Terminal Operations

FUNCTION NAME: USER PROFILE LISTING

WHEN TO USE:

- For Delegated Administrators (DA) to print/save the "User Profile Listing".

AVAILABLE FUNCTION TIME:

- Available from 7:00 a.m. to 7:00 p.m. Monday to Friday, and from 7:00 a.m. to 1:00 p.m. Saturday (except holidays).

FUNCTIONAL DESCRIPTIONS:

- the "User Profile Listing" lists out the user access group IDs, the transaction limits and other user profile details set for each user of the participant.
- DAs can print and/or save the listing.

The access path for User Profile Listing function is:



USER PROFILE LISTING – Sample Screen:

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			Page 1 of 3
DESDA04 B05601 SROKER 5601	HKEX - CCASS USER PROFILE LISTING	RUN DATE : 08-Hay-0 RUN TIME : 05:56:24	
ATE : 08-Hay-02			
/SER ID (DELEGATED ADHINISTRATOR) / /SER NAME	ASSIGNED USER GROUP ID	TRANSACTION LIHIT	
305601			
SEM : CLEARING HOUSE OPTIONS : NOME SELECTED EMAELED FROM : DISABLED AFTER : ACCESS CHANNEL : C3T USER STRATUS : ENABLED	13 1C 2C 3C 4C 5C 6C 7C 8C C CA CE CG CL D E F F1 F2 F4 F5 F6 F7 F8 G H I J K L N 0	F3 DI:	999,999,999,999,00
		ATI : CP: SI :	
0560l0l ROVAN CHARLIE			
SEN : CLEARING HOUSE OPTIONS : NONE SELECTED ENABLED FROH : DISABLED AFTER :	LC 2C 3C 4C 5C 6C 7C 8C A C E F EL F2 F3 F4 F5 F6 F7 F8 H I J K L H N 0		99,999,999,990.00
ACCESS CHANNEL : C3T USER STRTUS : ENRELED		ER: CCI: ATI: CP: SI:	4,000.00 4,000.00
0550102			

DESCRIPTION OF FIELDS:

Field	Description/Format
SRN	- SRN or Subscriber Reference Number is the unique key assigned to each user's smartcard.
User Name	- the name of the user.
Clearing House Options	 for determining whether the user is a CCMS user, and if yes, whether the user is a firm-level (i.e., can access the data of different participants under the same firm) or participant-level user (i.e., can only access the data of the participant to whom he/she belongs). Firm-level user access will be offered only when the relevant legal structure and issues are cleared. "CASH" if the user is a HKSCC Participant user and allowed to access CCMS. "FUTURES" if the user is a HKCC Participant user and allowed to access CCMS. "OPTIONS" if the user is a SEOCH Participant user and allowed to access CCMS. "NONE SELECTED" if the user is not allowed to access CCMS.
Access Channel	 'C3T' for CCASS/3 or CCMS Terminal. 'PG' for Participant Gateway (not applicable to CCMS).
Enable From	 if a date is set and the user status is 'Enabled', the user is allowed to access the system starting from the specified date. if the field is blank and the user status is 'Enabled', the user is allowed to access the system until the 'Disable after' date, if specified.
Disable After	 if a date is set and the user status is 'Enabled', the user can continue to access the system until the specified date. if the field is blank and the user status is 'Enabled', the user can continue to access the system until otherwise specified.
User Status	 'Enabled' means to allow the user access the system. 'Disabled' means to disallow the user access the system.
Assigned User Group ID	- the IDs of the user access groups assigned to the users.

Transaction Limit (HKD)	
- Default	- not applicable to CCMS.
- DI / ISI / Cash Compensation Indicator / Cash Prepayment / DI Requirement / Recall Request / ATI / SI	- not applicable to CCMS.