

香港期貨交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

Hong Kong Futures Exchange Limited

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

事項

Subject: CFTC Regulation Part 30.10 Exemption for Hong Kong

查詢

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Participants are requested to note that the U.S. Commodity Futures Trading Commission (CFTC) has issued an order permitting SFC-licensed corporations to solicit and accept orders and funds directly from US customers for trading of futures or options products in the Exchange without having to register with the CFTC as futures commission merchants.

Participants interested in the exemption under the order are required to submit applications with the U.S. National Futures Association via the SFC. Details of the application procedures will be announced by SFC in due course.

For more details of the order, please refer to the press releases of CFTC (<http://www.cftc.gov>) and SFC (<http://www.sfc.hk>).

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This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail

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