CCASS-Form 16

Original

CCASS Depository Services

Date:

ADJUSTMENT VOUCHER

Adjustment Transaction No. **S** _____

Participant ID/Name	Stock Account Number	Stock Code/Stock Name
Deposit Transaction Number to be Adjusted **H**	Total Number of Share/Warrants to be Adjusted	Adjustment to Stock Account ☐ Increase ☐ Decrease

Certificate Numbers to be Adjusted			No. of Shares / Warrants	Type of Adjustment
Prefix	From	To		☐ Add ☐ Cancel
				Adjustment Reason Code
				☐ BC - Bad Certificate
				☐ SA - Shareholding Adjustment
				☐ DC - Dummy Certificate
				☐ RC - For Reconciliation

Prepared by	Approved by	Input by	Authorised by

Remarks

Note:

1. *Activities under CCASS are subject to the CCASS Rules and Operational Procedures in effect.*

Hong Kong Securities Clearing Limited Authorized Signature

CD - IF - 02 - 06