

香港交易及結算所有限公司是香港聯合交易所有限公司、香港期貨交易所有限公司及香港中央結算有限公司之認可控制人
Hong Kong Exchanges and Clearing Limited is the recognised controller of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited and Hong Kong Securities Clearing Company Limited

通告 CIRCULAR

事項

Subject: Seminar on the U.S. Foreign Account Tax Compliance Act

查詢

Enquiry: DCASS Hotline 2979 7222

Reference is made to the circular dated 31 December 2013 (Ref: [CD/DCO/DCASS/033/2013](#)) in relation to updates of the U.S. Foreign Account Tax Compliance Act (**FATCA**). To help Participants better prepare for FATCA implementation, a seminar will be organized to discuss the current state of play on FATCA, including any potential implications if a Hong Kong Intergovernmental Agreement (IGA) is signed, details of which are set out below:

	Date	Language	Venue	Time
1.	18 March 2014 (Tuesday)	Cantonese	The Exchange Exhibition Hall	4:45 p.m. – 5:00 p.m. (Registration) 5:00 p.m. – 6:00 p.m. (Seminar)
2.	19 March 2014 (Wednesday)			
3.	25 March 2014 (Tuesday)			
4.	26 March 2014 (Wednesday)	English		

Participants are advised to nominate their staff who participate in FATCA implementation to attend the seminar by returning the completed Enrolment Form (**Attachment 1**) to HKEx on or before 14 March 2014. Each Participant may send up to 2 representatives to attend one of the sessions.

For any enquiries, please contact the DCASS hotline at 2979 7222.

Vicky Chan
Senior Vice President
Derivatives Clearing Operations

香港交易及結算所有限公司
Hong Kong Exchanges and Clearing Limited

有關美國《海外帳戶稅收合規法案》研討會報名表格
Enrolment Form for U.S. Foreign Account Tax Compliance Act (FATCA) Seminar
 (填妥之表格須於 2014 年 3 月 14 日或之前傳真至 2579-0085 / 2579-0136)
 (Completed form should be returned by fax to 2579-0085 or 2579-0136 on or before 14 March 2014)

致： 香港交易及結算所有限公司 – 結算支援服務
 To: Hong Kong Exchanges and Clearing Limited – Clearing Support Services

第一部份 結算所參與者
Section I Clearing House Participant Information

結算所參與者 Participant Name	中央結算系統 / DCASS 參與者編號 CCASS Participant ID / DCASS Customer Code <input type="checkbox"/> CCASS <input type="checkbox"/> DCASS - HKCC <input type="checkbox"/> DCASS - SEOCH
聯絡人 Contact Person	電話號碼 Contact No.

第二部份 研討會詳情
Section II Details of the Seminar

日期：2014年3月18日、19日、25日及26日	Date : 18, 19, 25, and 26 March 2014
時間：下午 4 時 45 分至 5 時正 (登記) Time : 4:45pm – 5:00pm (Registration)	時間： 下午 5 時正至 6 時正 (研討會) Time : 5:00pm – 6:00pm (Seminar)
地點：香港中環交易廣場 1 座及 2 座 1 樓交易所展覽館 Venue : The Exchange Exhibition Hall, 1/F, One & Two Exchange Square, Central, HK	
語言：廣東話或英語 Language: Cantonese or English	

本公司現提名以下人士出席是次研討會：

We would like to nominate the following person(s) to attend the seminar:

英文或中文姓名 (請用正楷填寫) Name in English or Chinese (in Block Letters)	職位 Position	選擇日期(請在適當的方格內加上✓號) Choice of Date (please tick ✓ the appropriate box)			
		18/3 (Tue) 展覽館 Exhibition Hall 廣東話 Cantonese	19/3 (Wed) 展覽館 Exhibition Hall 廣東話 Cantonese	25/3 (Tue) 展覽館 Exhibition Hall 廣東話 Cantonese	26/3 (Wed) 展覽館 Exhibition Hall 英語 English
1.					
2.					

備註： 獲分配名額出席研討會的結算所參與者將不會另行通知。而不獲分配名額出席研討會者，香港交易所則會以電話通知。結算所參與者應保留此報名表作紀錄。若任何已遞交報名表之結算所參與者未能出席研討會，請盡早致電 2979-7111 (CCASS) 或 2979-7222 (DCASS) 通知香港交易所。

Note: No confirmation will be given to Participants for successful nominations. Unsuccessful nominations will be informed by HKEx via phone. Participants are advised to keep the submitted Enrolment Form for record. If any Participants which have submitted the Enrolment Form but cannot attend the seminar, please notify HKEx on 2979-7111 (CCASS) or 2979-7222 (DCASS) as soon as possible.

簽署並蓋上公司印章
 Authorized Signature (s)
 With Company Chop

: _____

日期
 Date: _____