

Settlement Failure Notice
(Physically Settled Metal Futures Contract) pursuant to
Section 2A.3.2.3 (h) of the Clearing House Procedures

To: Cash & Derivatives Clearing Operations
30/F, One Exchange Square,
8 Connaught Place, Central,
Hong Kong

From: _____
(Full Name of Participant)

Fax: 2851 6921 / 2579 0095
Email: CSC_incomingform@hkex.com.hk

DCASS Customer Code: |_|_|_|_|

Contact Person: _____

Tel: _____ Fax: _____

Note: This form has to be submitted by **the buying HKCC Participant** to HKCC no later than **14:30** on the Final Settlement Day of the relevant spot month Physically Settled Metal Futures Contract where the buying HKCC Participant has not received the full amount of the Deliverable Metal from the selling HKCC Participant.

Buying HKCC Participant's Name: _____

Buying HKCC Participant's DCASS Customer Code: |_|_|_|_|

Delivery Agent's Name (where applicable): _____

Delivery Agent's DCASS Customer Code (where applicable): |_|_|_|_|

Contact Person for this Form:

Name :	Tel No.:
Email :	Fax No.:

We hereby confirm that all delivery positions in the spot month Physically Settled Metal Futures Contracts as set out in the Final Settlement Notice provided to us by HKCC have been settled except the following positions:

Transaction Reference	DCASS Account	Weight of Deliverable Metal to be Received (kg)	Name of Selling HKCC Participant/ Delivery Agent	Final Settlement Value

Authorized Signature(s)
(with company chop, ONLY applicable
if it forms part of your signing instruction)

Name of Signatory(ies)

Date