

Special Enquire Functions

FUNCTION NAME: ENQUIRE DEPOSIT / WITHDRAWAL ORDER

WHEN TO USE:

- To enquire the details and status of collateral deposit or withdrawal order submitted.

AVAILABLE FUNCTION TIME:

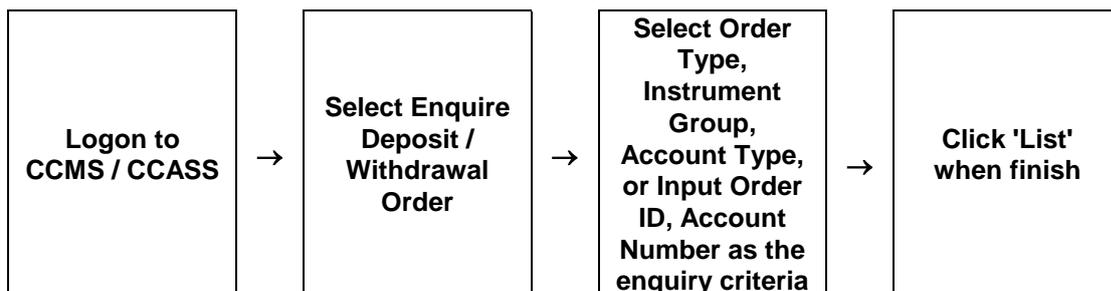
- Available from 9:00 a.m. till CCMS services close, Monday to Saturday (except holiday).

FUNCTIONAL DESCRIPTION:

- This is an enquiry function. Data cannot be entered or changed with this function.
- It allows enquiry on the details of the deposit and withdrawal order entered, and its processing status.
- The order status indicates the processing status. Following are the statuses applicable to deposit/withdrawal orders :

Pending	- order input by the maker of the participant, and awaiting the checker to authorise. (only available on the input date)
Authorised	- order passed the authorisation process of the participant and ready for processing by HKEX. (available until the status changes to 'Approved')
Validated	- non-cash collateral order checked by HKEX and awaiting for approval to collect or release. (available until the status changes to 'Approved')
Rejected	- order rejected by HKEX or cash collateral order rejected by participant. (only available on the day the order is being rejected)
Approved	- order approved by HKEX, and collection or delivery was effected. (only available on the day when the order is being approved)
Deleted	- pending order deleted by HKEX or participant (only available on the deletion date)

The access path for Enquire Deposit / Withdrawal Order is:



ENQUIRE DEPOSIT / WITHDRAWAL ORDER - Sample Screens

- Corp Voting Instruction
- EIPO Application
- Tender Instruction
- Maintain Unit Order - Creation
- Maintain Unit Order - Redemption
- Maintain Scrip Fee Memo Adjustment
- Corp Communication
- Upload Batch File
- Maintain Collateral Account
- Mtn Cash Collateral Deposit Order
- Mtn Non-Cash Collateral Deposit Order
- Mtn Cash Collateral Withdrawal Order
- Mtn Non-Cash Collateral Withdrawal Order
- Enquire Deposit/Withdrawal Order
- Mtn CCASS-To-CCMS Stock Transfer
- Mtn General to Specific Stock Collateral
- Mtn Specific to General Stock Collateral
- Enquire Collateral Account Balance
- Enquire Collateral Inventory
- Enq Coll Acct to Trans Acct

Enquire Deposit / Withdrawal Order

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HKHKHKHK
 KMLE 01
 08-Dec-05 10:34

Enquire Deposit / Withdrawal Order Prompt

Order Type : Deposit Withdrawal

Order ID :

Instrument Group :

Firm ID : BF0HKHK

Participant ID : HKHKHK

Account Type :

Account Number :

[Help](#)

