

To : The SEHK Options Clearing House Limited ("SEOCH")  
 Cash & Derivatives Clearing Operations  
 (Fax: 2868 0134 Hotline: 2211 6932 Email: [clearingcm@hkex.com.hk](mailto:clearingcm@hkex.com.hk))

Date : \_\_\_\_\_

## 1. Particulars of SEOCH Participant

Name		
Participant ID		
Contact Persons' Names	1.	2.
Contact Persons' Telephone Numbers	1.	2.
Contact Persons' Fax Numbers	1.	2.

## 2. Designation of House CCMS Collateral Account in SEOCH for the Collection and Payment of Marks, Margin and Concentration Collateral of Pending Stock Positions to be Settled via CCASS under the CNS System

For the purpose of satisfying the Marks, Margin and Concentration Collateral from time to time required by HKSCC in respect of our pending stock positions which are to be settled via CCASS under the CNS System ("Marks, Margin and Concentration Collateral Requirements"), we hereby request and authorize SEOCH to:

- (i) collect from time to time through SEOCH's money settlement process, from our Designated Bank or Settlement Bank and to the credit of the following House CCMS Collateral Account in SEOCH, such amount as may be required to satisfy our Marks, Margin and Concentration Collateral Requirements; and
- (ii) debit from the following House CCMS Collateral Account in SEOCH and transfer the same to our CCMS Collateral Account in HKSCC such amount of cash collected as aforesaid and such excess cash collateral standing to the credit of the account as may from time to time be required to satisfy our Marks, Margin and Concentration Collateral Requirements:

SEOCH House CCMS Collateral Account Number	
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We hereby confirm and acknowledge as follows:

- (i) this Designation Form supersedes any previous Designation Form(s) given by us to SEOCH;
- (ii) SEOCH will not effect any transfer as abovementioned if the amount available for transfer in the designated House CCMS Collateral Account is not sufficient to satisfy any Marks, Margin and Concentration Collateral Requirements in full;
- (iii) SEOCH shall not in any way be liable to us for any delay, interruption or inability to effect any transfer as abovementioned; and
- (iv) the request and authority given hereunder will continue in full force and effect unless and until SEOCH has received from us at least one Business Day's notice that this Designation Form shall be terminated.

\_\_\_\_\_  
 Authorised Signature(s) of SEOCH Participant  
 (with company chop, ONLY applicable if it forms part of your signing instruction)

For SEOCH Use		
Action		
	<u>Reviewer</u>	<u>Remarks</u>
Accepted		
Rejected		
Designation Effective on		