

To: Customer Service Centre
 Hong Kong Securities Clearing Company Limited
 1/F, One & Two Exchange Square,
 8 Connaught Place, Central, Hong Kong

ISI (WITHOUT AFFIRMATION)
 COUNTERPARTY LIST INPUT REQUEST FORM
 投資者交收指示(毋須確認)交收對手名單輸入表格

致: 客戶服務中心
 香港中央結算有限公司(「結算公司」)
 香港中環康樂廣場8號交易廣場1期及2期1樓

To facilitate processing, please fill in block letters.
 為方便電腦處理，請以英文正楷填寫。

Participant ID 參與者編號	Participant Short Name 參與者簡稱	Date 日期 DD MM YY
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ISI (Without Affirmation) Counterparty List For All Investor Participants

Details of Instruction Add 輸入 Cancel 取消

輸入指示之細節

Counterparty Participant ID 交收對手的參與者編號	Counterparty Participant Name 交收對手的參與者名稱	Client Account No. 客戶戶口號碼
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1) □□□□□□□□	□□□□□□□□□□□□□□□□	□□□□□□□□□□□□□□□□
2) □□□□□□□□	□□□□□□□□□□□□□□□□	□□□□□□□□□□□□□□□□
3) □□□□□□□□	□□□□□□□□□□□□□□□□	□□□□□□□□□□□□□□□□

Note:

You can maintain a maximum of 3 Broker/Custodian¹ Participant IDs in the list above. By specifying the Broker/Custodian Participant ID, you can input ISI to deliver shares to the designated Broker/Custodian without the need of affirmation. Such ISI must be settled on a FOP basis.

If you do not maintain any Broker/Custodian Participant ID in the list above, you will **NOT** be able to initiate ISI by yourself, and need to rely on your Broker/Custodian to input ISI on your behalf. Such ISI may need to be affirmed by you before the transaction can be effected.

備註:

閣下可於上列欄輸入不多於三個指定的經紀/託管商²參與者編號。輸入後，閣下可自行輸入投資者交收指示(毋須確認)交付股份到指定的經紀/託管商參與者。有關的投資者交收指示必須以毋須付款的方式進行交收。

若閣下並無輸入任何經紀/託管商參與者編號於欄內，則閣下並不能自行輸入投資者交收指示。並須由經紀/託管商參與者代為輸入有關的指示。該等投資者交收指示或須經閣下確認才能生效。

For Office Use Only		
Signature verified	Input by	Checked by
<input type="checkbox"/> Incomplete data	<input type="checkbox"/> Signature differ	

 Authorised Signature(s)
 (with company chop, ONLY applicable if it forms part of your signing instruction)
 獲授權人士簽名
 (連同公司印鑑，只適用於該印鑑為貴戶簽署指示的一部分)

Activities under CCASS are subject to the General Rules of CCASS and Operational Procedures in effect.
 所有在中央結算系統內進行的活動均受現行的《中央結算系統一般規則》及《中央結算系統運作程序規則》所約束。

¹ Broker / Custodian means Broker or Custodian which is a CCASS Participant

² 經紀或託管商是指同時為中央結算系統參與者的經紀或託管商

Notes on Personal Information Collection 收集個人資料之說明

Please refer to the hyperlink (http://www.hkex.com.hk/eng/prod/clr/sec_clrsett/ipacctsvc/documents/npic.pdf) to access the statement "NOTES ON PERSONAL INFORMATION COLLECTION" made by HKSCC in accordance with the Personal Data (Privacy) Ordinance of the Hong Kong Special Administration Region.

請查閱相關超連結 (http://www.hkex.com.hk/chi/prod/clr/sec_clrsett/ipacctsvc/documents/npic.pdf) 以獲取結算公司就香港特別行政區所頒布《個人資料(私隱)條例》而發出的“收集個人資料之說明”。