

Special Enquire Functions

FUNCTION NAME: **ENQUIRE COLLATERAL ACCOUNT TO TRANSACTION ACCOUNT RELATIONSHIP**

WHEN TO USE:

- To enquire the mapping relationships between the participant's collateral accounts and transaction accounts of CCASS or DCASS.

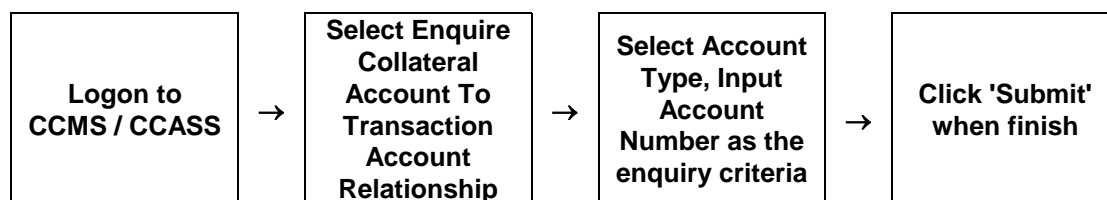
AVAILABLE FUNCTION TIME:

- Available from 9:00 a.m. till CCMS services close, Monday to Saturday (except holiday).

FUNCTIONAL DESCRIPTION:

- This is an enquiry function. Data cannot be entered or changed with this function.
- It allows enquiry on the Relationship between the collateral account and transaction account.
- There is only one house collateral account under each HKSCC Participant, and the relationship is straightforward.
- There may be more than one transaction account mapped to a collateral account for a HKCC or SEOCH Participant.

The access path for the Enquire Collateral Account To Transaction Account Relationship is:



ENQUIRE COLLATERAL ACCOUNT TO TRANSACTION ACCOUNT RELATIONSHIP - Sample Screens

<ul style="list-style-type: none"> Status Maintain Manual Posting Mtn General to Specific Stock Collateral Mtn Specific to General Stock Collateral Confirm for Payment Enquire Payment Batch Status Confirm Payment Receipt Status Enquire Collateral Account Balance Enquire Collateral Inventory Enq Coll Acct to Trans Acct Relationship Maintain Bank Master Mtn Stock/Qty Mapping and Conversion Mtn Bond/Stock Coll Valuation Parameters Mtn Currency Exchange Rate and Haircut 	<div style="display: flex; justify-content: space-between;"> <div> Enquire Collateral Account To Transaction Account Mapping </div> <div> Site Map Announcement Information </div> <div> Broadcast Message Change Password </div> <div> Report Download Print Logout </div> <div> HKCCC101 KM5E 01 12-Jul-05 10:13 </div> </div> <div style="background-color: #003366; color: white; padding: 2px; margin-top: 5px;"> Enquire Collateral Account to Transaction Account Mapping Prompt </div> <div style="margin-top: 5px;"> <table style="width: 100%;"> <tr> <td style="width: 50%;">Firm ID:</td> <td>BF00000</td> </tr> <tr> <td>Participant ID:</td> <td>HKCCC1</td> </tr> <tr> <td>Account Type:</td> <td>CLIENT</td> </tr> <tr> <td>Account Number :</td> <td>0001</td> </tr> </table> <div style="text-align: right; margin-top: 5px;"> <input type="button" value="Submit"/> <input type="button" value="Refresh"/> </div> </div> <div style="text-align: right; margin-top: 10px;"> Help </div>	Firm ID:	BF00000	Participant ID:	HKCCC1	Account Type:	CLIENT	Account Number :	0001
Firm ID:	BF00000								
Participant ID:	HKCCC1								
Account Type:	CLIENT								
Account Number :	0001								

Status

Maintain Manual Posting

Mtn General to Specific Stock Collateral

Mtn Specific to General Stock Collateral

Confirm for Payment

Enquire Payment Batch Status

Confirm Payment Receipt Status

Enquire Collateral Account Balance

Enquire Collateral Inventory

Enq Coll Acct to Trans Acct Relationship

Maintain Bank Master

Mtn Stock/Qty Mapping and Conversion

Mtn Bond/Stock Coll Valuation Parameters

Mtn Currency Exchange Rate and Haircut

Mtn Curr Interest and Retention Rate

Validate and Approve Deposit/Withdrawal

Mtn CCMS-To-CCASS Cash Transfer

Mtn CCMS-To-CCASS Stock Transfer

Mtn Cash Collateral A/C Transfer Instr

Mtn Non-Cash Coll A/C Transfer Instr

Enquire Collateral Account To Transaction Account Mapping

Site Map

Broadcast Message

Report Download

Announcement Information

Change Password

Print

Logout

HKCCC101

KM5E 02

12-Jul-05 10:12

Enquire Collateral Account to Transaction Account Mapping List

Firm ID :

Participant ID :

Account Type :

Account Number :

Account Name :

Account Status :

BF00000

HKCCC1

Client

0001

CLIENT A/C

Active

Source

Participant ID / Customer Account Code

Product

DCASS

HKCCCC C1

EF3

DCASS

HKCCCC C1

HHI

DCASS

HKCCCC C1

HIBOR

DCASS

HKCCCC C1

HSI

DCASS

HKCCCC C1

MHI

DCASS

HKCCCC C1

STOCK

DCASS

HKCCCC C9001

EF3

DCASS

HKCCCC C9001

HHI

DCASS

HKCCCC C9001

HIBOR

DCASS

HKCCCC C9001

HSI

DCASS

HKCCCC C9001

MHI

DCASS

HKCCCC C9001

STOCK

Enquire Another Record

Help

DESCRIPTION OF FIELDS:

<u>Field</u>	<u>Description/Format</u>
FIRM ID	- firm identity assigned by HKEX for linking multiple participants of the clearing houses.
PARTICIPANT ID	- participant identity assigned by HKEX.
ACCOUNT TYPE	- select the type of collateral account via pull down menu. - can be 'HOUSE' for collateral accounts of participant itself, 'CLIENT' for collateral accounts of participant's clients, or 'MARKET MAKER' for collateral accounts of participant's market makers. - must be 'HOUSE' for CCASS participant.
ACCOUNT NUMBER	- input the account number of participant's collateral account of the account type on which details are going to be enquired. - must be '0001' for CCASS participant's 'HOUSE' collateral account.
ACCOUNT NAME	- display the collateral account name.
ACCOUNT STATUS	- display the current status of the collateral account. - either 'ACTIVE' or 'PENDING'.
SOURCE	- display the source of the transaction account, either CCASS (clearing system for the cash market) or DCASS (clearing system for the derivatives market).
PARTICIPANT ID / CUSTOMER ACCOUNT CODE	- display the transaction account code in the source system that is mapped to the collateral account.
PRODUCT	- display the product code CASH -- CCASS cash market product SOM -- Stock Options market product EF3 -- Exchange Fund Note Futures market product FXC -- FTSE/Xinhua China 25 Index Futures and Options market product HHI -- Hang Seng China 25 Index Futures and Options market product HIBOR -- HIBOR Futures market product HSI -- HSI Futures and Options market product MHI -- Mini-HSI Futures and Options market product STOCK -- Stock Futures market product