

# THE SEHK OPTIONS CLEARING HOUSE LIMITED

Cash & Derivatives Clearing Operations  
30/F, One Exchange Square,  
8 Connaught Place, Central, Hong Kong

Fax: 2868 0134  
Hotline: 2211 6932

## ON-BEHALF EXERCISE/EXERCISE ADJUSTMENT REQUEST FORM

### Particulars of SEOCH Participant

SEOCH Participant's Name :	DCASS Customer Code :	Broker Firm ID :
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Contact Person for This Request Form :

Name :	Tel. No. :
Position :	Fax No. :

### Please Input the following Exercise Request

	Account	Series	No. of Contracts to Exercise
1			
2			
3			
4			

### Please Reject the following Pending Exercise Request

	Account	Series	No. of Contracts Exercised	Exercise req nbr
1				
2				
3				
4				

### Please Deny the following from General Exercise

	Account	Series	New deny quantity (i.e. TOTAL no. of Contracts to be denied from general exercise)
1			
2			
3			
4			

\_\_\_\_\_  
Authorised Signature(s) of SEOCH Participant [with company chop, ONLY  
applicable if it forms part of your signing instruction]

\_\_\_\_\_  
Date

Name of Signatory(ies): \_\_\_\_\_

FOR SEOCH USE				
VERIFIED BY/DATE	APPROVED BY/DATE	INPUT	INPUT TIME	CHECKED BY/DATE