

# HKFE CLEARING CORPORATION LIMITED

Cash & Derivatives Clearing Operations  
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## ON-BEHALF TRADE GIVE-UP/TAKE-UP REQUEST FORM

*Please contact us to confirm the form has been received by HKCC*

### Trade execution time

- Before the end of “T Session”
- After the start of “T+1 Session”<sup>^</sup>

### Form submission deadline

- By “System Input Cutoff Time” on the next “Business Day”
- By “System Input Cutoff Time” on the business day after next “Business Day”

<sup>^</sup> Between “System Input Cutoff Time” & “T+1 Session Cutoff Time”, only current “T+1” trades will be accepted

### Particulars of HKCC Participant

HKCC Participant's Name :	DCASS Customer Code :
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### Contact Person for this Request Form :

Name :	Tel. No. :
Position :	Fax No. :

### On Behalf Give-up Details

	Detail of Original Trade							Detail of Give Up Trade	
	Trade Date	Account	Series	Trade No.	Buy / Sell	Price	Quantity	Participant / Account	Quantity
1.									
2.									
3.									

### On Behalf Take-up Details

	Detail of Original Trade								Detail of Take Up Trade		
	Trade Date	Account	Series	Trade No.	GiveUp No.	Buy / Sell	Price	Quantity	Participant / Account	Quantity	O/C
1.											
2.											
3.											

\_\_\_\_\_  
 Authorised Signature(s) of Give-up HKCC Participant  
 [with company chop, ONLY applicable if it forms part of your signing instruction]

Name of Signatory(ies) : \_\_\_\_\_

\_\_\_\_\_  
 Authorised Signature(s) of Take-up HKCC Participant      Date  
 [with company chop, ONLY applicable if it forms part of your signing instruction]

Name of Signatory(ies) : \_\_\_\_\_