**Declaration Form for Readiness (Using Independent Software Vendor’s Software)
Securities and Derivatives Market Data**

**(for Real-time Information Vendors & End Users)**

To: Data Connectivity and Support, Market Data, Global Markets, HKEX

Email : IVSupport@hkex.com.hk

*(Please return the completed form to HKEX-IS by e-mail)*

|  |
| --- |
| **Notes**1. Please read carefully all the information provided before completing the form.
2. This Form is for Information Vendors and End Users to declare readiness by using software versions which are owned by an Independent Software Vendor (ISV) and had completed the Certification / Readiness Test.
3. It should be noted that the successful completion of the Readiness Test by a particular software version should not be regarded as any form of co-operation with, or endorsement or authorization by HKEX-IS. HKEX-IS does not guarantee the relevant software’s quality, reliability and suitability for clients’ needs.
4. The Information Vendor / End User needs to inform HKEX-IS when it ceases to use these ISV software versions with 2 weeks’ advance notice.
 |

We, <       (Company Name)> (“the Company”), hereby declare readiness for Market Rehearsal / Post Release Test for the following datafeeds by using the software versions owned by <       (Name of ISV)> (“the ISV”).

We further agree to update HKEX-IS (IVSupport@hkex.com.hk) if and when we cease to use the ISV software version for any particular datafeed by giving no less than 2 weeks’ advance notice.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Datefeed** | **Software Name** | **Version Number** | **Scope of Completion\****Full / Limited* | **Certification / Readiness Test Completion Date** | **HKEX-IS Official Use** |
|  |       |       |  |     |  |
|  |       |       |  |     |  |
|  |       |       |  |     |  |
|  |       |       |  |     |  |
|  |       |       |  |     |  |
|  |       |       |  |     |  |

*\* In the case of limited scope of completion for a particular software version, the Company confirm that we made reasonable enquiries to the ISV, and understood (i) the details of that software and (ii) the implication that it may only cover a limited scope of functionalities.*

**Authorization**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Name of the Company** | **:** |       |  | **Signature :** |
| **Authorized Person** | **:** |       |  |  |
| **Title**  | **:** |       |  |  |
| **Contact No.** | **:** |       |  |
| **Date**  | **:** |       |  |

--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

**Personal Information Collection Statement**

“Personal Data” in this statement has the same meaning as “personal data” in the Personal Data (Privacy) Ordinance, Cap 486 of the Laws of Hong Kong (“PDPO”).

The personal data collected on this form will be used solely for the purpose of the enrollment and be retained for such period as may be necessary for our verification and record purposes.

Please refer to the HKEX’s Privacy Policy Statement which is available on the HKEX website ([www.hkex.com.hk](http://www.hkex.com.hk)) for further details.

--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------