Terminal Operations

FUNCTION NAME: PREFERRED SINGLE SETTLEMENT CURRENCY MAINTENANCE

WHEN TO USE:

- To select the preferred single settlement currency for paying marks, margin and concentration collateral shortfall

AVAILABLE MAINTENANCE FUNCTIONS:

- A. Change Preferred Single Stm Currency To change the preferred single settlement currency for paying marks, margin and concentration collateral.
- B. Delete Preferred Single Stm Currency To delete the pending record of change in preferred single settlement currency.
- C. Enquire Preferred Single Stm Currency To enquire the preferred single settlement currency selected.
- D. Authorise Preferred Single Stm Currency To authorise the pending record of change in preferred single settlement currency.

AVAILABLE FUNCTION TIME:

- For Change / Delete / Authorise Preferred Single Stm Currency functions, the available function time is between 9:00 a.m. till 7:00 p.m., Monday to Friday (except holiday) with function temporary blocked-off (a few minutes) during the collateralisation process of any clearing house (e.g. around 11:10 a.m. for scheduled intra-day marks collateralisation of HKSCC, etc.).
- For Enquire Preferred Single Stm Currency function, the available function time is between 9:00 a.m. till 8:30 p.m., Monday to Friday (except holiday) with function temporary blocked-off (a few minutes) during the collateralisation process of any clearing house (e.g. around 11:10 a.m. for scheduled intra-day marks collateralisation of HKSCC, etc.).

FUNCTIONAL DESCRIPTION:

- Only CCASS Participant users can use Change Preferred Single Stm Currency function to change the preferred single settlement currency for paying marks, margin and concentration collateral shortfall.
- CCASS Participant users can choose any currency shown on the pull down menu if the proper bank account of that currency has already setup.

- If CCASS Participant wishes to settle any marks, margin and concentration collateral shortfall in original currency(ies), CCASS Participant users can choose 'N/A' as its preferred single settlement currency.
- A warning message will prompt out after the selection of preferred single settlement currency to remind CCASS Participant users for the proper setup of bank account(s) in the selected currency or relevant currencies.
- The pending record of change in preferred single settlement currency is required to be authorised through the Authorise Preferred Single Stm Currency function before the preferred single settlement currency for marks and margin is changed.
- Once the pending record of change in preferred single settlement currency is deleted via Delete Preferred Single Stm Currency function, such pending record will no longer be available for further maintenance.

The access path for preferred single settlement currency maintenance is:



PREFERRED SINGLE SETTLEMENT CURRENCY MAINTENANCE - Sample Screen

Maintain Clearing House Payment Info	A Maintain Drafawad Single Sattlemant				B0020701
Maintain Calendar	Maintain Preferred Single Settlement Currency	Site Map	Broadcast Message	Report Download	KPAC 02
Maintain Function Time	Guirency	Announcement Information	Change Password	Print Logout	03-Aug-12 15:12
Mtn Cash Collateral Deposit					
Order	Change Preferred Single Settlement Cu	urrency Detail			
Mtn Non-Cash Collateral Deposit					
Order	Action :	Modify Record			
Mtn Cash Collateral Withdrawal Order	Firm ID :	BF00207			
Mtn Non-Cash Collateral	Participant ID :	B00207 B00207 SHORT NAME			
Withdrawal Order	Account Type :	HOUSE			
Enquire Deposit/Withdrawal Order	Account Number :	0001			
Mtn CCASS-To-CCMS Stock Transfer	Preferred Single Settlement Currency for Marks & Margin :	N/A 👻			
Request Collateral Revaluation	=	Change	Refresh		Change Another Record
Confirm for					
Posting/Collateralisation					
Enquire Posting/Collateralisation Status					Help
Maintain Manual Posting					
Mtn General to Specific Stock Collateral					
Mtn General To Specific Cash					
Collateral					
CCMS Files Authorization					
CCMS File Upload					
Mtn Specific to General Stock Collateral					
Mtn Specific Cash To General					
Collateral					
Confirm for Payment					
Maintain Margin Requirement					
Maintain Payment Summary					
Mtn Preferred Single Stm Currency					
Enquire Payment Batch Status					
Confirm Payment Receipt Status					
Enquire Collateral Account					
Balance					
Enq Specific Cash Collateral Movement	•				
Done			💊 Local intr	anet Protected Mode: Off	🖓 🔻 🔍 125% 🔻

DESCRIPTION OF FIELDS:

<u>Field</u>	Description/Format
ACTION	- display the type of action.
FIRM ID	 display the FIRM ID of the initiating participant. change not allowed.
PARTICIPANT ID	 display the Participant ID of the initiating participant. change not allowed.
ACCOUNT TYPE	 use the pull down menu to select the type of collateral account which the specific cash collateral is to be collected from. can be 'HOUSE', 'CLIENT' or 'MARKET MAKER'. must be 'HOUSE' for CCASS participant.

<u>Field</u>	Description/Format
ACCOUNT NUMBER	 input the account number of participant's collateral account of the account type which the specific cash collateral is to be collected from. must be '0001' for CCASS participant's 'HOUSE' collateral account.
PREFERRED SINGLE SETTLEMENT CURRENCY FOR MARKS & MARGIN	 use the pull down menu to select the preferred single settlement currency for paying marks, margin and concentration collateral shortfall.