access to HKATS. Upon logging on for the first time, an Authorized Person should change the password to one he chooses and keep it strictly confidential.

An Options Trading Exchange Participant may request the Exchange to reset the password or to reissue the password notification letter subject to the payment of a fee specified by the Exchange. Such request shall be made by completing such form as may be prescribed by the Exchange from time to time.

2.5 Application For HKATS Username

An Options Trading Exchange Participant must apply by completing such form as may be prescribed by the Exchange from time to time for assignment of HKATS Username(s) and password(s) for accessing HKATS.

The Options Trading Exchange Participant shall notify the Exchange of any change in the information provided, including any deletion of HKATS Username(s). For deletion of HKATS Username(s), the Exchange will endeavor to terminate the access of the HKATS username(s) to HKATS on the effective date as indicated on the form.

2.6 [Repealed]

2.7 Outside Services

OPERATIONAL TRADING PROCEDURES ACCESS TO HKATS

The Exchange has no responsibility for, or involvement with, the services of bureau suppliers or other suppliers of services to Options Trading Exchange Participants, except where otherwise expressly stated. Options Trading Exchange Participants subscribing to these outside services should liaise directly with the service provider.

2.8 [Repealed]

