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香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)
The Stock Exchange of Hong Kong Limited
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

事項 交易所規則之修訂
Subject: Amendments to the Rules of the Exchange

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Holders of Stock Exchange Trading Rights and Exchange Participants are requested to note that the Securities and Futures Commission has approved amendments to the Rules of the Exchange to revise the monthly fees chargeable for the use of trading devices.

The amendments, as set out in the Appendix, will come into effect on 22 November 2010.

The marked-up version of the amendments can be downloaded from the "Rule Update - Rules of the Exchange" section of the HKEx website.

Christine Wong
Chief Counsel & Head
Legal Services Department

請各位聯交所交易權持有人及交易所參與者注意，證券及期貨事務監察委員會已批准交易所規則，有關調整交易設施用戶月費之修訂。

載於附件之修訂由2010年11月22日起生效。

有關規則的標明修訂本可在香港交易所網站之"規則修訂 - 交易所規則"中下載。

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Rules of the Exchange

CHAPTER 8

PAYMENT OF FEES AND CHARGES

802. Without limitation to the generality of Rule 801 above, the Board may impose fees and charges in respect of:-

<u>Fee Type</u>	<u>Amount (HK\$)</u>
(16) monthly user fee for:	
(a) an additional AMS terminal;	3,970 for second terminal and 3,490 for third terminal
(b) an Open Gateway assigned with single Stock Exchange Trading Right;	7,780
(c) an Open Gateway assigned with multiple Stock Exchange Trading Rights;	7,780 for the first Stock Exchange Trading Right and 480 for each additional Stock Exchange Trading Right
(e) a Standby Terminal with a separate communication line;	3,490
(g) a new Open Gateway under Rule 364B(3)(b);	7,780 for the first standard throttle rate, and 480 for an increase in the throughput rate of an order into the System through the new Open Gateway up to or below the standard throttle rate
(h) the use of a Market Making Open Gateway;	7,780 for the first standard throttle rate, and 480 for each additional standard throttle rate
(i) a Backup Open Gateway for backup of an Open Gateway under Rule 364A(1)(a) and assigned with either Stock Exchange Trading Right(s) or standard throttle rate(s);	7,780 for the first Stock Exchange Trading Right or first standard throttle rate (as the case may be) and 480 for each additional Stock Exchange Trading Right or each additional standard throttle rate (as the case may be)

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| (j) | a Backup Open Gateway for backup of an Open Gateway under Rule 364A(1)(a) and assigned with Stock Exchange Trading Right(s) and standard throttle rate(s); | 7,780 for the first Stock Exchange Trading Right or first standard throttle rate and 480 for each additional Stock Exchange Trading Right and 480 for each additional standard throttle rate |
| (k) | a Backup Open Gateway for backup of a Market Making Open Gateway assigned with a single throttle rate; | 7,780 |
| (l) | a Backup Open Gateway for backup of a Market Making Open Gateway assigned with multiple throttle rates; | 7,780 for the first standard throttle rate, and 480 for each additional standard throttle rate |
| (n) | a new Open Gateway under Rule 365B; | 7,780 for the first standard throttle rate and 960 for each additional standard throttle rate |
| (16A) | the use of a Market Making AMS Terminal; | 3,490 monthly |

交易所規則

第八章

繳付費用

802. 在不限制上述規則第 801 條的一般性的情況下，董事會可就以下事項徵收費用：—

<u>收費類別</u>	<u>金額（港幣）</u>
(16) 用戶月費：	
(a) 附加自動對盤終端機；	3,970 元（第二終端機）及 3,490 元（第三終端機）
(b) 一部配有一份聯交所交易權的開放式網間連接器；	7,780 元
(c) 一部配有多份聯交所交易權的開放式網間連接器；	第一份聯交所交易權 7,780 元，其後每份聯交所交易權 480 元
(e) 獨立一條電訊線的備用終端機；	3,490 元
(g) 根據規則第 364B(3)(b)條而設置新的開放式網間連接器；	第一個標準節流率 7,780 元，另遞加經新的開放式網間連接器傳輸往系統的買賣盤通過量低於或等於標準節流率則收取 480 元
(h) 使用莊家專用開放式網間連接器；	第一個標準節流率 7,780 元，其後每個標準節流率 480 元
(i) 根據規則第 364A(1)(a)條一部配有聯交所交易權或標準節流率的後備開放式網間連接器作為開放式網間連接器的後備；	第一份聯交所交易權或第一個標準節流率 7,780 元（視屬何種情況而定），其後每份聯交所交易權或每一個附加節流率 480 元（視屬何種情況而定）
(j) 根據規則第 364A(1)(a)條一部配有聯交所交易權及標準節流率的後備開放式網間連接器作為開放式網間連接器的後備；	第一份聯交所交易權或第一個節流率 7,780 元，其後每份聯交所交易權 480 元及每一個附加節流率 480 元

(k)	一部配有單一個節流率的後備開放式網間連接器，作為莊家專用開放式網間連接器的後備；	7,780 元
(l)	一部配有多個節流率的後備開放式網間連接器作為莊家專用開放式網間連接器的後備；	第一個標準節流率 7,780 元，其後每一個標準節流率 480 元
(n)	根據規則第 365B 條而設置新的開放式網間連接器；	第一個標準節流率 7,780 元，其後每個標準節流率 960 元
(16A)	使用莊家專用自動對盤終端機；	3,490 元（每月計）