HKEX
香 港 交 易 所

PSS End-to-end Test

User Guide

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Appendix C

PSS Interface Readiness Confirmation Reply

Dear Sir,

Re: PSS Interface Readiness Confirmation

Name of CCASS Participant : _____

Participant ID /Bank ID : _____

PSS Vendor / Software Version : _____ / _____

I / We confirm all test scenarios for PSS interface and its Mandatory Tasks and Optional Messages to be implemented by my / our company and specified in the following **Message List** have been successfully completed through my / our participant supplied system interface (together with my / our participant supplied system application) within the testing sessions of the PSS end-to-end test.

I / We also understand that I am / we are only permitted to use the PSS Mandatory Tasks and Optional Messages specified in the following Message List for production rollout.

Attached please also find the completed **Execution Checklist** (Appendix B of the end-to-end test user guide) which is, to the best of my / our knowledge, accurate and serving as evidence of the confirmation reply.

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CCASS Authorised Signatories
with Company Chop   _____

Date: _____

Message List

Mandatory Task Group:

Task Group	Business Transactions		Message ID		User Access Assignment
			Input	**Output**	
1. PSS-PG Connectivity	N/A	☑	N/A	N/A	Y4
2. PSS Interface Contingency	N/A	☑	N/A	N/A	

Optional Message Group:

Message Group	Business Transactions		Message ID		User Access Assignment
			Input	**Output**	
3. Maintain Account Transfer Instruction (ATI)	Input ATI	☐	MT524	MT598	ZM
	Enquire ATI	☐	MC525	MC526	ZL
	Input Mass ATI	☐	MT524	MT598	ZM
	Change Mass ATI	☐	MT524	MT598	ZM
	Cancel Mass ATI	☐	MT524	MT598	ZM
	Enquire Mass ATI	☐	MT524	MT508	ZM
4. Maintain Stock Segregated Account Transfer Instruction (STI)	Input STI	☐	MC192	MC193	ZR
	Enquire STI (single)	☐	MC194	MC195	ZQ
	Enquire STI (multiple)	☐	MC196	MC197	ZP
	Revoke STI	☐	MC198	MC193	ZN
5. Maintain Settlement Instruction (SI)	Input SI	☐	MT540, MT541, MT542, MT543	MT598	ZD
	Change SI	☐	MC544	MT598	ZE
	Change Hold Matched SI	☐	MC545	MT598	ZF
	Cancel SI	☐	MT540, MT541, MT542, MT543	MT598	ZD
	Revoke Matched SI	☐	MT540, MT541, MT542, MT543	MT598	ZD
	Enquire SI (single)	☐	MC556	MT548	Z9
	Enquire SI (multiple)	☐	MC557	MC558	ZA

Message Group	Business Transactions		Message ID		User Access Assignment
			Input	Output	
	Enquire SI Unmatched Reason	☐	MC559	MC560	ZB
6. Enquire Settlement Account/Status	Enquire Due/Overdue Position	☐	MC550	MT537	Z3
	Enquire Stock Account Balance (By Account)	☐	MC551	MT536	Z4
	Enquire Stock Account Balance (By Stock)	☐	MC552	MT536	Z5
	Enquire Stock Account Movement (By Account)	☐	MC553	MT536	Z6
	Enquire Stock Account Movement (By Stock)	☐	MC554	MT536	Z7
	Enquire Settlement Activity	☐	MC555	MT536	Z8
	Enquire Money Position	☐	MT549	MT536	ZC
7. Asynchronous Message	Broadcast / Notification Messages	☐	NA	MA191	Y4
8. Report Download	Overnight Report Download (all reports)	☐	MC905	MC902	ZU
	Online Report Download (all reports)	☐	MC901	MC902	ZV
	Overnight Report Download (all reports)	☐	MZ905	N/A	ZU
	Online Report Download (all reports)	☐	MZ901	N/A	ZV
	Update Report Profile	☐	MC910	MT598	ZW
	Enquire Report Profile	☐	MC911	MC912	ZX
	Enquire Report Master/Availability List	☐	MC913	MC914	ZY
9. Maintain DI	Input DI	☐	MC401	MT598	ZG
	Change DI Requirement	☐	MC402	MT598	ZJ
	Enquire DI	☐	MC403	MC404	ZH
10. General File Transfer	SI File Transfer	☐	MC801	MC898	ZK
	ATI File Transfer	☐	MC802	MC898	ZS
	ISI File Transfer	☐	MC804	MC898	Y3
	STI File Transfer	☐	MC808	MC898	ZT
11. Maintain ISI	Input ISI	☐	MC301	MT598	ZZ
	Change ISI	☐	MC306	MT598	Y1
	Cancel ISI	☐	MC307	MT598	Y2
	Enquire ISI (single)	☐	MC302	MC303	Z1
	Enquire ISI (multiple)	☐	MC304	MC305	Z2

<Note : Please "✓" on corresponding checkbox for optional messages to be implemented>