

Corporate Investor Participant Change of Authorised Signatories Form

公司投資者戶口持有人更改獲授權簽署人士表格

Your request will normally be processed by HKSCC in five working days upon receipt of your form. On completion, HKSCC will confirm to you in writing the effective date for your new list of authorised signatories to start operation. 結算公司將於收到貴戶的表格後 五個工作天內處理貴戶的要求。完成之後,結算公司將以書面形式通知貴戶可開始使用獲授權簽署人士新名單的生效日期。

To: 致:	Hot 1/F 8 C 客/	stomer Service Centre ng Kong Securities Clearing Co Ltd One & Two Exchange Square connaught Place Central Hong Kong 占服務中心 巷中央結算有限公司(「結算公司」) 巷中環康樂廣場 8 號交易廣場 1期及 2期 1樓]	From:	English Full Name of Investor Participant 投資者戶口持有人的英文全名 Participant ID:
		nquiry Tel. No. 查詢電話號碼 2979-7888)			参 與者編號
			•••••	• • • • • • • • •	
		ills of Change 更改詳請		X	
1		List of Authorised Signatories (may appoint up to six			
		Full Name in English 英文姓名	-	Full Na	me in English 英文姓名
	1		2		
	3		4		
	5		6		
		ning Instruction 簽署指示(□ Please tick "✓" in appropr		. ,	
		Any one of them signing alone shall be valid. 他們當			
		Any two of them signing jointly shall be valid. 他們當 Company chop must be affixed in addition to signatur			
		Company chop is not required to be affixed in addition			
		Others, please specify 其他,請敍明		-	
	_				
2.	<u>Pr</u>	escribed Documents Submitted with this Form 必須	須隨才	L表格遞	<u>交的文件</u>
2.1		ompleted and signed New List of Authorised Signato 填妥及簽署的獲授權簽署人士的新名單及簽名式樣;	ries v	vith Spe	cimen Signatures;
2.2	Si	ertified True Extract of Minutes of the Board of gnatories by a director or the company secretary, <u>or</u> s 一名公司董事或公司秘書核證的有關批准上述更改獲持	such e	equivale	nt document; and
2.3	2.3 Certified true copies of the latest Annual Return (Form AR1) and all subsequent Notification of change of secretary and directors (Appointment / Cessation) (Form D2A) filed with the Companies Registry of Hong Kong by a director or the company secretary, or the equivalent documents. 由一名公司董事或公司秘書核證的已送交香港公司註冊處登記的最近期的周年申報表〔表格 AR1〕及所有其後的秘書及董事更改通知書(委任/離任)〔表格 D2A〕 的副本。				
Sid	oned	by the Corporate Investor Participant 公司投資	女白巾	2 持右人	、答·里·
51	Silcu	by the corporate investor I articipant a vix g	4 / •	- 40 04 5	以 名·
		sed Signature(s) (with company chop, ONLY applicable if 人士簽名 (連同公司印鑑,只適用於該印鑑為貴戶簽署指示的			f your signing instruction)
Na	ıme(s) of Signatory(ies) 簽署人姓名:			Date 日期:
	(2				

For Office Use Only 公司專用						
Date Received:	Form checked and signature	Reviewed by:	Effective Date:	Form Scanned by & dat	e:	
	verified by:	Date:		Maker:	Checker:	
IDE01 (14 (25)						

		(Company Name)
held on	(Date) at	(Time) at which a quorum was
present		
Present	:	
	Please tick "✓" in appropriate boxes below	
T WAS	RESOLVED:	
("H in t	mpany for giving written instructions to Hong In KSCC") in connection with any matters arising from the Central Clearing and Settlement System ("CCA sons according to HKSCC's requirement be changed any one of the Authorised Signatories signing any two of the Authorised Signatories signing company chop must be affixed in addition to company chop is not required to be affixed in	m the Company's participation and operations SS") which shall consist of not more than six d to the following and that galone shall be valid: g jointly shall be valid: signature(s):
<u>No</u>	Full Name in English	
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
	AT the aforesaid changes be communicated to HK SCC may prescribe for updating its records;	SCC in accordance with such requirements as
	AT the Company shall hald HVSCC harmless a	gainst any and all claims that may arise by

- 2.
- 3.
- 4. resolutions and remain in force until amending resolutions to supersede them have been passed by the Company's Board of Directors and communicated to HKSCC."

