

## Terminal Operations

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**FUNCTION NAME: CASH COLLATERAL DEPOSIT ORDER MAINTENANCE**

**WHEN TO USE:**

- HKCC and SEOCH Participants can use the cash collateral deposit order maintenance functions to deposit cash into CCMS for collateral purpose.

**AVAILABLE MAINTENANCE FUNCTIONS:**

- A. Add Pending Cash Collateral Deposit Order  
To input a pending deposit order of cash for collateral purpose.
- B. Delete Pending Cash Collateral Deposit Order  
To delete a pending cash collateral deposit order which was entered via the ADD PENDING CASH COLLATERAL DEPOSIT ORDER function.
- C. Authorise Pending Cash Collateral Deposit Order  
To authorise a pending cash collateral deposit order which was entered via the ADD PENDING CASH COLLATERAL DEPOSIT ORDER function.

**AVAILABLE FUNCTION TIME:**

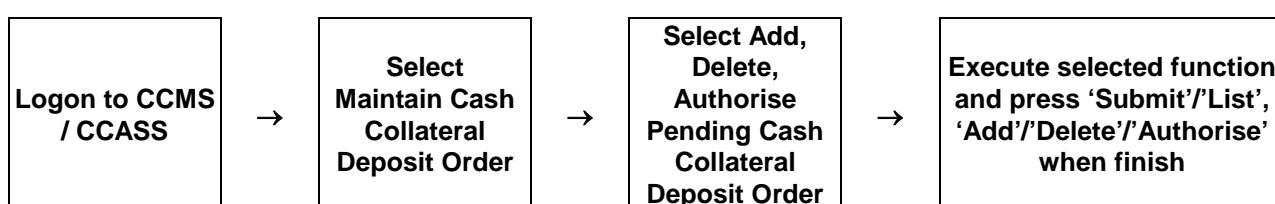
- The cash collateral deposit order maintenance must be performed between 9:00 a.m. and 11:00 a.m. Monday to Friday (except holidays).

**FUNCTIONAL DESCRIPTIONS:**

- Only HKCC and SEOCH Participant users can use this set of maintenance functions to maintain Cash Collateral Deposit Order via CCMS or CCASS terminal.
- Upon successful input of a cash collateral deposit order, the status of the order is 'Pending'. Authorisation is required.
- Before a pending cash collateral deposit order is authorised, it can be deleted via DELETE PENDING CASH COLLATERAL DEPOSIT ORDER function.
- Upon successful authorisation of a pending Cash Collateral Deposit Order, the status of the order is updated as 'Authorised'. Once a Cash Collateral Deposit Order is authorised and accepted by CCMS, no further changes will be allowed.
- PARTICIPANT SUBMITTED DEPOSIT/ WITHDRAWAL ORDER can be used to reject an authorised Cash Collateral Deposit Order. See Section 7.1.9a for details.

- ENQUIRE DEPOSIT/WITHDRAWAL ORDER can be used to view the details and current status of Cash Collateral Deposit Orders. Data cannot be entered or changed with this function.
- After a cash collateral deposit order is accepted by the respective clearing house, a corresponding DDI will be generated on the same day and sent to participant's designated bank via SWIFT for collection of cash collateral from the participant.
- Upon confirmation of receipt of the payment by the clearing house, CCMS will be updated and such amount deposited will be available to the participant for collateral purpose.

The access path for the cash collateral deposit order maintenance function is:



## CASH COLLATERAL DEPOSIT ORDER MAINTENANCE – Sample Screen:

### Add Cash Collateral Deposit Order

**Maintain Collateral Deposit Order**

**Add Pending Cash Collateral Deposit Order Prompt**

Instrument Group : Cash

Firm ID: BF99000

Participant ID: HKABC1

Account Type: CLIENT

Account Number : 0001

Currency : HONG KONG DOLLAR

Submit Refresh

## Section 7.1.6

### Cash Collateral Deposit Order Maintenance

Maintain Collateral Account	Maintain Collateral Deposit Order	<a href="#">Site Map</a>	<a href="#">Broadcast Message</a>	<a href="#">Report Download</a>	HKABC101
Mtn Cash Collateral Deposit Order		<a href="#">Announcement Information</a>	<a href="#">Change Password</a>	<a href="#">Print</a>	KMCA 04
Mtn Non-Cash Collateral Deposit Order				<a href="#">Logout</a>	18-Dec-12 09:42
Mtn Cash Collateral Withdrawal Order					
Mtn Non-Cash Collateral Withdrawal Order					
Enquire Deposit/Withdrawal Order					
Enquire Collateral Account Balance					
Enquire Collateral Inventory					
Eng Coll Acct to Trans Acct Relationship					
Mtn Currency Exchange Rate and Haircut					
Mtn Cash Collateral A/C Transfer Instr					
Mtn Non-Cash Coll A/C Transfer Instr					
Eng Coll Effective Haircut & Val Price					
Enquire Collateral Account Movement					
Enquire Int. Cal. Result / Acc. Fee					
Report Profile Maintenance					
View Circular					

<b>Add Pending Cash Collateral Deposit Order Execution</b>	
Order ID :	30900
Instrument Group :	Cash
Firm ID :	BF99000
Participant ID :	HKABC1 ABC
Account Type :	CLIENT
Account Number :	0001
Account Name :	CLIENT A/C
Account Status :	ACTIVE
Currency :	HONG KONG DOLLAR
Amount :	3,500,000.00
Remark :	CASH DEPOSIT

[Add Another Record](#) [Help](#)

-3994 RECORD ADDED SUCCESSFULLY. AUTHORISATION IS REQUIRED.

