

OTC Clearing Hong Kong Limited ("OTC Clear")

To:	OTC Clear Clearing Opera		From:			
	21/F, One Exchange Squa8 Connaught Place	re		(Full name of Clearing Member)		
	Central, Hong Kong			(* *** ********************************		
	Tel: 2211 6508	OCAS	S Clearing Member ID:			
	Fax: 2427 1122					
••••			Fax:			
art I:	OASIS Admin User Crea	ntion ¹ /Change of	Personal Particulars	s for OASIS Admin User		
•	Please tick "✓"in the approp	riate box				
	Request Type		Exist	ting User ID (if applicable)		
. [Account Creation					
	Change of Personal Partic	ulars				
nforma	tion of OASIS Admin User					
N	ame :	Mr/Ms				
Ti	itle :					
E	mail Address :					
T	elephone No. (Office) :					
	Request Typ	е	Exist	ting User ID (if applicable)		
. [Account Creation					
	Change of Personal Partic	ulars				
nforma	tion of OASIS Admin User					
N	lame :	Mr/Ms				
Т	itle :					
E	mail Address :					
Т.	elephone No. (Office) :					

¹OASIS Admin User Accounts are used for the maintenance of OASIS User Accounts. Clearing Member can operate under the OASIS Admin User Accounts for the creation , de-activation, assignment of access group and password resetting of the OASIS User Accounts running under that Clearing Member.

OTC Clear will provide 4 OASIS Admin User Accounts for each Clearign member. Clearing Member which requires more than 4 OASIS Admin user accounts should obtain pre-approval from OTC Clear before raising the access creation request.



Request Type			Existing User ID (if applicable)
3.	Account Creation		
☐ Change of Personal Particulars			
Inform	ation of OASIS Admin User		
Na	ame :	Mr/Ms	
Ti	tle :		
Er	nail Address :		
Te	elephone No. (Office) :		
	Request Typ	е	Existing User ID (if applicable)
4.	Account Creation		
	☐ Change of Personal Partic	ulars	
Inform	ation of OASIS Admin User		
	Name :	Mr/Ms	
	Title :		
	Email Address :		
	Telephone No. (Office) :		
	I: OASIS Admin User Accurate Deactivation/Passwo	ord Reset Request	
	Peguest Type	OASIS Admin I	Iser Account's User ID:

Request Type	OASIS Admin User Account's User ID:
☐ Account Deactivation	
☐ Password Reset	
☐ Account Deactivation	
☐ Password Reset	
☐ Account Deactivation	
☐ Password Reset	
☐ Account Deactivation	
☐ Password Reset	



Part III: OASIS User Accounts²

Access to OASIS Margin Simulator Portal

■ Please tick "✓" in the appropriate box

Request 7	Гуре	OASIS User Account's User ID:			
☐ Add	d				
☐ Rer	move				
☐ Add	d				
☐ Rer	move				
☐ Add	d				
☐ Rer	move				
☐ Add	d				
☐ Rer	move				
☐ Add	d				
☐ Rer	move				
☐ Add	d				
☐ Rer	move				
uthorized Sign	nature(s) and Company tories:	Chop)	Date	2	
		For Office Use Only	I		
eceived Date:	Verified by:	Approved by:		Checked by:	Effective Date:
	Date:	Date:		Date:	
		For Office Use Only			

²OASIS User Accounts are used for the daily operations of OASIS web portal. Most of the account maintenance and security administration actions of OASIS User Accounts will be handled by the maintenance actions performed under OASIS Admin User Account. On the other hand, OTC Clear will be responsible for the addition / removal of the access of OASIS Margin Simulator Portal towards any OASIS User Account.



Appendix – Accesible Functions for OASIS user

Appendix -Accesible Fu	ilections for	OASIS USCI				
	OASIS Admin User Accounts	OASIS User Accounts				
ACCESSIBLE FUNCTIONS	selfservice_ clientadmin	selfservice_col lateral_user	selfservice_coll ateral_approve r		selfservice_limit enq	selfservice_logi nonly
OASIS User Account Administration Portal						
View Status of OASIS users	V					
Create new OASIS users	\checkmark					
Amend setting of existing OASIS user	\checkmark					
Lock/unlock existing OASIS user	\checkmark					
Download Report on status of OASIS user	\square					
Authorise request on changes on OASIS user	∇					
OASIS Collateral Management Portal						
MARGIN CALL						
Enquire Margin Calls Issued		✓	abla	☑		
Submit change to settle margin call in non-base currencies		∇				
COLLATERAL DEPOSIT						
View Historical Collateral Deposit Request Create Collateral Deposit Request Cancel Collateral Deposit Request Reject Collateral Deposit Request Authorise Collateral Deposit Request			K K K	Ø		
COLLATERAL WITHDRAWAL						
View Historical Collateral Withdrawal Request Create Collateral Withdrawal Request Cancel Collateral Withdrawal Request Reject Collateral Withdrawal Request Authorise Collateral Withdrawal Request		V		Ø		
REPORT FUNCTIONS						
Search Historical Reports				\square		
Download Reports		✓		\checkmark		
Settlement Limit Enquiry Portal						
Settlement Limit Monitoring					S	



	OASIS						
	Admin User Accounts	OASIS User Accounts					
OASIS Margin Simulator Portal*							
What-if Trade Calculation		\searrow	\searrow	\checkmark	K	$\langle \rangle$	
What-if Portfolio Calculation		\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	

^{*} Clearing Members must complete OASIS Account Maintenance Form – Part III to obtain OASIS Margin Simulator Portal access