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PSS End-to-End Test

User Guide

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Appendix E

Cycle 1 End to End Test Result Checklist

RE : Cycle 1 End to End Test Result Checklist

Name of CCASS Participant :

Participant ID / Bank ID :

PSS Vendor / Software Version :   /

The following checklist summarises the test results of our PG-PSS interfaces test after the Cycle 1 Testing Session of the End-to-End Test :

No.	Mandatory Task	Ref. No.	Description	Successfully Completed?
1.	**PSS-PG Connectivity**	CO01	PSS-PG Logon/Logoff	
		CO02	PSS-PG Connection Failure	
		CO03	PSS-PG Connections Exceed Limit	
		CO04	C/3 Web Servers Daily Maintenance	
2.	**PSS Interface Contingency**	IC01	PSS Reconnect to PG Successfully	
		IC02	PSS can handle the situation when CCASS/3 database rollback (either automatically or through manual procedures.)	
No.	Optional Message	Ref. No.	Description	Successfully Completed?
3.	**Maintain Account Transfer Instruction (ATI)**	AT01	Input ATI successfully	
		AT02	Input ATI for validation only	
		AT03	Input ATI with error	
		AT04	Input Mass ATI	
		AT05	Input Mass ATI for validation only	
		AT06	Input Mass ATI with error	
		AT07	Change Mass ATI	
		AT08	Change Mass ATI for validation only	
		AT09	Change Mass ATI with error	
		AT10	Enquire Mass ATI	
		AT11	Enquire Mass ATI with error	

No.	Mandatory Task	Ref. No.	Description	Successfully Completed?
		AT12	Cancel Mass ATI	
		AT13	Cancel Mass ATI with error	
		AT14	Enquire ATI	
4.	Maintain Stock Segregated Account Transfer Instruction (STI)	ST01	Input STI successfully	
		ST02	Input STI for validation only	
		ST03	Input STI with error	
		ST04	Enquire STI successfully	
		ST05	Enquire STI with error	
		ST06	Enquire multiple STI(s) successfully	
		ST07	Revoke STI successfully	
		ST08	Revoke STI with error	
5.	Maintain Settlement Instruction (SI)	SI01	Input SI successfully	
		SI02	Input SI for validation only	
		SI03	Input SI with error	
		SI04	Change SI successfully	
		SI05	Change SI for validation only	
		SI06	Change SI with error	
		SI07	Cancel SI successfully	
		SI08	Cancel SI with error	
		SI09	Revoke SI successfully	
		SI10	Revoke SI with error	
		SI11	Enquire SI successfully	
		SI12	Enquire SI with error	
		SI13	Enquire Multiple SI(s) successfully	
		SI14	Enquire SI Unmatched Reason successfully	
		SI15	Change Hold Matched SI successfully	
		SI16	Change Hold Matched SI for validation only	
		SI17	Change Hold Matched SI with error	
6.	Enquire Settlement Account/Status	ES01	Enquire Due/Overdue Position successfully – Summary List	
		ES02	Enquire Due/Overdue Position successfully – Position Detail	
		ES03	Enquire Stock Account Balance – By Account	
		ES04	Enquire Stock Account Balance – By Stock (Enq Type = TSBA)	

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No.	Mandatory Task	Ref. No.	Description	Successfully Completed?
		ES05	Enquire Stock Account Balance – By Stock (Enq Type = STAB)	
		ES06	Enquire Stock Account Movement – By Account	
		ES07	Enquire Stock Account Movement – By Stock	
		ES08	Enquire Settlement Activity	
		ES09	Enquire Money Position	
7.	Asynchronous Message	AM01	Asynchronous Message	
8.	Report Download	RP01	Overnight Report Download	
		RP02	Overnight Report Download Exception	
		RP03	Online Report Download successful	
		RP04	Online Report Download with error	
		RP05	Update Report Profile successfully	
		RP06	Update Report Profile with error	
		RP07	Enquire Report Profile	
		RP08	Enquire Report Available List	
9.	Maintain Delivery Instruction (DI)	DI01	Input DI successfully	
		DI02	Input DI for validation only	
		DI03	Input DI with error	
		DI04	Change DI Required successfully	
		DI05	Change DI Required for validation only	
		DI06	Change DI Required with error	
		DI07	Enquire DI	
		DI08	Enquire DI Required with error	
10.	General File Transfer	FT01	SI File Transfer successfully	
		FT02	SI File Transfer with error	
		FT03	ATI File Transfer successfully	
		FT04	ATI File Transfer with error	
		FT05	ISI File Transfer successfully	
		FT06	ISI File Transfer with error	
11.	Maintain Investor Settlement Instruction (ISI)	ISI01	Input ISI successfully	
		ISI02	Input ISI for validation only	
		ISI03	Input ISI with error	
		ISI04	Change ISI successfully	

No.	Mandatory Task	Ref. No.	Description	Successfully Completed?
		ISI05	Change ISI for validation only	
		ISI06	Change ISI with error	
		ISI07	Cancel ISI successfully	
		ISI08	Cancel ISI with error	
		ISI09	Enquire ISI successfully	
		ISI10	Enquire ISI with error	
		ISI11	Enquire Multiple ISI(s) successfully	

Note :

Please mark the following indicator on the "Successfully Completed" Check-boxes to indicate the testing status of each test scenario as specified in Appendix A1 and A2 of this User Guide :

❑ "Yes" : For test scenario successfully completed
❑ "No" : For test scenario not successfully completed
❑ "N/A" : For test scenario not applicable as the related message is not going to be implemented.