

To: Cash & Derivatives Clearing Operations - Settlement
 Hong Kong Securities Clearing Company Limited
 Fax no. 2851 6921 Email: CSC_incomingform@HKEX.COM.HK

Date: _____

BUY-IN REPORTING SLIP

I. Participant's Particulars

Participant ID : _____ Participant Name : _____
 Contact Person : 1. _____ 2. _____
 Telephone No : _____

II. Failed Delivery Position

Delivering Participant : _____ Receiving Participant : _____
 Position Type : _____ Settlement Pos No : _____
 Stock Code : _____ Stock Name : _____
 Settlement Date : _____ Outstanding Share Quantity : _____

III. Buy-in Trade(s) Executed

Trade Time	Share Quantity	Price	Counterparty Exchange Participant No.
Total :			
		shares	

Note: Please attach the relevant Sales / Purchases Journal.

IV. Remarks by Participant

Balance to be Completed : _____

Reason for buy-in to be unsuccessful / incomplete

- Trading suspended
- No sellers responded
- Others : _____

A 'Buy-in Reporting Slip' has been submitted earlier regarding the same position.

- Yes (This Reporting Slip will supersede the previous one.)
- No

Reported by : _____ Signed : _____

Authorized Clerk Card No. : _____

V. For Office Use

Reviewer	Remarks