HKATS Risk Functions

Pre-Trade Risk Management (PTRM) Connectivity Tests and Market Rehearsal Briefing Session

Ref: January 2016

Connectivity Tests & Market Rehearsal

Connectivity Test

- *Date: 16 January 2016 (Mandatory) & 23 January 2016 (Backup)*

- *Scope: Test <u>ALL</u> gateways and ports*

Market Rehearsal

- *Date: 30 January 2016 (Mandatory)*

- *Scope:*

- *Login <u>designated</u> gateway and port*

- *3 HKATS Risk Checks*

- *4 Emergency Buttons*

- *Site Failover*

- *Disable HKATS Risk Functions*

Connectivity Test

Web Server Gateway for PTRM GUI Connection	IP Address	Port Number	URL	Remarks
PGENRGW001	10.151.14.101	8090 to 8093	https://pgenrgw001.hkex.com.hk:8090/grx https://pgenrgw001.hkex.com.hk:8091/grx https://pgenrgw001.hkex.com.hk:8092/grx https://pgenrgw001.hkex.com.hk:8093/grx	Primary Site Gateway
PGENRGW002	10.151.14.102	8090 to 8093	https://pgenrgw002.hkex.com.hk:8090/grx https://pgenrgw002.hkex.com.hk:8091/grx https://pgenrgw002.hkex.com.hk:8092/grx https://pgenrgw002.hkex.com.hk:8093/grx	Primary Site Gateway
SGENRGW001	10.152.14.101	8090 to 8093	https://sgenrgw001.hkex.com.hk:8090/grx https://sgenrgw001.hkex.com.hk:8091/grx https://sgenrgw001.hkex.com.hk:8092/grx https://sgenrgw001.hkex.com.hk:8093/grx	Backup Site Gateway
SGENRGW002	10.152.14.102	8090 to 8093	https://sgenrgw002.hkex.com.hk:8090/grx https://sgenrgw002.hkex.com.hk:8091/grx https://sgenrgw002.hkex.com.hk:8092/grx https://sgenrgw002.hkex.com.hk:8093/grx	Backup Site Gateway

Participant is required to return your Confirmation Form upon completion on 16 January 2016 by 17:00

Market Rehearsal

HKATS Risk Functions Login IDs	Web Server Gateway for HKATS Risk Functions Connection	Port Number	Password
P_CABC20112	PGENRGW002	8093	hkextesting
P_CABC20113	PGENRGW001	8090	hkextesting

Important note for the Market Rehearsal:
-The same Login IDs and Password will also be used in the coming Market Rehearsal scheduled on 30th January 2016
-During the Market Rehearsal, Participant is required to login to the assigned Web Server Gateway and Port which is one of 16 URLs in this Connectivity Test

Example URL for logging into the assigned Primary Gateway:
https://pgenrgw002.hkex.com.hk:8093/grx

Example URL for logging into the assigned Secondary Gateway:
https://sgenrgw002.hkex.com.hk:8093/grx
Change "p" into "s"

Confirmation Forms for Market Rehearsal

- Appendix C1 and C2 in the Information Package

- Appendix C1 returned by 17:00 on 30th January 2016

- Appendix C2 returned by 16:00 on 3rd February 2016

Questions & Answers