FUNCTION NAME: CASH COLLATERAL DEPOSIT ORDER MAINTENANCE

WHEN TO USE:

- HKCC and SEOCH Participants can use the cash collateral deposit order maintenance functions to deposit cash into CCMS for collateral purpose.

AVAILABLE MAINTENANCE FUNCTIONS:

- A. Add Pending Cash Collateral Deposit Order To input a pending deposit order of cash for collateral purpose.
- B. Delete Pending Cash Collateral Deposit Order To delete a pending cash collateral deposit order which was entered via the ADD PENDING CASH COLLATERAL DEPOSIT ORDER function.
- C. Authorise Pending Cash Collateral Deposit Order To authorise a pending cash collateral deposit order which was entered via the ADD PENDING CASH COLLATERAL DEPOSIT ORDER function.

AVAILABLE FUNCTION TIME:

- The cash collateral deposit order maintenance must be performed between 9:00 a.m. and 11:00 a.m. Monday to Friday (except holidays).

FUNCTIONAL DESCRIPTIONS:

- Only HKCC and SEOCH Participant users can use this set of maintenance functions to maintain Cash Collateral Deposit Order via CCMS or CCASS terminal.
- Upon successful input of a cash collateral deposit order, the status of the order is 'Pending'. Authorisation is required.
- Before a pending cash collateral deposit order is authorised, it can be deleted via DELETE PENDING CASH COLLATERAL DEPOSIT ORDER function.
- Upon successful authorisation of a pending Cash Collateral Deposit Order, the status of the order is updated as 'Authorised'. Once a Cash Collateral Deposit Order is authorised and accepted by CCMS, no further changes will be allowed.
- PARTICIPANT SUBMITTED DEPOSIT/ WITHDRAWAL ORDER can be used to reject an authorised Cash Collateral Deposit Order. See Section 7.1.9a for details.

- ENQUIRE DEPOSIT/WITHDRAWAL ORDER can be used to view the details and current status of Cash Collateral Deposit Orders. Data cannot be entered or changed with this function.
- After a cash collateral deposit order is accepted by the respective clearing house, a corresponding DDI will be generated on the same day and sent to participant's designated bank via SWIFT for collection of cash collateral from the participant.
- Upon confirmation of receipt of the payment by the clearing house, CCMS will be updated and such amount deposited will be available to the participant for collateral purpose.

The access path for the cash collateral deposit order maintenance function is:



CASH COLLATERAL DEPOSIT ORDER MAINTENANCE – Sample Screen:

Add Cash Collateral Deposit Order

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Deposit Order	Add Pending Cash Collateral Deposit Order Execut	ion			
Mtn Cash Collateral Withdrawal Order	Order ID :		30900		
Mtn Non-Cash Collateral	Instrument Group :		Cash		
Withdrawal Order	Firm ID :		BF99000		
Enquire Deposit/Withdrawal					
Order	Participant ID :		HKABC1 ABC		
Enquire Collateral Account Balance	Account Type :		CLIENT		
Enquire Collateral Inventory	Account Number :		0001		
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Relationship	Account Status :		ACTIVE		
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Authorise Cash Collateral Deposit Order

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DESCRIPTION OF FIELDS:

<u>Field</u>	Description/Format
INSTRUMENT GROUP	 INSTRUMENT GROUP refers to the type of collateral accepted by CCMS, e.g., cash, stock, bank guarantee, bond. this field displays the instrument group of the cash collateral to be deposited into CCMS, i.e. 'CASH'.
FIRM ID	- display the FIRM ID of the initiating participant.
PARTICIPANT ID	- display the Participant ID of the initiating participant.
ACCOUNT TYPE	 use the pull down menu to select the type of collateral account into which the cash collateral will be deposited. can be 'HOUSE' for collateral accounts of participant itself, 'CLIENT' for collateral accounts of participant's clients, or 'MARKET MAKER' for collateral accounts of participant's market makers.
ACCOUNT NUMBER	 input the account number of participant's collateral account of the account type into which cash collateral will be deposited.
CURRENCY	- select the currency code of the cash to be deposited via pull down menu.
ORDER ID	- display the unique reference assigned by CCMS for the cash collateral deposit order.
ACCOUNT NAME	- display the name of the specified collateral account.
ACCOUNT STATUS	- display the current status of the specified collateral account.
AMOUNT	- input the cash amount to be deposited as cash collateral.
REMARK	 input the remark for the cash collateral deposit order, as required.