- Corp Voting Instruction
- EIPO Application
- Tender Instruction
- Maintain Unit Order - Creation
- Maintain Unit Order - Redemption
- Maintain Scrip Fee Memo Adjustment
- Corp Communication
- Upload Batch File
- Maintain Collateral Account
- Mtn Cash Collateral Deposit Order
- Mtn Non-Cash Collateral Deposit Order
- Mtn Cash Collateral Withdrawal Order
- Mtn Non-Cash Collateral Withdrawal Order
- Enquire Deposit/Withdrawal Order
- Mtn CCASS-To-CCMS Stock Transfer
- Mtn General to Specific Stock Collateral
- Mtn Specific to General Stock Collateral
- Enquire Collateral Account Balance
- Enquire Collateral Inventory
- Enq Coll Acct to Trans Acct

Enquire Deposit / Withdrawal Order

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HKHKHKHK
 KMLE 02
 08-Dec-05 10:44

Enquire Collateral Withdrawal Order List

Order Type : Withdrawal

Instrument Group : Cash

Pay Date	Order ID	Firm ID	Participant ID	Account Type	Account Number	Currency	Amount	Status	Settlement Amount	Collect Status
	3426	BF0HKHKHKHKHK	CLT	0001		HKD	101,000.00	Approved	0.00	
	3672	BF0HKHKHKHKHK	CLT	0001		HKD	0.20	Deleted	0.00	
	3871	BF0HKHKHKHKHK	CLT	0001		HKD	2,836,622.00	Deleted	0.00	

[Enquire Another Record](#) [Help](#)

-1001 BOTTOM OF LIST

<ul style="list-style-type: none"> Corp Voting Instruction EIPO Application Tender Instruction Maintain Unit Order - Creation Maintain Unit Order - Redemption Maintain Scrip Fee Memo Adjustment Corp Communication Upload Batch File Maintain Collateral Account Mtn Cash Collateral Deposit Order Mtn Non-Cash Collateral Deposit Order Mtn Cash Collateral Withdrawal Order Mtn Non-Cash Collateral Withdrawal Order Enquire Deposit/Withdrawal Order Mtn CCASS-To-CCMS Stock Transfer Mtn General to Specific Stock Collateral Mtn Specific to General Stock Collateral Enquire Collateral Account Balance Enquire Collateral Inventory Enq Coll Acct to Trans Acct 	<div style="text-align: right;">HKHKHKHK KMLE 03 08-Dec-05 10:47</div> <p>Enquire Deposit / Withdrawal Order Site Map Broadcast Message Report Download Announcement Information Change Password Print Logout</p> <p>Enquire Cash Collateral Order Detail</p> <table style="width: 100%;"> <tr><td>Order ID :</td><td>3871</td></tr> <tr><td>Instrument Group :</td><td>Cash</td></tr> <tr><td>Firm ID :</td><td>BF0HKHK</td></tr> <tr><td>Participant ID :</td><td>HKHKHKHKHKKI FUTURES</td></tr> <tr><td>Account Type :</td><td>CLIENT</td></tr> <tr><td>Account Number :</td><td>0001</td></tr> <tr><td>Account Name :</td><td>CLIENT A/C</td></tr> <tr><td>Account Status :</td><td>ACTIVE</td></tr> <tr><td>Currency :</td><td>HONG KONG DOLLAR</td></tr> <tr><td>Amount :</td><td>2,836,622.00</td></tr> <tr><td>Remark :</td><td></td></tr> </table> <p style="text-align: right;">Go To List Help</p>	Order ID :	3871	Instrument Group :	Cash	Firm ID :	BF0HKHK	Participant ID :	HKHKHKHKHKKI FUTURES	Account Type :	CLIENT	Account Number :	0001	Account Name :	CLIENT A/C	Account Status :	ACTIVE	Currency :	HONG KONG DOLLAR	Amount :	2,836,622.00	Remark :	
Order ID :	3871																						
Instrument Group :	Cash																						
Firm ID :	BF0HKHK																						
Participant ID :	HKHKHKHKHKKI FUTURES																						
Account Type :	CLIENT																						
Account Number :	0001																						
Account Name :	CLIENT A/C																						
Account Status :	ACTIVE																						
Currency :	HONG KONG DOLLAR																						
Amount :	2,836,622.00																						
Remark :																							

