

STI ACTIVITY REPORT

- Report ID** : CSEAT02
- Report Name** : *STI Activity Report*
- Purpose** : The *STI Activity Report* shows detail of the STI activity done during the day. This report contains four sections: User Activity Section, SSA/System Activity Section, Summary and Monthly Charges on SSA
- **User Activity Section**
This section shows the activities done by the Participant, including, input, change, delete and authorize:
 - Add STI - Details of a newly created STI will be shown.
 - Change STI - Original STI details and changed STI details will be shown.
 - Delete STI - Details of a deleted STI will be shown.
 - Authorize STI - Details of an authorized STI will be shown.
 - Revoke STI - Details of a revoked STI will be shown.
 - **SSA/System Activity Section**
This section shows the activities done by SSA or system, such as SSA affirmation and system purging.
 - **Summary**
This section shows the summary of all activities (both by users, SSA and system) done on the day, charges on the activities and money positions. This section is printed by currency.
 - **Monthly Charges on SSA**
This section shows the details of charges of SSA for a month. This section will only be printed on the 1st CCASS day of the month in day-end (Not applicable to both Shanghai market and Shenzhen market).
- Time available** : For Hong Kong Market:
Shortly after the completion of each STI batch transfer run scheduled at around 9:15 a.m., 10:00 a.m., 12:45 p.m., 3:30 p.m., 5:00 p.m., and day end at around 8:00 p.m.
- For Shanghai Market and Shenzhen Market:
Shortly after the completion of each STI batch transfer run scheduled at around 8:00 a.m., 9:15 a.m., 10:00 a.m., 12:45 p.m., 3:30 p.m., 5:00 p.m., 5:30 p.m., 6:30 p.m., 7:00 p.m., 7:30 p.m. and day end at around 8:00 p.m.
- Frequency** : For Hong Kong Market: Six times daily
- For Shanghai Market and Shenzhen Market: **Eleven** times daily

Section 4.3
Report Description

This key refers to the *STI Activity Report* sample.

Field Description :

X-Ref	Field Name	Description
(1)	CSEAT02	Report ID for <i>STI Activity Report</i>
(2)	B00001	Participant ID
(3)	TESTER FULLNAME B00001	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	DATE	Date of the STI activity
(7)	(variable)	Section heading
(8)	TIME/USER	Time of STI activity / User ID
(9)	FUNC	Nature of STI activity : INP = Input ; CHG = Change (B for before image, A for after image); DEL = Delete; AUT = Authorise; RVK = Revoke
(10)	INP DT	STI Input Date
(11)	STATUS	Status of the STI: PND = Pending UNA = Unaffirmed TRF = Transferred PTF = Pending for transfer PUG = Purged PCA = Purged due to CA
(12)	STOCK	Stock Code and Short Name of the Stock
(13)	ISIN	International Securities Identification Number
(14)	FROM A/C	From Stock Account Number and Account Short Name
(15)	TO A/C	To Stock Account Number and Account Short Name
(16)	STI INPUT NO	STI Input Number
(17)	EPI NUMBER	EPI Reference Number
(18)	QUANTITY	Number of shares
(19)	MONEY VALUE	Money amount involved
(20)	CCY	Currency of the money value
(21)	PYMT INS	Payment instruction : DVP/FOP
(22)	REMARKS	Additional information on the STI

X-Ref	Field Name	Description
(23)	CURRENCY – (variable)	Activity summary of the STI(s) which is/are in a specified currency
(24)	TRANSACTION TYPE	Type of STI activity
(25)	TOTAL NO. OF TRANSACTION	Total number of STI activity in the business day
(26)	AMT RECEIVABLE	Receivable amount in the specified currency
(27)	AMT PAYABLE	Payable amount in the specified currency
(28)	NET AMOUNT REV/PAY	Net of the Receivable and Payable amount in the specified currency
(29)	SSA ACCOUNT	Account Number and Short Name of the SSA
(30)	NO. OF STI	Number of STI done for the SSA in the month
(31)	CHARGE ON STI	STI charge of the SSA of the month, only those STIs which are in the status of 'Unaffirmed', 'Pending for Transfer' and/or 'Transferred' will be counted
(32)	MONTHLY CHARGE	Monthly charge to the SSA
(33)	TOTAL NO. OF SSA WITH CHARGES	Total number of SSA with charges
(34)	TOTAL CHARGE ON STI	Total amount of STI charges of all SSAs
(35)	TOTAL CHARGE ON REPORT PRINTING	Total amount of report printing charges of all SSAs