

4.3 Service Arrangements during Typhoon and Rainstorm Situations

The operations of CCASS are likely to be impaired during typhoons and rainstorm situations.. If a Typhoon Signal No. 8 (or above) or Black Rainstorm Warning has been issued by the Hong Kong Observatory, HKSCC may suspend all or part of its services, including the operations of CCASS, depository, nominee and money settlement services.

4.3.1 Depository, Settlement and Centre Services during Typhoon Situations

Typhoon Signal No. 8 (or above) is:		Input/Affirm ISI and Securities Settlement	Money Settlement (Note 1)	Deposit and Withdrawal of Shares	Customer Service Centre
Hoisted	Lowered / Remains in effect				
Monday to Friday					
i.	Before 09:00	Lowered at or before 12:00 noon	Normal service	Normal service	Services will resume two hours thereafter
ii.		Remains in effect at 12:00	As above	As above	Services not available for the day
iii.	At or after 09:00	Remains in effect at 12:00	As above	As above	Services will be terminated 15 minutes thereafter
iv.	At or after 15:00		As above	As above	As above
Saturday					
v.	Before 09:00	Remains in effect at 09:00	Input and affirm ISI will still be available <i>Note : No securities settlement on Saturday</i>	(Note 1)	(Note 1)
vi.	At or after 09:00		As above	(Note 1)	(Note 1)

Notes :

1. These services are not available on Saturday.

4.3 Service Arrangements during Typhoon and Rainstorm Situations

4.3.2 Nominee Services during Typhoon Situations

Typhoon Signal No. 8 (or above) is:		Lowered / Remains in effect	Corporate Action Entitlement	Subscription, Dividend Election, Voting and other Corporate Actions and Activities
Hoisted				
i.	Before 09:00	Lowered at or before 12:00	<ul style="list-style-type: none"> - If a Typhoon falls on the last registration date before book-close of benefit entitlement, the time limit for deposit and withdrawal of the relevant securities will be extended to 14:30 - All benefit entitlement processing will be processed as normal. Cash dividends will be distributed upon the receipt of good funds on the same day. 	<ul style="list-style-type: none"> - CCASS Phone System and CCASS Internet System services will still be available - Customer Service Centre will resume its services two hours thereafter
ii.		Remains in effect at 12:00	<ul style="list-style-type: none"> - If a Typhoon falls on the last registration date before book-close of benefit entitlement, the time limit for deposit and withdrawal of the relevant securities will be extended to 12:00 and 14:00 respectively on the following business day - All benefit entitlement processing will be processed as normal. Cash dividends will be distributed upon the receipt of good funds on the same day. 	<ul style="list-style-type: none"> - CCASS Phone System and CCASS Internet System services will still be available - Customer Service Centre will not provide services for the day
iii.	At or after 09:00	Remains in effect at 12:00	<ul style="list-style-type: none"> - If a Typhoon falls on the last registration date before book-close of benefit entitlement, the time limit for deposit and withdrawal of the relevant securities will be extended to 12:00 and 14:00 respectively on the following business day - All benefit entitlement processing will be processed as normal. Cash dividends will be distributed upon the receipt of good funds on the same day. 	<ul style="list-style-type: none"> - CCASS Phone System and CCASS Internet System services will still be available - Customer Service Centre will close 15 minutes thereafter
iv.	After 15:00		Normal CCASS deadlines for deposit and withdrawal of securities are in effect	As above

4.3 Service Arrangements during Typhoon and Rainstorm Situations

4.3.3 Depository, Settlement and Centre Services during Rainstorm Situations

Black Rainstorm Warning is:		Input/Affirm ISI and Securities Settlement	Money Settlement	Deposit and Withdrawal of Shares	Customer Service Centre
Issued	Cancelled/ Remains in effect				
Monday to Friday					
i.	Before 09:00	Cancelled at or before 12:00	Normal service	Normal service	Services will resume two hours thereafter
ii.		Remains in effect at 12:00	As above	As above	No services available for the day
iii.	At or after 09:00	Remains in effect at 12:00	As above	As above	Normal service
Saturday					
vi.	Before 09:00	Remains in effect at 09:00	Input and affirm ISI will still be available <i>Note : No securities settlement on Saturday</i>	(Note 1)	(Note 1)
v.	At or after 09:00		As above	(Note 1)	(Note 1)

Notes :

1. These services are not available on Saturday.

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4.3.4 Nominee Services during Rainstorm Situations

Black Rainstorm Warning is:		Cancelled / Remains in effect	Corporate Action Entitlement	Subscription, Dividend Election, Voting and other Corporate Actions and Activities
Issued				
i.	Before 09:00	Cancelled at or before 12:00	<ul style="list-style-type: none"> - If a Rainstorm falls on the last registration date before book-close of benefit entitlement, the time limit for deposit and withdrawal of the relevant securities will be extended to 14:30 - All benefit entitlement processing will be processed as normal. Cash dividends will be distributed upon the receipt of good funds on the same day. 	<ul style="list-style-type: none"> - CCASS Phone System and CCASS Internet System services will still be available - Customer Service Centre will resume its services two hours thereafter
ii.		Remains in effect at 12:00	<ul style="list-style-type: none"> - If a Rainstorm falls on the last registration date before book-close of entitlement, the time limit for deposit and withdrawal of the relevant securities will be extended to 12:00 and 14:00 respectively on the following business day - All benefit entitlement processing will be processed as normal. Cash dividends will be distributed upon the receipt of good funds on the same day. 	<ul style="list-style-type: none"> - CCASS Phone System and CCASS Internet System services will still be available - No Customer Service Centre services for the day
iii.	At or after 09:00		- Normal service	- Normal service