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Order Type :	Withdrawal																																					
Instrument Group :	All Non-Cash																																					
Order ID	Firm ID	Participant ID	Account Type	Account Number	Instrument Group	BG Issuer	ISIN	Depository	Quantity	Status																												
3444	BFHKHK	HKHKHK	CLT	0001	Bond		TESTING1234	CENTRAL DEPOSITORY - CCASS	500000	Authorised																												
3444	BFHKHK	HKHKHK	CLT	0001	Non-CCASS Stock		00000000959	CENTRAL DEPOSITORY - CCASS	1000000	Validated																												

Section 8.2.1
Enquire Deposit / Withdrawal Order

<ul style="list-style-type: none"> Change Recipient Master Corp V Delete Recipient Master EIPO A Enquire Recipient Master Tende Add Event Recipient List Mainta Delete Event Recipient Mainta List Reden Enquire Event Recipient Mainta List Adjust Enquire Corp Comm Corp C Event Upload Batch File Maintain Collateral Account Mtn Cash Collateral Deposit Order Mtn Non-Cash Collateral Deposit Order Mtn Cash Collateral Withdrawal Order Mtn Non-Cash Collateral Withdrawal Order Enquire Deposit/Withdrawal Order Mtn CCASS-To-CCMS Stock Transfer Mtn General to Specific Stock Collateral Mtn Specific to General Stock Collateral Enquire Collateral Account Balance Enquire Collateral Inventory Enq Coll Acct to Trans Acct 	<div style="text-align: right;">HKHKHKHK KMLE 02 08-Dec-05 11:10</div> <h3 style="text-align: center;">Enquire Deposit / Withdrawal Order</h3> <div style="text-align: right;"> Site Map Broadcast Message Report Download Announcement Information Change Password Print Logout </div> <hr/> <h4 style="text-align: center;">Enquire Bank Guarantee Collateral Withdrawal Order</h4> <p>Order Type : Withdrawal Instrument Group : Bank Guarantee</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Order ID</th> <th>Firm ID</th> <th>Participant ID</th> <th>Account Type</th> <th>Account Number</th> <th>BG Issuer</th> <th>Status</th> </tr> </thead> <tbody> <tr> <td>3874</td> <td>BF0HKHK</td> <td>HKHKHK</td> <td>CLT</td> <td>0001</td> <td>003</td> <td>Pending</td> </tr> </tbody> </table> <p style="text-align: center; color: blue;">Enquire Another Record Help</p> <p style="color: red; font-weight: bold;">-1001 BOTTOM OF LIST</p>	Order ID	Firm ID	Participant ID	Account Type	Account Number	BG Issuer	Status	3874	BF0HKHK	HKHKHK	CLT	0001	003	Pending
Order ID	Firm ID	Participant ID	Account Type	Account Number	BG Issuer	Status									
3874	BF0HKHK	HKHKHK	CLT	0001	003	Pending									

