

香港交易及結算所有限公司是香港聯合交易所有限公司、香港期貨交易所有限公司及香港中央結算有限公司之認可控制人
Hong Kong Exchanges and Clearing Limited is the recognized controller of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited and Hong Kong Securities Clearing Company Limited

通告 CIRCULAR

事項

Subject: **Market Rehearsal for the Genium Upgrade**

查詢

Enquiry: **DCASS Hotline 2979 7222**

Reference is made to the circular dated 12 July 2013 (Ref. No. [CD/DCO/DCASS/019/2013](#)), Hong Kong Exchanges and Clearing Limited (HKEx) plans to implement the upgrade of HKATS and DCASS from Release 19.1 to the Genium INET Platform (Genium Upgrade), tentatively in the fourth quarter 2013, subject to the result of the Market Rehearsal (MR).

1. Market Rehearsal

To ensure Clearing Participants are ready for the Genium Upgrade, MR related activities will be held as follows:

Date	Activities
24 August 2013, Saturday	Connectivity Test and Submission of Confirmation Form
31 August 2013, Saturday	Online Execution
2 September 2013, Monday	Verification of Fallback Result
4 September 2013, Wednesday	Submission of MR Confirmation Form

All Clearing Participants are required to participate in the above MR activities to verify and ensure the readiness of their clearing activities by conducting an end-to-end testing via the following upgraded infrastructures, where applicable:

- the upgraded SDNet/2;
- the newly installed Network Gateways;
- the newly designated IP addresses and port numbers for DCASS connection via Central Gateway;
- the newly certified and tested OAPI Programs;
- the DCASS Servers and DCASS Terminals with newly installed DCASS applications; and
- the upgraded back office systems and any other in-house arrangements.

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Hong Kong Exchanges and Clearing Limited

Clearing Participants who do not participate in the MR will NOT be able to secure their DCASS connection upon the launch of the Genium Upgrade.

For better communication before and during the MR related activities, Clearing Participants are required to complete and return to HKEx the attached “**Contact Details Form for Genium Market Rehearsal**” **on or before 9 August 2013**. An Information Package for the Genium MR will be available on [HKEx website](#) from 1 August 2013.

2. Fallback Plan

HKEx has developed a Fallback Plan to cater for the unlikely situation where the upgraded platform may encounter irreparable incidents at the initial roll out. The Fallback Plan will be applicable in the first two weeks after the launch of the Genium Upgrade (Stabilisation Period). Clearing Participants should make reference to the Fallback Plan and evaluate if development of their fallback arrangement is necessary. The Fallback Plan will be available on [HKEx website](#) from 1 August 2013.

3. Preparation for the launch

To prepare for the launch of the Genium Upgrade, Clearing Participants are reminded:

- a) that the upgraded infrastructures, including the certified and tested DCASS OAPI Programs, which will be verified in the upcoming MR should not be changed/modified until HKEx has announced the completion of the Stabilisation Period; and
- b) to ensure valid DCASS access in the unlikely situation that fallback procedures (from Genium to Release 19.1) is needed to be triggered during the Stabilisation Period, **Clearing Participants are required to keep records of their DCASS logon passwords and keep unchanged of their user access profile as of day end on 8 August 2013 until the completion of the Stabilisation Period.**

Clearing Participants are highly recommended to coordinate with their IT teams / vendors for the necessary planning and preparation.

For any enquiries, please contact the DCASS hotline at 2979 7222.

Vicky Chan
Vice President
Derivatives Clearing Operations

Contact Details Form for Genium Market Rehearsal

To: Clearing Support Services
Hong Kong Exchanges and Clearing Limited

Email : dcassoapi@hkex.com.hk
Fax No : 2579-0136 / 2579-0085
DCASS Hotline : 2979-7222

From: _____
(Full Name of [* HKCC / SEOCH / Both HKCC & SEOCH] Participant / Vendor)
* Please circle where appropriate

DCASS Customer Code: |_|_|_|_|_|

Form submission deadline: 9 August 2013

Please contact the below persons for all matters relating to the Market Rehearsal for Genium Upgrade:

Primary Contact Person: _____ Position: _____

Office Tel: _____ Mobile: _____

Email address: _____

Secondary Contact Person: _____ Position: _____

Office Tel: _____ Mobile: _____

Email address: _____



Authorized Signature(s) and Company Chop
(Name of Signatories: _____)

Date