

HKFE CLEARING CORPORATION LIMITED

Cash & Derivatives Clearing Operations
30/F, One Exchange Square,
8 Connaught Place, Central, Hong Kong

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ON-BEHALF TRADE ADJUSTMENT REQUEST FORM

Please contact us to confirm the form has been received by HKCC

Trade execution time

- Before the end of “T Session”
- After the start of “T+1 Session”[^]

Form submission deadline

- By “System Input Cutoff Time” on the next “Business Day”
- By “System Input Cutoff Time” on the business day after next “Business Day”

[^] Between “System Input Cutoff Time” & “T+1 Session Cutoff Time”, only current “T+1” trades will be accepted

Particulars of HKCC Participant

HKCC Participant's Name :	DCASS Customer Code :
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Contact Person for this Request Form :

Name :	Tel. No. :
Position :	Fax No. :

Details of Trade Adjustment

Original Trade Detail								Detail of Trade to be Rectified		
	Trade Date	Account	Series	Trade No.	Buy /Sell	Price	Quantity	Account	Quantity	O/C/ N/D
1.										
2.										
3.										
4.										
5.										

Authorised Signature(s) of HKCC Participant [with company chop, ONLY applicable if it forms part of your signing instruction]

Date

Name of Signatory(ies) : _____