<ul style="list-style-type: none"> Change Recipient Master Corp V Delete Recipient Master EIPO A Enquire Recipient Master Tende Add Event Recipient List Mainta Delete Event Recipient Mainta List Reden Enquire Event Recipient Mainta List Adjust Enquire Corp Comm Corp C Event Upload Batch File Maintain Collateral Account Mtn Cash Collateral Deposit Order Mtn Non-Cash Collateral Deposit Order Mtn Cash Collateral Withdrawal Order Mtn Non-Cash Collateral Withdrawal Order Enquire Deposit/Withdrawal Order Mtn CCASS-To-CCMS Stock Transfer Mtn General to Specific Stock Collateral Mtn Specific to General Stock Collateral Enquire Collateral Account Balance Enquire Collateral Inventory Enq Coll Acct to Trans Acct 	<div style="text-align: right;">HKHKHKHK KMLE 03 08-Dec-05 11:14</div> <h3 style="text-align: center;">Enquire Deposit / Withdrawal Order</h3> <div style="text-align: right;"> Site Map Broadcast Message Report Download Announcement Information Change Password Print Logout </div> <hr/> <h4 style="text-align: center;">Enquire Bank Guarantee Collateral Order Detail</h4> <p>Order ID : 3874 Instrument Group : Bank Guarantee Firm ID : BF0HKHK Participant ID : HKHKHK HKHK FUTURES Account Type : CLIENT Account Number : 0001 Account Name : CLIENT A/C Account Status : ACTIVE BG Issuer : STANDARD CHARTERED BANK BG Ref No : CLTEST Currency : HONG KONG DOLLAR BG Face Value : 1,999,999.00 BG RM Value : 1,999,999.00 Expiry Date : 30-NOV-05 Remark : SAMPLE BG</p> <p style="text-align: right; color: blue;">Go To List Help</p>
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Section 8.2.1 Enquire Deposit / Withdrawal Order

<ul style="list-style-type: none"> Corp Voting Instruction IPO Application Tender Instruction Maintain Unit Order - Creation Maintain Unit Order - Redemption Maintain Scrip Fee Memo Adjustment Corp Communication Upload Batch File Maintain Collateral Account Mtn Cash Collateral Deposit Order Mtn Non-Cash Collateral Deposit Order Mtn Cash Collateral Withdrawal Order Mtn Non-Cash Collateral Withdrawal Order Enquire Deposit/Withdrawal Order Mtn CCASS-To-CCMS Stock Transfer Mtn General to Specific Stock Collateral Mtn Specific to General Stock Collateral Enquire Collateral Account Balance Enquire Collateral Inventory Enq Coll Acct to Trans Acct 	<div style="text-align: right; font-size: small;">HKHKHKHK KMLE 02 08-Dec-05 10:53</div> <h3 style="margin: 0;">Enquire Deposit / Withdrawal Order</h3> <div style="display: flex; justify-content: space-between; font-size: x-small;"> Site Map Broadcast Message Report Download </div> <div style="display: flex; justify-content: space-between; font-size: x-small;"> Announcement Information Change Password Print Logout </div> <hr/> <h4 style="margin: 0;">Enquire Bond Collateral Withdrawal Order</h4> <p>Order Type : Withdrawal Instrument Group : Bond</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th>Order ID</th> <th>Firm ID</th> <th>Participant ID</th> <th>Account Type</th> <th>Account Number</th> <th>ISIN</th> <th>Depository</th> <th>Quantity</th> <th>Status</th> </tr> </thead> <tbody> <tr> <td>3441</td> <td>BF0HKHK</td> <td>HKHKHK</td> <td>CLT</td> <td>0001</td> <td>TESTING1234</td> <td>CENTRAL DEPOSITORY - CCASS</td> <td>500,000</td> <td>Authorised</td> </tr> </tbody> </table> <div style="display: flex; justify-content: space-between; font-size: x-small; margin-top: 5px;"> Enquire Another Record Help </div>	Order ID	Firm ID	Participant ID	Account Type	Account Number	ISIN	Depository	Quantity	Status	3441	BF0HKHK	HKHKHK	CLT	0001	TESTING1234	CENTRAL DEPOSITORY - CCASS	500,000	Authorised
Order ID	Firm ID	Participant ID	Account Type	Account Number	ISIN	Depository	Quantity	Status											
3441	BF0HKHK	HKHKHK	CLT	0001	TESTING1234	CENTRAL DEPOSITORY - CCASS	500,000	Authorised											

