

## Transfer Instruction Form

To : Hong Kong Securities Clearing Company Limited (“HKSCC”)  
 1/F One & Two Exchange Square  
 8 Connaught Place  
 Central  
 Hong Kong  
 Attn : Depository Services (Fax No.: 2543-7910)

Instruction Date : \_\_\_\_\_

Dear Sirs,

**EXCHANGE FUND NOTES, GOVERNMENT BONDS, SPECIFIED INSTRUMENTS OR CMU INSTRUMENTS DELIVER ‘DELIVERY VERSUS PAYMENT’ INSTRUCTION**

|  |             |                                  |                          |
|--|-------------|----------------------------------|--------------------------|
| <b>1. Please DEBIT my/our CCASS stock account number _____ with the following holding :</b>  |             |                                  |                          |
| Stock Code   | Stock Name  | Number of Unit                   |                          |
|  |             |                                  |                          |
| <b>2. Please DELIVER the below security on a ‘Delivery versus Payment’ basis via CMU according to the following instruction for value on _____</b> |             |                                  |                          |
| CREDIT Counterparty (Account Code)   | Beneficiary | Issue Number                     | Nominal Value (Currency) |
|  |             |                                  |                          |
| Settlement Amount  |             | Settlement Currency (HKD or RMB) |                          |
|  |             |                                  |                          |

I/We understand and acknowledge that:

- (1) my/our instruction should reach HKSCC **no later than 12:00 noon** for same day settlement;
- (2) my/our instruction and the details specified herein will be disclosed by HKSCC to CMU and other relevant party(ies) in order to carry out the instruction;
- (3) the Settlement Amount will be credited to my/our Money Ledger to be settled against my/our Designated Bank Account on the value day upon receipt of the Settlement Amount from counterparty in CMU by HKSCC and confirmation from CMU on the same day;
- (4) my/our instruction will automatically lapse if the instruction is not settled in the CMU on the value day as specified above. I am/We are obliged to submit a new instruction to HKSCC if I/we wish to effect my/our instruction on the next Business Day; and
- (5) my/our instruction will only be effected and accepted by HKSCC subject to the General Rules of CCASS and the CCASS Operational Procedures as are in force from time to time and any other relevant documents from time to time issued by HKSCC.

Participant ID : \_\_\_\_\_  
 Participant Name (in block letters) : \_\_\_\_\_

Contact Person : \_\_\_\_\_

Telephone Number : \_\_\_\_\_

Fax Number : \_\_\_\_\_

\_\_\_\_\_  
 Authorized Signature of CCASS Participant  
 (with company chop, ONLY applicable if it forms part of your signing instruction)

| For Office Use     |                      |                 |             |                  |                          |                         |               |
|--------------------|----------------------|-----------------|-------------|------------------|--------------------------|-------------------------|---------------|
| Signature Verified | Instruction Approved | Account Updated | T. I. Input | T. I. Authorized | Settlement Amt Confirmed | UML prepared / approved | Advice Issued |
|                    |                      |                 |             |                  |                          |                         |               |