## Authorise Cash Collateral Deposit Order

Maintain Collateral Account  
Mtn Cash Collateral Deposit Order  
Mtn Non-Cash Collateral Deposit Order  
Mtn Cash Collateral Withdrawal Order  
Mtn Non-Cash Collateral Withdrawal Order  
Enquire Deposit/Withdrawal Order  
Enquire Collateral Account Balance  
Enquire Collateral Inventory  
Enq Coll Acct to Trans Acct Relationship  
Mtn Currency Exchange Rate and Haircut  
Mtn Cash Collateral A/C Transfer Instr  
Mtn Non-Cash Coll A/C Transfer Instr  
Enq Coll Effective Haircut & Va Price  
Enquire Collateral Account Movement  
Enquire Int. Cal. Result / Acc. Fee  
Report Profile Maintenance  
View Circular

Maintain Collateral Deposit Order

Site Map  
Announcement Information

Broadcast Message  
Change Password

Report Download  
Print  
Logout

HKABC101  
KMCV 01  
18-Dec-12 09:43

**Authorise Pending Cash Collateral Deposit Order Prompt**

Order ID :

Instrument Group : Cash

Firm ID: BF99000

Participant ID: HKABC1

Account Type: ALL

Account Number :

Currency : ALL

List Refresh

Help

Maintain Collateral Account  
Mtn Cash Collateral Deposit Order  
Mtn Non-Cash Collateral Deposit Order  
Mtn Cash Collateral Withdrawal Order  
Mtn Non-Cash Collateral Withdrawal Order  
Enquire Deposit/Withdrawal Order  
Enquire Collateral Account Balance  
Enquire Collateral Inventory  
Enq Coll Acct to Trans Acct Relationship  
Mtn Currency Exchange Rate and Haircut  
Mtn Cash Collateral A/C Transfer Instr  
Mtn Non-Cash Coll A/C Transfer Instr  
Enq Coll Effective Haircut & Va Price  
Enquire Collateral Account Movement  
Enquire Int. Cal. Result / Acc. Fee  
Report Profile Maintenance  
View Circular

Maintain Collateral Deposit Order

Site Map  
Announcement Information

Broadcast Message  
Change Password

Report Download  
Print  
Logout

HKABC101  
KMCV 05  
18-Dec-12 09:45

**Authorise Pending Cash Collateral Deposit Order List Execution**

Order Type: Deposit

Instrument Group: Cash

Order ID	Firm ID	Participant ID	Account Type	Account Number	Currency	Amount	Status
30900	BF99000	HKABC1	CLT	0001	HKD	3,500,000.00	Authorised

Authorise Another Record

Go To List

Help

-2006 RECORD AUTHORISED SUCCESSFULLY  
C0268 CLEARING HOUSE USER WILL BE NOTIFIED FOR YOUR SUBMITTED INSTRUCTION

**DESCRIPTION OF FIELDS:**

<b><u>Field</u></b>	<b><u>Description/Format</u></b>
INSTRUMENT GROUP	<ul style="list-style-type: none"> <li>- INSTRUMENT GROUP refers to the type of collateral accepted by CCMS, e.g., cash, stock, bank guarantee, bond.</li> <li>- this field displays the instrument group of the cash collateral to be deposited into CCMS, i.e. 'CASH'.</li> </ul>
FIRM ID	<ul style="list-style-type: none"> <li>- display the FIRM ID of the initiating participant.</li> </ul>
PARTICIPANT ID	<ul style="list-style-type: none"> <li>- display the Participant ID of the initiating participant.</li> </ul>
ACCOUNT TYPE	<ul style="list-style-type: none"> <li>- use the pull down menu to select the type of collateral account into which the cash collateral will be deposited.</li> <li>- can be 'HOUSE' for collateral accounts of participant itself, 'CLIENT' for collateral accounts of participant's clients, or 'MARKET MAKER' for collateral accounts of participant's market makers.</li> </ul>
ACCOUNT NUMBER	<ul style="list-style-type: none"> <li>- input the account number of participant's collateral account of the account type into which cash collateral will be deposited.</li> </ul>
CURRENCY	<ul style="list-style-type: none"> <li>- select the currency code of the cash to be deposited via pull down menu.</li> </ul>
ORDER ID	<ul style="list-style-type: none"> <li>- display the unique reference assigned by CCMS for the cash collateral deposit order.</li> </ul>
ACCOUNT NAME	<ul style="list-style-type: none"> <li>- display the name of the specified collateral account.</li> </ul>
ACCOUNT STATUS	<ul style="list-style-type: none"> <li>- display the current status of the specified collateral account.</li> </ul>
AMOUNT	<ul style="list-style-type: none"> <li>- input the cash amount to be deposited as cash collateral.</li> </ul>
REMARK	<ul style="list-style-type: none"> <li>- input the remark for the cash collateral deposit order, as required.</li> </ul>