Certified By:

(Signature)	(Date Certified)
Full Name of Signatory:	Position: Director/ Company Secretary

New List of Authorised Signatories and Specimen Signatures of Corporate Investor Participant (may appoint up to six persons) 公司投資者戶口持有人的「獲授權簽署人士」新名單及簽名式樣(最多可委任六名人士)

Company Name in English 公司英文名稱:______

Specimen Signature (please sign in the middle of the box by using <u>black or dark blue ball pen</u> and do not let your signature touch or overlap any lines) 簽名式様 (請用 <u>黑色或深藍色原子筆</u> 於方格正中央簽署,並切勿讓簽名與方格的任何行線重疊)
Company Chop Requirement (see * below): 公司印鑑要求 (詳見附註*): □ No 不必 □ Yes (please stamp the chop clearly and do not let it touch or overlap any lines of this box) 必需 (清晰地蓋上印鑑,並切勿讓它與此方格的任何行線重疊)

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Participant ID: Signatures scanned by & date: Signatures verified by & date: Effective Date:

件時須加蓋公司印鑑。

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Privacy Policy Statement

Hong Kong Exchanges and Clearing Limited, and from time to time, its subsidiaries (together the "Group") (and each being "HKEX", "we", "us" or "member of the Group" for the purposes of this Privacy Policy Statement as appropriate) recognise their responsibilities in relation to the collection, holding, processing, use and/or transfer of personal data under the Personal Data (Privacy) Ordinance (Cap. 486) ("PDPO"). Personal data will be collected only for lawful and relevant purposes and all practicable steps will be taken to ensure that personal data held by us is accurate. We will use your personal data which we may from time to time collect in accordance with this Privacy Policy Statement.

We regularly review this Privacy Policy Statement and may from time to time revise it or add specific instructions, policies and terms. Where any changes to this Privacy Policy Statement are material, we will notify you using the contact details you have provided us with and, where required by the PDPO, give you the opportunity to opt out of these changes by means notified to you at that time. Otherwise, in relation to personal data supplied to us through the HKEX website or otherwise, continued use by you of the HKEX website or your continued relationship with us shall be deemed to be your acceptance of and consent to this Privacy Policy Statement, as amended from time to time.

If you have any questions about this Privacy Policy Statement or how we use your personal data, please contact us through one of the communication channels set out in the "Contact Us" section below.

We will take all practicable steps to ensure the security of the personal data and to avoid unauthorised or accidental access, erasure or other use. This includes physical, technical and procedural security methods, where appropriate, to ensure that the personal data may only be accessed by authorised personnel.

Please note that if you do not provide us with your personal data (or relevant personal data relating to persons appointed by you to act on your behalf) we may not be able to provide the information, products or services you have asked for or process your requests, applications, subscriptions or registrations, and may not be able to perform or discharge the Regulatory Functions (defined below).

Purpose

From time to time we may collect your personal data including but not limited to your name, mailing address, telephone number, email address, date of birth and login name for the following purposes:

- $1.\ to\ process\ your\ applications,\ subscriptions\ and\ registration\ for\ our\ products\ and\ services;$
- 2. to perform or discharge the functions of HKEX and any company of which HKEX is the recognised exchange controller (as defined in the Securities and Futures Ordinance (Cap. 571)) ("Regulatory Functions");
- 3. to provide you with our products and services and administer your account in relation to such products and services;
- 4. to conduct research and statistical analysis;
- to process your application for employment or engagement within HKEX to assess your suitability as a candidate for such position and to conduct reference checks with your previous employers; and
- 6. other purposes directly relating to any of the above.

Direct marketing

Where you have given your consent and have not subsequently opted out, we may also use your name, mailing address, telephone number and email address to send promotional materials to you and conduct direct marketing activities in relation to HKEX financial services and information services, and financial services and information services offered by other members of the Group.

If you do not wish to receive any promotional and direct marketing materials from us or do not wish to receive particular types of promotional and direct marketing materials or do not wish to receive such materials through any particular means of communication, please contact us through one of the communication channels set out in the "Contact Us" section below. To ensure that your request can be processed quickly please provide your full name, email address, log in name and details of the product and/or service you have subscribed.