<ul style="list-style-type: none"> Corp Voting Instruction IPO Application Tender Instruction Maintain Unit Order - Creation Maintain Unit Order - Redemption Maintain Scrip Fee Memo Adjustment Corp Communication Upload Batch File Maintain Collateral Account Mtn Cash Collateral Deposit Order Mtn Non-Cash Collateral Deposit Order Mtn Cash Collateral Withdrawal Order Mtn Non-Cash Collateral Withdrawal Order Enquire Deposit/Withdrawal Order Mtn CCASS-To-CCMS Stock Transfer Mtn General to Specific Stock Collateral Mtn Specific to General Stock Collateral Enquire Collateral Account Balance Enquire Collateral Inventory Enq Coll Acct to Trans Acct 	<div style="text-align: right; font-size: small;">HKHKHKHK KMLE 03 08-Dec-05 10:55</div> <h3 style="margin: 0;">Enquire Deposit / Withdrawal Order</h3> <div style="display: flex; justify-content: space-between; font-size: x-small;"> Site Map Broadcast Message Report Download </div> <div style="display: flex; justify-content: space-between; font-size: x-small;"> Announcement Information Change Password Print Logout </div> <hr/> <h4 style="margin: 0;">Enquire Bond Collateral Order Detail</h4> <p>Order ID : 3441 Instrument Group : Bond Firm ID : BF0HKHK Participant ID : HKHKHK HKHKHKI FUTURES Account Type : CLIENT Account Number : 0001 Account Name : CLIENT A/C Account Status : ACTIVE ISIN : TESTING1234 Quantity : 500,000 Depository : CENTRAL DEPOSITORY - CCASS Remark : SAMPLE BOND</p> <div style="display: flex; justify-content: space-between; font-size: x-small; margin-top: 5px;"> Go To List Help </div>
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<ul style="list-style-type: none"> Corp Voting Instruction EIPO Application Tender Instruction Maintain Unit Order - Creation Maintain Unit Order - Redemption Maintain Scrip Fee Memo Adjustment Corp Communication Upload Batch File Maintain Collateral Account Mtn Cash Collateral Deposit Order Mtn Non-Cash Collateral Deposit Order Mtn Cash Collateral Withdrawal Order Mtn Non-Cash Collateral Withdrawal Order Enquire Deposit/Withdrawal Order Mtn CCASS-To-CCMS Stock Transfer Mtn General to Specific Stock Collateral Mtn Specific to General Stock Collateral Enquire Collateral Account Balance Enquire Collateral Inventory Enq Coll Acct to Trans Acct 	Enquire Deposit / Withdrawal Order		HKHKHKHK KMLE 02 08-Dec-05 10:58						
	Site Map Broadcast Message Report Download Announcement Information Change Password Print Logout								
	Enquire Non-CCASS Stock Collateral Withdrawal Order								
	Order Type :		Withdrawal						
	Instrument Group :		Non-CCASS Stock						
	Order ID	Firm ID	Participant ID	Account Type	Account Number	ISIN	Depository	Quantity	Status
	3444	BF0HKHK	HKHKHK	CLT	0001	000000000959	CENTRAL DEPOSITORY - CCASS	1,000,000.00	Validated
	Enquire Another Record								Help
	-1001 BOTTOM OF LIST								

<ul style="list-style-type: none"> Corp Voting Instruction EIPO Application Tender Instruction Maintain Unit Order - Creation Maintain Unit Order - Redemption Maintain Scrip Fee Memo Adjustment Corp Communication Upload Batch File Maintain Collateral Account Mtn Cash Collateral Deposit Order Mtn Non-Cash Collateral Deposit Order Mtn Cash Collateral Withdrawal Order Mtn Non-Cash Collateral Withdrawal Order Enquire Deposit/Withdrawal Order Mtn CCASS-To-CCMS Stock Transfer Mtn General to Specific Stock Collateral Mtn Specific to General Stock Collateral Enquire Collateral Account Balance Enquire Collateral Inventory Enq Coll Acct to Trans Acct 	Enquire Deposit / Withdrawal Order		HKHK HKHK KMLE 03 08-Dec-05 11:00
	Site Map Broadcast Message Report Download Announcement Information Change Password Print Logout		
	Enquire Non-CCASS Stock Collateral Order Detail		
	Order ID :		3444
	Instrument Group :		Non-CCASS Stock
	Firm ID :		BF0HKHK
	Participant ID :		HKHKHK HKHK FUTURES
	Account Type :		CLIENT
	Account Number :		0001
	Account Name :		CLIENT A/C
Account Status :		ACTIVE	
ISIN :		000000000959	
Quantity :		1,000,000.00	
Depository :		CENTRAL DEPOSITORY - CCASS	
Remark :		SAMPLE NON-CCASS STOCK	
Go To List			Help

