

Trading Arrangements of XIE Shares FTSE Gold Miners ETF

1. Trading Model

Name of the Fund	XIE Shares FTSE Gold Miners ETF
Stock Code	3116
Stock Short Name	XIE GOLD MINERS
Securities Market Maker	<ul style="list-style-type: none"> • CLSA Limited • Commerz Securities Hong Kong Limited
Trading Currency	HKD
Underlying Benchmark	FTSE Gold Mines Net Tax Index
Market Making Obligation	Please see Attachment 2 of this circular and the 14 th Schedule of the Rules of the Exchange
Board Lot Size	200 units
Spread	Based on the Spread Table (Part A) in the Second Schedule to the Rules of the Exchange
Short Selling	Allowed; with tick rule exemption
Transaction Levy	0.0027% per side with exemption for market making transactions only
Investor Compensation Levy	Currently suspended
Trading Fee	0.005% per side with exemption for market making transactions only
Trading Tariff	HK\$0.50 per side per transaction (same as other securities)
Stamp Duty	Not Applicable

2. Fund Information

To facilitate trading of the XIE Shares FTSE Gold Miners ETF, relevant information will be available as follows:

- Stock information will be available on designated stock counter 3116;
- The Trustee / Manager of the Fund will provide financial information on the Fund, including net asset value per unit, total units outstanding, asset under management, etc. on the HKEXnews website (<http://www.hkexnews.hk>);
- Details about the Fund are available on the website www.xieshares.com.hk.

3. Settlement

Settlement will be conducted on T+2 day through Hongkong Clearing's CCASS on the Continuous Net Settlement basis.