Identity Card Number

We may also collect your identity card number and process this as required under applicable law or regulation, as required by any regulator having authority over us and, subject to the PDPO, for the purpose of identifying you where it is reasonable for your identity card number to be used for this number.

Transfers of personal data for direct marketing purposes

Except to the extent you have already opted out we may transfer your name, mailing address, telephone number and email address to other members of the Group for the purpose of enabling those members of the Group to send promotional materials to you and conduct direct marketing activities in relation to their financial services and information services.

Other transfers of your personal data

For one or more of the purposes specified above, your personal data may be:

- transferred to other members of the Group and made available to appropriate persons in the Group, in Hong Kong or elsewhere and in this regard you consent to the transfer of your data outside of Hong Kong;
- 2. supplied to any agent, contractor or third party who provides administrative,

telecommunications, computer, payment, debt collection, data processing or other services to HKEX and/or any of other member of the Group in Hong Kong or elsewhere; and 3. other parties as notified to you at the time of collection.

How we use cookies

If you access our information or services through the HKEX website, you should be aware that cookies are used. Cookies are data files stored on your browser. The HKEX website automatically installs and uses cookies on your browser when you access it. Two kinds of cookies are used on the HKEX website:

Session Cookies: temporary cookies that only remain in your browser until the time you leave the HKEX website, which are used to obtain and store configuration information and administer the HKEX website, including carrying information from one page to another as you browse the site so as to, for example, avoid you having to re-enter information on each page that you visit. Session cookies are also used to compile anonymous statistics about the use of the HKEX website.

Persistent Cookies: cookies that remain in your browser for a longer period of time for the purpose of compiling anonymous statistics about the use of the HKEX website or to track and record user preferences.

The cookies used in connection with the HKEX website do not contain personal data. You may refuse to accept cookies on your browser by modifying the settings in your browser or internet security software. However, if you do so you may not be able to utilise or activate certain functions available on the HKEX website.

Compliance with laws and regulations

HKEX and other members of the Group may be required to retain, process and/or disclose your personal data in order to comply with applicable laws and regulations or in order to comply with a court order, subpoena or other legal process (whether in Hong Kong or elsewhere), or to comply with a request by a government authority, law enforcement agency or similar body (whether situated in Hong Kong or elsewhere) or to perform or discharge the Regulatory Functions. HKEX and other members of the Group may need to disclose your personal data in order to enforce any agreement with you, protect our rights, property or safety, or the rights, property or safety of our employees, or to perform or discharge the Regulatory Functions.

Corporate reorganisation

As we continue to develop our business, we may reorganise our group structure, undergo a change of control or business combination. In these circumstances it may be the case that your personal data is transferred to a third party who will continue to operate our business or a similar service under either this Privacy Policy Statement or a different privacy policy statement which will be notified to you. Such a third party may be located, and use of your personal data may be made, outside of Hong Kong in connection with such acquisition or reorganisation.

Access and correction of personal data

Under the PDPO, you have the right to ascertain whether we hold your personal data, to obtain a copy of the data, and to correct any data that is inaccurate. You may also request us to inform you of the type of personal data held by us. All data access requests shall be made using the form prescribed by the Privacy Commissioner for Personal Data ("Privacy Commissioner") which may be found on the official website of the Office of the Privacy Commissioner or via this link https://www.pcpd.org.hk/english/publications/files/Dforme.pdf

Requests for access and correction of personal data or for information regarding policies and practices and kinds of data held by us should be addressed in writing and sent by post to us (see the "Contact Us" section below).

A reasonable fee may be charged to offset our administrative and actual costs incurred in complying with your data access requests.

Termination or cancellation

Should your account or relationship with us be cancelled or terminated at any time, we shall cease processing your personal data as soon as reasonably practicable following such cancellation or termination, provided that we may keep copies of your data as is reasonably required for archival purposes, for use in relation to any actual or potential dispute, for the purpose of compliance with applicable laws and regulations and for the purpose of enforcing any agreement we have with you, for protecting our rights, property or safety, or the rights, property or safety of our employees, and for performing or discharging our functions, obligations and responsibilities.