DESCRIPTION OF FIELDS:

<u><i>Field</i></u>	<u><i>Description/Format</i></u>
ORDER TYPE	- select the order type to be enquired (either Deposit or Withdrawal)
ORDER ID	- unique reference number assigned to the order.
INSTRUMENT GROUP	- select the instrument group via pull down menu. - Can be 'CASH', 'BANK GUARANTEE', 'BOND', 'NON-CCASS STOCK' or 'ALL NON-CASH'.
FIRM ID	- display the FIRM ID of the initiating participant
PARTICIPANT ID	- display the Participant ID of the initiating participant
ACCOUNT TYPE	- select the type of collateral account via pull down menu. - can be 'HOUSE' for collateral accounts of participant itself, 'CLIENT' for collateral accounts of participant's clients, 'MARKET MAKER' for collateral accounts of participant's market makers, or 'ALL' for all the above account types. - must be 'HOUSE' for CCASS participant.
ACCOUNT NUMBER	- the 4 characters account number of participant's collateral account of the account type

<u>Field</u>	<u>Description/Format</u>
<u>Enquire Collateral Deposit/Withdrawal Order – Instrument Group: Cash</u>	
ORDER TYPE	- the order type being enquired (either Deposit or Withdrawal)
INSTRUMENT GROUP	- display 'Cash' which is the instrument group being enquired
PAY DATE	- display the date on which the payment of the cash collateral deposit or withdrawal to be effected.
ORDER ID	- unique reference number assigned to the order
FIRM ID	- display the FIRM ID of the initiating participant
PARTICIPANT ID	- display the Participant ID of the initiating participant
ACCOUNT TYPE	- can be 'HSE' for collateral accounts of participant itself, 'CLT' for collateral accounts of participant's clients, 'MM' for collateral accounts of participant's market makers
ACCOUNT NUMBER	- the account number of participant's collateral account of the account type
CURRENCY	- display currency code of the cash collateral deposit / withdrawal order
AMOUNT	- display amount of the cash collateral to be deposited or withdrawn
STATUS	- display status of the deposit/withdrawal order Pending -- order input by the maker and awaiting for the checker to authorise Authorised -- order authorised by the checker and awaiting for HKEX to process Approved -- order approved by HKEX for collection or delivery Rejected -- order rejected by HKEX or Participant Deleted -- pending order deleted by HKEX or Participant
SETTLEMENT AMOUNT	- display amount of the payment generated for cash collateral withdrawal; or - display amount of payment collected for cash collateral deposit
COLLECT STATUS	- display whether payment is generated or not for cash collateral withdrawal Blank -- payment is not generated or settled; Generated -- payment is generated (withdrawal)/collected (deposit)
ACCOUNT NAME	- display the collateral account name
ACCOUNT STATUS	- display the current status of the collateral account

