

## Notes to the Application

1. Exchange Participants (EPs) may apply for an OCG throttle rate or multiples of OCG throttle rate and assigned to any of their existing or new OCG Session(s).
2. Charges for subscription of OCG throttle rate(s) are as follows:

Items	Charges
One-time charge for each OCG throttle rate	HK\$50,000
Monthly user fee for each OCG throttle rate assigned to an existing/new OCG Session	HK\$960

3. One OCG throttle rate provides the throughput of two messages per second. Each OCG session can assign up to 250 OCG throttle rates and provides throughput rate of 500 messages per second.
4. EPs subscribed OCG throttle and assigned to existing OCG Session are optional to participate in weekend testing. Subject to EP's own readiness, EP can roll out the OCG throttle rate by submission of "HKEX OCG Throttle Rate Rollout Application Form" to HKEX at least 3 trading days before the effective date.
5. EPs subscribed OCG throttle rate and assigned to new OCG Session are required to participate in weekend testing after installation of new OCG session. Subject to EP's own readiness and return of positive weekend testing feedback form, EP can roll out the OCG throttle rate by submission of "HKEX OCG Throttle Rate Rollout Application Form" to HKEX at least 2 weeks before the effective date.