General

If there is any inconsistency or conflict between the English and Chinese versions of this Privacy Policy Statement, the English version shall prevail.

Contact us

By Post: Personal Data Privacy Officer Hong Kong Exchanges and Clearing Limited 8/F, Two Exchange Square 8 Connaught Place Central Hong Kong

By Email:

DataPrivacy@HKEX.COM.HK

私隱政策聲明

香港交易及結算所有限公司以及其不時的附屬公司(統稱「本 」)(在 本 私 隱 政 策 聲 明 中 , 各 自 稱 為 「 香 港 交 易 所 我們」或「本集團成員公司」,視適用情況而定)明白根香港法例第 486 章 《個人資料(私隱)條例》(該「《條》」)其在收集、持有、處理、使用及/或轉移個人資料 」) 其 住的 責任。 個人資料的收集只限作合法且相關的用途, 並 採取一切實際可行方法去確保我們持有的個人資料準確無。我們將會根據本私隱政策聲明使用我們不時所收集閣下 切實際可行方法去確保我們持有的個人資料準確無 的個人資料

我們定期檢討這項私隱政策聲明,並可能不時加以修訂或加 人具體指示、政策及條款。本私隱政策聲明如有任何重要修 閣下提供給我們的聯絡方式通知 會 通 過 関 《條例》的規定,讓 閣下可以透過屆時通知 途徑選擇拒絕接收此類修訂通知。否則,關於通過香港交易所網站或其他方式而提供給我們的個人資料,閣下繼續使用香港交易所網站或繼續維持與我們的關係即被視為同意並接 受此項私隱政策聲明(不時修訂)

如對這項私隱政策聲明或我們如何使用 閣下的個人資料有任何疑問,請通過下文「聯繫我們」所載的任何一個通訊渠道與我們聯繫。

我們會採取一切實際可行方法確保個人資料的安全,以及避免個人資料在未經授權或意外的情況下被取用、刪除或作其他用途。這包括在實物具體上、技術上及程序上的適當安全 ,確保只有經授權人士才能取用個人資料

閣下沒有向我們提供個人資料(或有關 閣 下 代 理人的個人資料),我們可能無法提供 閣下要求的資訊、產 品或服務又或無法處理 閣下的要求、申請、訂購或登記,亦 可能無法執行或履行監管職能(定義見下文)

我們或會為了以下目的而不時收集 閣下的個人資料(包括但不限於 閣下的姓名、郵寄地址、電話號碼、電郵地址、出生 日期和登入名稱)

- 處 理 閣下的申請 訂購及登記使用我們的產品及服務 執行或履行香港交易所以及任何由其作為認可控制人的 公司(定義見香港法例第 571章《證券及期貨條例》)的職能(「監管職能」); 3. 向 閣下提供我們的產品和服務,以及與此相關的賬戶管
- 理
- 4. 進行研究和統計分析;
- 處 理 閣下應聘香港交易所職位或工作的申請,以評估 関 下是否為適合人選,並向 閣下的前僱主進行相關的背景查 證 ; 及
- 6. 與上列任何一項有直接關聯的其他目的。

直接營銷

閣下已經給予同意而其後並無撤回,我們也可能會使用 下的姓名、郵寄地址、電話號碼和電郵地址,用以寄送宣 傳資料,就香港交易所以及本集團其他成員公司的金融和資 訊服務進行直接營銷活動

如不希望收到我們的宣傳和直接營銷資料,或不希望收到某 類宣傳和直接營銷資料,又或不希望在某種途徑收到此類材 料,請通過下文「聯繫我們」所載的其中一種通訊渠道聯絡我們。為確保可以迅速處理 閣下的要求,請提供 閣下的全 閣下的全 電郵地址、登入名稱及所訂閱產品及/或服務的詳情

身份證號碼

我們也可能會根據適用法律或規例又或任何對我們有管轄權限的監管者的要求而收集並處理 閣下的身份證號碼,另外亦 可能因為需要識別 閣下的身份而(在不抵觸該《條例》的前 收集並處理 閣下的身份證號碼

閣下的個人資料作直接營銷 轉移

除非 閣下已經拒絕,否則我們可能會將 閣下的姓名、郵寄地址、電話號碼和電郵地址轉交本集團其他成員公司,使其 閣下寄送宣傳資料,就其金融和資訊服務進行直接營銷 可向