<u>Field</u>	<u>Description/Format</u>
REMARK	- display the remark input for the deposit or withdrawal order by the participant
<i>Enquire Collateral Deposit/Withdrawal Order – Instrument Group: All Non-Cash</i>	
ORDER TYPE	- the order type being enquired (either Deposit or Withdrawal)
INSTRUMENT GROUP	- display 'All Non-Cash' which is the instrument group being enquired
ORDER ID	- unique reference number assigned to the order
FIRM ID	- display the FIRM ID of the initiating participant
PARTICIPANT ID	- display the Participant ID of the initiating participant
ACCOUNT TYPE	- can be 'HSE' for collateral accounts of participant itself, 'CLT' for collateral accounts of participant's clients, 'MM' for collateral accounts of participant's market makers
ACCOUNT NUMBER	- the account number of participant's collateral account of the account type
INSTRUMENT GROUP	- display 'Bank Guarantee', 'Bond' or 'Non CCASS Stock' which is the instrument group to be enquired
BG ISSUER	- display the bank code or name of the issuer who issued the Bank Guarantee
ISIN	- display the ISIN of the non-CCASS stock or CMU of the bond to be deposited or withdrawn - applicable to non-CCASS stock or bond deposit or withdrawal only
DEPOSITORY	- display the depository code of the depository where the non-cash collateral is being kept. - CCASS Central Depository - Clearstream Banking - Central Moneymarket Unit of HKMA - N/A -- HKEX or its Clearing House - applicable to non-CCASS stock or bond deposit or withdrawal only
QUANTITY	- display the quantity of non-cash collateral to be deposit or withdrawn. - applicable to non-CCASS stock or bond deposit or withdrawal only

<u>Field</u>	<u>Description/Format</u>
STATUS	<ul style="list-style-type: none"> - display status of the deposit/withdrawal order <li style="padding-left: 20px;">Pending -- order input by the maker and awaiting for the checker to authorise <li style="padding-left: 20px;">Authorised -- order authorised by the checker and awaiting for HKEX to process <li style="padding-left: 20px;">Validated -- order checked by HKEX for further process <li style="padding-left: 20px;">Approved -- order approved by HKEX for collection or delivery <li style="padding-left: 20px;">Rejected -- order rejected by HKEX <li style="padding-left: 20px;">Deleted -- pending order deleted by HKEX or Participant
<i>Enquire Collateral Deposit/Withdrawal Order – Instrument Group: Bank Guarantee</i>	
ORDER TYPE	- the order type being enquired (either Deposit or Withdrawal)
INSTRUMENT GROUP	- display 'Bank Guarantee' which is the instrument group being enquired
ORDER ID	- unique reference number assigned to the order
FIRM ID	- display the FIRM ID of the initiating participant
PARTICIPANT ID	- display the Participant ID of the initiating participant
ACCOUNT TYPE	- can be 'HSE' for collateral accounts of participant itself, 'CLT' for collateral accounts of participant's clients, 'MM' for collateral accounts of participant's market makers
ACCOUNT NUMBER	- the account number of participant's collateral account of the account type
BG ISSUER	- display the bank code or name of the issuer who issued the Bank Guarantee
STATUS	<ul style="list-style-type: none"> - display status of the deposit/withdrawal order <li style="padding-left: 20px;">Pending -- order input by the maker and awaiting for the checker to authorise <li style="padding-left: 20px;">Authorised -- order authorised by the checker and awaiting for HKEX to process <li style="padding-left: 20px;">Validated -- order checked by HKEX for further process <li style="padding-left: 20px;">Approved -- order approved by HKEX for collection or delivery <li style="padding-left: 20px;">Rejected -- order rejected by HKEX <li style="padding-left: 20px;">Deleted -- pending order deleted by HKEX or Participant
ACCOUNT NAME	- display the collateral account name
ACCOUNT STATUS	- display the current status of the collateral account

<u>Field</u>	<u>Description/Format</u>
BG REF NO	- display the reference number assigned by the issuer to the Bank Guarantee
CURRENCY	- display currency code of the base currency of the bank guarantee being enquired
BG FACE VALUE	- display the face amount of the Bank Guarantee shown for bank guarantee
BG RM VALUE	- display the value of the BG to be used as collateral purpose
EXPIRY DATE	- display the expiry date of the Bank Guarantee
REMARK	- display the remark input for the deposit or withdrawal order by the participant

