## Special Enquire Functions

FUNCTION NAME:  **ENQUIRE COLLATERAL ACCOUNT TO TRANSACTION ACCOUNT RELATIONSHIP**

**WHEN TO USE:**

- To enquire the mapping relationships between the participant's collateral accounts and transaction accounts of CCASS or DCASS.
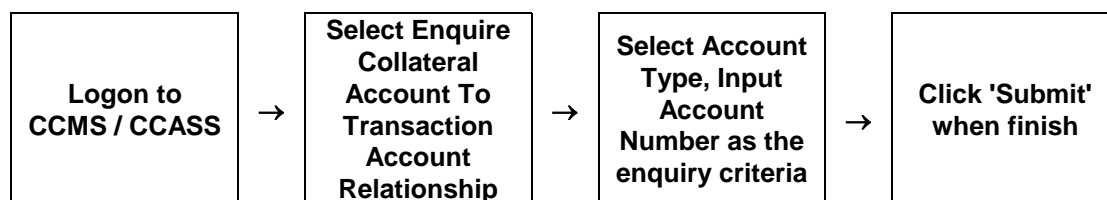
**AVAILABLE FUNCTION TIME:**

- Available from 9:00 a.m. till CCMS services close, Monday to Saturday (except holiday).

**FUNCTIONAL DESCRIPTION:**

- This is an enquiry function.  Data cannot be entered or changed with this function.

- It allows enquiry on the Relationship between the collateral account and transaction account.

- There is only one house collateral account under each HKSCC Participant, and the relationship is straightforward.

- There may be more than one transaction account mapped to a collateral account for a HKCC or SEOCH Participant.

The access path for the Enquire Collateral Account To Transaction Account Relationship is:

| **Logon to CCMS / CCASS** | → | **Select Enquire Collateral Account To Transaction Account Relationship** | → | **Select Account Type, Input Account Number as the enquiry criteria** | → | **Click 'Submit' when finish** |
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## ENQUIRE COLLATERAL ACCOUNT TO TRANSACTION ACCOUNT RELATIONSHIP - Sample Screens

**DESCRIPTION OF FIELDS:**

| *Field* | *Description/Format* |
|---|---|
| FIRM ID | - firm identity assigned by HKEX for linking multiple participants of the clearing houses. |
| PARTICIPANT ID | - participant identity assigned by HKEX. |
| ACCOUNT TYPE | - select the type of collateral account via pull down menu.<br>- can be 'HOUSE' for collateral accounts of participant itself, 'CLIENT' for collateral accounts of participant's clients, or 'MARKET MAKER' for collateral accounts of participant's market makers.<br>- must be 'HOUSE' for CCASS participant. |
| ACCOUNT NUMBER | - input the account number of participant's collateral account of the account type on which details are going to be enquired.<br>- must be '0001' for CCASS participant's 'HOUSE' collateral account. |
| ACCOUNT NAME | - display the collateral account name. |
| ACCOUNT STATUS | - display the current status of the collateral account.<br>- either 'ACTIVE' or 'PENDING'. |
| SOURCE | - display the source of the transaction account, either CCASS (clearing system for the cash market) or DCASS (clearing system for the derivatives market). |
| PARTICIPANT ID / CUSTOMER ACCOUNT CODE | - display the transaction account code in the source system that is mapped to the collateral account. |
| PRODUCT | - display the product code<br>CASH -- CCASS cash market product<br>SOM -- Stock Options market product<br>EF3 -- Exchange Fund Note Futures market product<br>FXC -- FTSE/Xinhua China 25 Index Futures and Options market product<br>HHI -- Hang Seng China 25 Index Futures and Options market product<br>HIBOR -- HIBOR Futures market product<br>HSI -- HSI Futures and Options market product<br>MHI -- Mini-HSI Futures and Options market product<br>STOCK -- Stock Futures market product |