其他轉移個人資料的情況

為了以上一個或多於一個目的,閣下的個人資料可能會被: 1. 轉交予本集團其他成員公司,而使本集團(在香港或其他地方)的相關人員獲得 閣下的個人資料;就此,閣下同意將閣下的個人資料轉離香港;

提供予任何向香港交易所及/或本集團在香港或其他地方 2. 证底门上的自己之为所及"表布"和自己或类配之为的其他成员提供行政、電訊、電腦、付款、這收欠款、資料處理或其他服務的代理、承判商或第三方;及

轉交予其他人士(收集資料時會通知 関下)

我們如何使用 cookies

下通過香港交易所網站查閱我們的資訊或服務時,應當留 意到網站有使用 cookies。 Cookies 是指儲存在 閣下瀏覽器 内的資料檔。閣下進入香港交易所網站時,網站即在 覽器內自動安裝並使用 cookies。香港交易所網站使用兩種 cookies

Session Cookies: 一種只在 閣下瀏覽香港交易所網站期間留存於瀏覽器內的短暫性質 cookies,用處在於取得並儲存配 置資訊及管理網站,包括「攜帶」資訊以隨 閣下瀏覽網站的 不同版頁,譬如以免 閣下每到一個版頁也要重新輸入資訊。 Session cookies 也會用來編備關於香港交易所網站使用的 匿名統計資料。

Persistent Cookies: 一種留存於瀏覽器內較長時間的 cookies,用以編備關於香港交易所網站使用的匿名統計資 料,或追蹤和記錄使用者的習慣偏好

香港交易所網站所用的 cookies 不包含個人資料。閣下可以更改瀏覽器或網路安全軟件中的設定,拒絕接受瀏覽器內的 cookies。不過,這樣或會令 閣下不能使用或啟動香港交易 所網站中的某些功能

法律與法規的遵守

港交易所及本集團其他成員公司或要為了遵守適用法律及例,或遵守法院指令、傳票或其他法定程序(無論於香港 或其他地方),或遵從政府機關、執法機構或類似機構 (無)提出的要求 論位於香港或其他地方 又或執行或履行 而必須保留、處理及/或披露 閣下的個人資料 易所及本集團其他成員公司或須披露 閣下的個人資料,以 與 閣下的協議,或保護我們或我們僱員的權利、財產或 ,又或執行或履行監管職能。 履行與

公司重組

随 著 我 們 持 續 發 展 業 務 , 我 們 可 能 會 重 組 集 團 架 構 或 出 現 控 權易手或業務合併。在這些情況下,閣下的個人資料或會 這份私隱政策聲明或另一份將會通知 閣下的私隱政策聲 明而移交繼續運營我們業務或類似服務的第三方。此等第三 方或在香港以外的地方,就此等收購或重組而使用 閣下個人 資料的地點也可能不在香港。

查閱及更正個人資料 根據該 (條例),閣下有權查明我們是否持有 閣下的個人資 、取得資料的備份及更正任何不準確資料,也可以要求我 們通知 閣下其持有資料的種類。如欲查閱有關資料,須使用個人資料私隱專員(「**私隱專員**」)指定的表格(可於私隱專員公署的官方網站下載)或經此連接

https://www.pcpd.org.hk/english/publications/files/Dform e.pdf 提出。

如欲查閱和更正個人資料,或查閱有關政策與常規以及我們 所持有資料種類,應以書面及郵遞形式提出要求(見以下「

我們或會因應 閣下查閱資料要求而產生的行政及實際成本 而收取合理的費用。

終止或取消

在一句時候如果 閣下在我們的賬戶或與我們的關係被取消或終止,我們會隨即在合理情況下盡快終止處理 閣下的個人資料,但也可按合理需要而保留有關資料,合理的需要包括: 資料歸檔;解決實際或潛在的爭議;遵守適用法律及規例; 履行與 閣下的任何協議;保障我們及我們僱員的權利、財產 或安全;以及執行或履行我們的職能、義務及責任等

本私隱政策聲明的中英文本如有差異,概以英文版為準。

聯繫我們

港中環康樂廣場8號 易廣場 2期8樓 香港交易及結算所有限公司 個人資料隱私主任

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