<u>Enquire Collateral Deposit/Withdrawal Order – Instrument Group: Bond</u>	
ORDER TYPE	- the order type being enquired (either Deposit or Withdrawal)
INSTRUMENT GROUP	- display 'Bond' which is the instrument group being enquired
ORDER ID	- unique reference number assigned to the order
FIRM ID	- display the FIRM ID of the initiating participant
PARTICIPANT ID	- display the Participant ID of the initiating participant
ACCOUNT TYPE	- can be 'HSE' for collateral accounts of participant itself, 'CLT' for collateral accounts of participant's clients, 'MM' for collateral accounts of participant's market makers
ACCOUNT NUMBER	- display the collateral account name
ISIN	- display the CMU of the bond to be deposited or withdrawn
DEPOSITORY	- display the depository code of the depository where the non-cash collateral is being kept. <ul style="list-style-type: none"> - CCASS Central Depository - Clearstream Banking - Central Moneymarket Unit of HKMA - N/A -- HKEX or its Clearing House
QUANTITY	- display the quantity of bond to be deposit or withdrawn.

<u>Field</u>	<u>Description/Format</u>
STATUS	<ul style="list-style-type: none"> - display status of the deposit/withdrawal order <li style="padding-left: 20px;">Pending -- order input by the maker and awaiting for the checker to authorise <li style="padding-left: 20px;">Authorised -- order authorised by the checker and awaiting for HKEX to process <li style="padding-left: 20px;">Validated -- order checked by HKEX for further process <li style="padding-left: 20px;">Approved -- order approved by HKEX for collection or delivery <li style="padding-left: 20px;">Rejected -- order rejected by HKEX <li style="padding-left: 20px;">Deleted -- pending order deleted by HKEX or Participant
ACCOUNT NAME	- display the collateral account name
ACCOUNT STATUS	- display the current status of the collateral account
REMARK	- display the remark input for the deposit or withdrawal order by the participant

<u>Enquire Collateral Deposit/Withdrawal Order – Instrument Group: Non-CCASS Stock</u>	
ORDER TYPE	- the order type being enquired (either Deposit or Withdrawal)
INSTRUMENT GROUP	- display 'Bank Guarantee' which is the instrument group being enquired
ORDER ID	- unique reference number assigned to the order
FIRM ID	- display the FIRM ID of the initiating participant
PARTICIPANT ID	- display the Participant ID of the initiating participant
ACCOUNT TYPE	- can be 'HSE' for collateral accounts of participant itself, 'CLT' for collateral accounts of participant's clients, 'MM' for collateral accounts of participant's market makers
ACCOUNT NUMBER	- the account number of participant's collateral account of the account type
ISIN	- display the ISIN of the non-CCASS stock to be deposited or withdrawn

<u>Field</u>	<u>Description/Format</u>
DEPOSITORY	<ul style="list-style-type: none"> - display the depository code of the depository where the non-cash collateral is being kept. - CCASS Central Depository - Clearstream Banking - Central Moneymarket Unit of HKMA - N/A -- HKEX or its Clearing House
QUANTITY	<ul style="list-style-type: none"> - display the quantity of non-CCASS stock to be deposit or withdrawn
STATUS	<ul style="list-style-type: none"> - display status of the deposit/withdrawal order <li style="padding-left: 20px;">Pending -- order input by the maker and awaiting for the checker to authorise <li style="padding-left: 20px;">Authorised -- order authorised by the checker and awaiting for HKEX to process <li style="padding-left: 20px;">Validated -- order checked by HKEX for further process <li style="padding-left: 20px;">Approved -- order approved by HKEX for collection or delivery <li style="padding-left: 20px;">Rejected -- order rejected by HKEX <li style="padding-left: 20px;">Deleted -- pending order deleted by HKEX or Participant
ACCOUNT NAME	<ul style="list-style-type: none"> - display the collateral account name
ACCOUNT STATUS	<ul style="list-style-type: none"> - display the current status of the collateral account
REMARK	<ul style="list-style-type: none"> - display the remark input for the deposit or withdrawal order by the participant