

## Transfer Instruction Form

To : Hong Kong Securities Clearing Company Limited (“HKSCC”)    Instruction Date: \_\_\_\_\_  
 1/F One & Two Exchange Square  
 8 Connaught Place  
 Central  
 Hong Kong  
 Attn: Depository Services (Fax No.: 2543-7910)

Dear Sirs,

**EXCHANGE FUND NOTES, GOVERNMENT BONDS, SPECIFIED INSTRUMENTS OR CMU INSTRUMENTS RECEIVE ‘DELIVERY VERSUS PAYMENT’ INSTRUCTION**

<b>1. Please RECEIVE the below security on a ‘Delivery versus Payment’ basis via CMU according to the following instruction for value on _____ :</b>			
DEBIT Counterparty (Account Code)	Beneficiary	Issue Number	Nominal Value (Currency)
Settlement Amount		Settlement Currency (HKD or RMB)	
<b>2. Please CREDIT my/our CCASS stock account number _____ with the following holding :</b>			
Stock Code	Stock Name	Number of Unit	

I/We understand and acknowledge that:

- (1) my/our instruction should reach HKSCC **no later than 12:00 noon** for same day settlement (subject to (2) below);
- (2) I/we shall deposit the required amount of payment (being the aggregate of the Settlement Amount specified herein and an amount equivalent to the value determined by CMU from time to time as the tolerance limit<sup>1</sup> of the Settlement Amount) in the following designated bank accounts of HKSCC **before 12:00 noon** for same day settlement and shall provide to HKSCC before **12:00 noon** a copy of bank-in-slip or other proof of payment acceptable by HKSCC<sup>2</sup>:  
 For Settlement Currency specified as HKD: The Hongkong and Shanghai Banking Corporation Ltd, A/C No: 600-398168-292  
 For Settlement Currency specified as RMB: Bank of China (Hong Kong) Ltd, A/C No: 128-750-601-1174  
 and I/we undertake that the amount of payment shown on such bank-in-slip or other proof of payment is for the purpose of settling this instruction only.
- (3) my/our instruction and the details specified herein will be disclosed by HKSCC to CMU and other relevant party(ies) in order to carry out the instruction;
- (4) my/our account will be credited on the value day upon receipt of the above specified security by HKSCC from counterparty in CMU and settlement confirmation from CMU on the same day;
- (5) in case my/our instruction is not settled in CMU on the value day specified above, my/our instruction may be carried forward to the next Business Day in CMU subject to and in accordance with the procedures specified by the CMU from time to time. If my/our instruction cannot be carried forward to the next Business Day in CMU, my/our instruction will automatically lapse. I am/We are obliged to submit a new instruction to HKSCC if I/we wish to effect my/our instruction on the next Business Day; and
- (6) my/our instruction will only be effected and accepted by HKSCC subject to the General Rules of CCASS and the CCASS Operational Procedures as are in force from time to time and any other relevant documents from time to time issued by HKSCC.

Note:

1. The current tolerance limit determined by CMU is 10.00 (absolute value for all currencies).
2. Please request the bank to input your CCASS Participant ID/name and a remark of “for CMU settlement” as the particulars for this payment.

Participant ID : \_\_\_\_\_

Participant Name  
(in block letters) : \_\_\_\_\_

Contact Person : \_\_\_\_\_

Telephone Number : \_\_\_\_\_

Fax Number : \_\_\_\_\_

\_\_\_\_\_  
 Authorized Signature of CCASS Participant  
 (with company chop, ONLY applicable if it  
 forms part of your signing instruction)

For							
Signature Verified	Instruction Approved	Settlement Amount Confirmed	T. I. Input	T. I. Authorized	Account Updated	UML prepared / approved	Advice Issued