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Hong Kong Exchanges and Clearing Limited is the recognized controller of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited and Hong Kong Securities Clearing Company Limited

通告 CIRCULAR

事項

Subject: **Rollout of DCASS Upgrade to Genium INET Platform**

查詢

Enquiry: **DCASS Hotline 2979 7222**

Reference is made to the circular dated 31 July 2013 (Ref. No. [CD/DCO/DCASS/020/2013](#)). Following the successful completion of the market rehearsal, Hong Kong Exchanges and Clearing Limited (HKEx) is pleased to announce that HKATS and DCASS will be upgraded from Release 19.1 to the Genium INET Platform (Genium Upgrade) on **15 October 2013, Tuesday**.

The Genium Upgrade is part of a comprehensive program adopted by HKEx to provide state-of-the-art infrastructure for market access, speed and functionality. Clearing Participants will experience a more efficient infrastructure and enhanced DCASS functionalities.

Clearing Participants are requested to note the following matters in relation to the launch of the Genium Upgrade:

1. Rollout Schedule and Activities

Dates	Activities
12 October 2013, Saturday	Cutover day - DCASS upgrade from Release 19.1 to Genium INET Platform
13 October 2013, Sunday (9:00 a.m. to 12:00 noon)	Post-release verification session <i>ALL Clearing Participants MUST participate to verify their DCASS connection via DCASS Terminals and OAPI programs (if applicable) and perform data verification</i>
15 October 2013, Tuesday	Rollout of the Genium Upgrade
15 October 2013, Tuesday to 25 October 2013, Friday	Stabilisation Period of the Genium Upgrade

In order to assist Clearing Participants to get ready for the launch of the Genium Upgrade, the “**Rollout Information Package for Clearing Participants**” (Rollout Information Package) is now available on [HKEx website](#). Clearing Participants are strongly advised to pass this Rollout Information Package to their IT team/system vendors as soon as possible for necessary arrangement.

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2. Fallback Arrangement

After the completion of the Genium Upgrade, there will be a two-week stabilisation period **from 15 to 25 October 2013 (Stabilisation Period)**. During the Stabilisation Period, HKEx will maintain Release 19.1 DCASS in a standby mode to cater for any unlikely situation where DCASS need to fallback to Release 19.1. Similarly, Clearing Participants are also required to maintain their Release 19.1 versions of DCASS Terminal logon shortcuts, back office systems, DCASS OAPI programs (if applicable) and other internal systems in standby mode in case of fallback.

Please note that in case of fallback from Genium to Release 19.1, Clearing Participants should logon to Release 19.1 DCASS with their DCASS logon passwords as at end of **11 October 2013 (Friday)**.

For details of the fallback arrangement, Clearing Participants please refer to the [Fallback Plan](#) issued in August 2013.

3. End of Stabilisation Period

A circular will be issued upon the completion of the Stabilisation Period.

4. DCASS OAPI Testing Environment

The Release 19.1 DCASS OAPI testing environment will be terminated by day end of 11 October 2013 (Friday). The Genium DCASS OAPI testing environment will continue to be available and the access fee to the Genium DCASS testing environment will be effective from 15 October 2013 onwards.

Furthermore, in order to facilitate effective communication with Clearing Participants on the Genium Upgrade, from the cutover day to the end of the Stabilisation Period, Clearing Participants are required to complete and return the attached “**Contact Details Form for the Rollout of Genium Upgrade (DCASS)**” to HKEx **on or before 27 September 2013 (Friday)**.

Clearing Participants are highly recommended to secure sufficient operation staffs and support from their IT team / system vendors at all times from the cutover day to the end of the Stabilization Period.

For any enquiries, please contact the DCASS hotline at 2979 7222.

Vicky Chan
Vice President
Derivatives Clearing Operations

**Contact Details Form for
the Rollout of Genium Upgrade (DCASS)**

To: Clearing Support Services
Hong Kong Exchanges and Clearing Limited

Email : dcassoapi@hkex.com.hk
Fax No : 2579-0136 / 2579-0085
DCASS Hotline : 2979-7222

Form submission deadline: 27 September 2013

Participant Full Name [* HKCC / SEOCH / Both HKCC & SEOCH] <i>* Please circle where appropriate</i>	DCASS Customer Code
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Clearing Participants who are holding participation with both HKCC and SEOCH can submit one Form by circling "Both HKCC & SEOCH".

We would like to designate the following staff for all matters relating to the Rollout of Genium Upgrade (DCASS):

Please ensure the following designated staff are reachable from the cutover day until completion of the 2-week Stabilisation Period, (i.e. from 12 October 2013 to 25 October 2013)

Primary Contact Person: _____ Position: _____

Office Tel: _____ Mobile: _____

Email address: _____

Secondary Contact Person: _____ Position: _____

Office Tel: _____ Mobile: _____

Email address: _____



Authorized Signature(s) and Company Chop
(Name of Signatories: _____)

Date