



HKEx
香港交易所

編號

CD/DCO/DCASS/016/2013

Ref. No.:

日期

Date:

11 June 2013

香港交易及結算所有限公司是香港聯合交易所有限公司、香港期貨交易所有限公司及香港中央結算有限公司之認可控制人
Hong Kong Exchanges and Clearing Limited is the recognized controller of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited and Hong Kong Securities Clearing Company Limited

通告 CIRCULAR

事項

Subject: **Installation of New DCASS Application for the Genium Upgrade**

查詢

Enquiry: **DCASS Hotline 2979 7222**

Reference is made to the circular dated 7 February 2013 (Ref. No. [CD/DCO/DCASS/004/2013](#)) in relation to the preparation work for the Upgrade of HKATS and DCASS from Release 19.1 to the Genium INET Platform (Genium Upgrade).

To prepare for the Genium Upgrade, Clearing Participants are requested to note the following matters:

1. DCASS Servers

Hong Kong Exchanges and Clearing Limited (HKEx) will install remotely the new version of DCASS application to Clearing Participants' DCASS Servers between 24 June 2013 and 26 July 2013. Details about the installation will be distributed to each Clearing Participant in due course.

Prior to the installation of the new version of DCASS application, Clearing Participants should have completed the upgrade of the operating system of their DCASS Servers to Microsoft Windows Server 2008 R2 64-bit.

2. DCASS Terminals

For DCASS Terminals which are connecting to the DCASS Servers, Clearing Participants are required to complete the installation of the new DCASS application **on or before 2 August 2013**. Clearing Participants can opt to install the new version of DCASS application either by their own arrangement or by HKEx's endorsed vendors (as set forth in Attachment I).

In order to facilitate HKEx to schedule the DCASS installation, Clearing Participants are required to complete and return the "**DCASS Installation Planning Form for Genium Upgrade**" as set forth in Attachment II to HKEx **on or before 21 June 2013**.

香港交易及結算所有限公司
Hong Kong Exchanges and Clearing Limited

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HKEX 香港交易所

Clearing Participants are highly recommended to coordinate with their IT teams / vendors for the necessary planning and preparation.

For any enquiries, please contact the DCASS hotline at 2979 7222.

Vicky Chan
Vice President
Derivatives Clearing Operation

List of HKEx's Endorsed Vendors

Microware Limited
<p><u>Sales Contact</u></p> <p>Mr Martin Cheung Tel: 2565-4536 / 9189-9817 Email: martincheung@microware.com.hk</p> <p>Mr Ricky Ma Tel: 2565-4653 / 9722-5083 Email: ricky@microware.com.hk</p> <p>Ms Haidy Wong Tel: 2565-4557 / 9163-6325 Email: haidywong@microware.com.hk</p>

PCCW Limited
<p><u>Sales Contact</u></p> <p>Ms Candy See Tel: 2888-3757 / 9106-0160 Email: candy.kn.see@pccw.com</p> <p>Mr Alex Kong Tel: 2888-3008 / 9020-7037 Email: alex.fh.kong@pccw.com</p>

DCASS Installation Planning Form for Genium Upgrade

To: Clearing Support Services
Hong Kong Exchanges and Clearing Limited

Email : dcassoapi@hkex.com.hk
Fax No : 2579-0136 / 2579-0085
DCASS Hotline: 2979-7222

From: _____
(Full Name of [* HKCC / SEOCH / Both HKCC & SEOCH] Participant)
* Please delete where appropriate

DCASS Customer Code: |_|_|_|_|_|_|_|_|

Form submission deadline: 21 June 2013

Part A: To be completed by ALL Clearing Participants

(i) Contact Details

Please contact the following persons for all matters relating to the installation of new DCASS application:

Primary Contact Person: _____ Position: _____

Office Tel: _____ Mobile: _____

Email address: _____

Secondary Contact Person: _____ Position: _____

Office Tel: _____ Mobile: _____

Email address: _____

(ii) Back-office System

Name and version of back-office system: _____

Name of vendor who supports the back-office system: _____
(please specify "in-house", if applicable)

DCASS Installation Planning Form for Genium Upgrade

(iii) DCASS Server Installation Time

We opt to have the new DCASS Application installed by HKEX on weekdays:

Please tick a (✓) in one of the boxes below

between 18:45 to 23:45

after 23:45

Notes:

There will be interruptions to the DCASS Server operation during installation

Part B: To be completed by Clearing Participants with DCASS Terminals

(iv) DCASS Terminal Installation

We hereby confirm that we plan to install the new DCASS application to our DCASS Terminals by:

Please tick a (✓) in one of the boxes below

Own Arrangement* Microware Ltd PCCW Ltd

**HKEX will contact you to collect the new version of DCASS application in due course*

(v) Number of DCASS Terminals to be installed:

(S.V.)

Authorized Signature(s) and Company Chop
(Name of Signatories:)

Date