

THE SEHK OPTIONS CLEARING HOUSE LIMITED

Cash & Derivatives Clearing Operations
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Opening / Maintenance of Market Maker Account for Designated Trader Form

Particulars of SEOCH Participant

SEOCH Participant's Name :	DCASS Customer Code :	Broker Firm ID :
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Contact Person for This Form :

Name :	Tel. No. :
Position :	Fax No. :

Part I : Designated Trader Particulars

Name of Designated Trader : _____

ID Card / Passport Number : _____

Designated Trader Account Code (if any) : _____

Date of Registration as Authorised User of HKATS
for stock options : _____

Please tick and complete the following as appropriate:

- ☐ Opening of Market Maker Account for Designated Trader (Please complete Part II below)
- ☐ Termination of Market Maker Account for Designated Trader
- ☐ Addition / Deletion of Class(es) (Please complete Part II below)

Part II : Class(es) to be added and / or deleted :

Class(es) to be added :	
Class(es) to be deleted :	

We declare that we accept all the general terms and conditions as set out in the Operational Clearing Procedures for the opening and maintenance of separate account for the designated trader named above.

Authorised Signature(s) of SEOCH Participant [with company chop, ONLY
applicable if it forms part of your signing instruction]

Date

Name of Signatory(ies) : _____

FOR SEOCH USE				
VERIFIED/ DATE	APPROVED/ DATE	INPUT	INPUT DATE/ TIME	CHECKED BY/DATE