

HKFE CLEARING CORPORATION LIMITED

Cash & Derivatives Clearing Operations
30/F, One Exchange Square,
8 Connaught Place, Central, Hong Kong

Email: posttrade@hkex.com.hk
Fax: 2868 0134
Tel: 2211 6932

EXTERNAL POSITION TRANSFER REQUEST FORM

Please note below arrangement for form submission in respective time, and please contact us to confirm the form has been received by HKCC

- Before “System Input Cutoff Time”, only “CTD” position transfer will be executed
- Between “System Input Cutoff Time” & “T+1 Session Cutoff Time”, only “NTD” position transfer will be executed

Particulars of HKCC Participant

HKCC Participant's Name :	DCASS Customer Code :
---------------------------	-----------------------

Details of Position to be transferred

	Old Account	New Account	Series	Long Transfer	Short Transfer	O/C/N/D
1.						
2.						
3.						
Justification:						

Confirmation from HKCC Participants involved

Parties Involved	Authorised Signatures	Contact Persons for the transfer
Transferring Participant HKCC Participant's Name : Date:	 (with company chop, ONLY if it forms part of your signing instruction) Name of Signatory(ies) :	Name : Phone No.:
Receiving Participant HKCC Participant's Name : Date:	 (with company chop, ONLY if it forms part of your signing instruction) Name of Signatory(ies) :	Name : Phone No.:

FOR HKCC USE

VERIFIED	DATE/TIME	APPROVED/ DATE	INPUT	INPUT DATE/ TIME	CHECKED/ DATE
----------	-----------	----------------	-------	------------------	---------------