



編號 MO/DT/083/15
Ref. No.:
日期 2015 年 6 月 1 日
Date: 1 June 2015

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Hong Kong Exchanges and Clearing Limited is the recognised controller of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited and Hong Kong Securities Clearing Company Limited

通告 CIRCULAR

事項

Subject: **Nomination of Contact Persons for Pre-Trade Risk Management (“PTRM”) System in Derivatives Market**

查詢

Enquiry: **HKATS Hotline 2211 6360**

Reference is made to the circular (ref: MO/DT/012/15) dated 26 January 2015. Hong Kong Exchanges and Clearing Limited (“HKEx”) plans to introduce PTRM system in the derivatives market. In order to facilitate communication with Participants regarding the implementation of PTRM system, Participants are required to nominate **two** contact persons and submit their contact details to HKEx.

The contact persons will be responsible for notifying their internal departments, business partners and vendors about the required preparation at different stages during the implementation of PTRM system. HKEx will also communicate with the contact persons regularly on various updates relating to PTRM system. The contact persons are requested to study the information paper that will be released by mid-June 2015 and all relevant materials posted on the PTRM designated web page (<http://www.hkex.com.hk/ptrm.htm>) from time to time.

Participants are required to nominate **two** contact persons as described below:

Participant Types	Candidates for Contact Persons
(1) Clearing Participant (“CP”) of HKFE Clearing Corporation Limited (“HKCC”) and/or Direct Clearing Participant (“DCP”) of SEHK Options Clearing House Limited (“SEOCH”); and together with their associated Exchange Participant (“EP”)	Please jointly nominate two contact persons according to your participant type - 1 contact person from business side (suggested to be from risk management, trading or clearing department) - 1 contact person from IT department
(2) General Clearing Participant (“GCP”) of HKCC and/or SEOCH; and together with their associated EP	
(3) Non-Clearing Participant (“NCP”) of Hong Kong Futures Exchange Limited (“HKFE”) and/or The Stock Exchange of Hong Kong Limited (“SEHK”)	

香港交易及結算所有限公司
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Participants should complete and return the attached contact details form through fax at 2509 0724 or 2877 0017 or email to ClickSupport@hkex.com.hk on or before 29 June 2015 (Friday).

Sanly Ho
Head
Derivatives Trading
Global Markets Division

This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail

Contact Details Form
Contact Persons for Pre-Trade Risk Management (“PTRM”) System
in Derivatives Market

To: Hong Kong Exchanges and Clearing Limited

Email : ClickSupport@hkex.com.hk

Fax No : 2509 0724 / 2877 0017

From: Participant Name: _____

Submitted by (Name): _____ Phone: _____

We nominate the following persons as PTRM Contact Persons.

PTRM Contact Person from business side (suggest to be from risk management/trading/clearing department)

Contact Person : _____

Department / Title : _____

Office Phone Number : _____

Mobile Number : _____

Email : _____

PTRM Contact Person from IT department

Contact Person : _____

Department / Title : _____

Office Phone Number : _____

Mobile Number : _____

Email : _____

- **Completed Contact Details Form should be returned to HKEx on or before 29 June 2015.**